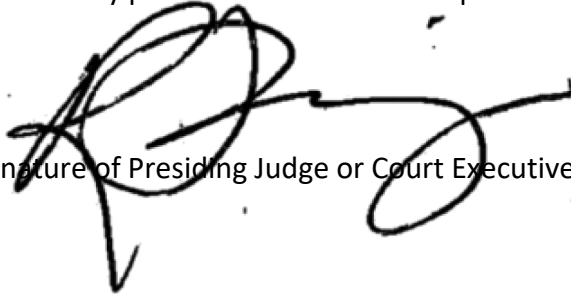


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the *Trial Court Financial Policies and Procedures Manual* (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke extending to the right.

Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

QUARTERLY FINANCIAL STATEMENT
Authorized/Filled Positions

Court

Fiscal Year and Ending Quarter

	Total Authorized Positions (FTEs) ¹	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions					

¹ Total Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2023/24

2022/23

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (10,730,795)	\$ 10,486,248	\$ (499,147)	\$ 0			\$ 256,950	\$ (486,743)	\$ 725,502
Payroll	\$ (4,139)							\$ (4,139)	\$ (19,214)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other									
Distribution							\$ 85,870	\$ 85,870	\$ 2,344
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (583,526)	\$ (583,526)	\$ (303,600)
Credit Card									
Cash on Hand	\$ 14,265	\$ 150					\$ 0	\$ 14,415	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 49,689,422						\$ 36,221,624	\$ 85,911,046	\$ 85,296,290
Total Cash and Cash Equivalents	\$ 38,993,753	\$ 10,486,398	\$ (499,147)	\$ 0			\$ 35,980,918	\$ 84,961,923	\$ 85,741,337
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 3,667		\$ 0					\$ 3,667	\$ 6,987
Dishonored Checks									
Due From Employee	\$ 4,519							\$ 4,519	\$ 5,948
Civil Jury Fees									
Trust							\$ 0	\$ 0	\$ 0
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 20,625	\$ 172,535	\$ 55,906					\$ 249,066	\$ 85,875
Due From Other Courts									
Due From State	\$ 0	\$ 0	\$ 500,929				\$ 0	\$ 500,929	\$ 776,079
Trust Due To/From							\$ 742,058	\$ 742,058	\$ 179,847
Distribution Due To/From							\$ 66,777	\$ 66,777	\$ 36,092
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 0
Total Receivables	\$ 28,812	\$ 172,535	\$ 556,834				\$ 808,835	\$ 1,567,016	\$ 1,090,828
Prepaid Expenses - General	\$ 67,194	\$ (13,855)	\$ (3,753)					\$ 49,586	\$ 82,335
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 67,194	\$ (13,855)	\$ (3,753)					\$ 49,586	\$ 82,335
Other Assets									
Total Other Assets									
Total Assets	\$ 39,089,759	\$ 10,645,078	\$ 53,935	\$ 0			\$ 36,789,753	\$ 86,578,525	\$ 86,914,499
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 90,017	\$ 0	\$ 23,354	\$ 0			\$ 11,460	\$ 124,831	\$ 460,851
Due to Other Funds	\$ 10	\$ 0	\$ 0				\$ 808,825	\$ 808,835	\$ 215,939
Due to Other Courts	\$ 0	\$ 0						\$ 0	\$ 0
Due to State	\$ 2,236,340	\$ 0					\$ 0	\$ 2,236,340	\$ 2,612,728
TC145 Liability							\$ 1,517,812	\$ 1,517,812	\$ 1,118,057
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,278,615	\$ 1,278,615	\$ 994,537
Due to Other Public Agencies									
Sales and Use Tax	\$ 177							\$ 177	\$ 5,702
Interest							\$ 152,717	\$ 152,717	\$ 80,601
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 2,326,545	\$ 0	\$ 23,354	\$ 0			\$ 3,769,428	\$ 6,119,327	\$ 5,488,416
Civil							\$ 22,871,265	\$ 22,871,265	\$ 32,148,037
Criminal							\$ 8,237,467	\$ 8,237,467	\$ 7,899,635
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 1,989,830	\$ 1,989,830	\$ 1,114,926
Miscellaneous Trust									
Total Trust Deposits							\$ 33,098,562	\$ 33,098,562	\$ 41,162,599
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,581,938							\$ 1,581,938	\$ 1,484,680
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 3							\$ 3	\$ 0
Payroll Clearing	\$ (342)	\$ 0	\$ 0					\$ (342)	\$ 0
Total Payroll Liabilities	\$ 1,581,599	\$ 0	\$ 0					\$ 1,581,599	\$ 1,484,679
Revenue Collected in Advance	\$ 5,200	\$ 0	\$ 0					\$ 5,200	\$ 1,417,779
Liabilities For Deposits	\$ 84,464	\$ 4,014	\$ 60				\$ 45,825	\$ 134,363	\$ 126,373
Jury Fees - Non-Interest							\$ 46,387	\$ 46,387	\$ 29,469
Fees - Partial Payment & Overpayment							\$ 0	\$ 0	\$ 0
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities							\$ (170,449)	\$ (170,449)	\$ (272,938)
Total Other Liabilities	\$ 89,664	\$ 4,014	\$ 60				\$ (78,237)	\$ 15,501	\$ 1,300,683
Total Liabilities	\$ 3,997,808	\$ 4,014	\$ 23,414	\$ 0			\$ 36,789,753	\$ 40,814,989	\$ 49,436,377
Total Fund Balance	\$ 35,091,951	\$ 10,641,065	\$ 30,520	\$ 0				\$ 45,763,536	\$ 37,478,123
Total Liabilities and Fund Balance	\$ 39,089,759	\$ 10,645,078	\$ 53,935	\$ 0			\$ 36,789,753	\$ 86,578,525	\$ 86,914,499

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2023/24									2022/23		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 42,538,546	\$ 55,175					\$ 42,593,721		\$ 39,698,471	\$ 93,883,717	
Improvement and Modernization Fund									\$ 0	\$ 245,685	
Judges' Compensation (0150019)	\$ 182,874						\$ 182,874		\$ 182,874	\$ 685,000	
Court Interpreter (0150037)	\$ 1,768,122						\$ 1,768,122		\$ 1,822,006	\$ 7,577,161	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,806,505						\$ 1,806,505		\$ 266,544	\$ 1,814,069	
Other Miscellaneous		\$ 9,042,698					\$ 9,042,698		\$ 10,304,484	\$ 2,644,625	
	\$ 46,296,047	\$ 9,097,872					\$ 55,393,919		\$ 52,274,379	\$ 106,850,257	
Grants											
AB 1058 Commissioner/Facilitator									\$ (514)	\$ 2,897,328	
Other Judicial Council Grants	\$ 291,014		\$ 392,065				\$ 683,080		\$ 52,073	\$ 1,078,923	
Non-Judicial Council Grants			\$ 114,146				\$ 114,146		\$ 207,135	\$ 1,453,543	
	\$ 291,014		\$ 506,211				\$ 797,225		\$ 258,694	\$ 5,429,794	
Other Financing Sources											
Interest Income	\$ 500,983	\$ 446,388					\$ 947,371		\$ 165,701	\$ 70,000	
Investment Income											
Donations		\$ 0					\$ 0			\$ 1,400	
Local Fees	\$ 7,050	\$ 49,252					\$ 56,303		\$ 62,145	\$ 827,871	
Non-Fee Revenues											
Enhanced Collections		\$ 71,378					\$ 71,378		\$ 163,464	\$ 1,200,000	
Escheatment										\$ 25,000	
Prior Year Revenue	\$ 70,392						\$ 70,392		\$ 23,164		
County Program - Restricted		\$ 17,620					\$ 17,620		\$ 3,704	\$ 80,000	
Reimbursement Other	\$ 3,667	\$ 385,051					\$ 388,718		\$ 363,645	\$ 2,291,263	
Sale of Fixed Assets											
Other Miscellaneous	\$ 11,854						\$ 11,854		\$ 2,694	\$ 12,900	
	\$ 593,947	\$ 969,689					\$ 1,563,636		\$ 784,518	\$ 4,508,434	
Total Revenues	\$ 47,181,009	\$ 10,067,561	\$ 506,211				\$ 57,754,781		\$ 53,317,591	\$ 116,788,485	
EXPENDITURES											
Personnel Services											
Salaries - Permanent	\$ 10,160,509	\$ 390,437	\$ 152,098				\$ 10,703,044		\$ 10,224,559	\$ 52,594,942	
Temp Help	\$ 87,057						\$ 87,057		\$ 72,443	\$ 250,000	
Overtime	\$ 73,012	\$ 4,256					\$ 77,269		\$ 80,307	\$ 299,999	
Staff Benefits	\$ 8,094,428	\$ 779,420	\$ 91,133				\$ 8,964,981		\$ 8,825,831	\$ 37,892,869	
	\$ 18,415,007	\$ 1,174,113	\$ 243,231				\$ 19,832,351		\$ 19,203,139	\$ 91,037,810	
Operating Expenses and Equipment											
General Expense	\$ 317,340	\$ 5,020	\$ 24,543				\$ 346,902		\$ 266,744	\$ 2,142,179	
Printing	\$ 3,775						\$ 3,775		\$ 21,517	\$ 60,800	
Telecommunications	\$ 112,205						\$ 112,205		\$ 123,717	\$ 904,103	
Postage	\$ 10,465						\$ 10,465		\$ 5,171	\$ 254,000	
Insurance	\$ 1,857						\$ 1,857			\$ 72,000	
In-State Travel	\$ 46,106	\$ 68	\$ 1,543				\$ 47,718		\$ 45,181	\$ 62,499	
Out-of-State Travel	\$ 2,139		\$ 1,243				\$ 3,382		\$ 6,191	\$ 13,638	
Training	\$ 17,469		\$ 1,775				\$ 19,244		\$ 6,430	\$ 65,000	
Security Services	\$ 57,089		\$ 68,173				\$ 125,262		\$ 39,140	\$ 720,000	
Facility Operations	\$ 384,337						\$ 384,337		\$ 363,374	\$ 2,806,575	
Utilities	\$ 44,252						\$ 44,252		\$ 42,967	\$ 200,000	
Contracted Services	\$ 2,135,038	\$ 40,998	\$ 62,322				\$ 2,238,357		\$ 1,266,947	\$ 11,324,846	
Consulting and Professional Services	\$ 1,565	\$ 0					\$ 1,565		\$ 900	\$ 85,000	
Information Technology	\$ 178,999	\$ 1,689	\$ 57,414				\$ 238,103		\$ 529,191	\$ 3,681,548	
Major Equipment	\$ 0						\$ 0			\$ 157,080	
Other Items of Expense	\$ 2,000						\$ 2,000		\$ 7,153	\$ 32,634	
	\$ 3,314,635	\$ 47,775	\$ 217,014				\$ 3,579,423		\$ 2,724,624	\$ 22,581,902	
Special Items of Expense											
Grand Jury										\$ 3,500	
Jury Costs	\$ 110,758	\$ 15,004					\$ 125,762		\$ 104,680	\$ 485,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs									\$ 0	\$ 4,031,257	
Internal Cost Recovery	\$ (59,406)	\$ 43,960	\$ 15,446				\$ 0		\$ 0	\$ 664,381	
Prior Year Expense Adjustment									\$ (338)		
	\$ 51,352	\$ 58,964	\$ 15,446				\$ 125,762		\$ 104,342	\$ 5,184,138	
Total Expenditures	\$ 21,780,993	\$ 1,280,852	\$ 475,691				\$ 23,537,536		\$ 22,032,106	\$ 118,803,850	
Excess (Deficit) of Revenues Over Expenditures	\$ 25,400,016	\$ 8,786,709	\$ 30,520				\$ 34,217,245		\$ 31,285,485	\$ (2,015,365)	
Operating Transfers In (Out)										\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 9,691,935	\$ 1,854,355	\$ 0	\$ 0			\$ 11,546,290	\$ 11,546,290	\$ 6,192,638	\$ 6,192,638	
Ending Balance (Deficit)	\$ 35,091,951	\$ 10,641,065	\$ 30,520	\$ 0			\$ 45,763,536	\$ 11,546,290	\$ 37,478,123	\$ 4,177,273	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2023/24

2022/23

	Personnel Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,777,822	\$ 411,692					\$ 5,189,513		\$ 5,047,892	\$ 24,403,889
Traffic & Other Infractions	\$ 481,014	\$ 971					\$ 481,985		\$ 604,441	\$ 3,124,795
Other Criminal Cases	\$ 2,714,069	\$ 200,368			\$ 28,215		\$ 2,942,652		\$ 3,013,212	\$ 15,595,223
Civil	\$ 1,661,592	\$ 10,952			\$(12,361)		\$ 1,660,183		\$ 1,657,448	\$ 7,921,872
Family & Children Services	\$ 2,332,186	\$ 275,751			\$ 12,812		\$ 2,620,750		\$ 2,573,216	\$ 13,547,924
Probate, Guardianship & Mental Health Services	\$ 684,826	\$ 1,594					\$ 686,420		\$ 648,978	\$ 2,850,357
Juvenile Dependency Services	\$ 103,065	\$ 30,762					\$ 133,827		\$ 224,606	\$ 382,614
Juvenile Delinquency Services	\$ 127,134	\$ 13,515					\$ 140,649		\$ 149,182	\$ 937,912
Other Court Operations	\$ 308,776	\$ 2,231					\$ 311,007		\$ 231,619	\$ 463,067
Court Interpreters	\$ 539,792	\$ 780,950					\$ 1,320,742		\$ 1,230,503	\$ 7,073,256
Jury Services	\$ 215,472	\$ 29,570	\$ 110,758				\$ 355,801		\$ 259,555	\$ 1,274,644
Security		\$ 115,845					\$ 115,845		\$ 35,438	\$ 308,151
Trial Court Operations Program	\$ 13,945,746	\$ 1,874,202	\$ 110,758		\$ 28,666		\$ 15,959,372		\$ 15,676,090	\$ 77,883,704
Enhanced Collections	\$ 126,106	\$ 21			\$ 0		\$ 126,127		\$ 211,831	\$ 1,199,997
Other Non-Court Operations	\$ 590,553	\$ 9,153	\$ 15,004				\$ 614,711		\$ 564,683	\$ 2,144,472
Non-Court Operations Program	\$ 716,660	\$ 9,174	\$ 15,004		\$ 0		\$ 740,838		\$ 776,514	\$ 3,344,469
Executive Office	\$ 1,681,227	\$ 34,927			\$(28,666)		\$ 1,687,488		\$ 931,367	\$ 4,996,353
Fiscal Services	\$ 722,982	\$ 54,426					\$ 777,408		\$ 767,173	\$ 3,539,896
Human Resources	\$ 1,282,552	\$ 83,408					\$ 1,365,960		\$ 1,375,395	\$ 7,884,343
Business & Facilities Services	\$ 508,198	\$ 555,596					\$ 1,063,794		\$ 944,139	\$ 9,959,559
Information Technology	\$ 974,986	\$ 967,690					\$ 1,942,676		\$ 1,561,428	\$ 11,195,526
Court Administration Program	\$ 5,169,945	\$ 1,696,047			\$(28,666)		\$ 6,837,325		\$ 5,579,502	\$ 37,575,677
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 19,832,351	\$ 3,579,423	\$ 125,762		\$ 0		\$ 23,537,536		\$ 22,032,106	\$ 118,803,850