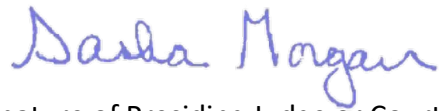


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the *Trial Court Financial Policies and Procedures Manual* (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

QUARTERLY FINANCIAL STATEMENT
Authorized/Filled Positions

Court

Fiscal Year and Ending Quarter

	Total Authorized Positions (FTEs) ¹	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions					

¹ Total Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2023/24

2022/23

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,707,618)	\$ 3,100,087	\$ (438,518)	\$ 310			\$ 10,925	\$ (34,813)	\$ 137,264
Payroll	\$ (3,603)							\$ (3,603)	\$ (13,210)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 96,505	\$ 96,505	\$ 9,860
Trust							\$ (4,225)	\$ (4,225)	\$ (10,870)
Credit Card									
Cash on Hand	\$ 3,246							\$ 3,246	\$ 3,246
Cash with County							\$ 1,057,089	\$ 1,057,089	\$ 1,338,066
Cash Outside of the JCC									
Cash Equivalents	\$ 8,282,074						\$ 722,382	\$ 9,004,456	\$ 9,488,492
Total Cash and Cash Equivalents	\$ 5,579,099	\$ 3,100,087	\$ (438,518)	\$ 310			\$ 1,882,677	\$ 10,123,655	\$ 10,957,848
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (327)							\$ (327)	\$ (435)
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 2,000	\$ 0	\$ 0				\$ 11,944	\$ 13,944	\$ 11,944
Due From Other Courts	\$ 0							\$ 0	\$ 19,058
Due From State	\$ 1,673	\$ 0	\$ 41,243					\$ 42,916	\$ 10,735
Trust Due To/From							\$ 3,355	\$ 3,355	\$ 3,244
Distribution Due To/From							\$ 100	\$ 100	\$ 1,346
Civil Filing Fee Due To/From									\$ 100
General Due To/From	\$ 1							\$ 1	\$ 1,238
Total Receivables	\$ 3,347	\$ 0	\$ 41,243				\$ 15,398	\$ 59,988	\$ 47,229
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 5,582,446	\$ 3,100,087	\$ (397,275)	\$ 310			\$ 1,898,076	\$ 10,183,643	\$ 11,005,077
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 876	\$ 0	\$ 0	\$ 0			\$ 96,505	\$ 97,381	\$ 205,888
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 3,922	\$ 3,922	\$ 5,928
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 192,750		\$ 51,026					\$ 243,776	\$ 372,753
TC145 Liability							\$ 340,812	\$ 340,812	\$ 308,771
Due to Other Governments	\$ 336	\$ 0	\$ 0	\$ 0				\$ 336	\$ 0
AB145 Due to Other Government Agency							\$ 24,882	\$ 24,882	\$ 22,473
Due to Other Public Agencies									
Sales and Use Tax	\$ 13		\$ 52					\$ 65	\$ 347
Interest							\$ 2,977	\$ 2,977	\$ 1,604
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 193,974	\$ 0	\$ 51,079	\$ 0			\$ 469,097	\$ 714,150	\$ 917,765
Civil							\$ 170,449	\$ 170,449	\$ 387,450
Criminal							\$ 63,603	\$ 63,603	\$ 75,665
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 1,057,089	\$ 1,057,089	\$ 1,338,066
Trust Interest Payable							\$ 27,994	\$ 27,994	\$ 7,366
Miscellaneous Trust									
Total Trust Deposits							\$ 1,319,136	\$ 1,319,136	\$ 1,808,546
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (2,474)							\$ (2,474)	\$ (5,924)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 454,030							\$ 454,030	\$ 514,505
Payroll Clearing	\$ (4)							\$ (4)	\$ (16)
Total Payroll Liabilities	\$ 451,552	\$ 0	\$ 0					\$ 451,552	\$ 508,565
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 49,593		\$ 90				\$ 109,843	\$ 159,525	\$ 143,808
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 49,593	\$ 0	\$ 90				\$ 109,843	\$ 159,525	\$ 143,808
Total Liabilities	\$ 695,118	\$ 0	\$ 51,168	\$ 0			\$ 1,898,076	\$ 2,644,362	\$ 3,378,685
Total Fund Balance	\$ 4,887,327	\$ 3,100,087	\$ (448,444)	\$ 310				\$ 7,539,281	\$ 7,626,392
Total Liabilities and Fund Balance	\$ 5,582,446	\$ 3,100,087	\$ (397,275)	\$ 310			\$ 1,898,076	\$ 10,183,643	\$ 11,005,077

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2023/24									2022/23		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 7,655,751	\$ 9,709					\$ 7,665,460		\$ 7,500,984	\$ 16,169,362	
Improvement and Modernization Fund	\$ (100,000)						\$ (100,000)			\$ 34,428	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 268,005						\$ 268,005		\$ 265,417	\$ 650,417	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 376,069						\$ 376,069		\$ 66,910	\$ 477,282	
Other Miscellaneous		\$ 2,016,491					\$ 2,016,491		\$ 2,708,052	\$ 975,854	
	\$ 8,199,824	\$ 2,026,200					\$ 10,226,024		\$ 10,541,363	\$ 18,307,343	
Grants											
AB 1058 Commissioner/Facilitator									\$ (41,681)	\$ 311,178	
Other Judicial Council Grants			\$ (160,311)				\$ (160,311)		\$ (321,294)	\$ 728,309	
Non-Judicial Council Grants			\$ (182,627)				\$ (182,627)				
			\$ (342,938)				\$ (342,938)		\$ (362,975)	\$ 1,039,487	
Other Financing Sources											
Interest Income	\$ 67,627	\$ 25,710		\$ 310			\$ 93,647		\$ 29,822	\$ 50,070	
Investment Income											
Donations											
Local Fees	\$ (176)	\$ (7,016)					\$ (7,192)		\$ (45,681)	\$ 42,670	
Non-Fee Revenues											
Enhanced Collections		\$ 27,356					\$ 27,356		\$ 8,993	\$ 88,500	
Escheatment											
Prior Year Revenue	\$ (134)						\$ (134)		\$ 762	\$ 762	
County Program - Restricted		\$ (40,832)					\$ (40,832)		\$ (1,614)	\$ 45,339	
Reimbursement Other	\$ 3,922	\$ 10,000					\$ 13,922		\$ 11,524	\$ 162,660	
Sale of Fixed Assets											
Other Miscellaneous	\$ 792						\$ 792		\$ 2,005	\$ 4,006	
	\$ 72,029	\$ 15,218		\$ 310			\$ 87,557		\$ 5,811	\$ 394,007	
Total Revenues	\$ 8,271,854	\$ 2,041,417	\$ (342,938)	\$ 310			\$ 9,970,643		\$ 10,184,199	\$ 19,740,837	
EXPENDITURES											
Personnel Services											
Salaries - Permanent	\$ 2,043,628	\$ 69,118	\$ 74,477				\$ 2,187,224		\$ 2,128,108	\$ 9,915,290	
Temp Help	\$ 5,373						\$ 5,373		\$ 12,066		
Overtime									\$ 743		
Staff Benefits	\$ 1,503,136	\$ 41,273	\$ 38,863				\$ 1,583,273		\$ 1,596,703	\$ 6,541,511	
	\$ 3,552,137	\$ 110,392	\$ 113,341				\$ 3,775,869		\$ 3,737,620	\$ 16,456,801	
Operating Expenses and Equipment											
General Expense	\$ 308,483		\$ 319				\$ 308,802		\$ 45,332	\$ 572,185	
Printing	\$ 15,089	\$ 2,345					\$ 17,434		\$ 21,542	\$ 85,896	
Telecommunications	\$ 7,003						\$ 7,003		\$ (590)	\$ 89,410	
Postage	\$ 14,623						\$ 14,623		\$ 6,285	\$ 28,785	
Insurance	\$ 154						\$ 154		\$ 72	\$ 8,071	
In-State Travel	\$ 951		\$ 2,146				\$ 3,097		\$ 1,335	\$ 21,597	
Out-of-State Travel											
Training			\$ 700				\$ 700		\$ 2,754	\$ 23,520	
Security Services											
Facility Operations	\$ 110,388			\$ 0			\$ 110,388		\$ 22,750	\$ 405,042	
Utilities											
Contracted Services	\$ 227,650	\$ (300,444)	\$ (11,000)				\$ (83,794)		\$ 96,842	\$ 1,462,439	
Consulting and Professional Services	\$ 2,660						\$ 2,660		\$ 2,435	\$ 17,435	
Information Technology	\$ 84,730						\$ 84,730		\$ 350,861	\$ 1,225,860	
Major Equipment										\$ 10,000	
Other Items of Expense	\$ 4,972						\$ 4,972		\$ 167	\$ 1,167	
	\$ 776,703	\$ (298,099)	\$ (7,835)	\$ 0			\$ 470,769		\$ 549,783	\$ 3,951,407	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 6,473						\$ 6,473		\$ 19,768	\$ 92,788	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 181		
Capital Costs											
Internal Cost Recovery										\$ 0	
Prior Year Expense Adjustment	\$ (331)						\$ (331)		\$ 20		
	\$ 6,141						\$ 6,141		\$ 19,969	\$ 92,788	
Total Expenditures	\$ 4,334,981	\$ (187,707)	\$ 105,506	\$ 0			\$ 4,252,780		\$ 4,307,372	\$ 20,500,996	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,936,872	\$ 2,229,125	\$ (448,444)	\$ 310			\$ 5,717,864		\$ 5,876,827	\$ (760,159)	
Operating Transfers In (Out)										\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 950,455	\$ 870,963	\$ 0	\$ 0			\$ 1,821,417	\$ 1,821,417	\$ 1,749,566	\$ 1,749,566	
Ending Balance (Deficit)	\$ 4,887,327	\$ 3,100,087	\$ (448,444)	\$ 310			\$ 7,539,281	\$ 1,821,417	\$ 7,626,392	\$ 989,407	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2023/24

2022/23

	Personnel Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,252,460	\$ (200,224)					\$ 1,052,236		\$ 1,462,180	\$ 6,038,588
Traffic & Other Infractions	\$ 228,386						\$ 228,386		\$ 228,406	
Other Criminal Cases	\$ 191,006	\$ (13,877)					\$ 177,130		\$ 152,926	\$ 3,366,305
Civil	\$ 352,878	\$ 5,992					\$ 358,870		\$ 340,673	\$ 1,626,298
Family & Children Services	\$ 217,544	\$ (9,838)					\$ 207,705		\$ 177,991	\$ 1,235,265
Probate, Guardianship & Mental Health Services	\$ 103,105						\$ 103,105		\$ 100,037	\$ 260,768
Juvenile Dependency Services	\$ 24,848						\$ 24,848		\$ 27,829	
Juvenile Delinquency Services										\$ 206,388
Other Court Operations	\$ 153,843	\$ 6,980					\$ 160,823		\$ 191,400	\$ 187,324
Court Interpreters	\$ 119,906	\$ 147,694					\$ 267,600		\$ 149,423	\$ 1,141,406
Jury Services	\$ 23,142	\$ 23,092	\$ 6,473				\$ 52,707		\$ 53,474	\$ 177,054
Security										
Trial Court Operations Program	\$ 2,667,119	\$ (40,182)	\$ 6,473				\$ 2,633,410		\$ 2,884,338	\$ 14,239,396
Enhanced Collections	\$ 32,183						\$ 32,183		\$ 6,412	\$ 88,500
Other Non-Court Operations	\$ 191,534	\$ 1,827					\$ 193,361		\$ 190,100	\$ 1,061,858
Non-Court Operations Program	\$ 223,717	\$ 1,827					\$ 225,544		\$ 196,511	\$ 1,150,358
Executive Office	\$ 101,270	\$ 612					\$ 101,882		\$ 102,125	\$ 359,307
Fiscal Services	\$ 168,043	\$ 6,844					\$ 174,887		\$ 143,634	\$ 869,241
Human Resources	\$ 243,344	\$ 5,044					\$ 248,388		\$ 288,207	\$ 376,896
Business & Facilities Services	\$ 30,842	\$ 408,716					\$ 439,558		\$ 41,053	\$ 786,011
Information Technology	\$ 341,534	\$ 87,908				\$ (331)	\$ 429,111		\$ 651,504	\$ 2,719,787
Court Administration Program	\$ 885,033	\$ 509,123				\$ (331)	\$ 1,393,825		\$ 1,226,522	\$ 5,111,242
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 3,775,869	\$ 470,769	\$ 6,473			\$ (331)	\$ 4,252,780		\$ 4,307,372	\$ 20,500,996