



JUDICIAL COUNCIL OF CALIFORNIA

455 Golden Gate Avenue · San Francisco, California 94102-3688

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CIRCULATING ORDER MEMORANDUM TO THE JUDICIAL COUNCIL

Circulating Order Number: CO-14-04

Title	Action Requested
Judicial Branch Semiannual Contract Reporting Requirement: Executed Contracts and Vendor Payments for the Period of January 1 through June 30, 2014.	VOTING MEMBERS ONLY: Vote and return by fax. Additionally, return original signature page.
Rules, Forms, Standards, or Statutes Affected	Please Respond By
None	By 10:00 a.m. on August 1, 2014
Recommended by	Date of Report
Steven Jahr, Administrative Director Curt Soderlund, Chief Administrative Officer Judicial Council	July 30, 2014
	Contact
	Bob Fleshman, 415-865-7531 bob.fleshman@jud.ca.gov

Executive Summary

Public Contract Code section 19209 and the *Judicial Branch Contracting Manual* require that the Judicial Council submit a report semiannually to the Joint Legislative Budget Committee and the State Auditor listing (1) all vendors or contractors receiving payments from any judicial branch entity and their associated distinct contracts and (2) for every vendor or contractor receiving more than one payment, the amount of the payment, type of service or good provided, and judicial branch entity receiving the good or service. Therefore, Judicial Council staff recommends submitting, by August 1, 2014, this sixth semiannual report, which lists all judicial branch entity contracts that were amended during the reporting period covering January 1 through June 30, 2014.

Recommendation

Judicial Council staff recommends that the council accept and approve for submission to the Joint Legislative Budget Committee and the California State Auditor the following report and related attachments: *Semiannual Report on Contracts for the Judicial Branch for the Reporting Period of January 1 through June 30, 2014*. The report includes information for the Supreme Court, Courts of Appeal, superior courts, Judicial Council, and Habeas Corpus Resource Center.

Previous Council Action

At its August 26, 2011, business meeting, the Judicial Council approved the *Judicial Branch Contracting Manual*, which included the requirement for the preparation of the two semiannual reports and their submission to the Joint Legislative Budget Committee and the State Auditor.

Previous reports were submitted and approved at Judicial Council meetings or through circulating orders, as follows:

- January 24, 2012 The Judicial Council accepted and approved the first semiannual report for the period October 1 through December 31, 2011.
- July 27, 2012 The Judicial Council accepted and approved the second semiannual report for the period January 1 through June 30, 2012.
- January 31, 2013 Under Circulating Order CO-13-01, the Judicial Council accepted and approved the third semiannual report for the period July 1 through December 31, 2012.
- July 30, 2013 Under Circulating Order CO-13-04, the Judicial Council accepted and approved the fourth semiannual report for the period January 1 through June 30, 2013.
- January 29, 2014 Under Circulating Order CO-14-01, the Judicial Council accepted and approved the fifth semiannual report for the period July 1 through December 31, 2013.

Rationale for Recommendation

Statutory requirement

The Judicial Branch Contract Law (JBCL), enacted March 24, 2011, requires the judicial branch entities to comply with the provisions of the Public Contract Code applicable to state agencies and departments related to the procurement of goods and services. The JBCL applies to all contracts initially entered into or amended by judicial branch entities on or after October 1, 2011. The JBCL also requires the council to adopt a judicial branch contracting manual containing policies and procedures applicable to judicial branch entities related to the procurement of goods and services (Pub. Contract Code § 19206). The Judicial Council on August 26, 2011, adopted the manual, which incorporates policies and procedures consistent with the Public Contract Code and, as the code requires, is “substantially similar to the provisions contained in the State Administrative Manual [SAM] and the State Contracting Manual [SCM].”

Reporting requirement

The JBCL requires the Judicial Council, beginning in 2012, to provide reports to the Joint Legislative Budget Committee and the State Auditor twice each year on contracting activities by judicial branch entities under Public Contract Code section 19209. The semiannual reports must contain specific information, including details about contracts and amendments to contracts entered into by judicial branch entities with vendors or contractors, payments received by vendors and contractors, and the nature of the services or goods provided under the contracts and amendments. By statute, each fiscal year, the first report covers the period from July 1 through December 31 and must be submitted by February 1 of the following calendar year. The second report covers the period from January 1 through June 30 and must be submitted by August 1.

As required by the *Judicial Branch Contracting Manual*, revised April 24, 2012, the Judicial Council's Finance office has lead responsibility for providing the reports to the council for approval and submission to the Joint Legislative Budget Committee and the State Auditor. Additionally, the council's Finance office and its Trial Court Administrative Services office are responsible for coordinating with each other to ensure that all information in the reports is timely, accurate, and consistent in form and format.

The responsibilities for each judicial branch entity are specified in the manual generally as follows:

Supreme Court: The Supreme Court is responsible for providing to the council's Finance office the information relating to payments to, and contracts with, the Supreme Court's vendors in the form and format required by Finance for Judicial Council reporting purposes.

Courts of Appeal: Each Court of Appeal is responsible for providing to the council's Finance office the information relating to payments to, and contracts with, vendors in the form and format required by Finance for Judicial Council reporting purposes.

Superior Courts: The Phoenix Financial System is the source of information for compiling reports relating to payments during a reporting period by each superior court to vendors and relating to contracts between vendors and each superior court. Each superior court is responsible for inputting into Phoenix the information relating to payments to, and contracts with, that superior court's vendors as required for Judicial Council reporting purposes.

Habeas Corpus Resource Center (HCRC): The HCRC is responsible for providing to the council's Finance office the information relating to payments to, and contracts with, the HCRC's vendors in the form and format required by Finance for Judicial Council reporting purposes.

Judicial Council: The council's Finance office is responsible for maintaining and providing the information relating to payments to, and contracts with, vendors of the Judicial Council.

Contents of the reports

The reports from the Judicial Council will include a list of all vendors that receive a payment from a judicial branch entity during the reporting period. Public Contract Code section 19209 also requires the Judicial Council to submit additional information on each distinct contract between a vendor and a judicial branch entity, but only if more than one payment was made under the distinct contract during the reporting period. For each distinct contract, the report includes the following information by vendor:

- The judicial branch entity that contracted for the good or service
- The amount of payment
- The type of service or good provided

The reports also include a list of all judicial branch entity contracts that were amended during the reporting period. The report contains the following information by vendor for each distinct contract that was amended:

- The name of the vendor;
- The type of service or good provided;
- The nature of the amendment;
- The duration of the amendment; and
- The cost of the amendment.

The reports are reviewed to determine if there are any statutory or other restrictions on information disclosure to third parties specifically related to HCRC, lawsuits in process, and the like. Such information may be redacted.

Comments, Alternatives Considered, and Policy Implications

Comments and policy implications

It is important that each judicial branch entity maintain and provide accurate and consistent information so that the reports provided by the Judicial Council, in turn, contain accurate and complete information. All judicial branch personnel involved in maintaining and providing the necessary information must have the training, experience, level of responsibility, and accountability necessary to ensure the accuracy, completeness, and consistency of the information maintained and provided. No adverse policy implications will result from acceptance or approval of these reports.

Alternatives

No alternatives were considered because the recommendation is consistent with approved council policy and with the provisions of Public Contract Code sections 19201–19210.

Implementation Requirements, Costs, and Operational Impacts

The proposed recommendation imposes no specific implementation requirements or costs, other than the requirement to disclose the attached audit reports through online publication.

Attachments

1. *Semiannual Report on Contracts for the Judicial Branch for the Reporting Period of January 1 through June 30, 2014*, with the following listed attachments, which because of their size, including any explanatory footnotes, are posted separately for access and review:
 - A. Superior court reports:
 1. Trial Court Contract Report, January 1 through June 30, 2014
 2. Trial Court Payment Report, January 1 through June 30, 2014
 - B. Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, Judicial Council reports:
 1. Contract Amendment Report, January 1 through June 30, 2014
 2. Payment Report, January 1 through June 30, 2014
2. Voting instructions
3. Vote and signature pages

Instructions for Review and Action by Circulating Order

Voting members

- Please indicate your **vote, sign, and return by 5pm, July 31, 2014**, if possible by one of these methods:
 1. Fax the signature pages to the attention of Judicial Council Support Services, Judicial Council and Court Leadership Services Division at 415-865-4391
 2. Reply to the e-mail message with “I approve,” “I disapprove,” or “I abstain.”
- If you are unable to reply by **5pm, July 31, 2014**, please do so as soon as possible thereafter.
- Additionally, **return the original** signature page to the Judicial Council Support Services, Administrative Office of the Courts, 455 Golden Gate Avenue, San Francisco, California, 94102-3688. **Please keep a copy for your records.**

Advisory members

The circulating order is being faxed to you for your information only. There is no need to sign or return any documents.

**CIRCULATING ORDER
Judicial Council of California
Voting and Signature Pages**

Effective immediately, the Judicial Council approves the Judicial Branch Semiannual Contract Reporting Requirement: Executed Contracts and Vendor Payments for the Period of January 1 through June 30, 2014.

My vote is as follows:

Approve Disapprove Abstain

Tani G. Cantil-Sakauye, Chair

_____/s/
Judith Ashmann-Gerst

_____/s/
Stephen H. Baker

_____/s/
Marvin R. Baxter

Richard Bloom

_____/s/
Mark G. Bonino

James R. Brandlin

_____/s/
Angela J. Davis

_____/s/
David De Alba

Emilie H. Elias

Noreen Evans

_____/s/
James P. Fox

_____/s/
Harry E. Hull, Jr.

_____/s/
Teri L. Jackson

My vote is as follows:

Approve

Disapprove

Abstain

_____/s/
Douglas P. Miller

_____/s/
Gary Nadler

_____/s/
Mary Ann O'Malley

_____/s/
Mark P. Robinson, Jr.

_____/s/
David Rosenberg

_____/s/
David M. Rubin

_____/s/
Dean T. Stout

Date: July 31, 2014

Attest:



Administrative Director and
Secretary of the Judicial Council



JUDICIAL COUNCIL OF CALIFORNIA

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TANI G. CANTIL-SAKAUYE
Chief Justice of California
Chair of the Judicial Council

STEVEN JAHR
Administrative Director

August 1, 2014

Hon. Mark Leno
Chair, Joint Legislative Budget Committee
Attn: Ms. Peggy Collins
Legislative Office Building
1020 N Street, Room 553
Sacramento, California 95814

Ms. Elaine M. Howle
California State Auditor
Bureau of State Audits
621 Capitol Mall, Suite 1200
Sacramento, California 95814

Re: *Semiannual Report on Contacts for the Judicial Branch for the Reporting Period of January 1 through June 30, 2014*, as required under Public Contract Code section 19209

Dear Senator Leno and Ms. Howle:

Attached is the report from the Judicial Council report required under Public Contract Code section 19209 on contract payments and contracts that were amended between vendors and judicial branch entities during the reporting period of January 1 through June 30, 2014. Judicial branch entities are the Supreme Court, Courts of Appeal, superior courts, Habeas Corpus Resource Center, and Judicial Council.

As required by Public Contract Code section 19209, the reports include a listing of (1) all vendors or contractors receiving payments from any judicial branch entity and the associated distinct contracts and (2) for every vendor or contractor receiving more than one payment, the amount of the payment, type of service or good provided, and judicial branch entity receiving

Hon. Mark Leno
Ms. Elaine M. Howle
August 1, 2014
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the good or service. The report also includes a list of all judicial branch entity contracts that were amended during the reporting period. This is the sixth semiannual report submitted under this reporting requirement. The operative date of the Judicial Branch Contract Law was October 1, 2011, and only contracts with payments or amendments after that date are required to be included in the report. This report and all future reports will cover the six-month period from or January 1 through June 30 or July 1 through December 31, as appropriate. The attachments to the report are:

- Attachment 1: Superior court reports:
 - a. Trial Court Contract Report, January 1 through June 30, 2014
 - b. Trial Court Payment Report, January 1 through June 30, 2014

- Attachment 2: Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, Judicial Council reports:
 - c. Contract Amendment Report, January 1 through June 30, 2014
 - d. Payment Report, January 1 through June 30, 2014

Note that the report does not include certain payments or contract amendment information that is statutorily restricted, subject to any statutory restrictions on disclosure to third parties, or excluded from reporting.

The report attachments are very large. To save resources, hard copies are not attached. They may be accessed at the following address www.courts.ca.gov/7466.htm.

If you have any questions related to this report, please contact Mr. Zlatko Theodorovic, Director, Finance, Judicial Council, at 916-263-1397.

Very truly yours,

Steven Jahr
Administrative Director
Judicial Council of California

SJ/BF

Attachments

Additional attachments located at www.courts.ca.gov/7466.htm

Hon. Mark Leno
Ms. Elaine M. Howle
August 1, 2014
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cc: Members of the Judicial Council
Members of the Joint Legislative Budget Committee
Diane F. Boyer-Vine, Legislative Counsel
Gregory P. Schmidt, Secretary of the Senate
E. Dotson Wilson, Chief Clerk of the Assembly
Margie Estrada, Policy Consultant, Office of Senate President pro Tempore Darrell S. Steinberg
Fredericka McGee, Deputy Chief of Staff, Office of Assembly Speaker Toni G. Atkins
Anita Lee, Fiscal and Policy Analyst, Legislative Analyst's Office
Tina McGee, Executive Secretary, Legislative Analyst's Office
Madelynn McClain, Program Budget Analyst, Department of Finance
Peggy Collins, Principal Consultant, Joint Legislative Budget Committee
Julie Salley-Gray, Consultant, Senate Budget and Fiscal Review Committee
Matt Osterli, Consultant, Senate Republican Fiscal Office
Marvin Deon II, Consultant, Assembly Budget Committee
Allan Cooper, Consultant, Assembly Republican Fiscal Office
Jolie Onodera, Consultant, Senate Appropriations Committee
Chuck Nicol, Principal Consultant, Assembly Appropriations Committee
Benjamin Palmer, Chief Counsel, Senate Judiciary Committee
Mike Petersen, Consultant, Senate Republican Policy Office
Drew Liebert, Chief Counsel, Assembly Judiciary Committee
Paul Dress, Consultant, Assembly Republican Policy Office
Jody Patel, Chief of Staff, Judicial Council
Curtis L. Child, Chief Operating Officer, Judicial Council
Curt Soderlund, Chief Administrative Officer, Judicial Council
Cory T. Jaspersen, Director, Governmental Affairs, Judicial Council
Zlatko Theodorovic, Director, Finance, Judicial Council
Pat Haggerty, Assistant Director, Finance, Judicial Council
Peter Allen, Senior Manager, Communications, Judicial Council
Bob Fleshman, Supervisor, Finance, Judicial Council
John A. Judnick, Senior Manager, Audit Services, Judicial Council
Doug Kauffroath, Senior Manager, Trial Court Administrative Services, Judicial Council
Andi Liebenbaum, Senior Governmental Affairs Analyst, Governmental Affairs, Judicial Council
Council
Yvette Casillas-Sarcos, Administrative Coordinator, Governmental Affairs, Judicial Council
Council
Judicial Council Communications



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TANI G. CANTIL-SAKAUYE
Chief Justice of California
Chair of the Judicial Council

STEVEN JAHR
Administrative Director

Report title: *Semiannual Report on Contracts for the Judicial Branch for the Reporting Period of January 1 through June 30, 2014*

Statutory citation: Public Contract Code section 19209

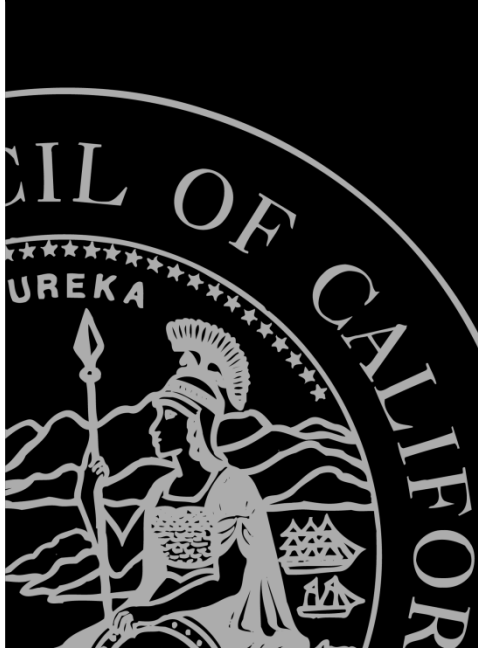
Date of report: August 1, 2014

The Judicial Council has submitted a report to the Legislature in accordance with Public Contract Code section 19209. The following summary of the report is provided under the requirements of Government Code section 9795.

The Judicial Branch Contract Law (JBCL), enacted March 24, 2011, requires the judicial branch entities to comply with the provisions of the Public Contract Code applicable to state agencies and departments related to the procurement of goods and services. The JBCL applies to all contracts initially entered into or amended by judicial branch entities on or after October 1, 2011.

The JBCL also requires the Judicial Council, beginning in 2012, to report to the Joint Legislative Budget Committee and the State Auditor twice each year on contracting activities by judicial branch entities. The reports must contain specified information, including details about payments received by vendors and contractors and their associated contracts, contract amendments entered into by judicial branch entities with vendors or contractors, and the nature of the services or goods provided under the reported contracts and amendments. The reports exclude payments or contract amendment information that is statutorily restricted or excluded from reporting, information subject to any statutory restrictions on disclosure to third parties, or information on capital cases in active litigation.

This is the sixth semiannual report and covers January 1 through June 30, 2014. The report is approximately 1,000 pages (including attachments). Nearly \$260 million in payments were made by the superior courts and approximately \$193 million by the state judiciary (Supreme Court, Courts of Appeals, Habeas Corpus Resource Center, and Judicial Council). The report lists 10,702 contracts associated with these payments as well as 4,171 contracts with amendments. The full report can be accessed at www.courts.ca.gov/7466.htm. A printed copy of the report may be obtained by contacting Mr. Zlatko Theodorovic, Director, Finance, Judicial Council, at zlatko.theodorovic@jud.ca.gov.



Semiannual Report on Contracts for the Judicial Branch for the Reporting Period of January 1 through June 30, 2014

REPORT TO THE JOINT LEGISLATIVE
BUDGET COMMITTEE AND THE STATE
AUDITOR AS REQUIRED BY PUBLIC
CONTRACT CODE SECTION 19209

AUGUST 1, 2014



JUDICIAL COUNCIL
OF CALIFORNIA

JUDICIAL COUNCIL OF CALIFORNIA

As of August 1, 2014

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Administrative Director, Judicial Council

Ms. Jody Patel
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Mr. Curt Soderlund
Chief Administrative Officer
ADMINISTRATIVE DIVISION

FINANCE

Mr. Zlatko Theodorovic
Director

Mr. Bob Fleshman
*Supervisor and
Primary Author of Report*

**Semiannual Report on Contracts for the Judicial Branch for the Reporting
Period of January 1 through June 20, 2014:
Report to the Joint Legislative Budget Committee and the State Auditor as
Required by Public Contract Code Section 19209**

August 1, 2014

Introduction

The Judicial Council submits this report to the Joint Legislative Budget Committee and the California State Auditor under Public Contract Code section 19209 to provide information related to procurement of contracts for the judicial branch. The report includes a list of vendors and contractors as required by Public Contract Code section 19209(b). The report further identifies the amount of payment(s) to the contractors and vendors, the types of services and goods provided, and the judicial branch entity or entities with which the contractors and vendors contracted to provide those goods and services. The report also includes a list of all contract amendments as required by Public Contract Code section 19209(c) and identifies the vendors and contractors, the types of services and goods provided under the contract, the nature of the amendments, the duration of the amendments, and the cost of the amendments. Judicial branch entities are the Supreme Court, Courts of Appeal (COA), superior courts, Habeas Corpus Resource Center (HCRC), and the Judicial Council.

Because the operative date of the Judicial Branch Contract Law (JBCL) was October 1, 2011, contracts entered into or amended only after that date are included in this report. This report and all future reports are semiannual and cover the six-month periods from January 1 through June 30 and July 1 through December 31. This is the sixth semiannual report and covers the period from January 1 through June 30, 2014.

Contracts Excluded From the Report

Public Contract Code section 19204(c) provides that the JBCL “does not apply to procurement and contracting by judicial branch entities that are related to trial court construction, including, but not limited to, the planning, design, construction, rehabilitation, renovation, replacement, lease, or acquisition of trial court facilities.” This section also states that the JBCL “shall apply to contracts for maintenance of all judicial branch facilities that are not under the operation and management of the Department of General Services.” Appropriate exclusions and inclusions based on the above subsections have been made in this report.

Also excluded from the report are the following contracts that are unique to the superior courts and are not subject to the JBCL:

- Contracts (often referred to as memoranda of understanding or MOUs) between a superior court and the sheriff for court security services;
- Contracts between a court and a court reporter, when the court reporter provides services as an independent contractor; and

- Contracts between a court and a court interpreter, when the court interpreter provides services as an independent contractor.

New Reporting Payment Elements

Based on recommendations by the California State Auditor, the Judicial Council has moved to enhance the level of information provided in this report. Beginning with this reporting period, the following payments will be displayed:

- Payments to independent contractor court reporters
- Payments to independent contractor interpreters
- Payments to sheriffs (but only to the limited extent a superior court might have an obligation to pay for certain services, given that the sheriff, and not the superior court, is generally responsible for the cost of court security under the Superior Court Security Act of 2012 (Gov. Code, § 69920 et seq.))

Format of the Report

The Judicial Council's Finance staff is responsible for preparing the portion of the report that relates to the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council and extracts data for the report from the Oracle Financial System. The council's Trial Court Administrative Services office (TCAS) is responsible for preparing the portion of the report that relates to the superior courts and extracts data for the report from the Phoenix Financial System. Because Finance and TCAS use different information management systems, the format and data elements of various portions of the report differ. The four portions of the report are listed below:

- Superior courts:
 1. Trial Court Contract Report
 2. Trial Court Payment Report
- Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council:
 3. Contract Amendment Report
 4. Payment Report

The chart on the following page explains the differences in the format of the reports and describes the data elements.

Judicial Branch Contract Reports Comparison of Required Data Elements to Report According to Pub. Contract Code Section 19209 with the Actual Reports			
Report	Required to be Reported by Statute	Data Element Column Heading	
		Superior Court Reports	Supreme Court, COA, HCRC, and JC Reports
Payment Report	Vendors and contractors receiving any payment Report each distinct contract between the vendor or contractor and a judicial branch entity Identify the: 1. amount of payment to the contractor or vendor 2. type of service or good provided 3. judicial branch entity (JBE) or entities with which the vendor or contractor was contracted to provide that service or good.	Vendor Name Vendor ID Contract Number Total Payments Goods / Services JBE	Vendor Name PO/Contract Amount Payment Summary Entity Name
Contract and Contract Amendment Report	For all contract amendments made, identify: 1. vendor or contractor 2. type of service or good provided under the contract 3. nature of the amendment 4. duration of the amendment 5. cost of the amendment	JBE Amendment Number Contract Number Month Year Vendor Name Vendor ID Goods / Services Nature of Amendment Contract Duration Contract Value or Amendment	Entity Amendment Number Contract Number Vendor Name Type of Goods/Service Desc Nature of Amendment Duration (months) Cost of Amendment

This semiannual report includes all the information required by statute. Portions of the report related to the superior courts contain items of information (vendor ID and month & year of amendment), as listed above, that are not required for the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council.

The superior court information includes contracts that were entered into during the reporting period, even if no payments were made. This is additional information and is not required by the JBCL. The portion of the report related to the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council does not include contracts for which no payment was made during the reporting period. The superior court report consolidates all payments to a vendor or contractor under one contract as one payment for the reporting period.

Statistics

On the following pages, a series of tables provide statistical information for the January 1 through June 30, 2014, reporting period. Note that some tables may includes totals that may not equal 100% due to rounding.

Table 1 Overall Contract and Payment Statistics

Table 2 Supreme Court, Courts of Appeal, Habeas Corpus Resource Center and Judicial Council: Payment Statistics Summary

Table 3 Supreme Court, Courts of Appeal, Habeas Corpus Resource Center and Judicial Council: List of Vendors Receiving Payments in Excess of \$650,000 From the Council

Table 4 Trial Court Payment Statistics: Goods and Services Detail Summary

Because of their size, the detailed reports, including any explanatory footnotes, are posted separately for access and review. They are:

1. Superior court reports:
 - a. Trial Court Contract Report, January 1 through June 30, 2014
 - b. Trial Court Payment Report, January 1 through June 30, 2014
2. Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, Judicial Council reports:
 - a. Contract Amendment Report, January 1 through June 30, 2014
 - b. Payment Report, January 1 through June 30, 2014

Table 1 provides an overall summary of contracts and payments for the reporting period:

Table 1 Overall Contract and Payment Statistics Reporting Period: January 1 through June 30, 2014		
	Superior Courts	Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council
Payments:		
Number	18,508	2,769
Dollar amount	\$ 259,580,220	\$ 192,542,844
Number of associated contracts	9,033	1,669
Contracts:		
Original contracts	6,750	*
Value of original contracts	\$ 252,963,121	*
Contracts with amendments	4,031	140
Cost of amendments	(24,899,020)**	\$ 11,197,871
* Includes only contracts with amendments as required by statute. ** Includes increases and decreases to contract value, such as changes in contract scope.		

As described below in table 2, a total of \$192,542,844 was paid to vendors by the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council.

Table 2 Supreme Court, Courts of Appeal, Habeas Corpus Resource Center and Judicial Council Payment Statistics Summary Reporting Period: January 1 through June 30, 2014		
	Vendors	Payments
Supreme Court	92	\$ 3,289,723
Courts of Appeal:		
1st District	32	1,110,312
2nd District	108	3,855,709
3rd District	52	1,335,685
4th District	107	3,726,565
5th District	66	1,358,792
6th District	52	1,772,649
Judicial Council	783	175,790,903
Habeas Corpus Resource Center	65	302,505
TOTAL	1,357	\$ 192,542,844

Table 3, on the next page, provides summary information about the contract payments in excess of \$650,000 made by the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council. Several categories of payments are included in the table, such as:

- Information services;
- Facilities;
- Grants;
- Dependency counsel; and
- Supreme Court and Courts of Appeal payments for administrators (example: security provided by the California Highway Patrol).

Please refer to the associated contracts and payments reports for additional information. When analyzed, Table 3 shows that almost all of the contracts and associated payments are not for the benefit of, or to assist, the Judicial Council, but rather are for other judicial branch entities.

Table 3
Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council
List of Vendors Receiving Payments in Excess of \$650,000 from the Judicial Council
Reporting Period: January 1 through June 30, 2014

Vendor Name	Vendor Payments
ABM ENGINEERING SERVICES	12,978,093.92
CHILDREN'S LAW CENTER	12,675,286.99
SCIENCE APPLICATIONS INTERNATIONAL	8,850,725.82
CORPORATION ENOVITY, INC.	8,285,946.57
LOS ANGELES DEPENDENCY LAWYERS,	7,494,264.73
INC. STATE BAR OF CALIFORNIA	7,442,927.08
LOS ANGELES COUNTY SUPERIOR	5,822,653.78
COURT PRIDE INDUSTRIES ONE, INC.	5,792,533.53
DEPENDENCY LEGAL GROUP OF SAN	5,673,789.31
DIEGO CALIFORNIA APPELLATE PROJECT	5,241,879.32
AT&T	4,231,660.93
ORACLE AMERICA, INC.	3,200,889.65
ORANGE COUNTY SUPERIOR	2,784,601.10
COURT CALIFORNIA HIGHWAY	2,463,491.16
PATROL	2,057,185.41
LOS ANGELES COUNTY	1,981,520.60
CENTRAL CALIFORNIA APPELLATE PROG.	1,875,295.47
AT&T DATACOMM, INC. FKA SBC DATACOMM,	1,873,682.09
INC. SAN BERNARDINO COUNTY SUPERIOR	1,816,964.44
COURT FRESNO COUNTY	1,804,147.03
WILSON, DALE S.	1,793,872.81
SAN DIEGO COUNTY SUPERIOR	1,787,483.56
COURT APPELLATE DEFENDERS, INC.	1,764,846.41
VINCOR CONSTRUCTION, INC.	1,676,530.32
DEPENDENCY ADVOCACY	1,558,360.40
CENTER	1,468,520.03
SANTA CLARA COUNTY SUPERIOR	1,381,010.55
COURT ALAMEDA COUNTY SUPERIOR	1,350,587.98
COURT FRESNO COUNTY SUPERIOR	1,282,832.98
COURT NEIGHBORHOOD LEGAL	1,221,755.59
SERVICES RIVERSIDE COUNTY	1,124,058.00
SUPERIOR COURT ATTORNEYS FOR	1,121,295.94
FAMILIES & CHILDREN SYMPHONY	1,096,111.65
TOWER, LLC	1,084,357.53
SAN FRANCISCO COUNTY SUPERIOR	1,078,828.76
COURT LAW FOUNDATION OF SILICON	1,074,287.86
VALLEY	1,044,757.74
MARK SCOTT CONSTRUCTION, INC.	1,035,821.86
JUVENILE DEPENDENCY	961,378.29
COUNSELORS SAN BERNARDINO	944,883.26
COUNTY	939,351.80
EAST BAY CHILDREN'S LAW OFFICES,	937,650.72
INC. GREEN VALLEY CORPORATION	933,449.34
MITSUBISHI ELECTRIC & ELECTRONICS USA,	928,129.40
INC. SAP PUBLIC SERVICES, INC.	884,421.08
CONTRA COSTA COUNTY SUPERIOR	838,037.20
COURT LEGAL AID SOCIETY OF SAN	821,542.50
DIEGO, INC. FIRST DISTRICT APPELLATE	782,801.95
PROJECT	770,652.36
SIXTH DISTRICT APPELLATE PROGRAM	755,003.22
STANISLAUS COUNTY SUPERIOR COURT	672,512.19
Vendors Receiving Payments Over	\$141,558,533
Total Payments to Vendors During Reporting	\$192,542,844

Table 4, Trial Court Payment Statistics: Goods and Services Detail Summary, on pages 7–8, provides a summary of all payments for goods and services by the trial courts during this reporting period. The table shows 18,508, payments representing over \$259 million. These payments were made through more than 9,000 purchase orders and contracts. Although “Office Expense” and “Court Interpreter Services” represent the largest categories in terms of number of payments, these two categories represent only a small percentage of the total value of payments for the period. Conversely, categories such as “County-Provided Services,” Court-Appointed Counsel” and “IT Repairs/Supplies/Licenses” represent the highest-value payment areas yet account for a relatively small number of payments.

There were 6,750 trial court contracts reported, of which 4,031 were affected by amendments. A small number of contracts were affected by changes in account codes. Otherwise amendments consisted of the following:

- 2,797 that had an increase in contract value
- 1,067 that had a decrease in contract value
- 6 that had a decrease in contract duration
- 101 that had an increase in contract duration

Table 4
Trial Court Payment Statistics: Goods and Services Detail Summary
Reporting Period: January 1 through June 30, 2014

Goods / Services	Payments	Value	Contracts
ADVERTISING	119	123,410	34
BANKING AND INVESTMENT SERVICES	11	11,433	2
COLLECTION SERVICES	83	11,532,718	34
CONSULTING & PROFESSIONAL SERVICES COUNTY PROVIDED	1	1,000,000	1
CONSULTING SERVICES - TEMP	74	942,099	58
CONTRACTED SERVICES	28	1,192,178	20
COUNTY-PROVIDED SERVICES	188	25,935,679	160
COURT APPOINTED COUNSEL	496	20,808,852	139
COURT INTERPRETER SERVICES	2,247	7,471,991	165
COURT ORDERED PROFESSIONAL	1,070	4,605,867	566
COURT REPORTER SERVICES	370	3,455,661	10
COURT TRANSCRIPTS	1,910	11,460,131	14
DUES AND MEMBERSHIPS	153	173,109	15
EMPLOYEE RELOCATION	1	302	
EQUIPMENT MAINTENANCE	285	1,168,995	216
EQUIPMENT RENTAL/LEASE	221	3,190,887	160
EQUIPMENT REPAIRS	225	2,464,272	173
FACILITIES OPERATION	3	20,562	3
FEES/PERMITS	132	2,414,765	51
FREIGHT AND DRAYAGE	14	3,643	10
GENERAL CONSULTANT AND PROFESSIONAL SERVICES	651	14,248,554	385

Goods / Services	Payments	Value	Contracts
GENERAL EXPENSE	10	17,240	2
GENERAL EXPENSE - SERVICE	347	1,807,901	197
GROUNDS	32	177,664	20
INFORMATION TECHNOLOGY	7	22,791	5
INSURANCE	37	545,670	13
INVESTIGATIVE SERVICES	131	337,375	43
IT COMMERCIAL CONTRACT	169	13,193,581	132
IT INTER-JURISDICTIONAL CON	17	2,333,940	10
IT MAINTENANCE	464	9,563,350	384
IT OTHER	52	396,120	30
IT REPAIRS/SUPPLIES/LICENSES	591	24,827,293	472
JANITORIAL	183	7,164,489	116
JUROR COSTS	20	69,710	6
LABORATORY EXPENSE	44	98,788	8
LEGAL	144	2,038,448	94
LIBRARY PURCHASES AND SUBSCRIPTIONS	530	6,485,055	255
MAINTENANCE AND SUPPLIES	372	5,949,534	301
MAJOR EQUIPMENT	171	11,308,519	153
MEDIATORS/ARBITRATORS	406	1,618,007	54
MEETINGS, CONFERENCES	171	212,716	54
MINOR EQUIPMENT - UNDER \$5K	1,291	14,879,601	1,156
OFFICE EXPENSE	2,326	5,740,789	1,894
OTHER CONTRACT SERVICES	41	826,958	25
OTHER FACILITY COSTS - GOODS	118	375,273	73
OTHER FACILITY COSTS - SERVICES	78	294,800	46
OTHER ITEMS OF EXPENSE	2	2,100	1
OTHER TRAVEL EXPENSES	6	359	
PHOTOGRAPHY	11	55,396	5
POSTAGE	17	637,932	6
POSTAGE METER	95	4,824,387	51
PRINTING	593	3,547,345	475
RENT/LEASE	102	1,779,843	60
SECURITY	127	7,829,351	75
SHERIFF	353	902,418	9
STAMPS, STAMPED ENVELOPES	194	4,065,811	73
TELECOMMUNICATIONS	599	12,411,168	391
TRAINING	244	595,518	73
UNIFORM ALLOWANCE	6	8,737	4
VEHICLE OPERATIONS	125	409,136	51
Grand Total	18,508	\$259,580,220	9,033

Report Information

Superior Courts

1. Trial Court Contract Report

The Phoenix Financial System is not configured to collect information about contracts in a manner that precisely matches the statutory reporting requirements. Below are some key factors to consider when reviewing the contract data related to the superior courts.

- The Trial Court Contract Report includes all contracts and amendments completed within the reporting period because including all contracts is more cost-effective than developing a report that includes distinct contracts for only the vendors who received more than one payment in the reporting period. *Vendor* is often used synonymously with *contractor* in the report.
- Goods/Services descriptions are determined by the general ledger account(s) entered in the system.
- The only amendment descriptions that can be reported are changes in the overall value or duration of an agreement, or changes in the goods/services provided.
- The Phoenix Financial System cannot distinguish between a true amendment and an error correction. Screens were built to allow superior courts to review transactions included in the report and exclude changes that were error corrections. This design feature affects the accuracy of the data based on a court's ability/availability to review its transactions.
- A single contract has multiple lines of data in the file. This is because there may be a one-to-many relationship between a contract and the goods/services on the contract, and if there are amendments, there can be a one-to-many relationship between a contract and the value or duration. Simple sorting by contract number and amendment number keeps these records together. They can also be sorted by court (JBE, *judicial branch entity*) or by vendor.

Contract Report Fields

The chart below contains a list of the report fields and their descriptions.

Field Name	Field Description
Month	Calendar month of the current transaction record .
Year	Calendar year that the current transaction record pertains to.
JBE	Judicial Branch Entity. Name of the superior court with the associated contract.
Vendor ID	Unique identifier for the vendor.
Vendor Name	Name of the vendor.
Contract Number	Unique identifier for the contract.

Field Name	Field Description
Amendment Number	Unique identifier for the version of the contract, whether it is the original or an amendment. This is a system-generated number across all contracts and, therefore, will not necessarily be consecutive within a contract.
Contract Value OR Amendment Value	When the transaction record is for the original amount of the contract, the value in this field refers to the known or estimated contract value when the contract first became effective. When the transaction record refers to a contract amendment value, the value will indicate the increase or decrease to the contract value.
Goods/Services	Description of the goods/services based on the general ledger accounts associated with the contract. Note that a single contract may require several lines to represent multiple goods and services. The goods/services are rolled up from subaccounts, so descriptions may appear to be duplicates but are really separate subaccounts in the rolled-up category.
Contract Duration	Contract duration is represented in months or a fraction thereof. When the transaction record refers to a contract amendment value, the value will indicate the increase or decrease to the contract duration.
Nature of Amendment	This field represents the type of amendment. Original: The original value, duration, and goods/services of the contract. Increase Contract Value: An increase from the original value of the contract. Decrease Contract Value: A decrease from the original value of the contract. Increase Contract Duration: An increase in the duration (or term) of the contract. For example, an increase of six months would be represented as 6.00. Decrease Contract Duration: A decrease in the duration (or term) of the contract. For example, a decrease of six months would be represented as -6.00. Change Goods/Services: A change (addition or deletion) in the goods/services provided under the contract.

2. Trial Court Payment Report

Below are some key factors to consider when reviewing the payment data.

- Goods/Services descriptions are determined by the general ledger account(s) entered in the system.
- A single payment may have multiple lines of data in the file if the payment is for multiple goods/services. Simple sorting by contract number keeps these records together. They can also be sorted by court (JBE) or by vendor.

The chart below contains a list of the report fields and their descriptions.

Field Name	Field Description
JBE	Name of the superior court making the payment.
Contract Number	Unique identifier for the contract under which the payment was made. If the payment was not associated with a contract, this field will be blank.
Goods/Services	Description of the goods/services based on the general ledger account associated with the payment. The goods/services are rolled up from subaccounts, so descriptions may appear to be duplicates but are really separate subaccounts in the rolled-up category.
Vendor ID	Unique identifier for the vendor.
Vendor Name	Name of the vendor.
Total Payments	Total payments to a vendor, reported by court, contract, and goods/services under the contract. Data can be sorted in various ways to obtain totals by court, vendor, contract, goods/services, etc.

Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council

General rules applicable to these portions of the report

Contract and payment information concerning active litigation on capital cases is not reported at the request of the Habeas Corpus Resource Center under statutory and work-product principles.

1. Contract Amendment Report

Exclusions and explanations in the Contract Amendment Report follow:

- Schedule changes that constitute an amendment to the contract indicate the cost of amendment as “0.00,” “n/a,” or “not applicable” because no additional cost was involved.
- “Change of cost and schedule of the work” has an associated cost. Cost changes result from any number of reasons, and there is no specificity for this data element in the Oracle Financial System. Further details related to the basis of the cost change require review of the individual contract.

2. Payment Report

Payments extracted from the Oracle Financial System were reviewed to determine whether they were contractual payments. Any payment types considered “non-contractual items” are excluded from the reporting, including:

- Payroll and other payments to state employees and judicial officers and the related benefits payments
- Assigned judges’ compensation

- Appellate court–appointed counsel panel attorney compensation claims (paid on court order)
- Most utilities
- Postage
- Travel reimbursements
- Settlement charges
- Trial court allocations

Some of the above payment types may be included in the superior court reports, such as utilities, postage, and travel reimbursements.

Attachments

Because of their size, the following attachments, including any explanatory footnotes, are posted separately for access and review.

1. Superior court reports:
 - a. Trial Court Contract Report, Reporting Period: January 1 through June 30, 2014
 - b. Trial Court Payment Report, Reporting Period: January 1 through June 30, 2014
2. Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, Judicial Council reports:
 - a. Contract Amendment Report, Reporting Period: January 1 through June 30, 2014
 - b. Payment Report, Reporting Period: January 1 through June 30, 2014