

**JUDICIAL COUNCIL OF CALIFORNIA
ADMINISTRATIVE OFFICE OF THE COURTS**

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Report

TO: Members of the Judicial Council

FROM: Collaborative Justice Courts Advisory Committee
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SUBJECT: Collaborative Justice Project: Grant Funding Allocations for Fiscal
Year 2007–2008 (Action Required)

Issue Statement

The Collaborative Justice Courts Advisory Committee annually allocates funding for Collaborative Justice Project Substance Abuse Focus Grants through the California Collaborative and Drug Court Projects as referenced in the Budget Act of 2007 [item 0250-101-0001, Budget Act of 2007 (Stats. 2007, ch. 171, § 45.55.020)]. Grant funding levels are determined on an annual basis based on a distribution method approved by the Judicial Council in 2005. This report outlines recommendations regarding funding distribution for fiscal year 2007–2008.

In November 2005, at the recommendation of the Collaborative Justice Courts Advisory Committee, the Judicial Council approved a noncompetitive method for distributing the funds, using an allocation formula based on the number of individuals served. Program performance is summarized in Attachment 1, the 2006–2007 Grant Performance Summary.

Recommendation

The Collaborative Justice Courts Advisory Committee recommends that the Judicial Council, effective (October 26, 2007) approve the committee's recommended allocation of fiscal year 2007–2008 Collaborative Justice Project Substance Abuse Focus Grant funds, as set forth in Attachment 2, Allocation Summary: Fiscal Years 2006–2007 and 2007–2008.

Documentation supporting the recommendation is included in Attachments 1–4, on pages 4–8.

Rationale for Recommendation

Funding authorization for the Collaborative Justice Project Substance Abuse Focus Grants is based on a legislative mandate for the California Collaborative and Drug Court Projects as referenced in the Budget Act of 2007 [item 0250-101-0001, Budget Act of 2007 (Stats. 2007, ch. 171, § 45.55.020)].¹

This recommendation allocates fiscal year 2007–2008 funds based on the same allocation formula as last year, which is determined by the number of individuals served. The Budget Act of 2007 provides for an allocation of \$1,174,478 for these projects. This funding level reflects a 5.24 percent increase from fiscal year 2006–2007, resulting from application of the state appropriations limit (SAL).

The proposed distribution methodology is the same as that approved in November 2005 by the Judicial Council for FY 2006–2007. This noncompetitive distribution method was developed to ensure the administrative efficiency of the program and to align the awards with overarching program goals.

As in prior years, funded projects are required to meet the following criteria:

- Consistency with both the California Standards of Judicial Administration and the Guiding Principles of Collaborative Justice Courts;
- Involvement of a local steering committee;
- Successful completion of statistical and financial reporting requirements for previous mini-grant funding periods (if applicable); and
- Submission of a complete, comprehensive action plan and budget.

Presiding judges and court executive officers of the superior courts were informed of the current grant opportunity on May 4, 2007, by distribution of a letter from Judge Jean Pfeiffer Leonard, chair of the Collaborative Justice Courts Advisory Committee. Project action plans and proposed budgets were received from 48 interested courts. Action plans and budgets were reviewed by Administrative Office of the Courts' staff to confirm that proposed collaborative justice projects meet requirements to address substance abuse issues and use collaborative justice court principles. Attachment 3, Guiding Principles of Collaborative Justice Courts, summarizes these principles.

¹ The judiciary's budget as displayed in the Governor's Budget is divided into various categories and components, by program, by funding source (appropriation), and by cost component (state operations, local assistance, and capital outlay).

As in prior years, courts are allowed to apply for more than one type of project at more than one site. The funding formula, based on the number of individuals served, is summarized in Attachment 4, Overview of Caseload-Based Formula 2007–2008.

Any court request that meets the grant criteria receives a minimum base funding of \$12,000. This base can be augmented, depending on program focus and number of program participants. Programs that focus on treatment courts receive higher allocations than those that do not, because of the more intensive case management in treatment court programs. Courts also may request funds for planning grants, which may include an augmentation for the estimated number of participants if the project will become operational before the end of the fiscal year. All program proposals that meet grant guidelines, including those for planning grants, are eligible for funding.

Comments From Interested Parties

The Collaborative Justice Courts Advisory Committee and staff from the AOC Center for Families, Children & the Courts have considered the proposed use of these funds and have concurred. In meetings and conversations via phone and e-mail with grantee courts and local coordinators of collaborative justice courts, AOC staff has learned that grantee courts favor this simplified formulaic grant distribution process.

Implementation Requirements and Costs

No additional costs will be associated with this funding distribution.

Attachments

Attachment 1

2006–2007 Grant Performance Summary

Since the inception of this grant program, participating courts continually demonstrate effective court strategies that serve substance-abusing offenders. Highlights of accomplishments during 2006–2007 are noted below.

2006–2007 Project Year Highlights:

- Grants were awarded to 78 court projects located in 48 counties;
- Among the funded projects were adult drug court (16), juvenile drug court (21), domestic violence court (3), mental health/dual-diagnosis court (2), dependency drug court (5), peer and truancy courts (8), homeless court (1), and family law treatment court (2);
- Awards ranged from \$12,000 to \$43,750;
- Funded courts range in size from 2 judicial position equivalents (JPEs) to 592 JPEs; the average court size of those funded was 13 JPEs;
- Over 4,000 court users were served through this grant. Program outcomes include 1,029 successful completions, 376 GED completions, 1,515 participants who gained employment, 585 family reunifications, and 96 drug-free babies born to participants; and
- The spend-out rate² for this grant in 2005–2006 was 96 percent.

² The percentage of the total grant funding that was expended by the participating court projects.

Attachment 2

Allocation Summary: Fiscal Years 2006–2007 and 2007–2008

Collaborative Justice Project Substance Abuse Focus Grant Awards

	County	JPEs ³	2006–2007			2007–2008		
			Allocation Based on Formula	Court Funding Request	Final Funding Allocation ⁴	Allocation Based on Formula	Court Funding Request	Final Funding Allocation ^{5,6}
1	Alameda	85	\$44,000	\$44,000	\$42,750	\$45,000	\$45,000	\$46,385
2	Amador	3	\$18,000	\$19,700	\$19,700	\$20,650	\$20,650	\$21,285
3	Butte	13	\$42,000	\$42,000	\$40,750	\$42,000	\$42,000	\$43,385
4	Calaveras	3	\$20,000	\$20,000	\$20,000	\$23,000	\$23,000	\$23,635
5	Colusa	3	\$28,000	\$18,000	\$18,000	\$18,000	\$18,000	\$18,385
6	Contra Costa	46	\$44,000	\$39,000	\$38,200	\$39,000	\$39,000	\$40,135
7	Del Norte	3	\$0	\$0	\$0	\$12,000	\$12,000	\$12,135
8	El Dorado	9	\$16,000	\$16,000	\$16,000	\$16,000	\$16,000	\$16,185
9	Fresno	49	\$24,000	\$24,000	\$23,625	\$30,986	\$30,986	\$32,121
10	Glenn	3	\$20,000	\$16,250	\$16,250	\$16,250	\$16,250	\$16,435
11	Humboldt	8	\$18,000	\$18,000	\$18,000	\$18,000	\$18,000	\$18,385
12	Inyo	3	\$12,000	\$12,000	\$12,000	\$14,000	\$14,000	\$14,135
13	Kern	43	\$16,000	\$14,000	\$14,000	\$20,000	\$20,000	\$20,385
14	Lake	5	\$12,000	\$12,000	\$12,000	\$12,000	\$12,000	\$12,135
15	Lassen	3	\$17,000	\$15,000	\$15,000	\$17,000	\$17,000	\$17,385
16	Los Angeles	592	\$45,000	\$45,000	\$43,750	\$44,000	\$44,000	\$45,385
17	Madera	11	\$24,000	\$24,000	\$23,625	\$24,000	\$24,000	\$24,635
18	Marin	16	\$18,000	\$18,000	\$18,000	\$18,000	\$18,000	\$18,385
19	Mendocino	10	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,385
20	Merced	13	\$16,000	\$16,535	\$16,535	\$16,000	\$16,000	\$16,185
21	Modoc	2	\$16,000	\$12,000	\$12,000	\$12,000	\$12,000	\$12,135

³ JPEs—Judicial Position Equivalents

⁴ 2006–2007 Total Available Grant Funding Amount—\$1,116,000.

⁵ 2007–2008 Total Available Grant Funding Amount—\$1,174,478.

⁶ Increases to 2007–2008 final allocations are due to the application of the state appropriations limit (SAL) as follows: court funding requests of \$40,000 and above increased by \$1,385; court funding requests of \$30,000 to \$39,999 increased by \$1,135; court funding requests of \$26,000 to \$29,999 increased by \$885; court funding requests of \$20,001 to \$25,000 increased by \$635; court funding requests of \$17,000 to \$20,000 increased by \$385; court funding requests of \$16,000 to \$16,999 increased by \$185; 2007–2008 court funding requests of \$12,000 to \$14,000 increased by \$135; and 2007–2008 funding requests of \$11,000 to \$11,999 increased by \$117.

22	Monterey	21	\$19,000	\$20,000	\$20,000	\$24,000	\$24,000	\$24,635
	Napa ⁷	8	\$27,000	\$27,000	\$26,500	\$0	\$0	\$0
23	Nevada	7	\$12,000	\$12,000	\$12,000	\$24,000	\$24,000	\$24,635
24	Orange	144	\$42,000	\$41,945	\$40,695	\$45,000	\$45,000	\$46,385
25	Placer	15	\$45,000	\$39,000	\$38,200	\$27,000	\$27,000	\$27,885
26	Plumas	3	\$24,000	\$22,000	\$21,625	\$24,000	\$24,000	\$24,635
27	Riverside	76	\$32,000	\$35,000	\$34,200	\$34,200	\$34,200	\$35,335
28	Sacramento	74	\$16,000	\$16,000	\$16,000	\$16,000	\$16,000	\$16,185
29	San Bernardino	88	\$32,000	\$32,000	\$31,200	\$35,200	\$35,200	\$36,335
30	San Diego	154	\$44,000	\$44,000	\$42,750	\$32,000	\$32,000	\$33,135
31	San Francisco	64	\$34,000	\$32,000	\$31,200	\$30,000	\$30,000	\$31,135
32	San Joaquin	33	\$22,000	\$20,000	\$20,000	\$16,000	\$16,000	\$16,185
33	San Luis Obispo	15	\$16,000	\$16,000	\$16,000	\$19,000	\$19,000	\$19,385
34	San Mateo	33	\$24,000	\$24,000	\$23,625	\$24,000	\$24,000	\$24,635
35	Santa Barbara	24	\$45,000	\$45,000	\$43,750	\$45,000	\$45,000	\$46,385
36	Santa Clara	89	\$28,000	\$24,000	\$23,625	\$24,000	\$24,000	\$24,635
37	Santa Cruz	14	\$29,000	\$29,000	\$28,402	\$29,000	\$29,000	\$29,885
38	Shasta	12	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,385
39	Sierra	3	\$16,000	\$12,000	\$12,000	\$12,000	\$12,000	\$12,135
40	Siskiyou	5	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,385
41	Solano	23	\$16,000	\$16,000	\$16,000	\$16,000	\$16,000	\$16,185
42	Sonoma	24	\$39,000	\$39,248	\$38,448	\$45,000	\$45,000	\$46,385
43	Stanislaus	25	\$24,000	\$24,000	\$23,625	\$26,000	\$26,000	\$26,885
44	Trinity	3	\$12,000	\$12,000	\$12,000	\$12,000	\$12,000	\$12,135
45	Tuolumne	5	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,385
46	Ventura	33	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,385
47	Yolo	13	\$16,000	\$16,000	\$16,000	\$16,000	\$16,000	\$16,185
48	Yuba	6	\$18,000	\$7,970	\$7,970	\$11,880	\$11,880	\$11,997
Totals			\$1,182,000	\$1,131,648	\$1,116,000	\$1,145,166	\$1,145,166	\$1,174,478

⁷ The Superior Court of Napa County did not apply for funding in FY 2007–2008.

Attachment 3

Guiding Principles of Collaborative Justice Courts

The Collaborative Justice Courts Advisory Committee identified the following 11 essential components, or guiding principles, of collaborative justice courts. They are based on the Ten Key Components of Drug Courts as recognized by the National Drug Court Institute:

1. Integrate services with justice system processing;
2. Achieve the desired goals without the use of the traditional adversarial process;
3. Intervene early, and promptly place participants in the collaborative justice court program;
4. Provide access to a continuum of services, including treatment and rehabilitation services;
5. Use a coordinated strategy that governs the court's responses to participants' compliance, using a system of sanctions and incentives to foster compliance;
6. Use ongoing judicial interaction with each collaborative justice court participant;
7. Use monitoring and evaluation to measure the achievement of program goals and gauge effectiveness;
8. Ensure continuing interdisciplinary education;
9. Forge partnerships among collaborative justice courts, public agencies, and community-based organizations to increase the availability of services;
10. Enhance the program's effectiveness and generate local support; and
11. Emphasize team and individual commitments to cultural competency.

Attachment 4

**Overview of Caseload-Based Baseline Funding Level Formula
AOC Collaborative Justice Courts Substance Abuse Focus Grant Program**

The formula below constitutes the baseline funding formula for this grant. Final awards may be adjusted based on the number of court applicants and funding allocation.

Program Focus Category	Base Amount	Number of Total Program(s) Participants						Enhancement	
		500+	200-499	100-199	50-99	20-49	5-19	25+	10-24
Treatment Court	\$12,000	\$30,000	\$20,000	\$12,000	\$8,000	\$4,000	\$0	\$3,000	\$2,000
Education / Nontreatment Program	\$12,000	\$15,000	\$10,000	\$6,000	\$4,000	\$2,000	\$0	\$2,000	\$1,000

Instructions:

1. Program Focus Category — Nature of services directly related to the number of participants in treatment or education as the primary focus of the proposed program.

2. Base Amount — This amount provides for a court minimum base funding level and for court planning programs. All courts that apply and qualify for funding will at least receive the base funding level. If your court is applying for funding for more than one court program, only one base amount can be used per court application (one single court application is allowed).

3. Number of Total Program(s) Participants — Number of total participants directly served by the grant program for FY 07-08:

- a. Match it with the appropriate Program Focus Category
- b. Add the matching funding amount to the Base Amount — **this is your eligible maximum funding level**

***Example: \$12,000 (Base) + \$12,000 (Treatment Court Focus with 125 program participants) = \$24,000 eligible maximum funding level**

4. Enhancement — If court program(s) want to serve additional participants beyond their current capacity level during the FY 07-08 grant program, minimum of 10 additional participants is required for enhancement funding.

***Example: \$12,000 (Base) + \$12,000 (Treatment Court Focus w/125 program participants) + \$2,000 (increase number of participants by 15) = \$26,000 eligible maximum funding level**

5. Court Calculation:	Base	Program	Enhance	Maximum Funding Level
	\$12,000	\$0	\$0	\$12,000