

455 Golden Gate Avenue • San Francisco, California 94102-3688 Telephone 415-865-4200 • Fax 415-865-4205 • TDD 415-865-4272

# MEMORANDUM

Date

January 24, 2012

To

Members of the Judicial Council

**From** 

Ronald G. Overholt Interim Administrative Director of the Courts

Subject

Report on Activities of the Administrative Office of the Courts

**Action Requested** 

For Information Only

**Deadline** 

N/A

Contact

Tina Carroll Executive Office Liaison 415-865-4242 phone Tina.Carroll@jud.ca.gov

The following information outlines some of the many activities in which the Administrative Office of the Courts (AOC) is engaged to further the Judicial Council's goals and agenda for the judicial branch. The report focuses on action since the council's December meeting.

TABLE OF CONTENTS	PAGE
Budget	
Highlighting the Human Impact of Budget Cuts	3
Statewide Conference Calls on Judicial Branch Budget	3
San Joaquin Court Assistance Review Team	3
Notice of Reduction in Court Services	3
AOC Enhanced Collections Unit	3
Legislative Activities	
Legislative Meetings	4
Assembly Bill 1208	4
Chief Justice Address to State and Local Bar Associations	4
Bench-Bar Coalition	5
Orientation Sessions for Legislative Partners	5
Reports to the Legislature	5
Administrative Infrastructure	
Case Management Systems	6
E-Business Initiatives	7
Facilities	8
Human Resources	8
New Judgeships and Vacancies	9, 16
Preparation of Court Procedures for Parole Revocation	9
Community Corrections Report: Attitudes of District Attorneys and Public Defenders Toward Evidence-Based Practices	9
Assigned Judges Mentoring Program	9
Reporting Excellence Award from Conference of State Court Administrators	10
Electronic Noticing in Indian Child Welfare Act Cases	10
Pro Se Litigation Presentation	10
Graduate Certificate in Judicial Administration Program	10
Advisory Committees, Task Forces, and Working Groups	10
Judicial and Court Employee Education Programs	12

## **Budget**

**Highlighting the Human Impact of Budget Cuts:** The Administrative Office of the Courts (AOC) continues to work with the Open Courts Coalition, a bipartisan committee of attorneys advocating for adequate funding of the justice system, on court funding issues and on securing a judicial branch budget that ensures that courts remain open and accessible. The Coalition hosted a rally, *Stand Up for Justice*, in Los Angeles on January 18 in support of judicial branch funding, during which a statement from the Chief Justice was read by council member Edith Matthai. Former Governor Gray Davis, former Supreme Court Justice Carlos Moreno, and State Bar President Jon Streeter were among the speakers.

Two videos highlighting the impact of budget reductions on access to justice are supporting the budget advocacy process in the Capitol and across the state, one produced by the AOC and the second by the Open Courts Coalition. The videos may be viewed at <a href="http://youtu.be/JbDqoqUK\_sl">http://youtu.be/JbDqoqUK\_sl</a> and <a href="http://youtu.be/yzhErnUBxyQ">http://youtu.be/yzhErnUBxyQ</a>.

**Statewide Conference Calls on Judicial Branch Budget:** The AOC conducted a series of statewide conference calls to present information and answer questions on the Governor's proposed budget for fiscal year 2012–2013 and the strategy for moving forward to achieve the judicial branch's goals of baseline restoration and mitigation of any additional impacts on court operations. A number of council members, appellate and trial court leadership, and court fiscal officers were among the approximately 200 participants.

San Joaquin Court Assistance Review Team: At the direction of the Judicial Council, following its approval of emergency funding for the Superior Court of San Joaquin County, a group of court executive officers and staff subject-matter experts are assisting the San Joaquin court in determining if it has identified all possible cost-savings measures and functions to increase revenue. The initial meeting with San Joaquin's court executive officer was held in January.

**Notice of Reduction in Court Services:** The Superior Court of San Bernardino County issued the required notification for the reduction of services in two courthouses beginning in April 2012. Notices of limited court services days for courts statewide are posted on the California courts website at: <a href="http://courts.ca.gov/12973.htm">http://courts.ca.gov/12973.htm</a>.

**AOC Enhanced Collections Unit:** As part of the realignment of operations to achieve efficiencies within the AOC, effective February 1, the Enhanced Collections Unit will transfer from the Regional Office to the Finance Division. Over the past eight years, this unit has helped courts and counties establish comprehensive collection standards as a result of the work of the Collaborative Court-County Working Group on Enhanced Collections.

## **Legislative Activities**

## **Legislative Meetings:**

- The Chief Justice, AOC Office of Governmental Affairs (OGA) Director, and I met with Senator Mark Leno, Chair of the Senate Budget and Fiscal Review Committee, to discuss the judicial branch budget and the resources needed to enable courts to stay open and provide adequate services in the upcoming budget year. Similar meetings were held with Senator Bill Emmerson, Assembly Speaker John A. Pérez, and Assembly Member Gil Cedillo.
- AOC representatives met with staff of the Legislative Analyst's Office (LAO) to discuss the Governor's proposed budget for the judicial branch.
- AOC staff also met with the LAO and the Assembly Committee on Judiciary to answer questions on trial court budgets and staffing for the past 10 years. Information provided included court filing data and salaries and wages reports (Schedule 7As), which may be used by the committee as background for an informational hearing.
- OGA advocates met with staff from the offices of Assembly Members Jeff Gorell and Jim Nielsen regarding court facilities issues and Assembly Bill (AB) 314, a two-year bill that would make court construction contracts subject to the Public Contract Code. Assembly Members Gorell and Nielsen will no longer be pursuing AB 314 in 2012.

## **Assembly Bill 1208:**

- As directed by the Judicial Council, the AOC continues to advocate in opposition to AB 1208 (Calderon). AOC staff met with Assembly members and their staff to express the council's strong opposition to the bill. OGA has worked with other opponents of AB 1208 to ensure that legislators hear and consider their position before the floor vote on this bill by the end of January.
- Forty-four of 58 presiding judges (75 percent plus) added their names to a letter to the Assembly opposing AB 1208. A number of other presiding judges who oppose the bill indicated that they would be contacting their Assembly members directly.
- The presiding judges' letter, letters of opposition sent to the Assembly from entities such as the California Chamber of Commerce and the Civil Justice Association of California, a video of the Judicial Council discussion on AB 1208, and other related materials are posted on the California Courts website at <a href="http://www.courts.ca.gov/16330.htm">http://www.courts.ca.gov/16330.htm</a>. These materials are being used as a resource by Bench-Bar Coalition members in their visits with legislators and their staff this month.

Chief Justice Address to Leaders of State and Local Bar Associations: The Chief Justice addressed a meeting of the leaders of 80 California bar associations about the need to advocate for adequate funding for the judicial branch. She also made a strong presentation against AB 1208 legislation and asked for the support of local bar associations in opposing it.

**Bench-Bar Coalition:** Throughout January, coalition members met with their Assembly members to advocate against AB 1208 and in support of adequate funding for the judicial branch. Nearly 40 coalition members made visits to district offices, placed calls, or sent letters to share concerns about the potential impact of AB 1208 on branch governance and to update legislators on the devastating effects of reductions to the branch budget.

Orientation Sessions for Legislative Partners: Staff has been coordinating orientation sessions for legislative staff working on judicial branch issues. Analysts from the Legislative Analyst's Office visited the AOC Regional Office in Sacramento for a briefing on court construction and facilities by Office of Court Construction and Management staff. Legislative staff and analysts also visited the Parole Reentry Court in the Superior Court of San Joaquin County and attended the local Community Corrections Partnership meeting.

**Reports to the Legislature:** The council and the AOC are required by statute to submit reports on various topics to the Legislature every year. In 2011, we submitted more than 20. The following mandated reports were submitted to the Legislature since the council's last meeting:

- Allocation of Funding in Fiscal Year (FY) 2010–2011 for Support of New Judgeships Authorized in FY 2007–2008, as required by the Budget Act of 2007 (January 2012): The Budget Act of 2007 authorized funding for new trial court judgeships, staff support, and operations costs. The budget act also required the Judicial Council to report on the allocation of those funds. This report describes the amount allocated to each trial court in FY 2010–2011.
- <u>Disposition of Criminal Cases According to the Race and Ethnicity of the Defendant required by Penal Code section 1170.45 (December 2011)</u>: As in previous years, the report found that no single racial/ethnic group systematically received the most or least severe type of sentence.
- Special Assessment of the Need for New Judgeships in Family and Juvenile Law (December 2011): The results of the judicial workload assessment reflect the continuing trend of insufficient judicial officers, as well as an increase in the time required to process family and juvenile law cases since the last judicial workload study in 2001. Family and juvenile law cases represent 28 percent of judicial workload, but only 21 percent of judicial resources are assigned to these cases. The total deficit in judicial positions for family and juvenile law, relative to the estimated need, is 262 full-time equivalent judicial officers.

• Statewide Collection of Court-Ordered Debt, as required by Penal Code section 1463.010 (December 2011): The report contained data on the effectiveness of the statewide cooperative court and county programs, the extent to which each court or county is following best practices, the performance of each collection program, and any changes necessary to improve the performance of collections programs statewide.

#### **Administrative Infrastructure**

## Court Case Management Systems

## California Court Case Management System (CCMS):

- *California Technology Agency:* A status update meeting was held with the state agency's leadership. These meetings will be ongoing.
- Deployment: Following the mutual decision by all parties to suspend the due diligence process to explore the potential use of grant money and other resources for the early deployment of CCMS, AOC staff continues to work to provide information to judicial branch leadership to assist them in determining viable CCMS deployment strategies. A readiness assessment of the Superior Court of Fresno County is being conducted to determine the feasibility of deploying CCMS to replace their civil system and the V2 Criminal and Traffic system. A deployment scenario for a single court focusing on the needs of the Superior Court of San Luis Obispo County also is being evaluated. Additionally, Grant Thornton LLP has been asked to supplement its earlier cost-benefit analysis and prepare a report for the Judicial Council on alternatives. The report is expected at the end of March, with an interim presentation at the February council meeting.
- *Pre-Deployment Activities:* To ensure that costs are kept as low as possible, the CCMS team has begun focusing on the development of business process maps, a tool used to capture and develop the configuration for a specific court. This includes development of reusable tools for deployment in additional courts to reduce implementation time.
- Development: Work is under way to update the final application with legislative and policy changes enacted since the design was finalized. The courts, the AOC, and Deloitte Consulting are participating in design sessions for the new release, which began in January. The CCMS Operational Advisory Committee will prioritize proposed enhancements.
- Civil, Small Claims, Probate and Mental Health Case Management System (V3): The AOC delivered the first internally designed, developed, tested and delivered update for V3. January 1, 2012, legislative, rule, and Judicial Council changes were deployed. Courts using this interim case management system process 25 percent of civil case filings statewide and will continue work with AOC staff to evaluate and prioritize enhancements and fixes for future releases.

- Criminal and Traffic Case Management System (V2): The Superior Court of Fresno County is prioritizing items for the next maintenance release. Planning has started on a software upgrade to maintain support for future platforms.
- *Outreach:* Outreach included product demonstrations and presentations to the Sacramento County State Bar Association and the Department of Social Services.

Interim Case Management System—Sustain Justice Edition: The AOC funds program management oversight for this interim case management system, legislative updates, and minor system enhancements. Necessary changes were installed for six legislative updates that included 2012 Uniform Bail Schedule updates; drug offense code changes; collections amnesty program; reorganization of the deadly weapon statutes and cross referencing with the Penal Code; and the state restitution fee for felonies and misdemeanors.

## E-Business Initiatives

**Savings for Enterprise Architecture Program:** The Enterprise Architecture program is an ongoing initiative to engineer business processes and supporting systems. Staff is focused on tactical initiatives to reduce support costs for enterprise applications. To date, CCMS, and Systems, Applications, and Products (SAP) programs have completed efforts to save \$120,000 per month by reducing storage costs and consolidating server hardware.

**Savings for AOC Web Services:** Google Analytics was installed to provide web analytics for the public site (www.courts.ca.gov). This free service saves the AOC approximately \$19,000 in annual software maintenance and provides much the same information as the previous provider of web analytics.

**E-Filing Service Provider:** An e-filing service provider is conducting a fully integrated end-to-end test with CCMS that will continue through January 2012. Additionally, at the recommendation of the Court Technology Advisory Committee, a new e-filing service provider qualification center and e-portal are being developed. Contacts with the trial courts and e-filing service providers are in process.

**Traffic Citation Electronic Filing:** The AOC is leveraging existing statewide infrastructure, including CCMS data exchange standards, and working with the California Highway Patrol (CHP) to deploy electronic citations. The CCMS data exchange used to transmit citation information between the CHP and the courts was deployed in the California Courts Technology Center (CCTC) and is ready for CHP and court interface validation.

#### **Facilities**

**Forty-eight Projects Moving Forward:** Site selection and acquisition for 21 new courthouses are in progress, design is under way on 19 projects (renovations and new construction), and 8 projects (total project value of \$1.2 billion) are in construction or ready to begin construction.

Independent Oversight of Court Construction Program: At the direction of the Court Facilities Working Group, proposals were solicited for consultants to provide an independent review and assessment of the judicial branch courthouse construction program. Pegasus-Global Holdings, Inc., a consulting firm with extensive experience auditing project management processes in multi-billion-dollar capital construction and infrastructure projects, has been selected to monitor and evaluate the budget, scope, schedule, risks, and outcomes of the facilities program. The news release is posted at: <a href="http://www.courts.ca.gov/16659.htm">http://www.courts.ca.gov/16659.htm</a>.

**Performance-Based Infrastructure Construction:** In December, I joined former Governor George Deukmejian at the courthouse construction site in Long Beach for a ceremonial signing of the first steel beam erected for the building that will bear his name. The beam-signing ceremony, a tradition for most major building projects, generated considerable news coverage highlighting the innovative collaboration between government and business that has made the project possible. The courthouse is on schedule for completion in the fall of 2013.

**Facility Modifications:** There are 422 active facility modifications in process, at a total estimated cost of \$54,973,886.

**Trial Court Facility Maintenance Pilot Program:** The Court Executives Advisory Committee and the Office of Court Construction and Management formed a joint group to develop a pilot program that will delegate direct responsibility for some or most facility maintenance services to participating courts. The formal invitation to trial courts to participate will be released later this month with a deadline of February 29 for receipt of proposals. Selection of the pilot courts and delegation level will be made by March 9, 2012, by a court and AOC selection panel. The program will begin in July 2012.

#### **Human Resources Services**

## **Labor and Employee Relations Services:**

• Labor Relations: In the last quarter, labor agreements were reached in 14 courts. In these memoranda of understanding, ongoing concessions were agreed to on several matters including healthcare and retirement contributions. Currently, AOC labor relations staff is

- representing five trial courts at the bargaining table, and assisting six courts and three regional interpreter negotiations.
- *Employee Relations:* Assistance is being provided to 11 courts. This includes training for court staff, supervisors, and managers; employee investigations; performance management; and employee disciplinary actions.

**Classification and Compensation:** The Human Resources Division is concluding a comprehensive job analysis of 29 incumbents in a trial court, and is also assisting the Commission on Judicial Performance in a review of their classification specifications.

**Human Resource Policy Development:** The six appellate courts, the Habeas Corpus Resource Center, and the Commission on Judicial Performance are using the *AOC Personnel Policies and Procedures Manual* as a model to develop new or updated personnel policy manuals in their courts and agencies.

## New Judgeships and Vacancies (see full report on page 16):

- In December, Governor Brown made 14 new appointments: Court of Appeal, Fourth District, Division Three (1); superior courts: Imperial (1), Los Angeles (6), Riverside (3), Santa Clara (1), Tuolumne (1), and Ventura (1).
- Currently, there are four Court of Appeal, and 57 trial court judicial vacancies.

**Preparation of Court Procedures for Parole Revocation**: Staff have begun monthly meetings with California Department of Corrections and Rehabilitation officials to prepare court procedures for parole agents seeking to revoke parole. Courts take over responsibility from the Board of Parole Hearings for acting on petitions for revocation of parole on July 1, 2013.

Community Corrections Report on Attitudes of District Attorneys and Public Defenders Toward Evidence-Based Practices: Staff completed reports on surveys of 100 district attorneys and public defenders in California Risk Assessment Pilot Project counties regarding their knowledge of and attitudes toward evidence-based practices. The primary goal of the risk assessment pilot project is to assess the role of judges in implementing five critical evidence-based practices: 1) the use of risk-needs assessments, 2) knowledge and attitudes toward such practices, 3) use of evidenced-based practices, 4) the quality of intervention programs, and 5) coordination among justice system partners.

Assigned Judges Mentoring Program: In January, four assigned judges will begin Mentoring Program training in the Superior Courts of San Bernardino, Sonoma, and Sutter Counties. The Chief Justice authorized the program to address the shortage of juvenile and family court judges available for assignment in the state. The Superior Courts of El Dorado, Kern, San Bernardino, Sonoma, Sutter, and Yolo Counties have volunteered as mentor courts. Judges selected for

mentoring assignments will "shadow" a veteran judge in the substantive area the first week, attend the primary assignment orientation course in the second week, and, in the third week, take the bench in the new area of law, working with the mentoring judge on an ongoing basis.

Reporting Excellence Award from Conference of State Court Administrators: The AOC was recognized by the Court Statistics Committee of the Conference of State Court Administrators with its 2011 Reporting Excellence Award. Each year the committee reviews data reported by state court administrative offices around the country and recognizes states that have made significant improvement in their data in a particular case type category as defined in the *State Court Guide to Statistical Reporting*. Improvements spearheaded by the AOC's Office of Court Research resulted in recognition in all five major case type categories for the trial courts.

**Electronic Noticing in Indian Child Welfare Act Cases:** The Cherokee Nation has agreed to participate in this pilot project, giving electronic notice in lieu of certified registered mailed notice in Indian Child Welfare Act cases.

**Pro Se Litigation Presentation:** AOC staff made a presentation on pro se litigation at the American Judges Association Annual Conference in San Diego. The presentation set out the basic issues involved with court-based assistance to self-represented litigants and described the work of the California judicial branch to address these needs.

Graduate Certificate in Judicial Administration Program. I had the pleasure of attending this year's graduation ceremony. Originating as a pilot program in 2007, the Judicial Administration Program is a partnership of the AOC, the courts, and Sacramento State University. Many of the dedicated faculty and guest speakers are justices, judges, executive officers, and court staff. The Chief Justice also visited with this year's program participants. Forty-nine students, a majority of them from the courts and several from the AOC, have graduated from the program since 2007.

## Advisory Committees/Task Forces/Working Groups

Advisory committees will hold only one in-person meeting per year until the fiscal situation improves. Other meetings will be convened using video- or audio-conferencing.

**Appointment of Mental Health Issues Implementation Task Force:** The Chief Justice appointed a 16-member task force to develop an action plan to implement recommendations from the Criminal Justice Collaboration on Mental Health Issues Task Force.

**Committee Meetings:** The following committees met since the Judicial Council's December meeting:

- 1. Access and Fairness Advisory Committee
- 2. Advisory Committee on Civil Jury Instructions
- 3. Court Interpreters Advisory Panel
- 4. Court-Ordered Debt Task Force
- 5. Criminal Law Advisory Committee
- 6. Executive Committee of the Trial Court Presiding Judges Advisory Committee
- 7. Self-Represented Litigants Task Force
- 8. Tribal Court and State Court Forum

#### **Meeting Details**

## **Access and Fairness Advisory Committee:**

• Action plan for the committee year developed by the Economic Access Project Group.

## **Advisory Committee on Civil Jury Instructions:**

• Considered and approved proposed revisions and additions to the Judicial Council Civil Jury Instructions, which will soon be posted for public comment.

## **Court Interpreters Advisory Panel:**

- Received a proposal to consider statutory and California Rule of Court amendments so that local courts are not required to periodically review interpreter skills in addition to the certification performed by the Judicial Council. Directed staff to do some preliminary work to explore possibilities.
- Discussed current minimum continuing education credit for interpreter training faculty.
- Two new voting members and one advisory member joined the 15-member panel.

## **Court-Ordered Debt Task Force:**

• Developed a new survey to courts/counties to better capture special fund expenditure information as required by statute and explore the reclassification or decriminalization of misdemeanors to infractions (wobblettes) as governed by Penal Code sections 17 and 19.8, in an attempt to reduce costs by avoiding court appearances and the cost of counsel. The survey focuses primarily on the frequency and type of wobblettes utilized in each jurisdiction. Responses are due to the task force in early February.

## **Criminal Law Advisory Committee:**

 Considered suggested revisions to the Judicial Council abstract of judgment forms in light of recent criminal justice realignment legislation.

## **Executive Committee of the Trial Court Presiding Judges Advisory Committee:**

 Discussed state and branch budget and legislative issues. (A meeting of the full committee of all 58 presiding judges and the Court Executives Advisory Committee is scheduled for February 2 and 3.)

## **Self-Represented Litigants Task Force:**

• Three task force working groups addressing different aspects of services in court self-help centers identified best practices, effective collaborations, and lessons learned during the last 10 years of work in the courts to assist self-represented litigants.

#### **Tribal Court and State Court Forum:**

- Shared information relating to cultural competency, domestic violence in tribal communities, and the tribal court improvement program.
- Discussed the notice pilot project and inter-jurisdictional issues relating to the recognition and enforcement of traffic orders.
- Reviewed priorities for the coming year.
- Public comment period ended January 2 for proposed legislation for recognition and enforcement of tribal court civil judgments. <a href="http://www.courts.ca.gov/documents/LEG11-04.pdf">http://www.courts.ca.gov/documents/LEG11-04.pdf</a>.

## **Judicial Branch Education Programs**

## **Judicial Education**

- 1. Data Sharing Policies and Practices in Child Welfare
- 2. Statewide Primary Assignment Orientation Courses

## Judicial Officer, Court Employee, and Justice System Stakeholder Education

- 1. Beyond the Bench 21: Coming of Age in Tough Times, Building Our Strength Together (for court leaders and justice system partners)
- 2. California Environmental Quality Act Overview (for judicial officers and court research attorneys)
- 3. Fundamental Issues of Caseflow Management (for court staff and attorneys)
- 4. Institute for Court Management Courses (for managers and supervisors)
- 5. Leadership Forum (for court leaders)
- 6. Managing Human Resources (for court staff and attorneys)

#### **Broadcasts**

- 1. Court Staff Training: Changes in Family Law
- 2. Everyday Managing and Supervising: Introduction to Coaching Employees

- 3. Preventing and Responding to Sexual Harassment (for court commissioners, referees, managers, supervisors, and lead staff)
- 4. Supreme Court Hearings (on the California Channel)
- 5. Today's Law Broadcast—Civil Law Update

#### **New Online Course**

1. Self Represented Litigants

#### **Online Resources**

- 1. Appellate Practice Fundamentals: Appealability Video
- 2. Judicial Council Meeting Audiocast and Captioning
- 3. Psychotropic Medications

#### **Publications**

- 1. 2011 Court Statistics Report
- 2. Judicial Branch Statistical Information System Standard Report 10-Year Trend Data
- 3. Screenings and Assessments Used in the Juvenile Justice System
- 4. Felony Sentencing Handbook 2012

#### **Benchbooks** (revisions)

1. Sentencing Guidelines for Common Misdemeanors and Infractions (Benchguide 74)

#### **Programs Details**

#### **Judicial Education**

**Data Sharing Policies and Practices in Child Welfare:** In conjunction with the Beyond the Bench conference, the AOC's national consultant on data sharing in child welfare, Stewards of Change, held a meeting with interested judges and invited guests from around the state to discuss data sharing policies and practices. The meeting followed up on a successful statewide symposium in October 2011. Justice Richard Huffman, Chair of the Blue Ribbon Commission on Children in Foster Care, participated and delivered opening remarks.

**Statewide Primary Assignment Orientation Courses:** These courses for judges and subordinate judicial officers new to civil, criminal, family, juvenile delinquency, and probate assignments are designed to satisfy the content-based requirements of California Rules of Court.

## Judicial Officer, Court Employee, and Justice System Stakeholder Education

Beyond the Bench Conference 21: Coming of Age in Tough Times, Building Our Strength Together: The conference offered more than 100 courses in family and juvenile law, collaborative justice practices, teen courts, restorative justice, domestic violence, services to self-represented litigants, and collaboration between tribal and state courts. Over 1300 judicial officers, probation officers, youth, Court Appointed Special Advocates, family, juvenile and criminal attorneys, mediators and evaluators, social workers, child welfare professionals, and other court personnel and stakeholders attended the three day conference.

California Environmental Quality Act Overview: This program for justices, judges, assigned judges, appellate and trial court research attorneys provided a procedural and substantive framework with which to analyze and efficiently deal with the issues presented by a challenge made under the California Environmental Quality Act to the approval of a public or private project. The overview is offered once every two years.

Institute for Court Management Courses for Managers and Supervisors: Courses were offered twice (two-and-a-half days duration) regionally and invitations to attend were sent to surrounding courts. Participants included assistant court executive officers. "Fundamentals of Caseflow Management" was offered in the AOC Southern Regional Office, and "Managing Human Resources" was offered in the San Bernardino court using grant funds from the State Justice Institute.

**Leadership Forum:** Approximately 75 participants, including members of the Judicial Council and council advisory groups staffed by the Center for Families, Children & the Courts, attended a leadership forum to discuss strategies and tools to prepare for an anticipated increase in need for access to the courts by those affected by the tough economy at a time when the courts are absorbing unprecedented budget cuts. The Chief Justice encouraged these efforts in closing remarks at the forum.

#### **Broadcasts**

An Introduction to Coaching Employees: This new broadcast for court managers and supervisors, the first in a series of broadcasts addressing employee coaching, introduced three key competencies of coaching and discussed the skills required to be an effective coach.

**Changes in Family Law:** This new broadcast addressed the impact of Family Code amendments pertinent to court staff. It included new terms in the Family Code, revised forms, new information on the Self-Help section of the California Courts website, and changes in confidentiality requirements.

#### **Publications**

Court Statistics Report: The recently published 2011 Court Statistics Report was redesigned in a collaborative effort that included representatives from the trial courts and AOC staff. The report fulfills provisions of article VI, section 6 of the California Constitution, which requires the Judicial Council to survey the condition and business of the California courts. The redesign includes more graphical presentation of the data and electronic links. <a href="http://www.courts.ca.gov/12941.htm">http://www.courts.ca.gov/12941.htm</a>

**Judicial Branch Statistical Information System Standard Report:** This report for courts compiles 10-year trend data on filings, dispositions, and case mix in an interactive spreadsheet, providing courts with easy online access to the most commonly requested data. The report allows courts to view filings and disposition data across the entire range of case types heard in the court or to compare a single case type category across courts.

Screenings and Assessments Used in the Juvenile Justice System: This AOC briefing paper presents an overview of the six risk and needs assessment instruments most frequently used by California probation departments to assess youth intervention and treatment needs. Produced as part of the Best Practices Approach Initiative, it is intended to enhance statewide implementation of evidence-based practices in the juvenile justice arena through training and technical assistance to juvenile justice agencies statewide.

## **New Judgeships and Judicial Vacancy Report**

## Number of Judgeships Authorized, Filled, and Vacant as of January 20, 2012

TYPE OF COURT	NUMBER OF COURTS	NUMBER OF JUDGESHIPS						
		Authorized	Filled	Vacant	Vacant (AB 159	Filled(Last Month)	Vacant(Last Month)	
Supreme Court	1	7	7	0	positions) 0	7	0	
Courts of Appeal	6	105	101	4	0	101	4	
Superior Courts	58	1675	1568	57**	50*	1561	112	
All Courts	65	1787	1676		111	1669	116	

<sup>\*</sup>Authorized January 1, 2008, 50 new (AB 159) judgeships are added. However, funding for these 50 new (AB 159) judgeships has been deferred.

#### **COURTS OF APPEAL**

Appellate District	Vacancies	Reason for Vacancy	Justice to be Replaced	Last Day In Office
Third Appellate District	1	Elevated	Hon. Tani G. Cantil- Sakauye	01/02/11
Fourth Appellate District, Division Three	1***	Retirement	Hon. David G. Sills	05/31/11
Sixth Appellate District	2	Retirement	Hon. Wendy Clark Duffy	10/28/11
Sixth Appellate District		Retirement	Hon. Richard J. McAdams	02/28/11
TOTAL VACANCIES	4			

\*\*\* December 27, 2011, Governor Edmund G. Brown Jr. appointed Associate Justice Kathleen E. O'Leary as Presiding Justice of the Fourth District Court of Appeal, Division Three. Justice O'Leary fills the vacancy created by the retirement of Presiding Justice David G. Sills. This position requires confirmation by the Commission on Judicial Appointments. A Confirmation Hearing has been set for Friday, February 10, in Los Angeles.

<sup>\*\*</sup> In January 2012, one new judgeship was created by converting a commissioner position from the Superior Court of Los Angeles County.

## **SUPERIOR COURTS**

County	Vacancies   Reason for Vacancy   Judge to be Renlaced		Last Day In Office	
Alameda	3	To Fed Court Hon. Yvonne Gonzalez Rogers		11/19/11
Alameda		Retirement	Retirement Hon. Beverly Daniels- Greenberg	
Alameda		Resigned	Hon. Paul D. Fogel	09/30/11
Del Norte	1	Retirement	Hon. Robert W. Weir	01/01/12
Imperial	1	Deceased	Hon. Barrett J. Foerster	11/10/10
Kern	1	Retirement	Hon. Robert J. Anspach	09/09/11
Kings	1	Retirement	Hon. Lynn C. Atkinson	12/31/11
Los Angeles	17	Converted	New Position	01/01/12
Los Angeles		Retirement	Hon. Burt Pines	12/31/11
Los Angeles		Retirement	Hon. Peter D. Lichtman	11/30/11
Los Angeles		Retirement	Hon. Maral Injejikian	09/05/11
Los Angeles		Retirement	Hon. Michael Allen Latin	09/05/11
Los Angeles		Retirement	Hon. Judith L. Champagne	08/31/11
Los Angeles		Retirement	Hon. Martha Bellinger	07/31/11
Los Angeles		Converted	New Position	07/31/11
Los Angeles		Retirement	Hon. John P. Shook	07/15/11
Los Angeles		Retirement	Hon. William J. Birney	07/07/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Converted	New Position	07/01/11
Los Angeles		Retirement	Hon. Andrew C. Kauffman	05/15/11
Madera	1	Dis Retirement	Hon. Eric C. Wyatt	05/23/11
Marin	1	Converted	New Position	07/01/11

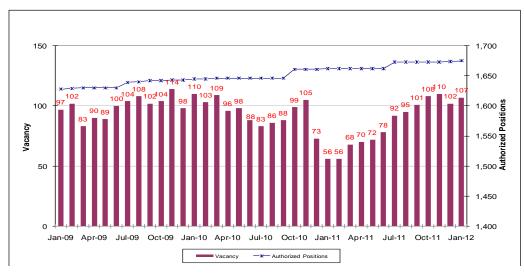
Mendocino	2	Retirement	Hon. Jonathan M. Lehan	03/04/11
Mendocino		Dis Retirement	Hon. Ronald Brown	01/31/11
Monterey	1	Retirement	Hon. Terrance R. Duncan	08/17/11
Napa	1	Dis Retirement	Hon. Stephen Thomas Kroyer	05/23/11
Orange	7	Retirement	Hon. Richard W. Stanford, Jr.	01/11/12
Orange		Retirement	Hon. Kazuharu Makino	09/30/11
Orange		Retirement	Hon. David C. Velasquez	09/09/11
Orange		Retirement	Hon. Michael J. Naughton	08/05/11
Orange		Deceased	Hon. James Patrick Marion	07/10/11
Orange		Converted	New Position	07/01/11
Orange		Converted	New Position	07/01/11
Sacramento	3	Retirement	Hon. Gary S. Mullen	12/30/11
Sacramento		Converted	New Position	12/03/11
Sacramento		Retirement	Hon. James L. Long	03/10/11
San Bernardino	3	Retirement	Hon. Margaret A. Powers	11/30/11
San Bernardino		Retirement	Hon. Michael M. Dest	10/31/11
San Bernardino		Retirement	Hon. W. Robert Fawke	04/22/11
San Francisco	2	Retirement	Hon. Tomar Mason	12/30/11
San Francisco		Retirement	Hon. Mary Carolyn Morgan	03/03/11
San Mateo	2	Retirement	Hon. H. James Ellis	08/31/11
San Mateo		Retirement	Hon. Rosemary Pfeiffer	03/31/11
Santa Barbara	1	Retirement	Hon. James W. Brown	09/30/11
Santa Clara	4	Retirement	Hon. Douglas K. Southard	09/30/11
Santa Clara		Retirement	Hon. Kevin J. Murphy	05/31/11
Santa Clara		Retirement	Hon. Alfonso Fernandez	04/12/11
Santa Clara		To Fed Court	Hon. Edward J. Davila	03/01/11
Santa Cruz	1	Converted	New Position	07/01/11
Shasta	1	Retirement	Hon. Wilson Curle	09/30/11
Solano	1	Retirement	Hon. Allan P. Carter	02/25/11
Stanislaus	1	Retirement	Hon. John G. Whiteside	04/15/11
Ventura	1	Retirement	Hon. Edward F. Brodie	11/30/11

SUBTOTAL:	57			
Butte	1	(AB 159)****	New Position	1/1/2008
Contra Costa	1	(AB 159)****	New Position	1/1/2008
Del Norte	1	(AB 159)****	New Position	1/1/2008
Fresno	4	(AB 159)****	New Positions	1/1/2008
Kern	3	(AB 159)****	New Positions	1/1/2008
Kings	1	(AB 159)****	New Position	1/1/2008
Los Angeles	1	(AB 159)****	New Position	1/1/2008
Madera	1	(AB 159)****	New Position	1/1/2008
Merced	2	(AB 159)****	New Positions	1/1/2008
Monterey	1	(AB 159)****	New Position	1/1/2008
Orange	1	(AB 159)****	New Position	1/1/2008
Placer	2	(AB 159)****	New Positions	1/1/2008
Riverside	7	(AB 159)****	New Positions	1/1/2008
Sacramento	6	(AB 159)****	New Positions	1/1/2008
San Bernardino	7	(AB 159)****	New Positions	1/1/2008
San Joaquin	3	(AB 159)****	New Positions	1/1/2008
Shasta	1	(AB 159)****	New Position	1/1/2008
Solano	1	(AB 159)****	New Position	1/1/2008
Sonoma	1	(AB 159)****	New Position	1/1/2008
Stanislaus	2	(AB 159)****	New Positions	1/1/2008
Tulare	2	(AB 159)****	New Positions	1/1/2008
Yolo	1	(AB 159)****	New Position	1/1/2008
TOTAL VACANCIES:	107			

<sup>\*\*\*\*</sup>Authorized January 1, 2008, 50 new (AB 159) judgeships. However, the funding for these 50 new (AB 159) judgeships has been deferred.

New Vacancies that occurred in December 2011 and January 2012.

# Trial Court Authorized Positions and Vacancies January 2009 – January 2012



					ugh January 2012*			
		Superio	r Court			Court o	f Appeal	
Month	Authorized	Filled	Vacancy	Vacancy Rate	Authorized	Filled	Vacancy	Vacancy Rate
Jan-09	1,628	1,531	97	6.0%	105	98	7	6.7%
Feb-09	1,629	1,527	102	6.3%	105	96	9	8.6%
Mar-09	1,630	1,547	83	5.1%	105	96	9	8.6%
Apr-09	1,630	1,540	90	5.5%	105	96	9	8.6%
May-09	1,630	1,541	89	5.5%	105	96	9	8.6%
Jun-09	1,630	1,530	100	6.1%	105	100	5	4.8%
Jul-09	1,639	1,535	104	6.3%	105	101	4	3.8%
Aug-09	1,640	1,532	108	6.6%	105	102	3	2.9%
Sep-09	1,642	1,540	102	6.2%	105	102	3	2.9%
Oct-09	1,642	1,538	104	6.3%	105	102	3	2.9%
Nov-09	1,643	1,529	114	6.9%	105	102	3	2.9%
Dec-09	1,643	1,545	98	6.0%	105	102	3	2.9%
Jan-10 Feb-10	1,645 1,645	1,535 1,542	110 103	6.7% 6.3%	105 105	102 101	4	2.9% 3.8%
Mar-10	1,645	1,542	103	6.6%	105	101	4	3.8%
Apr-10	1,646	1,550	96	5.8%	105	101	3	2.9%
Mav-10	1,646	1,548	98	6.0%	105	102	3	2.9%
Jun-10	1,646	1,558	88	5.3%	105	101	4	3.8%
Jul-10	1,646	1,563	83	5.0%	105	102	3	2.9%
Aug-10	1,646	1,560	86	5.2%	105	103	2	1.9%
Sep-10	1,646	1,558	88	5.3%	105	103	2	1.9%
Oct-10	1,661	1,562	99	6.0%	105	102	3	2.9%
Nov-10	1,661	1,556	105	6.3%	105	102	3	2.9%
	,	,					-	
Dec-10	1,661	1,588	73	4.4%	105	102	3	2.9%
Jan-11	1,662	1,606	56	3.4%	105	104	1	1.0%
Feb-11	1,662	1,606	56	3.4%	105	104	1	1.0%
Mar-11	1,662	1,594	68	4.1%	105	103	2	1.9%
Apr-11	1,662	1,592	70	4.2%	105	103	2	1.9%
May-11	1,662	1,590	72	4.3%	105	103	2	1.9%
Jun-11	1,662	1,584	78	4.7%	105	102	3	2.9%
Jul-11	1,673	1,581	92	5.5%	105	102	3	2.9%
Aug-11	1,673	1,578	95	5.7%	105	102	3	2.9%
Sep-11	1,673	1,576	101	6.0%	105	102	3	2.9%
Sep-11 Oct-11	1,673	1,572	101	6.0%	105	102	3	3.8%
Nov-11	1,673	1,563	110	6.6%	105	101	4	3.8%
Dec-11	1,674	1,572	102	6.1%	105	101	4	3.8%
Jan-12	1,675	1,568	107	6.4%	105	101	4	3.8%