ACTIVITY REPORTING AND PROPOSAL FORM

JUDICIAL COUNCIL DIRECTIVES AOC RESTRUCTURING

DATE	10/5/2012	
PREPARED BY	Olivia Lawrence	
OFFICE NAME	Executive Office	
JUDICIAL COUNCIL DIRECTIVE NUMBER	121	
JUDICIAL COUNCIL DIRECTIVE	E&P recommends that the Judicial Council support SEC Recommendation 7-78 with no further action, as the issues have been resolved.	
5.11.2	7-78: The Administrative Director should resolve any remaining issues that have existed between the HR Division and Office of General Counsel, including by redefining respective roles relating to employee discipline or other HR functions.	
SEC RECOMMENDATION	The Administrative Director should resolve issues that have existed between the HR Division and OGC, including by redefining respective roles relating to employee discipline or other HR functions.	
RESPONSE (check applicable boxes)		
▼ This directive has been completed and implemented:		
The Executive Office recognizes and understands the recommendation of the Judicial Council. Since their interim appointments, the Interim Administrative Director of the Courts and the Interim Chief Deputy Director were fully engaged with the Human Resources (HR) Office and the Office of the General Counsel (OGC). Many HR functions have been assessed over the course of several months for the purpose of ensuring that the respective roles of HR and OGC were properly defined. Processes for employee discipline are being modified and are now being fully documented. This will ensure the appropriate parts of the agency are engaged at the appropriate time.		
A joint training effort involving OGC and the Office of Education/CJER was launched with the goal of providing a comprehensive overview of the employee discipline process to all agency managers and supervisors. This will further ensure that any disciplinary action will be properly conducted from end-to-end.		
As processes were being modified and training was being developed, the Interim Administrative Director of the Courts and the Interim Chief Deputy Director remained fully engaged in the activities of both HR and OGC. As a result, communication between the two offices has been significantly enhanced, leading to more distinct roles and responsibilities for each.		
File Attachment		
\square This directive is forwarded to the Judicial Council with options for consideration:		

File Attachment Other:	
File Attachment	
T	IMELINE AND RESOURCES FOR IMPLEMENTATION
IMPLEMENTATION DATE OR PROJECTED IMPLEMENTATION DATE	
RESOURCES REQUIRED FOR IMPLEMENTATION	
ADDITIONAL IMP	PLEMENTATION INFORMATION (complete only applicable sections)
PROCEDURES/ POLICIES UPDATED OR DEVELOPED	Internal processes were modified to ensure a clear distinction between the role of OGC and HR, especially for processes involving employee discipline. These new processes were implemented immediately.
✓ TRAINING UPDATED OR DEVELOPED	An agency-wide training for managers and supervisors regarding the employee discipline process has been developed jointly by OGC and HR.
☐ SAVINGS	File Attachment
□ cost	File Attachment
☐ EFFICIENCIES	File Attachment
SERVICE LEVEL	□ File Attachment

	File Attachment	
ADMINISTRATIVE DIRECTOR OF THE COURTS (ADOC) REVIEW AND APPROVAL		
ADOC REVIEW	Administrative Director of the Courts Review Date: 10/5/2012	
EXECUTIVE AND PLANNING (E&P) COMMITTEE REVIEW		
E&P REVIEW	Executive and Planning Review Date: 10/19/2012	