



# Service Description Document: CCMS Data Exchange INI820

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COURT RECEIVES CASE INITIATION  
FILING - PROBATE  
JUNE 2011



ADMINISTRATIVE OFFICE  
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## Revision History

Version	Date	Author	Description of Changes
1.0	June 2011	M. West	First release

## Reference Documents

Version	Date	Document Title	Author
9.0	June 2011	<a href="#">Executive Summary</a>	Deloitte Consulting
9.0	June 2011	<a href="#">ISB and CCMS Data Exchange Overview</a>	Deloitte Consulting
9.0	June 2011	<a href="#">Data Exchange Functional Design Guidelines</a>	Deloitte Consulting
2.0.0	September 2011	<a href="#">Data Exchange Common Technical Requirements</a>	AOC
1.0.0	September 2011	<a href="#">INI820 Data Exchange Specification</a>	Deloitte Consulting/AOC/SEARCH
1.0.0	June 2011	<a href="#">Service Description Document: CCMS Data Exchange EFL902 Court Sends Clerk Review Rejection Notification</a>	Optimum Technology
1.0.0	June 2011	<a href="#">Service Description Document: CCMS Data Exchange INI816 Court Receives Subsequent Case Filing</a>	Optimum Technology
1.0.0	June 2011	<a href="#">Service Description Document: CCMS Data Exchange INI936 Court Sends Case Initiation Filing - Probate Confirmation Notification</a>	Optimum Technology
9.0	July 2011	<a href="#">CCMS Data Exchange Errors</a>	Deloitte Consulting
1.0	July 2010	<a href="#">Court Policy File Overview</a>	AOC
N/A	September 2011	<a href="#">AOC Codes</a>	AOC/SEARCH
1.0	September 2011	<a href="#">Local Integration Assessment Methodology (LIAM)</a>	AOC
2.0	June 2010	<a href="#">LIAM Light</a>	AOC



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## 1.0 Executive Summary

### 1.1 Purpose

The purpose of this document is to integration partners with descriptive information regarding the context and business processes when preparing to implement data exchanges with the California Court Case Management System (CCMS). This document details the exchange content requirements and related business requirements needed for CCMS to successfully receive a case initiation filing document from an integration partner to initiate a probate case.

Integration partners most likely to use this data exchange include:

- Electronic filing service providers

This document reflects agency business rules and policies that have a direct impact on the system interactions among court and integration partners. This is a companion to the exchange specifications contained in the exchange eXtensible Markup Language (XML) schemas, Web Service Description Language (WSDL) files, and the “*CCMS Data Exchange Common Technical Requirements*,” and should be reviewed along with those documents when preparing for deployment. The XML schemas, WSDLs, and Common Technical Requirements provide specific technical details regarding message structures and implementation requirements, including infrastructure, security, and deployment information. Collectively, these documents provide all of the information an integration partners requires to send and receive data with CCMS for this exchange.

The information contained here compiles court-specific information from a number of AOC documents and resources, including the “*CCMS Data Exchange Functional Design*,” “*CCMS Core Application Functionality*,” and the “*CCMS Technical Architecture*.” The content of this Service Description Document provides integration partners with a concise, detailed explanation of data exchange content and related business processes, and includes the following topics:

- Exchange description
- Events and conditions
- CCMS business processes and rules
- CCMS data requirements
- Message patterns
- Exceptions, acknowledgements, errors
- Other descriptive information

This document is based on the [Global Justice Reference Architecture’s Service Specifications Guidelines](#)<sup>1</sup>.

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<sup>1</sup> <http://www.it.ojp.gov/default.aspx?area=nationalInitiatives&page=1015#RefSSPs>

Prior to implementing data exchanges with CCMS, it is strongly advised that each integration partner participate in a readiness assessment prepared by the AOC in partnership with the courts. This assessment, called the “*Local Integration Assessment Methodology (LIAM)*,” consists of four phases that provide integration partners and the courts with necessary information prior to implementation activities. Figure 1 describes this process in more detail.

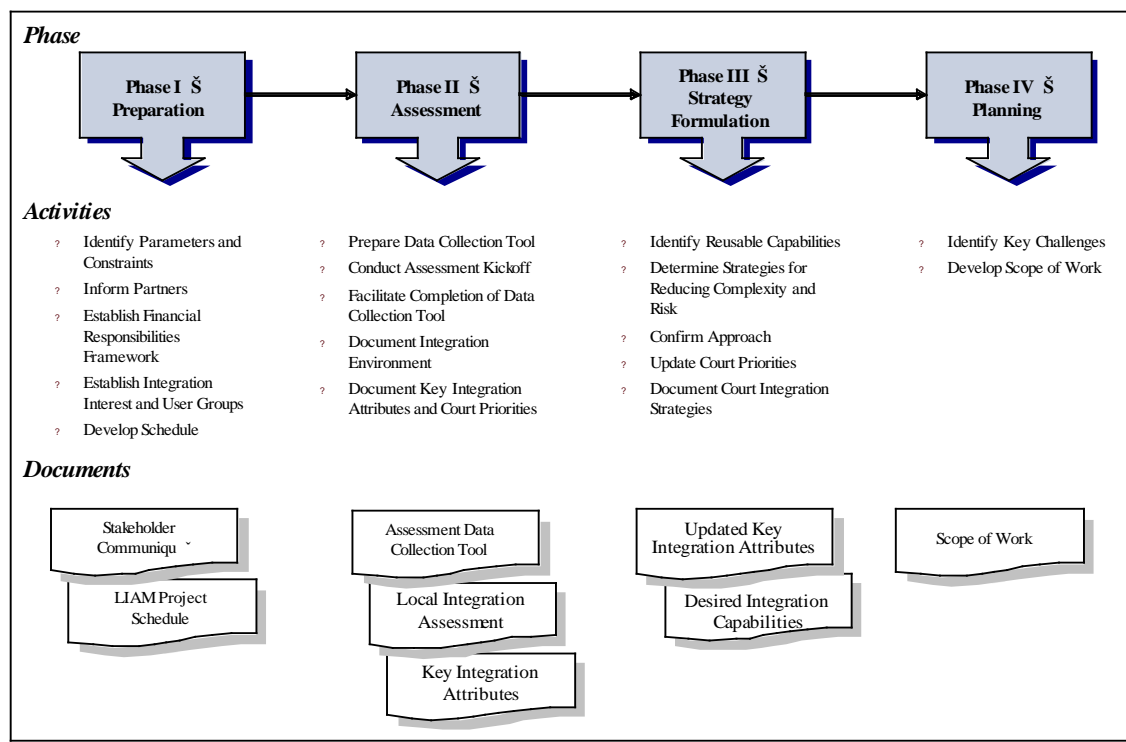


Figure 1. Implementation Phases

Alternatively, an integration partner can choose to participate in the “*LIAM Light*” assessment, which focuses on replacing existing electronic exchanges instead of automating new exchanges.

After CCMS is deployed with the replaced existing exchanges, integration partners may want to use the full LIAM to assess other business processes that can be automated using other exchanges.

## 1.2 Audience

Audiences for this document are subject matter experts (SMEs) and technical staff, who need to understand how this data exchange works in order to implement it between an integration partner and CCMS. Known audiences include:

- Integration partner CCMS Data Exchange Implementation Team
- CCMS Deployment Team
- AOC Data Integration staff, including business analysts, developers, and architects, etc.

- Integrated Services Backbone (ISB) vendors
- CCMS vendors
- Court CCMS project managers and SMEs

## **1.3 CCMS Data Exchange Overview**

As part of the CCMS project, 121 data exchanges servicing all case types were developed to enable courts and their integration partners to exchange information using CCMS. Sixty-three exchanges are based on data exchange standards established in the [National Information Exchange Model](#)<sup>2</sup> (NIEM), and 58 exchanges are based on California judicial branch XML schema. It is important to note that each of the 121 exchanges is actually a set of exchanges that includes individual exchanges for Request, Response, and Error Handling. A complete list of CCMS data exchanges is available on the [Integration Partners website](#)<sup>3</sup>.

## **1.4 Assumptions**

The following is a list of current assumptions:

- Integration partners and courts have completed their LIAM process as a prerequisite to implementing data exchanges.
- Integration partners have a working proficiency with Web services and XML, and a basic understanding and knowledge of the NIEM structure and implementation concepts.

## **1.5 Risks**

To date, there are no risks identified for this exchange.

## **1.6 Conventions Used in this Document**

### **1.6.1 Exchange naming convention**

During the data exchange development process, the AOC adopted a standard naming convention to describe the “action” of the exchange from the court perspective, and applied an alphanumeric categorization for various court functions. Each follows this format:

<Application>-<Interface Number><Interface Name>

Where:

- *Application* is CCMS

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<sup>2</sup> <http://niem.gov/>

<sup>3</sup> <http://www.courts.ca.gov/partners/integration.htm>

- *Interface Number* consists of code name of functional area (two or three letters (e.g., “DOJ” for Department of Justice-related data exchanges)), and a three-digit number:
  - If the first digit is “8” then CCMS is receiving the data from an integration partner.
  - If the first digit is “9” then CCMS is sending the data to an integration partner.
  - The remaining two digits identify the unique integer ID sequentially assigned to the data exchange.
- *Interface Name* is descriptive name of the data exchange.

Examples:

- CCMS-V4-DOJ802 Receive Disposition Error Report Notification
  - CCMS receives data from an integration partner.
- CCMS-V4-DOJ901 Send Initial, Subsequent Disposition Notification
  - CCMS sends data to an integration partner.
  - CCMS sends data to the integration partner.

## 2.0 Exchange Description

This section outlines the requirements and functional design for the INI820 Receive Case Initiation Filing – Probate data exchange. A trial court uses this California judicial branch XML schema-based data exchange will be used by the trial court to receive a case initiating filing document from an integration partner to initiate a probate case.

The response to this data exchange indicates successful delivery of the incoming request to the CCMS system or an error message if an error is encountered. In case of successful delivery, the entire set of request data is echoed back to the integration partner along with a confirmation message. In case of error, an error message is sent back with a description of the error.

This data exchange, like all exchanges subject to a court clerk review, uses several Common Element Blocks (CEBs). A CEB is a set of related data elements that appear in multiple court data exchanges. CEBs allow court and integration partner development staff to define a business concept once, (e.g., case filing, case participant, payment, and scheduling information) and reuse these groups across exchanges rather than listing them individually for each exchange. Adopting this CEB approach and emphasizing reuse increases efficiencies by reducing the amount of redundant programming during implementation.

### 2.1 Capabilities

This service provides the ability for a trial court (service provider) to **receive** electronic requests to initiate a probate case filing from an integration partner (service consumer).

### 2.2 Real World Effects

This service provides the ability for an integration partner (service consumer) to **send** a probate case initiation filing with a trial court (service provider). The following are possible situations when an integration partner may wish to use this data exchange:

- To submit a Petition for Change of Name on behalf of a client.
- To submit a Spousal Property petition on behalf of a client.
- To submit a Guardianship of Person and Estate petition on behalf of a client.

### 2.3 Related Forms and Exchanges

#### 2.3.1 Business response exchanges

All exchanges subject to court clerk review have corresponding “business response” exchanges that describe the outcome of the court clerk’s review. One of two exchanges handles the subsequent business response to this data exchange:

- The CCMS-V4-EFL902 Send Clerk Review Rejection Notification, which contains rejection information in response to the court clerk’s review of the initial filing.

- The CCMS-V4-INI936 Send Case Initiation Filing – Probate Confirmation Notification data exchange, which confirms the initial filing successfully passed the clerk review and contains the pertinent case filing information.

### **2.3.2 Related exchanges**

The related exchanges in this section identify associated exchanges an integration partners may use to modify, update, or complete a correlated business process. All amended and additional case filings are received by the trial court using the CCMSV4-INI816 Receive Subsequent Case Filing data exchange.

### **2.3.3 Related forms**

“Related forms” refers to forms, reports, or other documents currently used by courts and integration partners for the types of documents that may be included in this data exchange. This list is not exhaustive and is not an authoritative list of documents replaced by the data exchange; it is only for informational purposes.

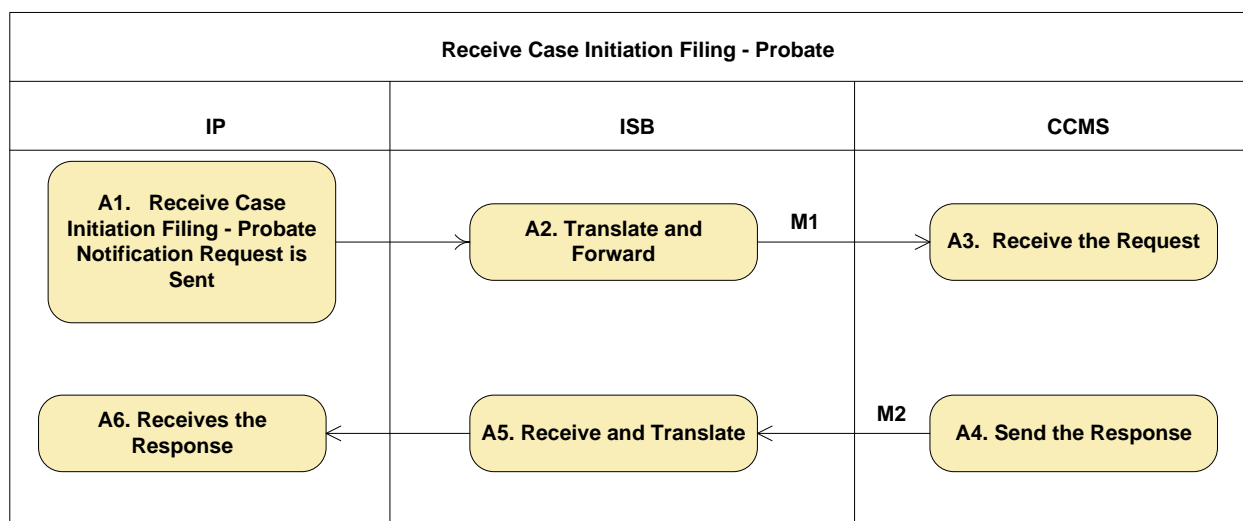
- There are no related forms.

## ***2.4 Assumptions and Dependencies***

The data exchange specification package includes a data mapping specification based on the CCMS-specific definitions used in this exchange. Each integration partner is responsible for mapping or performing data translations if necessary, for all content (including coded values) sent and received using this exchange.

This section provides a basic description of the exchange sequence and flow between an integration partner and CCMS; it explains the primary scenario for successful delivery and receipt of data exchange content, and the “alternate” flow of information for error and exception handling scenarios. Additional diagrams and descriptions of information flows are found in the *“Data Exchange Functional Design Guidelines.”*

1. An integration partner sends the Case Initiation Filing – Probate filing request message to the ISB.
2. The ISB receives the probate case initiation filing request, performs schema validation, and forwards the action request to CCMS.
3. CCMS receives the probate case initiation filing request and performs validations, per the rules outlined in [Section 6.0, Additional Business Rules and Processes](#).
4. CCMS sends the success or failure response to ISB.
5. The sISB receives the response message, performs schema validation and forwards the action response to the integration partner.
6. The integration partner receives the response and takes appropriate action.



- The ISB returned a positive acknowledgement to the source system (integration partner,) and an exception occurs within the ISB, (e.g., schema validation) before the request is forwarded to the target system (CCMS). The ISB handles the exception and

sends a response (containing the failure reason) to the source system (integration partner system.)

- The ISB returned a positive acknowledgement to the source system (integration partner system), and an exception occurs when the ISB attempts to forward the request to the target system (CCMS). The ISB handles the exception and sends a response (containing the failure reason) to the source system (integration partner.)
- The ISB forwards the request to the target system (CCMS) and receives a negative acknowledgement, (e.g., syntactic data error) from the target system. The ISB handles the exception and sends a response (containing the failure reason) to the source system (integration partner system.)

### **3.3 Messages**

A data exchange message<sup>4</sup> is a complete package of information sent between the courts and an integration partner. This exchange includes three types of messages:

1. Request message
  - a. The Request message containing the probate case initiation filing information (e.g., participants, filing document, filed by/refers to identifier).
2. Response messages
  - a. The Success response message containing the probate case initiation filing response with status information (e.g., Status and Transaction ID), informing the integration partner that CCMS received the request and the court will perform a subsequent and separate review of the filing information.
  - b. The Error response message contains one or more errors, their description and status information (e.g., Rejected), informing the integration partner that CCMS did not accept the probate case initiation filing request and the integration partner must resubmit the request after correcting the errors.
3. Business responses
  - a. The CCMS-V4-INI936 Send Case Initiation Filing – Probate Confirmation Notification data exchange is the subsequent business response to this exchange. This exchange confirms the initial filing successfully passed the clerk review process and contains the pertinent case filing information.

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<sup>4</sup> As defined in the Justice Reference Architecture v1.8 February 2010.



- b. The CCMS-V4-EFL902 Send Clerk Review Rejection Notification exchange contains rejection information in response to the court clerk's review of the initial filing. This message informs the originating integration partner the judgment or order filing did not pass the court clerk review and corrective action is required



## **4.0 Exchange Context**

This section provides integration partners with specific information regarding the context of the exchange.

### **4.1 Triggering Events**

An integration partner sends the trial court the Probate case initiating filing.

- Conditions = None

### **4.2 Subsequent Events**

The trial court receives the filing for subsequent review by the clerk

- Conditions = None



## 5.0 Data Exchange Errors and Exceptions

The document titled “*CCMS Data Exchange Errors*” contains all exchange specific errors that may occur between an integration partner and CCMS. It includes three sections that list error codes, names, and descriptions for each type below.

- **Transient and Non-Transient Errors** may occur while the message is processed by the ISB.
- **Functional Errors** may occur while processing messages in the ISB or CCMS.
- **Transactional Errors** may occur while processing a message in CCMS according to the Business Rule validations identified in [Section 6.0 Additional Business Rules and Processes](#).



## 6.0 Additional Business Rules and Processes

This section contains additional business rules and process requirements for an integration partner to successfully send or receive information from CCMS. The content of this section reflects specific rules that either cannot or are not enforced in the technical specifications that accompany the onboarding documents, specifically the mapping spreadsheets, exchange schemas, and code schemas. Integration partners must rely on this information to produce and consume valid messages exchanged with CCMS.

This data exchange uses several CEBs, which contain an over-inclusive set of data elements that may or may not be required for this data exchange. Consequently, Table 1 may include errors that do not apply to this exchange and integration partners should disregard these types of errors accordingly. Shaded elements indicate that the element appears multiple times in the data exchange and may have unique rules depending on the context of its use.

### 6.1 Business Rules

Table 1. Business Rules

Referenced Element	Business Rule Description
	<b>Request</b>
Accept Judicial Officer	Accept Judicial Officer can only be sent when the Filing Type is “Case Management”
Address Type	<b>(CEB05) Branch: Court. Case. Participant. Address</b> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> </ul> <b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> </ul>
ADRProgram	<ul style="list-style-type: none"> <li>Applicable when Filing Type is “Alternative Dispute Resolution”</li> <li>Values are a combination of the ADR Program Name and the Assigned Neutral</li> </ul>
Amended	<ul style="list-style-type: none"> <li>Required if this is an amendment.</li> <li>Not applicable when the Filing Type is: <ul style="list-style-type: none"> <li>Transferred Filings</li> <li>Trial By Declaration</li> </ul> </li> </ul>
Amount Not to Exceed	<ul style="list-style-type: none"> <li>Digits can be 0-9</li> <li>Amount must match the total amount owed</li> <li>Only available when the Payment Method is “Online Credit Card”</li> </ul>
Amount Received	<ul style="list-style-type: none"> <li>Digits can be 0-9</li> <li>Only applicable when Payment Method is “E-Filing”</li> </ul>
Amount Received (Amount Received \$)	Must be greater than zero

Referenced Element	Business Rule Description
Appointment Type	Applicable to the following Case Types: <ul style="list-style-type: none"> <li>• Trust Proceedings</li> <li>• Conservatorship of Person and Estate</li> <li>• Conservatorship of Person Only</li> <li>• Conservatorship of Estate Only</li> <li>• Guardianship of Person and Estate</li> <li>• Guardianship of Person Only</li> <li>• Guardianship of Estate Only</li> <li>• Limited Conservatorship of Person and Estate</li> <li>• Limited Conservatorship of Person Only</li> <li>• Limited Conservatorship of Estate Only</li> </ul>
Attachment .JPG or .JPEG or .GIF	Must be in .JPG, .JPEG, or .GIF format and less than 100 KB in size
Attorney Fees and Costs	Applicable to: <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul> For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.
Attorney XML ID	Applicable to: <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Begin Date	<ul style="list-style-type: none"> <li>• Must be in YYYY-MM-DD format</li> <li>• Field available based on the ID Type selected.</li> <li>• Required for the following ID Types: <ul style="list-style-type: none"> <li>○ Passport Card Number</li> <li>○ Passport Number</li> </ul> </li> </ul>
Birth Country	State/Birth State is available only when Birth Country is “United States of America”
Birth State	State/Birth State is available only when Birth Country is “United States of America”
Body Part	Only valid when Category is “Person”
Bond Amount	Only applicable when the Filing Type is: <ul style="list-style-type: none"> <li>• Appeals</li> <li>• Case Initiation</li> <li>• Estates</li> <li>• Stays</li> </ul>



Referenced Element	Business Rule Description
Box No./Box Number	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Allowable only when the Address Type is “Military”</li> <li>Required when Address Type is “Military A.P.O. Box”</li> <li>Required when Address Type is “Military F.P.O. Box”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Applicable only when Address Type is “Military”</li> <li>Required if Address Type is “Military A.P.O. Box”</li> <li>Required if Address Type is “Military F.P.O. Box”</li> </ul>
Box Number	Allowable only when the Address Type is “Military”
Build	Only valid when Category is “Person”
Building	<ul style="list-style-type: none"> <li>Required if the Resource Type is “Department.”</li> <li>Applicable when a Location value is selected</li> <li>Not applicable when the Scheduling Option is “Reserved”</li> </ul>
Calendar Type	Not applicable when the Scheduling Option is “Reserved”
CalWorks or TANF	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
CAPI	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
Card No.	<ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Card number must contain the correct number of digits for card type selected (Visa/MC/Discover = 16 and Amex = 15).</li> </ul>
Card Number (Card No.)	<ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Card number must contain the correct number of digits for card type selected (Visa/MC/Discover = 16 and Amex = 15).</li> </ul>
Card Type	Required when Payment Method is “Online Credit Card”
Case Category	Applicable only for “Probate” Case Category
Case Number	<p><b>(CEB08) Branch: Court. Case, Event. Related Cases. Case Number. CCMS-V4 Case Number</b></p> <p>External Case Number and V4 Case Number are mutually exclusive and only one may be sent.</p>
Case Participant XML ID	<p><b>(CEB05) Branch: Court. Case. Participant</b></p> <ul style="list-style-type: none"> <li>Must be unique and must be sequential starting with the number 1</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Must be an existing Case Participant XML ID</li> </ul>
Case Participant XML ID for Associate	Must be an existing Case Participant XML ID
Case Settled for 10k	<ul style="list-style-type: none"> <li>Allowable values are: <ul style="list-style-type: none"> <li>Case settled for \$10,000 or more = M</li> <li>Case settled for less than \$10,000 = L</li> </ul> </li> <li>Applicable when Filing Type is “Notices”</li> </ul>
Case Sub-Type	Not available for editing when in the context of a Family Law case.
Case Type	<p>Required if Case Category is not:</p> <ul style="list-style-type: none"> <li>FM</li> <li>Juvenile</li> <li>NCF</li> </ul>

Referenced Element	Business Rule Description
Case Year	<b>(CEB08) Branch: Court. Case, Event. Related Cases. Case Number. CCMS-V4 Case Number</b> <ul style="list-style-type: none"> <li>External Case Number and V4 Case Number are mutually exclusive and only one may be sent.</li> </ul>
Challenged Judicial Officer	Only applicable when Filing Type is “Challenge”
Change in Financial Situation	Allowable values are: <ul style="list-style-type: none"> <li>End Fee Waiver = E</li> <li>Request Court Review = R</li> </ul>
Child Custody	Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.
Child Custody and Visitation – Enforce	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Child Custody and Visitation – Establish	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Child Custody and Visitation – Modify	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Child Custody and Visitation Modify Describe in Detail	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Child Support	Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.

Referenced Element	Business Rule Description
Child Support – Describe in Detail	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Child Support – Enforce	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Child Support – Establish	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Child Support – Modify	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Citation Issued	<p>Applicable to the following Case Types:</p> <ul style="list-style-type: none"> <li>• Proceeding for Particular Transaction where a Spouse Lacks Legal Capacity</li> <li>• Capacity Determination and Health Care Decision for Adult without Conservator</li> <li>• Conservatorship of Person and Estate</li> <li>• Conservatorship of Person Only</li> <li>• Conservatorship of Estate Only</li> <li>• Limited Conservatorship of Person and Estate</li> <li>• Limited Conservatorship of Person Only</li> <li>• Limited Conservatorship of Estate Only</li> </ul>

Referenced Element	Business Rule Description
City	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Required when Country selected is “United States of America”</li> <li>When Address Type is “Military A.P.O.” or “Military F.P.O.” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>&lt;Blank&gt;</li> <li>Military in America = AA</li> <li>Military (Europe, Mid East, Africa, Canada) = AE</li> <li>Military in the Pacific = AP</li> </ul> </li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Not applicable when Address Type is “International”</li> <li>Required when Country is United States</li> <li>When Address Type is “Military APO” or “Military FPO” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>&lt;Blank&gt;</li> <li>Military in America = AA</li> <li>Military (Europe, Mid East, Africa, Canada) = AE</li> <li>Military in the Pacific = AP</li> </ul> </li> </ul>
Class	Required for the following ID Types: <ul style="list-style-type: none"> <li>Driver’s License Number</li> </ul>
Code	Only valid when Category is “Person”
Conditional Settlement Date to Dismiss	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when Filing Type is “Notices”</li> <li>Applicable only when Filing Document is “Notice of Settlement”</li> </ul>
Contempt	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Contempt – Describe in Detail	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Costs \$	<ul style="list-style-type: none"> <li>Only applicable when Case Category is “Small Claims”</li> <li>Must be a valid currency amount.</li> </ul>

Referenced Element	Business Rule Description
Country	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Required for the following ID Types: <ul style="list-style-type: none"> <li>Passport Card Number</li> <li>Passport Number</li> </ul> </li> <li>When Address Type is “International Address,” United States of America is not applicable.</li> <li>Not applicable when Address Type is “Military A.P.O. Box” or “Military F.P.O. Box”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Not applicable when Address Type is “Military A.P.O. Box” or “Military F.P.O. Box”</li> <li>“United States of America” is not available when Address Type is “International Address”</li> </ul>
County	<p>Required for the following ID Types:</p> <ul style="list-style-type: none"> <li>County ID Number</li> <li>Death Certificate Number</li> <li>Other ID Card Number</li> <li>Probation Department Juvenile (PDJ) Number</li> <li>Probation Number</li> </ul>
County Relief or General Assistance	<p>Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”</p>
Court Code	<p><b>(CEB11) Branch: Court. EFiling Court Information</b></p> <ul style="list-style-type: none"> <li>A number, ranging from 1 to 58</li> </ul> <p><b>(CEB08) Branch: Court. Case, Event. Related Cases. Case Number. CCMS-V4 Case Number</b></p> <ul style="list-style-type: none"> <li>External Case Number and V4 Case Number are mutually exclusive and only one may be sent.</li> </ul>
Court-Appointed Interpreter Fees for a Witness	<p>Applicable when Filing Type is “Fee Waiver-Additional”</p>
CVV2	<ul style="list-style-type: none"> <li>Must contain the correct number of digits for the Card Type</li> <li>Required when Payment Method is “Online Credit Card”</li> <li>Must contain the correct number of digits for the card type selected (Visa/MC/Discover = 3 and Amex = 4).</li> </ul>
Date Able to Pay Court Fees and Costs After	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Applicable when Filing Type is “Notices” and Change in Financial Situation value is “E”</li> </ul>
Date of Bankruptcy	<ul style="list-style-type: none"> <li>Must be a valid date</li> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when the Filing Type is: <ul style="list-style-type: none"> <li>Requests</li> <li>Stipulation</li> <li>Stays</li> </ul> </li> </ul>
Date of Birth	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Only valid when Category is “Person”</li> </ul>
Date of Birth Type	<p>Only valid when Category is “Person”</p>
Date of Death	<ul style="list-style-type: none"> <li>YYYY-MM-DD</li> <li>Only valid when Category is “Person”</li> </ul>

Referenced Element	Business Rule Description
Date of Last Court Fee Waiver Order	<ul style="list-style-type: none"> <li>Must be a valid date</li> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when Filing Type is “Notices”</li> </ul>
Date of Last Granted Fee Waiver Order	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Applicable when Filing Type is “Fee Waiver-Additional”</li> </ul>
Date of Service	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when Filing Type is “Proof of Service – General”</li> <li>Applicable only to Family Law.</li> </ul>
Day of Week	Not available when the Scheduling Option is “Reserved”
Decline Judicial Officer	Applicable only when Filing Type is “Case Management”
Defective	Only applicable when the Filing Type is: <ul style="list-style-type: none"> <li>Proof of Service – Case Initiating Document</li> <li>Proof of Service – General</li> </ul>
Department	<ul style="list-style-type: none"> <li>Required when the Resource Type is “Department”</li> <li>Applicable when a Building value is selected</li> <li>Not applicable when the Scheduling Option is “Reserved”</li> </ul>
Descriptor	<b>(CEB05) Branch: Court. Case. Participant. Address</b> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> </ul> <b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Not available when Address Type is “Military,” “P. O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul>
Direction	<b>(CEB05) Branch: Court. Case. Participant. Address</b> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Not applicable when address is “Military A.P.O. Box,” “Military F.P.O.” or “P.O. Box”</li> </ul> <b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Not available when Address Type is “Military,” “P. O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul>
District	<ul style="list-style-type: none"> <li>Required when the Resource Type is “Department”</li> <li>Not applicable when the Scheduling Option is “Reserved”</li> </ul>
Division of Property	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Division of Property – Describe in Detail	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Effective Date	Must be in date format: YYYY-MM-DD

Referenced Element	Business Rule Description
Electronic Address	<ul style="list-style-type: none"> <li>Email Address must contain an “@” character</li> <li>The “@” character cannot be the first or last character</li> </ul>
Entity Contact Person	Not applicable when Category is “Person”
Estimated Value of the Estate	<ul style="list-style-type: none"> <li>Allows digits 0-9</li> <li>Applicable to the following Case Types: <ul style="list-style-type: none"> <li>Probate of Will – Letters Testamentary</li> <li>Probate of Will – Letters of Administration with Will Annexed</li> <li>Letters of Administration</li> <li>Letters of Special Administration</li> <li>Set Aside Small Estate (Prob 6602)</li> <li>Spousal Property</li> <li>Disposition of Small Estate</li> <li>Real Property of Small Value (Prob 13200)</li> <li>Compromise of Minor’s Claim (No Civil Case Filed)</li> <li>Establish Fact of Birth, Death, or Marriage</li> <li>Other Probate Matter</li> <li>Conservatorship of Person and Estate</li> <li>Conservatorship of Person Only</li> <li>Conservatorship of Estate Only</li> <li>Guardianship of Person and Estate</li> <li>Guardianship of Person Only</li> <li>Guardianship of Estate Only</li> <li>Notice to Creditors</li> <li>Determine Succession to Real Property</li> <li>Limited Conservatorship of Person and Estate</li> <li>Limited Conservatorship of Person Only</li> <li>Limited Conservatorship of Estate Only</li> </ul> </li> </ul>
Estimated Value of the Trust	<ul style="list-style-type: none"> <li>Applicable to “Trust Proceedings” Case Type</li> <li>Allows digits 0-9</li> </ul>
Event Date Time	<ul style="list-style-type: none"> <li>May be in any of the following formats: <ul style="list-style-type: none"> <li>2011-04-05T13:02:21.601-04:00</li> <li>2011-01-19T09:30:47Z</li> <li>2014-06-28T09:30:47</li> <li>2014-06-28T11:00:00Z</li> </ul> </li> <li>Required if “Use Date Below” or “Override Conflict Checks” is selected.</li> <li>Not applicable when the Scheduling Option is “Reserved”</li> <li>Required when “Use Date Below” is selected and “Night Court” is not selected</li> </ul>
Event Notes	Not applicable when the Scheduling Option is “Scheduled”
Event Type	Not applicable when the Scheduling Option is “Reserved”
Ex Parte	<p>Only applicable when the Filing Type is:</p> <ul style="list-style-type: none"> <li>Order to Show Cause</li> <li>Motions</li> </ul>

Referenced Element	Business Rule Description
Expiration Date	<ul style="list-style-type: none"> <li>Must be in YYYY-MM-DD format</li> <li>Required for the following ID Types: <ul style="list-style-type: none"> <li>California State ID</li> <li>Driver's License Number</li> <li>Other</li> <li>Other ID Card Number</li> <li>Passport Card Number</li> <li>Passport Number</li> </ul> </li> </ul>
Expiration Date – Month (Expiration Date Month)	<ul style="list-style-type: none"> <li>Date is later than the current date</li> <li>Required when Payment Method is "Online Credit Card"</li> </ul>
Expiration Date – Year (Expiration Date Year)	<ul style="list-style-type: none"> <li>Date is later than the current date</li> <li>Digits can be 0-9</li> <li>Required when Payment Method is "Online Credit Card"</li> <li>Date must be later than current system date.</li> </ul>
Extension	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is "Postal Address"</li> <li>Digits can be 0-9</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Phone</b></p> <ul style="list-style-type: none"> <li>Digits can be 0-9</li> <li>Must be a number</li> </ul>
External Case Number	External Case No. and CCMS Case No. are mutually exclusive and only one may be present.
Eye Color	Only valid when Category is "Person"
Fees for a Peace Officer to Testify in Court	Applicable when Filing Type is "Fee Waiver-Additional"
Fees for Court-Appointed Experts	Applicable when Filing Type is Fee Waiver-Additional
FEIN Number	Not applicable when Category is "Person"
FFA Amount	Digits must be 0-9
Filed By Case Participant XML ID	<ul style="list-style-type: none"> <li>Must be an existing Case Participant XML ID.</li> <li>For each filing, the Filed By Case Participant XML ID and the Refers To Case Participant XML ID are mutually exclusive; only one can be sent.</li> <li>The same Case Participant XML ID cannot be used as a Filed By or Refers To case participant more than once per filing.</li> <li>Each case participant has a Case Participant XML ID; all Case Participant XML IDs must be used as a Filed By or a Refers To case participant on at least one filing.</li> </ul>
Filing Document	Not applicable for Initial Filings
Filing Document PDF	<ul style="list-style-type: none"> <li>PDF Attachment Only</li> <li>Required for the lead document. PDF for additional documents is optional.</li> <li>Not applicable when Case Category is "NCF"</li> </ul>
Filing XML ID	The Filing XML ID for the first filing document must be sequential for each filing (beginning with the number 1) and must be unique.
Financial Assistance	Applicable only if participant is receiving financial assistance under one of the fee waiver programs
First Name	Applicable only when Participant Category is "Person"



Referenced Element	Business Rule Description
Food Stamps	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
Franchise Site Number	Not applicable when Category is “Person”
Gender	Only valid when Category is “Person”
Habitual Residence	<ul style="list-style-type: none"> <li>Values are: <ul style="list-style-type: none"> <li>United States</li> <li>Other</li> </ul> </li> <li>“Other Habitual Residence” only available when Habitual Residence is “Other.”</li> <li>Only valid when Category is “Person”</li> </ul>
Hair Color	Only valid when Category is “Person”
Has Special Needs	<ul style="list-style-type: none"> <li>“Special Needs” only available when Has Special Needs is “Y”</li> <li>Only valid when Category is “Person”</li> </ul>
Health Care	<p>Applicable to:</p> <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> <p>For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</p>
Height in Feet	<ul style="list-style-type: none"> <li>Value must be greater than zero.</li> <li>Only valid when Category is “Person”</li> </ul>
Height in Inches	<ul style="list-style-type: none"> <li>Value must be greater than or equal to zero but less than 12.</li> <li>Only valid when Category is “Person”</li> </ul>
ID Type	Required if a number is entered
ID Value	ID Value should be populated if ID Type is selected.
IHSS	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
Improved Financial Situation	Applicable when Filing Type is “Fee Waiver-Additional”
Injunctive Order	<p>Applicable to:</p> <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> <p>For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</p>
Injunctive Relief	<p>Applicable to:</p> <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> <p>For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</p>
Insufficient Income	<ul style="list-style-type: none"> <li>Allowable values are: <ul style="list-style-type: none"> <li>Waive all Court Fees = A</li> <li>Waive some of the Court Fees = S</li> <li>Make payments over time = T</li> </ul> </li> <li>Only applicable when Filing Type is “Fee Waiver”</li> </ul>
Integration Partner Phone Number	Digits can be 0-9

Referenced Element	Business Rule Description
Internal	Required for the following ID Types: <ul style="list-style-type: none"> <li>Employee ID Number</li> </ul>
Interpreter Required	<ul style="list-style-type: none"> <li>Applicable only when Case Category is Felony, Misdemeanor or Infraction</li> <li>When the case is a Felony, Misdemeanor or Infraction and there are co-defendants, the Interpreter Required and Language fields are not available.</li> </ul>
Is Filing a Testemenatry Trust?	Applicable to “Trust Proceedings” Case Type
Item	Only valid when Category is “Person”
Judgment	Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Judicial Officer	<p>Applicable only when no value is entered for Department.</p> <p>Only applicable when Filing Type is:</p> <ul style="list-style-type: none"> <li>Amendment</li> <li>Appeals</li> <li>Applications</li> <li>Attachments &amp; Supplementals</li> <li>Case Management</li> <li>Challenge</li> <li>Correspondence</li> <li>Declarations/ Affidavits/ Statements</li> <li>Ex Parte</li> <li>Exhibits/ Briefs/ Receipts</li> <li>Fee Waiver</li> <li>Fee Waiver- Additional</li> <li>Inventories/ Appraisals/Reports</li> <li>Judgment/ Dismissal</li> <li>Letters</li> <li>Motions</li> <li>Notices</li> <li>Objections/ Responses</li> <li>Opposition/ Replies</li> <li>Orders</li> <li>Order to Show Cause</li> <li>Petitions</li> <li>Proof</li> <li>Protective/Restraining Orders</li> <li>Reports</li> <li>Requests</li> <li>Stipulation</li> <li>Transcripts</li> <li>Vexatious Litigants</li> <li>Warrants/Issuance Returns</li> </ul>
Jury Fees and Expenses	Applicable when Filing Type is “Fee Waiver-Additional”
Jury Requested	Only applicable when the Filing Type is “Trial/Hearing Setting”

Referenced Element	Business Rule Description
Language	<p><b>(CEB05) Branch: Court. Case. Participant. Languages</b></p> <ul style="list-style-type: none"> <li>Primary Language is applicable when category is “Person”</li> </ul> <p><b>(CEB08) Branch: Court. Case. Event. Language</b></p> <ul style="list-style-type: none"> <li>Applicable when sending participant’s language on non-FMI cases</li> <li>Not applicable when the case is a Felony, Misdemeanor or Infraction and there are co-defendants.</li> <li>When a language is selected, Interpreter Required is automatically checked – unless the Resource Type is FCS Mediator.</li> </ul>
Last Name	Applicable only when Participant Category is “Person”
Lawyer has agreed	Only applicable when Filing Type is: <ul style="list-style-type: none"> <li>Fee Waiver – Additional</li> <li>Fee Waiver</li> </ul>
Left/Right/Both	Only valid when Category is “Person”
Location	<ul style="list-style-type: none"> <li>Required when the Resource Type is “Department”</li> <li>Applicable when a District value is selected</li> <li>Not applicable when the Scheduling Option is “Reserved”</li> </ul>
Long Cause	Only applicable when the Filing Type is “Trial/Hearing Setting”
Medi-Cal	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
Middle Name	Applicable only when Participant Category is “Person”
Minor of Native American Ancestry Indicator	Applicable to “Guardianship of Person Only” Case Type
Modification	<p>Applicable to:</p> <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> <p>For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</p>
Name on Card	Available only when Payment Method is “Online Credit Card”

Referenced Element	Business Rule Description
Name Type	<p>Allowable values for “Juvenile” and “Family Law” Case Categories are:</p> <ul style="list-style-type: none"> <li>• (Null)</li> <li>• AKA (Also Known As)</li> <li>• DBA (Doing Business As)</li> <li>• ESA (Erroneously Sued As)</li> <li>• FDBA (Formerly Doing Business As)</li> <li>• FKA (Formerly Known As)</li> <li>• Alias</li> <li>• Filed As</li> <li>• True Name</li> <li>• Moniker</li> </ul> <p>Allowable values for “Civil,” “Small Claims,” “Mental Health,” “Probate,” and “Appeals” Case Categories are:</p> <ul style="list-style-type: none"> <li>• AKA (Also Known As)</li> <li>• DBA (Doing Business As)</li> <li>• ESA (Erroneously Sued As)</li> <li>• FDBA (Formerly Doing Business As)</li> <li>• Alias</li> <li>• Name on Case</li> <li>• (Null)</li> </ul> <p>Allowable values for “FMI,” “NCF,” and “Tracking” Case Categories are:</p> <ul style="list-style-type: none"> <li>• (Null)</li> <li>• AKA (Also Known As)</li> <li>• DBA (Doing Business As)</li> <li>• Alias</li> <li>• Filed As</li> <li>• True Name</li> <li>• Moniker</li> </ul>
Night Court	Not available when the Scheduling Option is “Reserved”
Other	<p><b>(CEB03) Branch: Court. Case. Filing. Show Cause and Notice</b></p> <ul style="list-style-type: none"> <li>• Applicable to: <ul style="list-style-type: none"> <li>○ Family Law</li> <li>○ Civil Limited</li> <li>○ Civil Unlimited</li> <li>○ Probate Case Categories</li> </ul> </li> <li>• For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</li> </ul> <p><b>(CEB03) Branch: Court. Case. Filing. Notice of Limited Scope</b></p> <ul style="list-style-type: none"> <li>• Applicable only when an attorney is selected.</li> <li>• Applicable to: <ul style="list-style-type: none"> <li>○ Family Law</li> <li>○ Civil Limited</li> <li>○ Civil Unlimited</li> <li>○ Probate Case Categories</li> </ul> </li> </ul>
Other – Describe in Detail	<p><b>(CEB03) Branch: Court. Case. Filing. Notice of Limited Scope</b></p> <p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>

Referenced Element	Business Rule Description
Other (Specify)	<b>(CEB03) Branch: Court. Case. Filing. Show Cause and Notice</b> Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Other Fees	Other Fees Requested field will be checked if this field is populated
Other Habitual Residence	Available only when Habitual Residence is "Other"
P O Box Number (P. O. Box)	<b>(CEB05) Branch: Court. Case. Participant. Address</b> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is "Postal Address"</li> <li>Allowable only when the Address Type is "P. O. Box"</li> <li>Required when Address Type is "P.O. Box"</li> </ul> <b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b> <ul style="list-style-type: none"> <li>Required when Payment Method is "Online Credit Card"</li> <li>Applicable only when Address Type is "P O Box"</li> <li>Required when Address Type is "P.O. Box"</li> </ul>
Parentage	Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the "Issues" tab must be selected.
Participant ID	<b>(CEB08) Branch: Court. Case. Event. Language</b> <ul style="list-style-type: none"> <li>Applicable when sending participant's language on non-FMI cases.</li> </ul> <b>(CEB08) Branch: Court. Case. Event. Resource Information. Person</b> <ul style="list-style-type: none"> <li>Required when the Resource Type is NOT Department</li> <li>Cannot be sent when the Resource Type is "Department"</li> </ul>
Party Address	<ul style="list-style-type: none"> <li>Applicable when Filing Type is "Notices"</li> <li>Case Settled for 10k is "M"</li> </ul>
Party Name	<ul style="list-style-type: none"> <li>Applicable when Filing Type is "Notices"</li> <li>Case Settled for 10k is "M"</li> </ul>
Payment Method	<ul style="list-style-type: none"> <li>Required unless the payment is from a trust or is a Transfer Payment transaction.</li> <li>For credit card payments through e-filing, must use credit card transactions with online authorization.</li> </ul>
Payor First Name (First Name)	<ul style="list-style-type: none"> <li>Applicable only when Participant Category is "Person"</li> <li>Required when a value is chosen for "Payor"</li> <li>Available only when a Role is present</li> <li>Available values depend on participants in the case and the value of "Role"</li> </ul>
Payor Last Name (Last Name)	<ul style="list-style-type: none"> <li>Applicable only when Participant Category is "Person"</li> <li>Required when a value is chosen for "Payor"</li> <li>Available only when a Role is present</li> <li>Available values depend on participants in the case and the value of "Role"</li> </ul>

Referenced Element	Business Rule Description
Payor Middle Name (Middle Name)	<ul style="list-style-type: none"> <li>• Applicable only when Participant Category is “Person”</li> <li>• Required when a value is chosen for “Payor”</li> <li>• Available only when a Role is present</li> <li>• Available values depend on participants in the case and the value of “Role”</li> </ul>
Pension Issues	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Pension Issues – Describe in Detail	<p>Applicable only when an attorney is selected.</p> <p>Applicable to:</p> <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Petitioner Relationship to Case Subject	<p>Applicable to the following Case Types:</p> <ul style="list-style-type: none"> <li>• Probate of Will – Letters Testamentary</li> <li>• Probate of Will – Letters of Administration with Will Annexed</li> <li>• Letters of Administration</li> <li>• Letters of Special Administration</li> <li>• Set Aside Small Estate (Prob 6602)</li> <li>• Spousal Property</li> <li>• Disposition of Small Estate</li> <li>• Real Property of Small Value (Prob 13200)</li> <li>• Trust Proceedings</li> <li>• Compromise of Minor’s Claim (No Civil Case Filed)</li> <li>• Establish Fact of Birth, Death, or Marriage</li> <li>• Other Probate Matter</li> <li>• Proceeding for Particular Transaction where Spouse Lacks Legal Capacity</li> <li>• Capacity Determination and Health Care Decision for Adult without Conservator</li> <li>• Conservatorship of Person and Estate</li> <li>• Conservatorship of Person Only</li> <li>• Conservatorship of Estate Only</li> <li>• Guardianship of Person and Estate</li> <li>• Guardianship of Person Only</li> <li>• Guardianship of Estate Only</li> <li>• Notice to Creditors</li> <li>• Determine Succession to Real Property</li> <li>• Limited Conservatorship of Person and Estate</li> <li>• Limited Conservatorship of Person Only</li> <li>• Limited Conservatorship of Estate Only</li> </ul>
Phone Number (Phone No.)	<ul style="list-style-type: none"> <li>• Required if “Phone Type” is selected</li> <li>• If the number is international, up to 15 characters can be entered</li> <li>• Digits can be 0-9</li> </ul>

Referenced Element	Business Rule Description
Phone Type	<p><b>(CEB05) Branch: Court. Case. Participant. Address. Phone</b></p> <ul style="list-style-type: none"> <li>• Must be only one phone number per phone type.</li> <li>• Phone number may be added without an associated address.</li> <li>• Required when “Select As Primary Number” or “International Phone Number” is selected</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Phone</b></p> <ul style="list-style-type: none"> <li>• Digits can be 0-9</li> <li>• Must support international phone numbers</li> </ul>
Preferred Mode of Delivery	<ul style="list-style-type: none"> <li>• If Receives Notice is “Y,” Preferred Mode of Delivery is required.</li> <li>• If Preferred Mode of Delivery is “Postal Address,” at least one Address must be selected as Case Default.</li> <li>• If Preferred Mode of Delivery is “E-Mail Address,” at least one Address and one E-mail address must be selected as Case Default.</li> <li>• If Preferred Mode of Delivery is “Web Service Address,” at least one Address and one Web Service Address must be selected as the Case Default.</li> </ul>
Primary Vehicle	Only one vehicle can be selected as the Primary Vehicle
Probate Hearing Required	<p>Applicable to the following Case Types:</p> <ul style="list-style-type: none"> <li>• Probate of Will – Letters Testamentary</li> <li>• Probate of Will – Letters of Administration with Will Annexed</li> <li>• Letters of Administration</li> <li>• Letters of Special Administration</li> <li>• Set Aside Small Estate (Prob 6602)</li> <li>• Spousal Property</li> <li>• Disposition of Small Estate</li> <li>• Real Property of Small Value (Prob 13200)</li> <li>• Trust Proceedings</li> <li>• Compromise of Minor’s Claim (No Civil Case Filed)</li> <li>• Establish Fact of Birth, Death, or Marriage</li> <li>• Other Probate Matter</li> <li>• Proceeding for Particular Transaction where Spouse Lacks Legal Capacity</li> <li>• Capacity Determination and Health Care Decision for Adult without Conservator</li> <li>• Conservatorship of Person and Estate</li> <li>• Conservatorship of Person Only</li> <li>• Conservatorship of Estate Only</li> <li>• Guardianship of Person and Estate</li> <li>• Guardianship of Person Only</li> <li>• Guardianship of Estate Only</li> <li>• Notice to Creditors</li> <li>• Determine Succession to Real Property</li> <li>• Limited Conservatorship of Person and Estate</li> <li>• Limited Conservatorship of Person Only</li> <li>• Limited Conservatorship of Estate Only</li> </ul>

Referenced Element	Business Rule Description
Proposed Conservator Guardian Relationship to Case Subject	Applicable to the following Case Types: <ul style="list-style-type: none"> <li>• Proceeding for Particular Transaction where a Spouse Lacks Legal Capacity</li> <li>• Capacity Determination and Health Care Decision for Adult without Conservator</li> <li>• Conservatorship of Person and Estate</li> <li>• Conservatorship of Person Only</li> <li>• Conservatorship of Estate Only</li> <li>• Guardianship of Person and Estate</li> <li>• Guardianship of Estate Only</li> <li>• Limited Conservatorship of Person and Estate</li> <li>• Limited Conservatorship of Person Only</li> <li>• Limited Conservatorship of Estate Only</li> </ul>
Province	Not available when Country is United States
Race	Only valid when Category is "Person"
Receives Notice	If Receives Notice is "Y," Preferred Mode of Delivery is required
Refers to Case Participant XML ID	<ul style="list-style-type: none"> <li>• Must be an existing Case Participant XML ID.</li> <li>• For each filing, the Filed By Case Participant XML ID and the Refers To Case Participant XML ID are mutually exclusive; only one can be sent.</li> <li>• The same Case Participant XML ID cannot be used as a Filed By or Refers To case participant more than once per filing.</li> <li>• Each case participant has a Case Participant XML ID; all Case Participant XML IDs must be used as a Filed By or a Refers To case participant on at least one filing.</li> </ul>
Reporters' Daily Fees	Applicable when Filing Type is Fee Waiver-Additional
Request to Waive Court Fees	Only applicable when Filing Type is "Fee Waiver"
Requested Waiver in Last Six Months	Only applicable when Filing Type is "Fee Waiver"
Restraining Order – Describe in Detail	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Restraining Order – Enforce	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>
Restraining Order – Establish	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>• Family Law</li> <li>• Civil Limited</li> <li>• Civil Unlimited</li> <li>• Probate Case Categories</li> </ul>



Referenced Element	Business Rule Description
Restraining Order – Modify	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Scheduling Notes	Not available when the Scheduling Option is “Reserved”
Scheduling Options	<ul style="list-style-type: none"> <li>Not applicable when the event has a status of “Scheduled”</li> <li>Values in the drop down will include: <ul style="list-style-type: none"> <li>Scheduled</li> <li>Reserved</li> </ul> </li> </ul>
Service Effective Date	<ul style="list-style-type: none"> <li>Must be in YYYY-MM-DD format</li> <li>Only applicable when the service is effective on the case and Filing Type is: <ul style="list-style-type: none"> <li>Proof of Service – Case Initiating Document</li> <li>Proof of Service – General</li> </ul> </li> </ul>
Service Fees (from POS) \$	<ul style="list-style-type: none"> <li>Must be a valid currency amount</li> <li>Only applicable when Filing Type is: <ul style="list-style-type: none"> <li>Proof of Service – Case Initiating Document</li> <li>Proof of Service – General</li> </ul> </li> </ul>
Settlement Received	Only applicable when Filing Type is “Notices”
Short Cause	Only applicable when Filing Type is “Trial/Hearing Setting”
Skin Tone	Only valid when Category is “Person”
Special Needs	Available only when Has Special Needs is “Y” Only valid when Category is “Person”
Spousal Support	Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.
Spousal Support – Describe in Detail	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Spousal Support – Enforce	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>
Spousal Support – Establish	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul>

Referenced Element	Business Rule Description
Spousal Support – Modify	Applicable only when an attorney is selected. Applicable to: <ul style="list-style-type: none"><li>• Family Law</li><li>• Civil Limited</li><li>• Civil Unlimited</li><li>• Probate Case Categories</li></ul>
SSI	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”
SSP	Applicable when Filing Type is “Fee Waiver” and Financial Assistance Indicator = “Y”

Referenced Element	Business Rule Description
State	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>• Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>• State/Birth State can only be sent when the Country/Birth Country is “United States of America”</li> <li>• When Address Type is “Military A.P.O.” or “Military F.P.O.” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>○ &lt;Blank&gt;</li> <li>○ Military in America = AA</li> <li>○ Military (Europe, Mid East, Africa, Canada) = AE</li> <li>○ Military in the Pacific = AP</li> </ul> </li> </ul> <p><b>(CEB05) Branch: Court. Case. Participant. Position Type</b></p> <ul style="list-style-type: none"> <li>• Required when Driver’s License number is populated</li> <li>• Required for the following ID Types: <ul style="list-style-type: none"> <li>○ Bar Number</li> <li>○ Driver’s License Number</li> <li>○ ID Number</li> <li>○ Other ID Card Number</li> <li>○ Professional License Number</li> </ul> </li> </ul> <p><b>(CEB05) Branch: Court. Case. Participant. Vehicle Info</b></p> <ul style="list-style-type: none"> <li>• Required when Driver’s License number is populated</li> <li>• Required for the following ID Types: <ul style="list-style-type: none"> <li>○ Bar Number</li> <li>○ Driver’s License Number</li> <li>○ ID Number</li> <li>○ Other ID Card Number</li> <li>○ Professional License Number</li> </ul> </li> </ul> <p><b>(CEB05) Branch: Court. Case. Participant. ID Info</b></p> <ul style="list-style-type: none"> <li>• Required when Driver’s License number is populated</li> <li>• Required for the following ID Types: <ul style="list-style-type: none"> <li>○ Bar Number</li> <li>○ Driver’s License Number</li> <li>○ ID Number</li> <li>○ Other ID Card Number</li> <li>○ Professional License Number</li> </ul> </li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>• Required when Country is “United States”</li> <li>• Not allowed when Address Type is “International”</li> <li>• When Address Type is “Military A.P.O.” or “Military F.P.O.” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>○ &lt;Blank&gt;</li> <li>○ Military in America = AA</li> <li>○ Military (Europe, Mid East, Africa, Canada) = AE</li> <li>○ Military in the Pacific = AP</li> </ul> </li> </ul>

Referenced Element	Business Rule Description
Street Name	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Not applicable when Address Type is “P.O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Not applicable when Address Type is “P.O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul>
Street No./Number	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Not applicable when Address Type is “P.O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Not applicable when Address Type is “P.O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul>
Street Type	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Applicable only when Address Type is not “P.O. Box,” “Military A.P.O. Box,” or “Military F.P.O. Box”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Applicable only when Address Type is not “P.O. Box”</li> <li>Not applicable when Address</li> </ul>
Subject Date of Birth	Must be in date format: YYYY-MM-DD
Submitted Date/Time	Time Stamp
Subpoena – Service Fee \$	Only applicable when Case Category is “Small Claims”
Suffix	Applicable only when Participant Category is “Person” Not applicable when Organization Name is sent.
Superior Court	Only applicable when Filing Type is “Fee Waiver”
Supreme Court or Appellate Division	Only applicable when Filing Type is “Fee Waiver”
Unconditional Settlement Date of Settlement	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when the Filing Type is “Notices”</li> <li>Applicable only when Filing Document is “Notice of Settlement”</li> </ul>

Referenced Element	Business Rule Description
Unconditional Settlement Date to Dismiss	<ul style="list-style-type: none"> <li>Must be in date format: YYYY-MM-DD</li> <li>Only applicable when the Filing Type is “Notices”</li> <li>Applicable only when Filing Document is “Notice of Settlement”</li> </ul>
Unit Number	<p><b>(CEB05) Branch: Court. Case. Participant. Address</b></p> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> </ul> <p><b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b></p> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> </ul>
Vehicle Identification	Maximum length is 17 digits
Visitation	<p>Applicable to:</p> <ul style="list-style-type: none"> <li>Family Law</li> <li>Civil Limited</li> <li>Civil Unlimited</li> <li>Probate Case Categories</li> </ul> <p>For Family Law, when filing a Modification of OSC (FL-300) or Notice of Motion (FL-301), modification checkbox on at least one or more issue checkboxes on the “Issues” tab must be selected.</p>
Was Dementia Authority Requested	<p>Applicable to the following Case Types:</p> <ul style="list-style-type: none"> <li>Conservatorship of Person and Estate</li> <li>Conservatorship of Person Only</li> <li>Conservatorship of Estate Only</li> <li>Limited Conservatorship of Person and Estate</li> <li>Limited Conservatorship of Person Only</li> <li>Limited Conservatorship of Estate Only</li> </ul>
Weight	<ul style="list-style-type: none"> <li>Must be a positive numeric value.</li> <li>Only valid when Category is “Person”</li> </ul>
Will	<ul style="list-style-type: none"> <li>Allowable values are <ul style="list-style-type: none"> <li>Offered</li> <li>Deposited for safe keeping</li> <li>Foreign Will</li> <li>Lost Will</li> </ul> </li> <li>Applicable to the following Case Types: <ul style="list-style-type: none"> <li>Probate of Will – Letters Testamentary</li> <li>Probate of Will – Letters of Administration with Will Annexed</li> <li>Letters of Administration</li> <li>Letters of Special Administration</li> </ul> </li> </ul>
Will Tracking Number	<p>Applicable to the following Case Types:</p> <ul style="list-style-type: none"> <li>Probate of Will – Letters Testamentary</li> <li>Probate of Will – Letters of Administration with Will Annexed</li> <li>Letters of Administration</li> <li>Letters of Special Administration</li> </ul>

Referenced Element	Business Rule Description
Zip Code	<b>Branch: Court. Case</b> <ul style="list-style-type: none"> <li>Required when Country is United States</li> <li>Digits can be 0-9</li> <li>Must be 5 or 9 digits when Country is “United States of America”</li> <li>When Country is NOT “United States of America” there is no standard input</li> <li>May be in the format: ##### or ##### - ####</li> </ul>
	<b>(CEB05) Branch: Court. Case. Participant. Address</b> <ul style="list-style-type: none"> <li>Address must be sent when Preferred Mode of Delivery is “Postal Address”</li> <li>Required when Country is “United States”</li> </ul>
	<b>(CEB07) Branch: Court. Case. Payment Record. Payor Information. Payor. Address</b> <ul style="list-style-type: none"> <li>Required when Payment Method is “Online Credit Card”</li> <li>Required when Country is “United States”</li> <li>Digits can be 0-9</li> <li>Must be 5 or 9 digits when Country is “United States of America”</li> <li>When Country is NOT “United States of America” there is no standard input</li> <li>When Country = “United States of America,” zip code is required and standard format is XXXXX</li> </ul>
	<b>Success Response</b>
<b>Echoes back the Request plus the following:</b>	
Received Date	YYYY-MM-DD
Received Time	hh:mm or hh:mm:ss
Status	Allowable values is “Received”

## 6.2 Allowable Values

This section provides additional information regarding individual allowable values for elements contained in this data exchange. Essentially, allowable values come in two forms—AOC codes or Boolean types. This section includes subsections that list the elements by type with specific instructions for each type.

### 6.2.1 AOC code values

This section provides the schema location for the allowable values and description of elements contained in this exchange. Table 2 identifies the element name as it appears in the mapping spreadsheet, and the name of the specific schema that contains the values and descriptions. All allowable value schemas are found by following this path in the exchange .zip file:

- INI820/SchemaDefinitions/CAJUD/CommonEnumeration

Table 2. AOC Code Values

Element Name	Schema Name
<b>Request</b>	
Address Type	AddressType.xsd
Appointment Type	AppointmentType.xsd

Element Name	Schema Name
Association Type	AssociationType.xsd
Birth County	Country.xsd
Birth State	State.xsd
Body Part	ScarsMarksTattoosBodyPart.xsd
Build	PersonBuild.xsd
Card Type	CreditCardType.xsd
Case Type	CaseType.xsd
City	When Address Type is “Military APO” or “Military FBO,” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>• &lt;Blank&gt;</li> <li>• Military in America = AA</li> <li>• Military (Europe, Mid East, Africa, Canada) = AE</li> <li>• Military in the Pacific = AP</li> </ul>
County	County.xsd
Date of Birth Type	DOBType.xsd
Day of Week	WeekDay.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Monday = MON</li> <li>• Tuesday = TUE</li> <li>• Wednesday = WED</li> <li>• Thursday = THU</li> <li>• Friday = FRI</li> </ul>
Direction	StreetDirection.xsd

Element Name	Schema Name
External Case Xref Type	<p>ExternalCaseType.xsd</p> <p>This exchange only allows the following enumerated values for this element:</p> <ul style="list-style-type: none"> <li>• Booking Number = BKNMBR</li> <li>• CDSS = CDSSNMBR</li> <li>• Citation Number = CTNNMBR</li> <li>• City Attorney Case File Number = CTYATYCSFLNMBR</li> <li>• Companion = CMPNAIN</li> <li>• Consolidated Petition Number = CONSOLPETITIONNUM</li> <li>• Crime Report Number = CRIMEREPORTNUM</li> <li>• Criminal = 205200, CRIMINAL</li> <li>• CWS Number = CWSNMBR</li> <li>• DA Case File Number = DACSNMBR</li> <li>• DCA Appeals Case Number = DCAACN</li> <li>• DCFS Case Number = DCFSNMBR</li> <li>• DCSS Case Number = DCSSCSNMBR</li> <li>• DCSS Participant Number = DCSSPRTNR</li> <li>• District Attorney = 205201, DA</li> <li>• DR Number = DRNMBR, DRNUM</li> <li>• Filing Agency Case = FILEAGENCY</li> <li>• Fingerprint Card Number = FINGPRNT</li> <li>• Judicial District Number = JUDDISNUM</li> <li>• Other = OTHR, OTHRNMBR</li> <li>• Petition Number = PETITIONNUM</li> <li>• Probation Case Number = PROCSNMBR</li> <li>• Public Defender Case Number = PDCN</li> <li>• Refiled Case Number = RFCASENUM</li> <li>• Search Warrant Number = SWNUM</li> <li>• Sheriff's ID number = SHRFNMBR</li> <li>• Supreme Court Appeals Case Number = SCACN</li> <li>• Warrant Control Number = WARCONNUM</li> <li>• Warrant Number = WRNTNMBR</li> </ul>
Eye Color	EyeColor.xsd
Filing Type	FilingType.xsd
Gender	Gender.xsd
Hair Color	HairColor.xsd
Item	ScarsMarksTattoosItem.xsd
Left/Right/Both	ScarsMarksTattoosSide.xsd
Name Type	NameType.xsd
Participant Category	ParticipantType.xsd
Payor Name – Category	ParticipantType.xsd



Element Name	Schema Name
Phone Type	PhoneType.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Home Phone = HOM</li> <li>• Business Phone = BUS</li> <li>• Fax = FAX</li> <li>• Cell Phone = CELL</li> <li>• Pager = PGR</li> <li>• Work Phone = WRK</li> <li>• Message Phone = MSGPH</li> <li>• Alternate Phone = ALTPH</li> <li>• Other = OTH</li> </ul>
Position Type	PositionType.xsd
Race	Race.xsd
Resource Type	ResourceType.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Arbitrator = ARB</li> <li>• Department = DEPTR</li> <li>• FCS Mediator = MEDFCS</li> <li>• Judicial Assistant = JA</li> <li>• Judicial Officer = DEPTJO</li> <li>• Mediator = MED</li> <li>• Temporary Judge = TJ</li> </ul>
Role	ParticipantRole.xsd
Scheduling Options	EventStatus.xsd
Skin Tone	SkinTone.xsd
State	State.xsd When Address Type is “Military APO” or “Military FBO,” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>• &lt;Blank&gt;</li> <li>• Military in America = AA</li> <li>• Military (Europe, Mid East, Africa, Canada) = AE</li> <li>• Military in the Pacific = AP</li> </ul>
Street Type	StreetCode.xsd
Tribal Response	ICWATribeResponse.xsd
<b>Success Response</b>	
Address Type	AddressType.xsd
Appointment Type	AppointmentType.xsd
Association Type	AssociationType.xsd
Birth County	Country.xsd
Birth State	State.xsd
Body Part	ScarsMarksTattoosBodyPart.xsd
Build	PersonBuild.xsd
Card Type	CreditCardType.xsd
Case Type	CaseType.xsd

Element Name	Schema Name
City	When Address Type is “Military APO” or “Military FBO,” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>• &lt;Blank&gt;</li> <li>• Military in America = AA</li> <li>• Military (Europe, Mid East, Africa, Canada) = AE</li> <li>• Military in the Pacific = AP</li> </ul>
County	County.xsd
Date of Birth Type	DOBType.xsd
Day of Week	WeekDay.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Monday = MON</li> <li>• Tuesday = TUE</li> <li>• Wednesday = WED</li> <li>• Thursday = THU</li> <li>• Friday = FRI</li> </ul>
Direction	StreetDirection.xsd
External Case Xref Type	ExternalCaseType.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Booking Number = BKNMBR</li> <li>• CDSS = CDSSNMBR</li> <li>• Citation Number = CTNNMBR</li> <li>• City Attorney Case File Number = CTYATYCSFLNMBR</li> <li>• Companion = CMPNAIN</li> <li>• Consolidated Petition Number = CONSOLPETITIONNUM</li> <li>• Crime Report Number = CRIMEREPORTNUM</li> <li>• Criminal = 205200, CRIMINAL</li> <li>• CWS Number = CWSNMBR</li> <li>• DA Case File Number = DACSNMBR</li> <li>• DCA Appeals Case Number = DCAACN</li> <li>• DCFS Case Number = DCFSNMBR</li> <li>• DCSS Case Number = DCSSCSNMBR</li> <li>• DCSS Participant Number = DCSSPRTNR</li> <li>• District Attorney = 205201, DA</li> <li>• DR Number = DRNMBR, DRNUM</li> <li>• Filing Agency Case = FILEAGENCY</li> <li>• Fingerprint Card Number = FINGPRNT</li> <li>• Judicial District Number = JUDDISNUM</li> <li>• Other = OTHR, OTHRNMBR</li> <li>• Petition Number = PETITIONNUM</li> <li>• Probation Case Number = PROCSNMBR</li> <li>• Public Defender Case Number = PDCN</li> <li>• Refiled Case Number = RFCASENUM</li> <li>• Search Warrant Number = SWNUM</li> <li>• Sheriff's ID number = SHRFNMBR</li> <li>• Supreme Court Appeals Case Number = SCACN</li> <li>• Warrant Control Number = WARCONNUM</li> <li>• Warrant Number = WRNTNMBR</li> </ul>
Eye Color	EyeColor.xsd
Filing Type	FilingType.xsd

Element Name	Schema Name
Gender	Gender.xsd
Hair Color	HairColor.xsd
Item	ScarsMarksTattoosItem.xsd
Left/Right/Both	ScarsMarksTattoosSide.xsd
Participant Category	ParticipantType.xsd
Payor Name – Category	ParticipantType.xsd
Phone Type	PhoneType.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Home Phone = HOM</li> <li>• Business Phone = BUS</li> <li>• Fax = FAX</li> <li>• Cell Phone = CELL</li> <li>• Pager = PGR</li> <li>• Work Phone = WRK</li> <li>• Message Phone = MSGPH</li> <li>• Alternate Phone = ALTPH</li> <li>• Other = OTH</li> </ul>
Position Type	PositionType.xsd
Race	Race.xsd
Resource Type	ResourceType.xsd This exchange only allows the following enumerated values for this element: <ul style="list-style-type: none"> <li>• Arbitrator = ARB</li> <li>• Department = DEPTR</li> <li>• FCS Mediator = MEDFCS</li> <li>• Judicial Assistant = JA</li> <li>• Judicial Officer = DEPTJO</li> <li>• Mediator = MED</li> <li>• Temporary Judge = TJ</li> </ul>
Role	ParticipantRole.xsd
Scheduling Options	EventStatus.xsd
Skin Tone	SkinTone.xsd
State	State.xsd When Address Type is “Military APO” or “Military FBO,” the City element must be populated with one of the State.xsd values below: <ul style="list-style-type: none"> <li>• &lt;Blank&gt;</li> <li>• Military in America = AA</li> <li>• Military (Europe, Mid East, Africa, Canada) = AE</li> <li>• Military in the Pacific = AP</li> </ul>
Street Type	StreetCode.xsd
Tribal Response	ICWATribeResponse.xsd
<b>Error Response</b>	
Status	Allowable values are: <ul style="list-style-type: none"> <li>• Error</li> <li>• Rejected (not a code schema)</li> </ul>
Error Code	See “ <i>CCMS Data Exchange Errors</i> ” for a list of all errors.
Error Description	See “ <i>CCMS Data Exchange Errors</i> ” for a list of all errors.

## 6.2.2 Court configurable elements

Each local court has the ability to create, edit, and remove allowable values for some data elements.

- There are no court configurable data elements.

In practice, many of these values are standard across courts, but integration partners should contact their local court administrator for an authoritative list of the court-specific allowable values. These values will be contained in the Court Policy File, which describes specific court business and operational rules (e.g., hours of operation, code lists, and fee schedules) in a machine-readable format. The Court Policy File for each local court will be developed as part of the CCMS deployment of that court. Integration partners can learn more about the Court Policy File by referring to the “*Court Policy File Overview*.”

## 6.2.3 Indicator element allowable values

This exchange includes numerous ‘Indicator’ elements that provide additional information related to a specific condition or scenario. The following is a list of indicator elements contained in this exchange. The allowable values for each element must be either ‘Y’ for yes or ‘N’ for no. Integration partners should not rely on the exchange mapping spreadsheets for the indicator element allowable values, but rather the exchange schemas contained in the appropriate schema folder.

These schemas are the authoritative source for the indicator allowable values:

- Accept Judicial Officer
- Active Military Duty
- Amended
- Attorney Fees and Costs
- CalWorks or TANF
- CAPI
- Child Custody
- Child Custody and Visitation – Enforce
- Child Custody and Visitation – Establish
- Child Custody and Visitation – Modify
- Child Support
- Child Support – Enforce
- Child Support – Establish
- Child Support – Modify
- Citation Issued
- Commercial Vehicle

- Confidentiality Indicator
- Contempt
- County Relief or General Assistance
- Court-Appointed Interpreter Fees for a Witness
- Decline Judicial Officer
- Defective
- Disqualification on Case
- Division of Property
- Ex Parte
- Fees for a Peace Officer to Testify in Court
- Fees for Court-Appointed Experts
- Financial Assistance
- Food Stamps
- Has Special Needs
- Hazardous Material
- Health Care
- IHSS
- Improved Financial Situation
- Injunctive Order
- Injunctive Relief
- Internal
- International Phone Number Indicator
- International Phone Number Indicator
- Interpreter Required
- Investigator Assigned
- Judgment
- Jury Fees and Expenses
- Jury Requested
- Lawyer has agreed
- Long Cause
- Medi-Cal
- Minor of Native American Ancestry Indicator
- Modification

- Night Court
- Other
- Other
- Owner's Responsibility
- Parentage
- Pension Issues
- Primary Language Indicator
- Primary Vehicle
- Probate Hearing Required
- Recusation on Case
- Reporters' Daily Fees
- Request to Waive Court Fees
- Requested Waiver in Last Six Months
- Restraining Order – Enforce
- Restraining Order – Establish
- Restraining Order – Modify
- Settlement Received
- Short Cause
- Spousal Support
- Spousal Support – Enforce
- Spousal Support – Establish
- Spousal Support – Modify
- SSI
- SSP
- Superior Court
- Supreme Court or Appellate Division
- Visitation
- Was Dementia Authority Requested

### **6.3 Business Processes**

There are no additional business processes that impact the exchange.

## ***6.4 Data Classification***

As of this writing, no security classifications or restrictions to the data contained in this exchange have been identified.

### **6.4.1 Privacy considerations**

As of this writing, no specific privacy considerations for the content contained in this exchange have been identified.





## **7.0 ISB Message Processing**

### **7.1 Routing**

The ISB performs message routing for this data exchange. Routing rules are based on the content contained in the Common Service Header, which is described in the “*CCMS Data Exchange Common Technical Requirements*.”

- No additional routing rules apply.

### **7.2 Message Interactions**

All messages sent from the ISB are processed synchronously in real time. This means messages received by the trial court are validated, translated to the appropriate format, and routed to the destination immediately.

Refer to the “*Data Exchange Functional Design Guidelines*” for an additional description of the message interactions with the ISB and CCMS.



## Appendix A. Acronyms

Table 3. Acronyms

Acronym	Name
ACCMS	Appellate Court Case Management System
AOC	Administrative Office of the Courts
CCMS	California Case Management System
CCTC	California Courts Technology Center
CEB	Common Element Block
DI	data integration
F&F	Fees and Fines
FTA	Failure To Appear
IP	integration partner
ISB	Integrated Services Backbone
LIAM	Local Integration Assessment Methodology
NIEM	National Information Exchange Model
OWSM	Oracle Web Services Management
RRA	request/reply-asynchronous
RRS	request/reply-synchronous
SDD	Service Description Document
SLA	service level agreement
SME	subject matter experts
URL	Universal Resource Locator
WSDL	Web Services Description Language
XML	eXtensible Markup Language

