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**SUPREME COURT
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IN THE

SUPREME COURT OF CALIFORNIA

Frank A. McGuire Clerk

Deputy

**ELAINA NOVOA, INDIVIDUALLY AND AS PERSONAL
REPRESENTATIVE OF THE ESTATE OF ALYSSA GOMEZ,
DECEASED,**

**Plaintiff and
Petitioner,**

vs.

**CALIFORNIA DEPARTMENT OF MENTAL HEALTH;
CLIFF ALLENBY, AND STEPHEN MAYBERG,**

**Defendants and
Respondents.**

After A Decision By The Court Of Appeal
Second Appellate District, Division Three
Case No. B248603

Vacating An Order Of The Superior Court Of Los Angeles County
The Honorable John L. Segal
Case No. BC487936

PETITION FOR REVIEW

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QUESTIONS PRESENTED FOR REVIEW

1. Under the Sexually Violent Predator Act, before an inmate who “may be a sexually violent predator” can be released, the Department of Mental Health must conduct a “full evaluation” of that person to determine whether he should be civilly committed and treated, rather than set free. Has the agency complied with the Legislature’s mandate if it merely has an expert conduct a limited review of the inmate’s file?
2. If the inmate harms someone after being released without the mandatory full evaluation, under what circumstances may a court hold as a matter of law at the pleading stage that the injured party could never establish “proximate cause” in an action against the agency?

WHY REVIEW SHOULD BE GRANTED

This case presents two important questions of law that have far-reaching implications for public safety, in addition to addressing the manner in which state agencies must carry out legislative commands and a potential split of authority among courts as to when a citizen may pursue a cause of action for damages against such an agency.

The first question involves the Department’s¹ duties under the Sexually Violent Predator Act. The SVPA was enacted by the Legislature in 1995 and later strengthened by Jessica’s Law, a proposition voted into law by 70% of the California electorate in 2006. (See Welf. & Inst. Code § 6600 *et seq.*)² Under the SVPA, if the Department of Corrections determines that an inmate “may be a sexually violent predator,” it must

¹ The California Department of Mental Health is now called the Department of State Hospitals. This brief refers to it simply as “the Department.”

² The applicable versions of sections 6600 and 6601 are those that were in effect in 2007. Copies are attached to this petition along with the Court of Appeal’s opinion.

refer that inmate to the Department for a “full evaluation” prior to his or her release. (Id. § 6601, subd. (b).) The Department “shall evaluate the person” referred in order to determine whether the person is in fact likely to be a sexually violent predator. (Id. § 6601, subd. (c).) The law further provides that the person shall be evaluated by two medical experts whose task is to determine whether the person “has a diagnosed mental disorder so that he or she is likely to engage in acts of sexual violence without appropriate treatment and custody” (Id. § 6600, subd. (d).) If both experts concur that the person does have such a disorder, the Department shall recommend to the relevant district attorney that the person be civilly committed for treatment. (Id.) The point of the law, of course, is to protect members of the public from former inmates who might otherwise be released despite a likelihood that, without treatment, they will commit further serious crimes.

The Department has failed to comply with these requirements for years, meaning that tens of thousands of potential predators have been released without the full evaluation mandated by the SVPA. It assigns only one expert, not two, and the single expert does no more than review the inmate’s abbreviated paper file. (Complaint at ¶¶ 28-31.³) The file is more often than not incomplete, and face-to-face clinical evaluations are never conducted. (Id.) In other words, the Department claims its single expert can fully “evaluate the person” for signs of a serious mental disorder without ever even meeting that person.

³ Since the issues Petitioner raises here were resolved below at the demurrer stage, the facts Petitioner pleaded below must be taken as true. (*Casterson v. Superior Court* (2002) 101 Cal.App.4th 177, 182.) Petitioner attached the operative complaint as Exhibit A to her opposition in the Court of Appeal.

Thousands of inmates have been cleared for release after this cursory review. According to the Department's own statistics, in fact, 74 percent of the 51,515 inmates referred since 1996—well over 38,000 inmates, each of whom was referred in the first place because the Department of Corrections had reason to believe they “may be a sexually violent predator”—have been released without the required “full evaluation” by two mental-health professionals. In 2007, one of those former inmates raped and murdered Elaina Novoa's 15-year-old sister just four days after his release.

Elaina Novoa later sued the Department, arguing that its breach of the statutory duty was a cause of her sister's death. After the trial court overruled the Department's demurrer, it sought a writ of mandate. The Court of Appeal found that Novoa had adequately alleged that the Department breached its mandatory duty to assign two medical-health professionals instead of one. (See *Department of Mental Health v. Superior Court (Novoa)*, No. B248603, slip op. at p. 17 (Cal. App. 2 Dist., Oct. 30, 2013). But despite the statutory language specifying a “full evaluation,” the court did not believe that a “full” or “in-person” evaluation was actually mandated. (Id. at p. 16.) Effectively, then, the court ruled that conducting a cursory file review is sufficient to fully evaluate a potentially violent inmate prior to his release, as long as the Department conducts *two* cursory file reviews. That both ignores the statutory language and frustrates the purpose of the SVPA, raising important issues of law that warrant this Court's review.

The second question presented is when it is appropriate for a court to hold as a matter of law at the pleading stage that a plaintiff injured by an improperly released inmate could never establish causation in a suit against an agency such as the Department. According to the Court of Appeal, so

long as there is some element of discretion in the causal chain of events that led to the plaintiff's injury, this discretion constitutes an "intervening step" that breaks the causal chain.

But the mere existence of discretion does not necessarily constitute a superseding or intervening cause, which this Court has held is "an independent event [that] intervenes in the chain of causation, producing harm of a kind and degree so far beyond the risk the original [wrongdoer] should have foreseen that the law deems it unfair to hold him responsible." (*In re Ethan C.* (2012) 54 Cal.4th 610, 641 [citations omitted]; see also *Kahn v. East Side Union High School Dist.* (2003) 21 Cal.4th 990, 1016-17.) More importantly, it was improper to determine this at the pleading stage, denying Novoa any opportunity to develop and present fact and expert witness testimony on the issue. (See *Landeros v. Flood* (1976) 17 Cal.3d 399, 406, 412-13 [holding that the plaintiff should have the opportunity to develop evidence that the defendants' failure to report suspected child abuse was a sufficient proximate cause of the child's injuries].) Only in rare cases should a court determine that causation cannot be established as a matter of law based only on the pleadings, and this was not such a case.

In holding to the contrary, the Court of Appeal's decision highlights confusion in the lower courts as to when such a determination can be made. Some courts have held at the pleading stage that proximate cause could not be established based on similar allegations, and the Court of Appeal relied on this authority. But in the *Alejo* case on which Novoa relied, the court held that whether a police officer's breach of a mandatory duty to report child abuse could be considered a cause of the plaintiff's injuries, at the hands of a third party, was a question of fact. (*Alejo v. City of Alhambra*

(1999) 75 Cal.App.4th 1180, 1192.) In rejecting *Alejo* here, the Court of Appeal stated only that the case was distinguishable because it had “involved a different statutory scheme than the SVPA.” (App. A at p. 22.) But it did not explain why this was a difference that mattered to a proximate-cause determination, let alone why it meant Novoa’s claims necessarily failed at the pleading stage. This Court should also grant review, therefore, to clarify existing law as to whether, and when, causation can be determined as a matter of law at this early stage, and to ensure uniformity of decision on this important issue.

SUMMARY OF THE CASE

Alyssa Novoa was murdered in 2007 by Gilton Pitre, a convicted sex offender who had been released after a review under the SVPA by the Department. Her sister, Elaina Novoa, sued the Department as well as its former and acting directors for negligence, negligence *per se*, and writ of mandate.

On October 2, 2012, Respondents demurred to Novoa’s First Amended Complaint. The trial court sustained the demurrer as to all three causes of action with leave to amend, and Novoa filed a Second Amended Complaint on January 7, 2013. On February 8, 2013, Respondents again demurred, claiming Novoa had failed to plead facts sufficient to constitute a cause of action because Welfare and Institutions Code section 6601 did not impose any mandatory duties, or if it did, any alleged breach of such a duty was not a legal cause of the injury. Additionally, Respondents alleged they are shielded from liability for their conduct under Government Code sections 820.2, 845.8 and 856(a).

The Honorable John L. Segal issued an order overruling Respondents’ second demurrer on April 15, 2013. Judge Segal found that

sections 6601(c)-(d) impose a mandatory duty upon the Department to “use two evaluators to formulate and apply a standardized assessment protocol that considers specific factors under sections 6601(c)-(d).” (Ruling on Submitted Matter at p. 4.⁴) The ruling stated that because Respondents had failed to perform a mandatory duty, immunity under Government Code sections 820, 845.8, and 856(a) did not apply. (Id. at pp. 6-7.) As well, Judge Segal found that Petitioner had pled facts sufficient to allege causation. (Id. at pp. 4-5.)

On May 10, 2013, Respondents petitioned for a writ of mandate asking the Court of Appeal to overturn Judge Segal’s order and sustain their demurrer without leave to amend. Oral argument was held on July 17, 2013, and the Court of Appeal issued its opinion on October 30, 2013. Novoa petitioned for rehearing on November 14, 2013, but the Court of Appeal denied the petition on November 18, allowing the opinion to become final on November 29, 2013. The opinion orders a writ of mandate “directing the superior court to (1) vacate the order dated April 15, 2013 and (2) enter a new order sustaining [Petitioners’] demurrer to the [negligence and negligence *per se*] causes of action [] without leave to amend and overruling their demurrer to the [writ of mandate] cause of action in the second amended complaint.” *Novoa*, slip op. at p. 25.

LEGAL DISCUSSION

⁴ Judge Segal’s ruling was attached as Exhibit 16 to Novoa’s opposition to the petition for writ of mandate.

I. This Court Should Grant Review to Ensure that the Department Fully Complies with the Mandatory Obligations Imposed on It by the Legislature.

The Department cannot effectively perform worthwhile evaluations under the SVPA if it is only required to perform one of several mandatory obligations. The appellate court's holding fails to capture the extent of mandatory duties under the SVPA pertaining to the psychiatric evaluation and civil commitment, which are: (1) the duty to develop a standardized assessment protocol for a full evaluation for evaluators to apply; (2) the duty to assign two mental health professionals to perform a full evaluation with that protocol; and (3) upon a positive finding after a full evaluation by two assigned mental health professionals, the duty to refer the inmate for civil commitment proceedings. (See Pet. for Reh'g. at pp. 2-5.)

Holding that the SVPA only imposes one mandatory duty to assign two mental health professionals, the Court of Appeal incorrectly concluded that "[w]hether [Respondents] satisfied their obligation under the SVPA to conduct a full evaluation necessarily requires a normative or qualitative assessment. The general obligation to conduct a full evaluation therefore is not mandatory for purposes of Government Code section 815.6." (App. A. at p. 16.) This finding ignores the statute's plain language, is inconsistent with accepted medical practice, and circumvents the statute's purpose and intent.

A. The statute's plain language requires a full evaluation.

The Legislature clearly delineated the roles of the two state agencies when enacting the SVPA and created a different level of review for which each agency would be responsible. The first review is an initial screening conducted by the Department of Corrections based on "the person's social,

criminal, and institutional history.” (Welf. & Inst. Code § 6601, subd. (b).) “If as a result of this screening it is determined that the person is likely to be a sexually violent predator,” the Department of Corrections must refer the inmate to the Department for the second level of review, or a “full evaluation.” (Id.) Once the inmate has been referred for a full evaluation, the Department “shall evaluate that person with a standardized assessment protocol” to determine if the inmate has a diagnosable mental disorder, and “the person shall be evaluated by two practicing psychiatrists or psychologists, or one practicing psychiatrist and one practicing psychologist.” (Id. §§ 6601, subds. (c)-(d).) The protocol “shall require assessment of diagnosable mental disorders, as well as various factors known to be associated with the risk of re-offense among sex offenders.” (Id. §6601, subd. (c).)

The statute’s language is clear. The Department must “fully evaluate” every inmate referred, which means something more than the Department’s file review. The statute mandates that the Department develop a protocol for use by the mental health professionals in conducting a full evaluation, and that was done. However, the Department developed an illegal, intermediate level screening to be applied by a single evaluator prior to referral to two evaluators and the application of the protocol for a full evaluation. As this Court has stated, courts must not construe statutes that would render certain provisions unnecessary or redundant. (*Kleffman v. Vonage Holdings Corp.* (2010) 49 Cal.4th 334, 345.) If, as the Court of Appeal suggests, the Department is not required to do anything more than review the same records that were already reviewed by the Department of Corrections pursuant to 6601(b), this would make the Department’s role duplicative and unnecessary under 6601(c) and would turn the phrase “full

evaluation” into “meaningless surplussage.” (See *Metcalf v. County of San Joaquin* (2008) 42 Cal.4th 1121, 1135.) There is no statutory authorization for the development and application of a screening protocol less than that for a full evaluation, and to hold otherwise undermines the stated purpose of the statute.

B. A diagnosis requires an in-person clinical assessment.

The Court of Appeal’s decision that a full evaluation does not require an in-person clinical evaluation is a misreading of the statute. (See *People v. Valldoli* (2006) 13 Cal.4th 590, 604 [noting “the canon of statutory construction that directs us to interpret legislative enactments to avoid absurd results”].) Under the Court of Appeal’s rationale, a mental health professional may “diagnose” someone based solely on the limited records he or she has received from the inmate’s file. This, again, is contrary to the plain meaning of the statute. A diagnosis requires a clinical evaluation, which necessarily involves direct observation of the offender. (See Complaint at ¶ 26 [noting that the Legislature has provided that “[t]he sexually violent predator civil commitment program requires *clinical evaluations* of potential sexually violent predators”].) The word “clinical” is defined as: “(1) of, relating to, or conducted in or as if in a clinic: as (a) involving direct observation of the patient (b) based on or characterized by observable and diagnosable symptoms.” (Opp. Writ of Mandate at p. 8, fn. 3.) Moreover, it is a commonly accepted practice in the medical industry to conduct an in-person evaluation of a patient before giving – or ruling out – a particular diagnosis. There is no indication that the Legislature intended something different here. (Cf. *Rabuck v. Superior Court* (Dec. 6, 2013, G046936) __ Cal.App.4th __ [2013 WL 6384532, at *6] [noting that inmate received two clinical interviews as part of his full

evaluation to determine whether he “met the criteria for commitment as a sexually violent predator.”].)

C. The Legislature determined that a full evaluation is necessary to protect California citizens from sexual predators.

Last, the Court of Appeal’s ruling frustrates the purpose of the SVPA and puts California citizens at risk. Unlike parole statutes designed to help offenders reintegrate into society, the SVPA’s purpose is to confine and treat offenders not yet ready for release into the general population. As this Court has recognized, the Legislature unambiguously declared the purposes for the statute’s 1995 enactment were two-fold – to protect the public and to provide treatment to mentally ill inmates

[A] small but extremely dangerous group of sexually violent predators that have diagnosable mental disorders can be identified while they are incarcerated. *These persons are not safe to be at large and if released represent a danger to the health and safety of others in that they are likely to engage in acts of sexual violence.* . . . It is the intent of the Legislature that once identified, these individuals, if found to be likely to commit acts of sexually violent criminal behavior beyond a reasonable doubt, be confined and treated until such time that it can be determined that they no longer present a threat to society.

(Opp. Writ of Mandate at p. 4; see also *Moore v. Superior Court* (2010) 50 Cal.4th 802, 814-15 [“The SVPA targets a select group of convicted sex offenders whose mental disorders predispose them to commit sexually violent acts if released following punishment for their crimes. The Act confines and treats such persons until their dangerous disorders recede and they no longer pose a societal threat.” (internal citation omitted)].)

The Department thwarts this legislative intent by conducting its file review of inmate referrals from the Department of Corrections. This

process has released thousands of flagged inmates without ever having a full evaluation, despite the fact that the Legislature has deemed that full evaluations are necessary to determine whether or not an inmate has a diagnosable disorder and should be released or referred for civil commitment. It is not for the agency to determine otherwise. Nonetheless, the Court's opinion tacitly approves the Department's ineffectual and illegal cursory paper screens, allowing the agency to continue to evade an important legislative and popular mandate. (See *Superior Court v. County of Menocino* (1996) 13 Cal.4th 45, 53 ["The executive branch, in expending public funds, may not disregard legislatively prescribed directives and limits pertaining to the use of such funds."].)

II. The Court Should Grant Review To Clarify The Standards For Determining Causation As A Matter Of Law At The Pleading Stage.

The Court of Appeal's erroneous conclusion that Novoa "cannot establish the element of proximate causation" permits Respondents to continue their unlawful cursory evaluations without any redress for the victims and their families. In so holding, the lower appellate court furthered a split of opinion on whether, in cases involving breach of a mandatory duty, causation is too speculative at the pleading stage when the statutory scheme involves both mandatory actions and discretionary steps. *Whitcombe* and its progeny held yes, while *Alejo* and similar cases – including a case decided by this Court – have reached the opposite conclusion. This Court should grant review in order to secure uniformity of decision within the courts of California. (Cal. Rules of Court, rule 8.500(b)(1).)

A. *Whitcombe* and its progeny foreclose a breach of mandatory duty claim.

The *Whitcombe* cases found that causation was too speculative at the pleading stage when the defendant had allegedly breached a mandatory duty to investigate and there was no required action at the conclusion of that investigation. In *Fleming*, a parolee with a history of pedophilia was released from prison, and the terms of his parole included that he not leave the state or associate with children. (*Fleming v. State of California* (1995) 34 Cal.App.4th 1378, 1383-84.) His parole officer was informed that the parolee had violated the terms of his parole, but he took no action to arrest him. (Id.) The parolee killed plaintiffs' family member, and they sued the state and the parole officer for breach of a mandatory duty for their failure to arrest the parolee pursuant to Penal Code section 3059. (Id.) Affirming dismissal of the action, the court of appeal found that the statute at issue did not impose a mandatory duty on defendants. (Id. at p. 1384.) The court also found that plaintiffs could not establish proximate cause because of defendants' failure to arrest the parolee "since arrest without a period of incarceration would not necessarily have prevented the crime." (Id.) Incarceration, the court reasoned, "would have involved procedural steps involving the exercise of discretion and thus have broken the causal chain." (Id.)

Similarly in *Whitcombe*, plaintiffs claimed that pursuant to Penal Code sections 1203.10 and 1203.12, the county failed to investigate and report the alleged parole violations of a parolee who subsequently harmed the plaintiffs. (*Whitcombe v. County of Yolo* (1977) 73 Cal.App.3d 698, 703.) The court of appeal found proximate cause to be lacking at the pleading stage because even if the county had investigated the violations and submitted a report to the trial court recommending that parole be

revoked, the court was not bound by that recommendation and had substantial discretion to reach its own conclusion. (Id. at p. 708.)

Last, in *Perry*, plaintiffs sued the state's real estate commissioner for failure to investigate their allegations that an agent's trust account had irregularities. (*State of California v. Superior Court* (1984) 150 Cal.App.3d 848, 852 (*Perry*)). The court found that while a real estate commissioner has a duty to investigate complaints under Business and Professions Code section 10176, he or she is not required to initiate proceedings to suspend or revoke a real estate license. (Id. at pp. 857-58.) The court of appeal sustained the demurrer, holding in part that the commissioner's failure to investigate prior complaints was not the proximate cause of plaintiffs' loss because the commissioner had discretion in what action, if any to take after an investigation. (Id. at pp. 858-59.)

B. *Alejo, Henderson, and this Court's decision in Landeros reached contrary results.*

The Court of Appeal adopted the rationale of *Fleming, Whitcombe, and Perry* in finding that Petitioner's causation allegations were too speculative at the pleading stage, and refused to apply cases that had reached an opposite conclusion. In *Alejo*, plaintiff sued the city and a police officer for failing to carry out their mandatory duty to investigate and report known or suspected child abuse under Penal Code section 11166, subdivision (a). (*Alejo, supra*, 75 Cal.App.4th at pp. 1185-86.) Plaintiff reported abuse against his son, but defendants failed to initiate an investigation. (Id. at p. 1184.) Although defendants contended that causation was too speculative because it was "unknowable" what would have resulted from the investigation, the court rejected this argument. (Id. at p. 1191.) The court found that because the plaintiff child's subsequent

severe beating occurred six weeks after his father had reported the child abuse, “the county welfare department would have had ample time to respond and provide [plaintiff] with protection from further abuse” had the facts related by the father been reported. (Id. at pp. 1191-92.) Moreover, “it is not difficult to believe the county welfare department would have taken affirmative steps to protect [plaintiff]. Whether or not the department would have done so is not a matter of speculation but a question of fact to be determined at trial through expert testimony.” (Id. at p. 1192.)

A recent decision, *Henderson v. Newport-Mesa Unified School District* (2013) 214 Cal.App.4th 478, further illustrates the notion that a plaintiff can prove proximate causation for harm under a statute with a mandatory duty not requiring a certain outcome. In *Henderson*, a statute mandated consideration of a teacher for an available position, but did not “ultimate[ly] guarantee [] employment.” (Id. at p. 497.) To plead causation, the teacher plaintiff needed to allege facts showing that positions were available and that the plaintiff was more qualified than other applicants. (Id.) The court held that such facts, “if proved, are sufficient to demonstrate the District’s alleged failure to comply with its mandatory obligation” and would provide “the proximate cause of her not being rehired.” (Id.)

Alejo and *Henderson* are also in line with this Court’s decision in *Landeros*. There, plaintiff sued a doctor and a hospital for failing to recognize that she was being abused by her parents and report that information to the proper authorities. (*Landeros v. Flood* (1976) 17 Cal.3d 399, 405-07.) Plaintiff claimed defendants were negligent and had breached their mandatory duty to report child abuse pursuant to Penal Code section 11160 *et seq.* (Id. at 407.) This Court held that “the trial court in

the case at bar could not properly rule as a matter of law that the defendants' negligence was not the proximate cause of plaintiff's injuries." (Id. at p. 412.) Although the same uncertainties as to what outcome would have resulted if defendants had carried out their mandatory duty were present in *Landeros* as they were in *Whitcombe* and *Alejo*, this Court found that plaintiff should have the opportunity to prove through expert testimony that a reasonably prudent physician would have properly assessed the plaintiff's injuries and reported them to the appropriate authorities. (Id. at p. 410.)

Although several courts, including this one, found causation was not too speculative at the pleading stage, the *Whitcombe* cases have created a divergent view. The Court of Appeal's decision in this case has furthered that split, without sufficient justification as to why *Whitcombe* is the preferable line of cases. Review is necessary to clarify existing law and provide direction to the lower courts as to whether and when causation is too speculative as a matter of law at the pleading stage in cases involving breach of a mandatory duty.

CONCLUSION

For the reasons set forth above, this Court should grant review.

DATED: December 9, 2013

Respectfully submitted,

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STATEMENT OF COMPLIANCE WITH WORD LIMIT

Pursuant to Rule of Court 8.504(d)(1), the attached petition, excluding tables and attachments, contains 4,897 words as counted by the Microsoft Word program used to create it.

A handwritten signature in black ink, appearing to read "M. Kevin Underhill", written over a horizontal line.

M. KEVIN UNDERHILL

CERTIFICATE OF SERVICE

I, LaShondra Grimsley am employed in the City and County of San Francisco, in the State of California. I am over the age of eighteen (18) years and not a party to the within action; my business address is Shook, Hardy & Bacon L.L.P., One Montgomery, Suite 2700, San Francisco, California, 94104.

I am readily familiar with Shook, Hardy & Bacon L.L.P.'s practice for collection and processing of documents for mailing with **USPS**, which is to deposit documents the same day as the day of collection in the ordinary course of business.

On December 9, 2013, I served the following document(s):

- **PETITION FOR REVIEW**

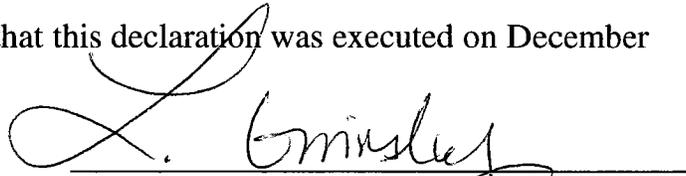
on the parties in this action by placing a true copy thereof in a sealed envelope with the United States Postal Service addressed as follows:

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I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and that this declaration was executed on December 9, 2013, at San Francisco, California.



LASHONDRA GRIMSLEY

APPENDIX A

Filed 10/30/13

CERTIFIED FOR PUBLICATION

IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA

SECOND APPELLATE DISTRICT

DIVISION THREE

STATE DEPARTMENT OF STATE
HOSPITALS et al.,

Petitioners,

v.

THE SUPERIOR COURT OF LOS
ANGELES COUNTY,

Respondent;

ELAINA NOVOA, Individually and as
Personal Representative, etc.,

Real Party in Interest.

B248603

(Los Angeles County
Super. Ct. No. BC487936)

PETITION for writ of mandate from an order of the Superior Court of Los Angeles County, John L. Segal, Judge. Petition granted in part, and denied in part.

Kamala D. Harris, Attorney General, Kathleen Kenealy, Chief Assistant Attorney General, Pamela J. Homes and Paul F. Arentz, Deputy Attorneys General, for Petitioners.

No appearance for Respondent.

Shook, Hardy & Bacon, Chris Johnson, Patrick J. Gregory, Rachael M. Smith, Ashley Cornwall and Jared Palmer for Real Party in Interest.

This case arises out of tragic circumstances. Just four days after he was paroled from state prison, Gilton Pitre raped and killed Alyssa Gomez. Gomez's sister, plaintiff and real party in interest Elaina Novoa, contends that Pitre was a "sexually violent predator" within the meaning of the Sexually Violent Predators Act (SVPA) (Welf. & Inst. Code, § 6600 et seq.),¹ and that he should have been civilly committed pursuant to the SVPA. Plaintiff further alleges that defendants' breach of their mandatory duties under the SVPA proximately caused her damages.

Defendants and petitioners State Department of Mental Health (Department of Mental Health) (now State Department of State Hospitals), Cliff Allenby and Stephen W. Mayberg² contend that the superior court erroneously overruled their demurrer to plaintiff's operative second amended complaint (complaint). They petition for a writ of mandate directing the superior court to sustain their demurrer without leave to amend.

There are four main issues on appeal. The first is whether public entities and employees have immunity from suit under Government Code section 845.8, subdivision (a) for injuries allegedly resulting from their breach of *mandatory* duties. This statute provides that public entities and employees are not liable for injuries resulting from their determination of "whether to parole or release a prisoner." (Gov. Code, § 845.8, subd. (a).) We hold that public entities and employees do not have immunity under the statute for breach of mandatory duties.

Next, we determine whether the complaint alleges sufficient facts indicating defendants breached a mandatory duty under the SVPA. We conclude the complaint alleges defendants breached their mandatory duty to designate two psychologists or

¹ All future statutory references are to the Welfare and Institutions Code unless otherwise indicated.

² Allenby and Mayberg are being sued in their capacities as the acting director and former acting director, respectively, of the Department of Mental Health. Novoa brings this action individually, as a citizen and taxpayer of California, and as the personal representative of the Estate of Alyssa Gomez.

psychiatrists, or one of each, to conduct a full evaluation of an inmate identified by the Department of Corrections as likely to be a sexually violent predator.

The third issue is whether defendants' alleged breach of their mandatory duty proximately caused plaintiff's alleged damages. We conclude plaintiff cannot establish the element of proximate causation.

The final main issue is whether plaintiff has standing to pursue a writ of mandate cause of action in superior court. Plaintiff seeks a writ compelling defendants to comply with certain mandatory duties required by the SVPA. We conclude plaintiff has standing to pursue this cause of action.

Based on our resolution of these main issues, we grant defendants' petition in part, and deny it in part. We direct the superior court to sustain defendants' demurrer to plaintiff's first two causes of action because both claims include the element of proximate causation. We deny the petition, however, to the extent it seeks to compel the trial court to sustain defendants' demurrer to plaintiff's third cause of action for writ of mandate.

BACKGROUND

*1. Summary of Allegations in the Complaint*³

In 1996, Gilton Pitre raped and threatened to kill his female roommate. The victim was able to convince Pitre, through feigned romantic interest, to spare her life. Pitre was convicted of rape and sentenced to a determinate term in state prison.

In 2007, Pitre was released from prison on parole. Before his release, Pitre was evaluated by the Department of Mental Health to determine whether he was a sexually violent predator who should be confined in a secure facility for appropriate treatment pursuant to the civil commitment process of the SVPA.

³ Because the overriding issue on appeal is whether the trial court erroneously overruled defendants' demurrer to the complaint, our summary of the relevant facts assumes the factual allegations in the complaint are true, but we do not assume the truth of the complaint's contentions, deductions or conclusions of law. (*Maxton v. Western States Metals* (2012) 203 Cal.App.4th 81, 87 (*Maxton*).)

The complaint alleges that the Department of Mental Health breached its “mandatory” duties under the SVPA to conduct a “full evaluation” of Pitre, including a duty to evaluate Pitre by two qualified professionals who are either psychiatrists or psychologists. Instead, according to the complaint, Pitre was given a less stringent review that did not comply with the statutory scheme. We shall discuss in greater detail the complaint’s allegations about the department’s alleged violations of the SVPA *post*.

Four days after Pitre was released from prison, he raped and murdered plaintiff’s 15-year-old sister Alyssa Gomez. The complaint alleges that had Pitre “not been unlawfully released, Alyssa would be alive today.”

The complaint sets forth causes of action for (1) breach of mandatory duty under Government Code section 815.6, (2) negligence and negligence per se, and (3) writ of mandate under Code of Civil Procedure section 1085. Plaintiff prays for compensatory and punitive damages, a writ of mandate compelling defendants to comply with the requirements of the SVPA, and declaratory and injunctive relief.

2. *Procedural History*

Defendants demurred to the complaint and each cause of action in that pleading on the ground that the complaint fails to state facts sufficient to constitute a cause of action. In an order dated April 15, 2013, the superior court overruled the demurrer.

Defendants filed a timely petition for writ of mandate in this court. We issued an Order to Show Cause on the petition and received additional briefing from both sides.

DISCUSSION

1. *A Writ of Mandate is an Appropriate Remedy*

Defendants seek a writ of mandate directing the trial court to sustain their demurrer without leave to amend. Before discussing the merits of defendants’ arguments, we first determine whether defendants may pursue a writ instead of waiting to appeal a judgment.

A writ of mandate is not available unless there is no “plain, speedy, and adequate remedy, in the ordinary course of law.” (Code Civ. Proc., § 1086.) A trial court’s order overruling a demurrer is nonappealable and is ordinarily reviewed, if at all, on appeal of a judgment. (Code Civ. Proc., § 904.1; *Big Valley Band of Pomo Indians v. Superior Court* (2005) 133 Cal.App.4th 1185, 1189 (*Big Valley*)). “Writ review of demurrer rulings is rarely granted unless a significant issue of law is raised or resolution of the issue would result in a final disposition as to the petitioner.” (*Big Valley*, at p. 1189.)

We are more likely to consider issuing an extraordinary writ when the defense of sovereign immunity is raised because this defense is “effectively lost if an immune party is forced to stand trial or face the other burdens of litigation.” (*Big Valley*, *supra*, 133 Cal.App.4th at p. 1189; accord *County of Sacramento v. Superior Court* (1972) 8 Cal.3d 479, 481 [An extraordinary writ is an appropriate remedy when the defense of sovereign immunity under Government Code section 845.8 is raised because this defense “should be speedily determined”].) We conclude that if defendants prevail on the merits of their petition, a writ of mandate is an appropriate remedy because their petition raises significant issues of law and because the resolution of those issues in their favor could result in a final disposition of the case.

2. *Standard of Review*

Where, as here, a writ petition challenges an order overruling a demurrer, we apply the ordinary standards of demurrer review. (*City of Stockton v. Superior Court* (2007) 42 Cal.4th 730, 747.) We review an order sustaining a general demurrer de novo to determine whether the pleading alleges facts sufficient to state a cause of action. (*SC Manufactured Homes, Inc. v. Liebert* (2008) 162 Cal.App.4th 68, 82.)

“Regardless of the label attached to the cause of action, we examine the complaint’s factual allegations to determine whether they state a cause of action on any available legal theory.” (*Doe v. Doe 1* (2012) 208 Cal.App.4th 1185, 1188.) We also examine the exhibits attached to the complaint and, to the extent facts appearing in the exhibits contradict those alleged, the facts in the exhibits take precedence. (*Kim v. Westmoore Partners, Inc.* (2011) 201 Cal.App.4th 267, 282.)

We assume the truth of the factual allegations in the complaint, liberally construed, as well as facts that can be reasonably inferred from those expressly pleaded. (*Glen Oaks Estates Homeowners Assn. v. Re/Max Premier Properties, Inc.* (2012) 203 Cal.App.4th 913, 919; *Maxton, supra*, 203 Cal.App.4th at p. 87.) We do not, however, accept as true plaintiff's contentions, deductions or conclusions of law. (*Maxton*, at p. 87.)

3. *The SVPA*

Before discussing whether the trial court should have overruled defendants' demurrer, we first need to put the allegations in the complaint in context by reviewing the SVPA. The purposes of the SVPA are to protect the public from a select group of extremely dangerous offenders known as "sexually violent predators" and to provide mental health treatment for those people. (*People v. Carroll* (2007) 158 Cal.App.4th 503, 510; *Hubbart v. Superior Court* (1999) 19 Cal.4th 1138, 1143-1144 (*Hubbart*).) A "sexually violent predator" is defined as "a person who has been convicted of a sexually violent offense against one or more victims and who has a diagnosed mental disorder that makes the person a danger to the health and safety of others in that it is likely that he or she will engage in sexually violent criminal behavior." (§ 6600, subd. (a)(1).)

The SVPA protects the public by establishing a means by which an inmate who is a sexually violent predator is subject to involuntary civil commitment after the inmate has served his or her prison sentence. There are four stages in the civil commitment process.

a. *Initial Determination*

The first stage is a determination by the Director of Corrections, before the inmate is released from prison, that the inmate "may be a sexually violent predator." (Former § 6601, subd. (a)(1).)⁴ Nothing in the SVPA requires the director to make such a

⁴ When citing a former SVPA statute, we are referring to the statute as it existed in 2007 when Pitre was released from prison. The 2007 version of the SVPA was enacted pursuant to Proposition 83, popularly known as "Jessica's Law," which was approved by the voters on November 7, 2006. Neither plaintiff nor defendants contend that amendments to the SVPA enacted after Proposition 83 apply retroactively. Accordingly, in adjudicating plaintiff's claims for damages, we apply the version of the statutory

determination. “Whenever” the director does so, however, he or she “shall” refer the inmate for an evaluation by prison authorities. (Former § 6601, subd. (a)(1).)

b. *Screening by Prison Authorities*

The second stage is a screening of the inmate by prison authorities. Once the Director of Corrections refers the inmate for an evaluation, “[t]he person shall be screened by the Department of Corrections and the Board of Prison Terms⁵ based on whether the person has committed a sexually violent predatory offense and on a review of the persons’s social, criminal, and institutional history. . . . If as a result of this screening it is determined that the person is likely to be a sexually violent predator, the Department of Corrections shall refer the person to the State Department of Mental Health for a full evaluation of whether the person” is a sexually violent predator as defined by the SVPA. (Former § 6601, subd. (b).)

c. *Evaluation by the Department of Mental Health*

The third stage is a “full evaluation” by the Department of Mental Health, the primary defendant in this case. (Former § 6601, subd. (b); *Hubbart, supra*, 19 Cal.4th at p. 1145.) As we shall discuss *post*, this is the portion of the statutory scheme plaintiff contends defendants failed to comply with.

scheme effective in 2007. (See *People v. Whaley* (2008) 160 Cal.App.4th 779, 793-796 [declining to apply amendments to portions of the SVPA retroactively].) We note, however, that the amendments to the SVPA since 2007, including the amendments to section 6601, are generally not material for purposes of our analysis. For example, in lieu of the “Director of Corrections,” the current version of section 6601, subdivision (a)(1) provides that the “Secretary of the Department of Corrections and Rehabilitation” may make an initial determination regarding an inmate. This and other amendments to the SVPA do not alter the basic framework of the four-stage process we describe. For clarity and ease of reading, we refer to provisions of the SVPA in the present tense.

⁵ As of July 1, 2005, the Board of Prison Terms was abolished, the Board of Parole Hearings was created, and any reference to the former in the California codes was deemed a reference to the latter. (Pen. Code, § 5075, subd. (a).)

In conducting an evaluation, the Department of Mental Health is bound by certain statutory requirements. The evaluation shall be “in accordance with a standardized assessment protocol” developed by the department.⁶ (Former § 6601, subd. (c).) Additionally, “the person shall be evaluated by two practicing psychiatrists or psychologists, or one practicing psychiatrist and one practicing psychologist, designated by the Director of Mental Health. If both evaluators concur that the person has a diagnosed mental disorder so that he or she is likely to engage in acts of sexual violence without appropriate treatment and custody, the Director of Mental Health shall forward a request for a petition for commitment” to the designated counsel of the county in which the inmate was convicted. (Former § 6601, subd. (d).)

The statute also provides for a tie-breaking mechanism when one of the mental health professionals evaluating the inmate determines that a reference to the county’s designated counsel is required and the other does not. If that occurs, “the Director of Mental Health shall arrange for further examination of the person by two independent professionals.”⁷ (Former § 6601, subd. (e).) Only if both of the independent professionals concur that the inmate meets the criteria for civil commitment, can the county’s designated counsel pursue a civil commitment petition. (Former § 6601, subd. (f); *People v. Superior Court* (2001) 87 Cal.App.4th 1122, 1126 (*Preciado*).)

d. *Civil Commitment Action in Superior Court*

The fourth and final stage is a civil commitment action in superior court. The county’s board of supervisors is required to designate either the district attorney or county counsel to pursue such actions. (Former § 6601, subd. (i).) If the county’s

⁶ “The standardized assessment protocol shall require assessment of diagnosable mental disorders, as well as various factors known to be associated with the risk of reoffense among sex offenders. Risk factors to be considered shall include criminal and psychosexual history, type, degree, and duration of sexual deviance, and severity of mental disorder.” (Former § 6601, subd. (c).)

⁷ An “independent professional” means a person who is not a state government employee and who meets certain professional requirements. (Former § 6601, subd. (g).)

designated counsel concurs with the Director of Mental Health's recommendation, he or she shall file a civil commitment petition. (*Ibid.*) The SVPA gives designated counsel the discretion to decide whether to file such a petition. (*Preciado, supra*, 87 Cal.App.4th at p. 1128.)

The first step in a civil commitment action is for the superior court to hold a hearing to determine whether there is "probable cause" to believe that the person named in the petition is likely to engage in sexually violent predatory criminal behavior upon release. (Former § 6602, subd. (a); *Hubbart, supra*, 19 Cal.4th at p. 1146.) If the court determines there is probable cause, it must hold a trial on the petition. (Former § 6602, subd. (a).)

"At trial, the alleged predator is entitled to 'the assistance of counsel, the right to retain experts or professional persons to perform an examination on his or her behalf, and have access to all relevant medical and psychological records and reports.' (§ 6603, subd. (a).)" (*Hubbart, supra*, 19 Cal.4th at p. 1147.) Both the petitioner and the alleged predator have a right to demand a jury trial. (Former § 6603, subs. (a) & (b); *Hubbart*, at p. 1147.) A person subject to the SVPA cannot be civilly committed unless the trier of fact determines "beyond a reasonable doubt" that the person is a sexually violent predator. (Former § 6604.)

4. *Defendants' Alleged Violations of the SVPA*

Having reviewed the relevant provisions of the SVPA, we now turn to plaintiff's specific allegations regarding defendants' purported violations of the statutory scheme. The complaint does not expressly allege the Director of Corrections made a determination that Pitre "may be a sexually violent predator." It also does not clearly allege whether the Department of Corrections⁸ conducted a screening of Pitre and

⁸ The complaint refers to the "Department of Corrections and Rehabilitation" instead of the "Department of Corrections." We shall use the latter name because it was used in the 2007 version of the SVPA. (Former § 6601, subd. (a)(1).) Beginning in 2008, section 6601 referred to the term "Department of Corrections and Rehabilitation." (Stats. 2008, ch. 601, § 2; see also Pen. Code, § 5000.)

determined that he was “likely” to be a sexually violent predator. The complaint does allege, however, that the Department of Mental Health failed to conduct a “full evaluation” after it received a referral from the Department of Corrections. Liberally construing the complaint, we can reasonably infer the complaint alleges that Pitre’s case reached the third stage of the SVPA process.

The complaint makes both general allegations regarding the Department of Mental Health’s compliance with the SVPA, as well as specific allegations regarding Pitre. In general, the complaint alleges, the department first conducts a “Level I” screening to determine whether an inmate is a sexually violent predator. All cases not closed at this level, are evaluated at a “Level II” screening, which is the primary focus of the complaint.

The complaint alleges that Level II screenings, now known as “Memorandum of Understanding” (MOU) screenings,⁹ are merely “cursory, partial” reviews of a potential sexually violent predator conducted by one licensed psychologist. A Level II/MOU screening does not involve an interview with the inmate. Instead, the psychologist reviews documentary evidence regarding the inmate, including a Static-99 actuarial assessment and evidence of additional risk factors, such as the inmate’s criminal sexual history. According to the complaint, the Level II/MOU evaluator only has access to “limited records” that “usually [do] not include all the records about the inmate’s prior convictions or the inmate’s behavior during incarceration.”

⁹ The complaint alleges that in 2010 plaintiff’s counsel submitted a petition to the Office of Administrative Law (OAL) to declare the Department of Mental Health’s practice of Level II screenings to constitute an “illegal underground regulation.” It further alleges that four days before the OAL was set to issue a decision, the Department of Mental Health sent a letter to the OAL stating that it would no longer enforce Level II guidelines. The OAL then dismissed the petition as moot. Subsequently, the Department of Corrections, Board of Parole Hearings and the Department of Mental Health allegedly entered into the MOU. A MOU screening is allegedly identical to a Level II screening.

Based on the Level II/MOU screening, the psychologist may close the case or refer the matter for a “Level III” evaluation. A Level III evaluation is allegedly a “full evaluation” performed by two practicing psychologists or psychiatrists.

The complaint alleges that Level II/MOU screenings are conducted pursuant to a Department of Mental Health policy implemented to save costs. This “intermediate level of review” is allegedly not authorized by the SVPA. The complaint further states: “Currently, the vast majority of potential [sexually violent predators] are released after this intermediate MOU screening, without ever having a full evaluation as mandated under § 6601.”

As to Pitre, the complaint alleges that the Department of Mental Health “permitted Pitre to be released into the community without being personally evaluated by *even one* psychiatrist or psychologist.” Instead, according to the complaint, Pitre merely underwent a “paper screening” conducted by one psychologist.

The complaint additionally alleges that had the Department of Mental Health conducted a full evaluation of Pitre, two qualified mental health professionals would have found that he was a sexually violent predator, the Department of Mental Health would have referred the matter to the district attorney, the district attorney would have filed a petition for civil commitment, the case would have gone to trial, and Pitre would have been civilly committed and not released on his scheduled date. Had Pitre been committed, the complaint states, “he would not have had the opportunity to murder Alyssa.”

5. *Defendants Do Not Have Immunity from Suit Under Government Code Section 845.8, Subdivision (a)*

We now address defendants’ arguments regarding the alleged insufficiency of the complaint. Government Code section 845.8 provides: “Neither a public entity nor a public employee is liable for: [¶] (a) Any injury resulting from determining whether to parole or release a prisoner or from determining the terms and conditions of his parole or release or from determining whether to revoke his parole or release.” Defendants

contend they are immune from plaintiff's suit under Government Code section 845.8, subdivision (a). We disagree.¹⁰

a. *Public Entities and Employees Do Not Have Immunity from Suit Under Government Code Section 845.8, Subdivision (a) for Injuries Allegedly Resulting from Their Breach of Mandatory Duties*

Under the Government Claims Act (Gov. Code, § 810 et seq.), there is a distinction between the performance by a public entity, through its employees, of a mandatory, ministerial duty on the one hand, and a discretionary duty on the other. (*Johnson v. State of California* (1968) 69 Cal.2d 782, 793-795 (*Johnson*); *Guzman v. County of Monterey* (2009) 46 Cal.4th 887, 899 (*Guzman*).) Generally, public entities and employees enjoy statutory immunity for discretionary policy decisions, but do not have immunity for ministerial administrative acts. (*Tarasoff v. Regents of University of California* (1976) 17 Cal.3d 425, 445.)

This distinction is found in two statutes. Government Code section 815.6 provides: "Where a public entity is under a mandatory duty imposed by an enactment that is designed to protect against the risk of a particular kind of injury, the public entity is liable for an injury of that kind proximately caused by its failure to discharge the duty unless the public entity establishes that it exercised reasonable diligence to discharge the duty." Government Code section 820.2, conversely, provides: "Except as otherwise provided by statute, a public employee is not liable for an injury resulting from his act or

¹⁰ Plaintiff argues that Government Code section 845.8, subdivision (a) is inapplicable because her suit is not based on defendants' determination to "parole or release" Pitre from prison. Instead, plaintiff contends, her suit is based on defendants' alleged failure to comply with their duties under the SVPA, which are required to be performed *before* any decision to parole or release a prisoner. We do not reach this argument because we hold defendants do not have immunity under Government Code section 845.8 for different reasons.

omission where the act or omission was the result of the exercise of the discretion vested in him, whether or not such discretion was abused.”¹¹

In *Johnson*, our Supreme Court rejected a mechanical, literal analysis of whether an act is “discretionary” in favor of greater reliance on policy considerations relevant to the purposes of granting immunity to public entities and employees. (*Johnson, supra*, 69 Cal.2d at p. 789.) The court held that when government officials engage in basic policy decisions involving planning they should be afforded immunity, but such immunity should not extend to operational, ministerial levels of decision-making. (*Id.* at pp. 793-794.). In subsequent cases, the California Supreme Court preserved this distinction between policy and operational judgments. (*Caldwell v. Montoya* (1995) 10 Cal.4th 972, 981-982 [citing cases preserving distinction].)

Government Code Section 845.8, subdivision (a) is a specific application of the discretionary immunity afforded to government entities and officials by Government Code section 820.2. (*Leyva v. Nielsen* (2000) 83 Cal.App.4th 1061, 1066 (*Leyva*); *Johnson, supra*, 69 Cal.2d at p. 795, fn. 9.) Whether a prisoner should be released on parole is a basic, discretionary policy decision. (*Johnson*, at p. 795.) Government entities and employees thus are immune from actions arising from such decisions. (*Leyva*, at p. 1067; *Johnson*, at p. 795; *Perez-Torres v. State of California* (2007) 42 Cal.4th 136, 145 (*Perez-Torres*)). They are not, however, immune under Government Code section 845.8, subdivision (a) from liability arising from the performance of operational duties related to the release of a prisoner. (*Johnson*, at p. 786 [state’s decision to not warn foster parents about youth’s dangerous propensities was not a “discretionary” act which gave state immunity under Government Code section 845.8, subdivision (a)]; *Perez-Torres*, at p. 145 [government defendants’ decision to keep

¹¹ “Except as otherwise provided by statute, a public entity is not liable for an injury resulting from an act or omission of an employee of the public entity where the employee is immune from liability.” (Gov. Code, § 815.2, subd. (b).) Thus the employee’s successful assertion of immunity under Government Code section 820.2 would also generally insulate the public entity from liability. (*Johnson, supra*, 69 Cal.2d at p. 787).

plaintiff in jail after they knew or should have known he was wrongfully incarcerated was an operational decision outside the scope of immunity afforded by Government Code section 845.8, subdivision (a)].)

Defendants argue that immunity under Government Code section 845.8, subdivision (a) “applies even in circumstances where a mandatory duty has been breached.” This argument was rejected as “misplaced” by the California Supreme Court in *Perez-Torres*, where the court affirmed that “*Johnson’s* distinction between discretionary and ministerial decisions” applies to Government Code section 845.8, subdivision (a). (*Perez-Torres, supra*, 42 Cal.4th at p. 144.)

Defendants’ reliance on *Fleming v. State of California* (1995) 34 Cal.App.4th 1378 (*Fleming*) and *Brenneman v. State of California* (1989) 208 Cal.App.3d 812 (*Brenneman*) is also misplaced. Contrary to defendants’ contention, neither case held that Government Code section 845.8, subdivision (a) provides immunity to public entities and employees for breach of mandatory duties. Instead, both cases held that the defendants did not owe a mandatory duty to the plaintiffs. (*Fleming*, at pp. 1383-1384; *Brenneman*, at p. 817.) As we explain in the next part of this opinion, defendants allegedly breached a mandatory duty in this case. *Fleming* and *Brenneman* therefore do not support defendants’ argument.

b. *The Complaint Alleges That Defendants Breached a Mandatory Duty*

Defendants next contend they had no mandatory duties under SVPA. We review whether an enactment creates a mandatory duty de novo because it is a question of law. (*Haggis v. City of Los Angeles* (2000) 22 Cal.4th 490, 499 (*Haggis*).

“We examine the ‘language, function and apparent purpose’ of each cited enactment ‘to determine if any or each creates a mandatory duty designed to protect against’ the injury allegedly suffered by plaintiff.” (*Guzman, supra*, 46 Cal.4th at p. 898.) A statute cannot be interpreted to impose a mandatory duty unless the mandatory nature of the duty is “ ‘phrased in explicit and forceful language.’ ” (*Id.* at p. 894.) “It is not enough, moreover, that the public entity or officer have been under an

obligation to perform a function if the function itself involves the exercise of discretion.” (*Haggis, supra*, 22 Cal.4th at p. 498.)

Although the use of the term “shall” or other obligatory language in a statute may be indicative of its mandatory nature, it is not dispositive. (*County of Los Angeles v. Superior Court* (2012) 209 Cal.App.4th 543, 549 (*County of Los Angeles*); *Guzman, supra*, 46 Cal.4th at pp. 898-899.) The courts have instead focused on whether the statutorily required act lends itself to a normative or qualitative debate over whether it was adequately fulfilled. (*County of Los Angeles*, at p. 550.) Further, *Johnson’s* distinction between planning and operational levels of decisionmaking is obviously instructive in our analysis of whether a duty is mandatory under Government Code section 815.6. (*Creason v. Department of Health Services* (1998) 18 Cal.4th 623, 633.)

Here, the complaint alleges that defendants had a mandatory duty under the SVPA, specifically under former section 6601, to conduct a “full evaluation” of inmates referred to them by prison authorities. The complaint further alleges that the SVPA imposes a mandatory duty on defendants to designate two psychologists or two psychiatrists, or one of each, to conduct the evaluation, as well as a mandatory duty to arrange for an in-person interview of the inmate.

Although the SVPA does not define “full evaluation,” it does provide a few very specific directives to the Department of Mental Health. The statute expressly requires the department to conduct its evaluation in accordance with a standardized assessment protocol it develops. (Former § 6601, subd. (c).) It further provides that the protocol “shall require assessment of diagnosable mental disorders, as well as various factors known to be associated with the risk of reoffense among sex offenders,” including the inmate’s “criminal and psychosexual history, type, degree, and duration of sexual deviance, and severity of mental disorder.”¹² (*Ibid.*) The statute also specifically

¹² The complaint does not allege that the Department of Mental Health failed to conduct its evaluation of Pitre in compliance with its standardized assessment protocol, or that the protocol did not include the mandatory factors specified in former section 6601, subdivision (c).

requires the inmate to be evaluated by two practicing psychiatrists or two psychologists, or one of each. (Former § 6601, subd. (d).)

By not comprehensively defining the term “full evaluation,” the SVPA grants the Department of Mental Health a considerable amount of discretion in conducting such evaluations. At the same time, the statute expressly mandates that a full evaluation include certain, particular requirements.

We reject plaintiff’s broad claim that the defendants have a mandatory duty to conduct a “full evaluation.” Whether defendants satisfied their obligation under the SVPA to conduct a full evaluation necessarily requires a normative or qualitative assessment. The general obligation to conduct a full evaluation therefore is not mandatory for purposes of Government Code section 815.6. (Cf. *de Villers v. County of San Diego* (2007) 156 Cal.App.4th 238, 259 [regulation requiring “effective” controls to guard against theft of controlled substances did not impose mandatory duty because it did not require any particular method or procedure]; *Ortega v. Sacramento County Dept. of Health & Human Services* (2008) 161 Cal.App.4th 713, 728 [statute and regulation requiring defendants to investigate and determine the potential risk to a child did not impose mandatory duties because they involved a “formidable amount of discretion”].)

Likewise, we reject plaintiff’s claim that defendants were under a mandatory duty to conduct an in-person evaluation. Nothing in the SVPA specifically requires such an evaluation. Whether defendants conduct an in-person evaluation is a basic policy decision within their sound discretion. Defendants therefore did not breach a mandatory duty by allegedly conducting a “paper” evaluation instead of an in-person evaluation.

Plaintiff correctly notes that in 2008 the Legislature found the SVPA requires “clinical” evaluations. She argues that clinical evaluations necessarily involve direct

observation of the offender.¹³ For purposes of plaintiff's claims for damages, however, we cannot consider a 2008 legislative finding because it is not applicable to the 2007 version of the SVPA, which is applicable here. (See fn. 4, *ante*.) In any case, "a statement of legislative intent may not give rise to a mandatory duty." (*Shamsian v. Department of Conservation* (2006) 136 Cal.App.4th 621, 633.)

We reach a different conclusion with respect to the SVPA's requirement that the Department of Mental Health designate two psychiatrists or two psychologists, or one of each, to evaluate the inmate. Determining whether the department satisfied its obligation to designate two mental health professionals to conduct an evaluation does not require a normative or qualitative assessment. This obligation thus is a non-discretionary mandatory duty. (See *Peters v. Superior Court* (2000) 79 Cal.App.4th 845, 847 [the state may not recommit a sexually violent predator upon the basis of a single psychological evaluation]; *Butler v. Superior Court* (2000) 78 Cal.App.4th 1171, 1174 [same].)

Defendants argue that because the initial decision by the Director of Corrections to determine whether an inmate may be a sexually violent predator is discretionary, their obligation to designate two psychologists or psychiatrists to fully evaluate inmates is also discretionary. We disagree. Once the Department of Corrections refers an inmate to Department of Mental Health for a full evaluation under the SVPA, the Department of Mental Health is under a mandatory duty to designate two psychologists or psychiatrists, or one of each, to conduct the evaluation. (*Haggis, supra*, 22 Cal.4th at p. 502 [city had discretion regarding whether to initiate an inspection and determine whether land was unstable, but once the city determined the land was unstable, it had a mandatory duty to issue a certificate of substandard condition].)

¹³ In an uncodified statute enacted in 2008, the Legislature stated: "The sexually violent predator civil commitment program requires *clinical* evaluations of potential sexually violent predators for possible commitment in order to provide treatment, as well as to protect California's citizens from possible victimization by sexually violent predators." (2008 Stats., ch. 601, § 1(b), p. 4294, italics added.) The Legislature did not make any express statements regarding "direct observation" of an offender.

The complaint alleges that the Department of Mental Health and its director did not perform this mandatory duty in the course of evaluating Pitre. Assuming, as we must, that this factual allegation is true, the complaint sufficiently alleges that defendants breached a mandatory duty under the SVPA.

6. *As a Matter of Law, Defendants' Alleged Breach of Their Mandatory Duty Did Not Proximately Cause Plaintiff's Damages*

Under the Government Claims Act, “there is no common law tort liability for public entities in California; instead, such liability must be based on statute.” (*Guzman, supra*, 46 Cal.4th at p. 897, citing Gov. Code, § 815, subd. (a).) In this case, the statutory basis for plaintiff’s first two causes of action is Government Code section 815.6.¹⁴

A claim under Government Code section 815.6 has three elements: (1) the defendant has a mandatory duty; (2) the mandatory duty is designed to protect against the particular kind of injury the plaintiff suffered; and (3) the breach of the mandatory duty proximately caused the plaintiff’s injury. (*Guzman, supra*, 46 Cal.4th at p. 898; Gov. Code, § 815.6.)

As we explained *ante*, the complaint sufficiently alleges that defendants breached a mandatory duty, namely their duty under the SVPA to designate two mental health professionals to evaluate a potential sexually violent predator. This duty is one of the procedural safeguards incorporated in the SVPA designed to protect an *inmate* from unmeritorious civil commitment proceedings. (*People v. Scott* (2002) 100 Cal.App.4th 1060, 1063; *Preciado, supra*, 87 Cal.App.4th at p. 1130; *In re Wright* (2005) 128 Cal.App.4th 663, 672.) In light of the overriding purpose of the SVPA to protect potential victims of sexually violent predators (*Hubbart, supra*, 19 Cal.4th at pp. 1143-1144), this mandatory duty is also designed to prevent harm to such victims, including plaintiff’s deceased sister. As the representative of her sister’s estate, plaintiff suffered the kind of injury defendants’ mandatory duty was designed to protect.

¹⁴ The complaint’s second cause of action is for negligence per se. “Government Code section 815.6 applies the negligence per se doctrine to public entities.” (*Alejo v. City of Alhambra* (1999) 75 Cal.App.4th 1180, 1185, fn. 3 (*Alejo*).)

This leads us to the issue of proximate cause. Whether a defendant's breach of a mandatory duty is the proximate, legal cause of the plaintiff's injuries for purposes of a Government Code section 815.6 claim is a question of law for the court. (*Walt Rankin & Associates, Inc. v. City of Murrieta* (2000) 84 Cal.App.4th 605, 626.) Proximate cause " " "is that cause which, in natural and continuous sequence, unbroken by any efficient intervening cause, produced the injury [or damage complained of] and without which such result would not have occurred." ' ' " (*Ibid.*)

In *Whitcombe v. County of Yolo* (1977) 73 Cal.App.3d 698 (*Whitcombe*), the plaintiffs were physically assaulted by a probationer who had been diagnosed by psychiatrists with severe mental disorders. (*Id.* at pp. 702-703.) The plaintiffs sued the county pursuant to Government Code section 815.6 for allegedly breaching its statutory and mandatory duties of investigating and reporting the probationer's probation violations to the trial court. (*Id.* at p. 703.) The Court of Appeal, however, stated that even if the county had satisfied its obligations, the trial court could have, in its discretion, declined to revoke the probationer's probation. (*Id.* at p. 708.) "Thus," the court concluded, "the requirement of section 815.6 that the injury be proximately caused by the failure to discharge the duty, is not satisfied." (*Ibid.*)

In *State of California v. Superior Court* (1984) 150 Cal.App.3d 848 (*Perry*), the plaintiffs alleged that they were defrauded by a person licensed by the Department of Real Estate. (*Id.* at p. 852.) Before the plaintiffs were defrauded, the licensee had allegedly defrauded a third party, Robinson, who filed a complaint against the licensee with the department. (*Ibid.*) The plaintiffs pursued a Government Code section 815.6 cause of action against the Commissioner of the Department of Real Estate (commissioner) on the grounds that he breached his statutory and mandatory duty to investigate Robinson's complaint and, as a proximate result, plaintiffs sustained injuries. (*Id.* at pp. 852, 854.)

The Court of Appeal held that the commissioner's breach of his mandatory duty to investigate could not "be a lawful proximate cause of plaintiffs' injuries." (*Perry, supra*, 150 Cal.App.3d at p. 860.) In reaching its decision, the court emphasized that although the commissioner was required to investigate the matter, he had the discretionary power to determine whether to pursue proceedings against the licensee. (*Id.* at p. 858.) Thus the causal link between the commissioner's breach of his mandatory duty to investigate the licensee and the plaintiff's injuries was "tenuous at best." (*Id.* at p. 859.)

In *Fleming*, the plaintiffs' deceased was murdered by a parolee, Frank Atwood. (*Fleming, supra*, 34 Cal.App.4th at p. 1381.) The plaintiffs filed a Government Code section 815.6 suit against the State of California and its parole officer (defendants) on the grounds that defendants allegedly breached their mandatory and statutory duty to arrest Atwood. (*Fleming*, at p. 1381.) The Court of Appeal, however, held that the defendants' alleged breach was not the proximate cause of plaintiffs' injuries. The court stated: "[T]he failure to arrest Atwood was not in itself a cause of the injury, since arrest without a period of incarceration would not necessarily have prevented the crime. Incarceration, however, would have involved procedural steps *involving the exercise of discretion* and thus have broken the causal chain." (*Id.* at p. 1384, italics added.)

Whitcombe, Perry and *Fleming* constitute a line of authority on the issue of proximate causation. *Perry* cites *Whitcombe*, and *Fleming* cites *Perry*. (*Perry, supra*, 150 Cal.App.3d at p. 857; *Fleming, supra*, 34 Cal.App.4th at p. 1384.) All three cases were decided at the pleadings stage. (*Fleming*, at p. 1381; *Perry*, at pp. 851-852; *Whitcombe, supra*, 73 Cal.App.3d at p. 702.)

The *Whitcombe, Perry* and *Fleming* courts each held that the causal link between the defendant's alleged breach of a mandatory duty and the plaintiff's injuries was broken for the same reason. In each case, the defendant's breach could not have caused the plaintiff's injuries unless the defendant or a third party exercised its discretion to take additional action. In all three cases, the courts held that this intervening step broke the chain of causation.

Similarly, in this case, even if defendants complied with their mandatory duty to designate two mental health professionals to evaluate Pitre, we must assume many events would have occurred before finding a causal link to plaintiff's injuries. Because the one psychologist who did evaluate Pitre found that he was not a sexually violent predator, a negative evaluation by a second psychologist or psychiatrist would have triggered the tie-breaking mechanism. If the two independent mental health professionals assigned to reexamine the case recommended that the district attorney pursue a civil commitment action, the district attorney was under no obligation to pursue such an action. Rather, the district attorney could have decided, in his or her discretion, that the case against Pitre could not be proved beyond a reasonable doubt. Further, defendants' alleged breach of their mandatory duty would have been harmless unless the district attorney actually prevailed in the civil commitment proceeding against Pitre. Had the case gone to trial, the court or jury could have exercised its discretion to reject the district attorney's factual allegations.

Under *Whitcombe, Perry and Fleming*, the distance between defendants' alleged breach of a mandatory duty and plaintiff's injuries is too far to support a Government Code section 815.6 action. We hold that defendants' alleged breach of their mandatory duty to designate two mental health professionals to evaluate Pitre did not proximately cause plaintiff's injuries within the meaning of Government Code section 815.6.

Plaintiff cites *Landeros v. Flood* (1976) 17 Cal.3d 399 (*Landeros*) and *Alejo, supra*, 75 Cal.App.4th 1180 in support of her arguments regarding proximate causation. In *Landeros*, the court examined whether the criminal act of a child abuser constituted an intervening act breaking the chain of causation. (*Landeros*, at p. 411.) This is not an issue here because defendants do not contend that Pitre's crime was an intervening act. The *Landeros* decision did not discuss the proximate cause issues raised here and by *Whitcombe, Perry and Fleming*. *Landeros* therefore is distinguishable from this case.

In *Alejo*, the plaintiff alleged that a police officer failed to comply with his mandatory duty to investigate and report a suspected instance of child abuse to a child protective agency. The court held that the plaintiff was entitled to prove by expert testimony that a reasonably prudent social worker would have responded to a report by the officer in a way that would have prevented plaintiff's injuries. (*Alejo, supra*, 75 Cal.App.4th at p. 1192.) *Alejo* is factually distinguishable from this case because it involved a different statutory scheme than the SVPA. Additionally, although *Alejo* was decided after the *Whitcombe* line of cases, it did not mention them. To the extent that there is a conflict between *Alejo* and the *Whitcombe* line of cases, we decline to follow *Alejo*.

7. *Plaintiff Has Standing to Pursue a Writ of Mandate Cause of Action in the Superior Court*

Plaintiff's third cause of action is for a writ of mandate requiring defendants to comply with their mandatory duties under the SVPA. As we have explained, the complaint alleges facts indicating that defendants are not complying with at least one mandatory duty, namely their duty to designate two mental health professionals to conduct a full evaluation of inmates referred to them by the Department of Corrections.

This raises the issue of whether plaintiff has standing to pursue her writ of mandate cause of action even though she did not sustain damages proximately caused by defendants' alleged breach of a mandatory duty under the SVPA. As a general rule, a writ of mandate to compel public employees and entities to perform their mandatory duties will be issued only to persons who are "beneficially interested." (Code Civ. Proc., § 1086; *Consolidated Irrigation Dist. v. City of Selma* (2012) 204 Cal.App.4th 187, 202 (*Consolidated Irrigation*)). A party has a beneficial interest when he or she has some particular right to be preserved or protected over and above the interest held in common with the public at large. (*Save the Plastic Bag Coalition v. City of Manhattan Beach* (2011) 52 Cal.4th 155, 165 (*Save the Plastic Bag*)). The purpose of the standing requirement is to "ensure that the courts will decide only actual controversies between

parties with a sufficient interest in the subject matter of the dispute to press their case with vigor.’ ” (*Brown v. Crandall* (2011) 198 Cal.App.4th 1, 14 (*Brown*).)

“An exception to this general rule of standing exists where the mandamus petition seeks to enforce a public duty and raises a question of public right.” (*Consolidated Irrigation, supra*, 204 Cal.App.4th at p. 202.) Under this “public right/public duty” exception, a citizen has standing to procure the enforcement of a public duty without having any legal or special interest in the result. (*Green v. Obledo* (1981) 29 Cal.3d 126, 144 (*Green*); *Brown, supra*, 198 Cal.App.4th at p. 13.) The public right/public duty exception “promotes the policy of guaranteeing citizens the opportunity to ensure that no governmental body impairs or defeats the purpose of legislation establishing a public right.” (*Green*, at p. 144.)

Even if the plaintiff fits within the four corners of the public right/public duty exception, he or she may not proceed with a mandate petition “as a matter of right.” (*Save the Plastic Bag, supra*, 52 Cal.4th at p. 170, fn. 5.) “ ‘Judicial recognition of citizen standing is an exception to, rather than repudiation of, the usual requirement of a beneficial interest. The policy underlying the exception may be outweighed by competing considerations of a more urgent nature.’”¹⁵ (*Ibid.*; accord *Carsten v. Psychology Examining Com.* (1980) 27 Cal.3d 793, 799-801 [member of a committee of an administrative board could not pursue mandate petition against the committee].)

Here, plaintiff does not have a beneficial interest in a writ of mandate because as a matter of law, defendants’ alleged breach of their mandatory duty did not proximately cause plaintiff’s alleged damages.¹⁶ The complaint alleges, however, that plaintiff is a

¹⁵ Under certain circumstances, the trial court may also abstain from adjudicating a mandate action. (*Acosta v. Brown* (2013) 213 Cal.App.4th 234, 261.)

¹⁶ Although plaintiff does not have a beneficial interest in a writ of mandate, it is worth noting that the complaint alleges plaintiff’s sister was raped and murdered by a sexually violent predator, and thus was precisely the kind of victim that the SVPA was designed to protect. Hence plaintiff may have a motive to pursue a writ of mandate with vigor even though she does not have a monetary interest in the outcome.

citizen of the State of California and that defendants are not complying with their mandatory public duties. Further, there are no facts alleged in the complaint indicating that as a matter of law, the policy underlying the public right/public duty exception is outweighed by competing considerations of a more urgent nature. We therefore hold that at the pleadings stage, plaintiff has standing to pursue this cause of action in superior court.

8. *Plaintiff Has Not Met Her Burden of Showing She Can Amend Her First Two Causes of Action*

Because we conclude that the complaint fails to state sufficient facts to establish the element of proximate causation for plaintiff's first two causes of action, we direct the trial court to sustain defendants' demurrer to those causes of action. When a general demurrer is sustained, "the plaintiff must be given leave to amend his or her complaint when there is a reasonable possibility that the defect can be cured by amendment." (*Maxton, supra*, 203 Cal.App.4th at p. 95.) "The burden of proving such reasonable possibility is squarely on the plaintiff." (*Ibid.*)

"To satisfy that burden on appeal, a plaintiff "must show in what manner he can amend his complaint and how that amendment will change the legal effect of his pleading." [Citation.] The assertion of an abstract right to amend does not satisfy this burden.' [Citation.] The plaintiff must clearly and specifically state 'the legal basis for amendment, i.e., the elements of the cause of action,' as well as the 'factual allegations that sufficiently state all required elements of that cause of action.'" (*Maxton, supra*, 203 Cal.App.4th at p. 95.)

Here, plaintiff did not satisfy her burden of showing the first two causes of action in the complaint can be cured by amendment. The trial court therefore is directed to sustain defendants' demurrer to those causes of action without leave to amend.

DISPOSITION

Let a writ of mandate issue directing the superior court to (1) vacate the order dated April 15, 2013 and (2) enter a new order sustaining defendants' demurrer to the first and second causes of action of the second amended complaint without leave to amend and overruling their demurrer to the third cause of action in the second amended complaint. In the interests of justice, each party shall bear their own costs related to the petition.

CERTIFIED FOR PUBLICATION

KITCHING, J.

We concur:

KLEIN, P. J.

ALDRICH, J.

APPENDIX B

West's Annotated California Codes

Welfare and Institutions Code (Refs & Annos)

Division 6. Admissions and Judicial Commitments (Refs & Annos)

Part 2. Judicial Commitments (Refs & Annos)

Chapter 2. Commitment Classification (Refs & Annos)

Article 4. Sexually Violent Predators (Refs & Annos)

West's Ann.Cal.Welf. & Inst.Code § 6600

§ 6600. Definitions

Effective: November 8, 2006

Currentness

As used in this article, the following terms have the following meanings:

(a)(1) "Sexually violent predator" means a person who has been convicted of a sexually violent offense against one or more victims and who has a diagnosed mental disorder that makes the person a danger to the health and safety of others in that it is likely that he or she will engage in sexually violent criminal behavior.

(2) For purposes of this subdivision any of the following shall be considered a conviction for a sexually violent offense:

(A) A prior or current conviction that resulted in a determinate prison sentence for an offense described in subdivision (b).

(B) A conviction for an offense described in subdivision (b) that was committed prior to July 1, 1977, and that resulted in an indeterminate prison sentence.

(C) A prior conviction in another jurisdiction for an offense that includes all of the elements of an offense described in subdivision (b).

(D) A conviction for an offense under a predecessor statute that includes all of the elements of an offense described in subdivision (b).

(E) A prior conviction for which the inmate received a grant of probation for an offense described in subdivision (b).

(F) A prior finding of not guilty by reason of insanity for an offense described in subdivision (b).

(G) A conviction resulting in a finding that the person was a mentally disordered sex offender.

(H) A prior conviction for an offense described in subdivision (b) for which the person was committed to the Department of the Youth Authority pursuant to Section 1731.5.

(I) A prior conviction for an offense described in subdivision (b) that resulted in an indeterminate prison sentence.

(3) Conviction of one or more of the crimes enumerated in this section shall constitute evidence that may support a court or jury determination that a person is a sexually violent predator, but shall not be the sole basis for the determination. The existence of any prior convictions may be shown with documentary evidence. The details underlying the commission of an offense that led to a prior conviction, including a predatory relationship with the victim, may be shown by documentary evidence, including, but not limited to, preliminary hearing transcripts, trial transcripts, probation and sentencing reports, and evaluations by the State Department of Mental Health. Jurors shall be admonished that they may not find a person a sexually violent predator based on prior offenses absent relevant evidence of a currently diagnosed mental disorder that makes the person a danger to the health and safety of others in that it is likely that he or she will engage in sexually violent criminal behavior.

(4) The provisions of this section shall apply to any person against whom proceedings were initiated for commitment as a sexually violent predator on or after January 1, 1996.

(b) "Sexually violent offense" means the following acts when committed by force, violence, duress, menace, fear of immediate and unlawful bodily injury on the victim or another person, or threatening to retaliate in the future against the victim or any other person, and that are committed on, before, or after the effective date of this article and result in a conviction or a finding of not guilty by reason of insanity, as defined in subdivision (a): a felony violation of Section 261, 262, 264.1, 269, 286, 288, 288a, 288.5, or 289 of the Penal Code, or any felony violation of Section 207, 209, or 220 of the Penal Code, committed with the intent to commit a violation of Section 261, 262, 264.1, 286, 288, 288a, or 289 of the Penal Code.

(c) "Diagnosed mental disorder" includes a congenital or acquired condition affecting the emotional or volitional capacity that predisposes the person to the commission of criminal sexual acts in a degree constituting the person a menace to the health and safety of others.

(d) "Danger to the health and safety of others" does not require proof of a recent overt act while the offender is in custody.

(e) "Predatory" means an act is directed toward a stranger, a person of casual acquaintance with whom no substantial relationship exists, or an individual with whom a relationship has been established or promoted for the primary purpose of victimization.

(f) "Recent overt act" means any criminal act that manifests a likelihood that the actor may engage in sexually violent predatory criminal behavior.

(g) Notwithstanding any other provision of law and for purposes of this section, a prior juvenile adjudication of a sexually violent offense may constitute a prior conviction for which the person received a determinate term if all of the following apply:

(1) The juvenile was 16 years of age or older at the time he or she committed the prior offense.

(2) The prior offense is a sexually violent offense as specified in subdivision (b).

(3) The juvenile was adjudged a ward of the juvenile court within the meaning of Section 602 because of the person's commission of the offense giving rise to the juvenile court adjudication.

(4) The juvenile was committed to the Department of the Youth Authority for the sexually violent offense.

(h) A minor adjudged a ward of the court for commission of an offense that is defined as a sexually violent offense shall be entitled to specific treatment as a sexual offender. The failure of a minor to receive that treatment shall not constitute a defense or bar to a determination that any person is a sexually violent predator within the meaning of this article.

Credits

(Added by Stats.1995, c. 763 (A.B.888), § 3. Amended by Stats.1996, c. 462 (A.B.3130), § 4, eff. Sept. 13, 1996; Stats.1999, c. 350 (S.B.786), § 3, eff. Sept. 7, 1999; Stats.1999, c. 995 (S.B.746), § 2.2; Stats.2000, c. 643 (A.B.2849), § 1; Stats.2006, c. 337 (S.B.1128), § 53, eff. Sept. 20, 2006; Initiative Measure (Prop. 83, § 24, approved Nov. 7, 2006, eff. Nov. 8, 2006).)

Notes of Decisions (429)

West's Ann. Cal. Welf. & Inst. Code § 6600, CA WEL & INST § 6600

Current with all 2013 Reg.Sess. laws, all 2013-2014 1st Ex.Sess. laws, and Res. c. 123 (S.C.A.3)

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West's Annotated California Codes

Welfare and Institutions Code (Refs & Annos)

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Chapter 2. Commitment Classification (Refs & Annos)

Article 4. Sexually Violent Predators (Refs & Annos)

This section has been updated. [Click here for the updated version.](#)

West's Ann.Cal.Welf. & Inst.Code § 6601

§ 6601. Persons in custody; determination as potential sexually violent predator;
prerelease evaluations; petition for commitment; time limitations exclusion

Effective: November 8, 2006 to September 29, 2008

(a)(1) Whenever the Director of Corrections determines that an individual who is in custody under the jurisdiction of the Department of Corrections, and who is either serving a determinate prison sentence or whose parole has been revoked, may be a sexually violent predator, the director shall, at least six months prior to that individual's scheduled date for release from prison, refer the person for evaluation in accordance with this section. However, if the inmate was received by the department with less than nine months of his or her sentence to serve, or if the inmate's release date is modified by judicial or administrative action, the director may refer the person for evaluation in accordance with this section at a date that is less than six months prior to the inmate's scheduled release date.

(2) A petition may be filed under this section if the individual was in custody pursuant to his or her determinate prison term, parole revocation term, or a hold placed pursuant to Section 6601.3, at the time the petition is filed. A petition shall not be dismissed on the basis of a later judicial or administrative determination that the individual's custody was unlawful, if the unlawful custody was the result of a good faith mistake of fact or law. This paragraph shall apply to any petition filed on or after January 1, 1996.

(b) The person shall be screened by the Department of Corrections and the Board of Prison Terms based on whether the person has committed a sexually violent predatory offense and on a review of the person's social, criminal, and institutional history. This screening shall be conducted in accordance with a structured screening instrument developed and updated by the State Department of Mental Health in consultation with the Department of Corrections. If as a result of this screening it is determined that the person is likely to be a sexually violent predator, the Department of Corrections shall refer the person to the State Department of Mental Health for a full evaluation of whether the person meets the criteria in Section 6600.

(c) The State Department of Mental Health shall evaluate the person in accordance with a standardized assessment protocol, developed and updated by the State Department of Mental Health, to determine whether the person is a sexually violent predator as defined in this article. The standardized assessment protocol shall require assessment of diagnosable mental disorders, as well as various factors known to be associated with the risk of reoffense among sex offenders. Risk factors to be considered shall include criminal and psychosexual history, type, degree, and duration of sexual deviance, and severity of mental disorder.

(d) Pursuant to subdivision (c), the person shall be evaluated by two practicing psychiatrists or psychologists, or one practicing psychiatrist and one practicing psychologist, designated by the Director of Mental Health. If both evaluators concur that the

person has a diagnosed mental disorder so that he or she is likely to engage in acts of sexual violence without appropriate treatment and custody, the Director of Mental Health shall forward a request for a petition for commitment under Section 6602 to the county designated in subdivision (i). Copies of the evaluation reports and any other supporting documents shall be made available to the attorney designated by the county pursuant to subdivision (i) who may file a petition for commitment.

(e) If one of the professionals performing the evaluation pursuant to subdivision (d) does not concur that the person meets the criteria specified in subdivision (d), but the other professional concludes that the person meets those criteria, the Director of Mental Health shall arrange for further examination of the person by two independent professionals selected in accordance with subdivision (g).

(f) If an examination by independent professionals pursuant to subdivision (e) is conducted, a petition to request commitment under this article shall only be filed if both independent professionals who evaluate the person pursuant to subdivision (e) concur that the person meets the criteria for commitment specified in subdivision (d). The professionals selected to evaluate the person pursuant to subdivision (g) shall inform the person that the purpose of their examination is not treatment but to determine if the person meets certain criteria to be involuntarily committed pursuant to this article. It is not required that the person appreciate or understand that information.

(g) Any independent professional who is designated by the Director of Corrections or the Director of Mental Health for purposes of this section shall not be a state government employee, shall have at least five years of experience in the diagnosis and treatment of mental disorders, and shall include psychiatrists and licensed psychologists who have a doctoral degree in psychology. The requirements set forth in this section also shall apply to any professionals appointed by the court to evaluate the person for purposes of any other proceedings under this article.

(h) If the State Department of Mental Health determines that the person is a sexually violent predator as defined in this article, the Director of Mental Health shall forward a request for a petition to be filed for commitment under this article to the county designated in subdivision (i). Copies of the evaluation reports and any other supporting documents shall be made available to the attorney designated by the county pursuant to subdivision (i) who may file a petition for commitment in the superior court.

(i) If the county's designated counsel concurs with the recommendation, a petition for commitment shall be filed in the superior court of the county in which the person was convicted of the offense for which he or she was committed to the jurisdiction of the Department of Corrections. The petition shall be filed, and the proceedings shall be handled, by either the district attorney or the county counsel of that county. The county board of supervisors shall designate either the district attorney or the county counsel to assume responsibility for proceedings under this article.

(j) The time limits set forth in this section shall not apply during the first year that this article is operative.

(k) If the person is otherwise subject to parole, a finding or placement made pursuant to this article shall toll the term of parole pursuant to Article 1 (commencing with Section 3000) of Chapter 8 of Title 1 of Part 3 of the Penal Code.

(l) Pursuant to subdivision (d), the attorney designated by the county pursuant to subdivision (i) shall notify the State Department of Mental Health of its decision regarding the filing of a petition for commitment within 15 days of making that decision.

Credits

(Added by Stats.1995, c. 763 (A.B.888), § 3. Amended by Stats.1996, c. 4 (A.B.1496), § 1, eff. Jan. 25, 1996; Stats.1996, c. 462 (A.B.3130), § 5, eff. Sept. 13, 1996; Stats.1998, c. 961 (S.B.1976), § 3, eff. Sept. 29, 1998; Stats.1999, c. 136 (S.B.11), § 1, eff. July 22, 1999; Stats.2006, c. 337 (S.B.1128), § 54, eff. Sept. 20, 2006; Initiative Measure (Prop. 83, § 26, approved Nov. 7, 2006, eff. Nov. 8, 2006).)

West's Ann. Cal. Welf. & Inst. Code § 6601, CA WEL & INST § 6601

Current with all 2013 Reg.Sess. laws, all 2013-2014 1st Ex.Sess. laws, and Res. c. 123 (S.C.A.3)

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