


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

05/02/2013

Date

Humboldt

Court

FY13-14 3rd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY13-14 3rd Qtr.

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Humboldt

 Court
 FY13-14 3rd Qtr.

 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	86.25	86.25	86.25	86.25
Court Employee Positions (FTEs)	94.25	86.25	86.25	86.25

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2013/14								2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 2,881	\$ 65,909	\$ (44,161)			\$ 965,824	\$ 990,454	\$ 1,634,872	
Payroll									
Jury									
Revolving	\$ 9,988						\$ 9,988	\$ 9,988	
Other									
Distribution	\$ 0					\$ 28,724	\$ 28,724	\$ 20,221	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust	\$ 0					\$ (36,152)	\$ (36,152)	\$ (178,588)	
Credit Card									
Cash on Hand	\$ 3,000						\$ 3,000	\$ 3,000	
Cash with County									
Cash Outside of the AOC						\$ 4,178	\$ 4,178	\$ 2,690	
Total Cash	\$ 15,869	\$ 65,909	\$ (44,161)			\$ 960,574	\$ 998,191	\$ 1,492,179	
Short Term Investment	\$ 1,174,415					\$ 530,425	\$ 1,704,840	\$ 1,860,020	
Investment in Financial Institution									
Total Investments	\$ 1,174,415					\$ 530,425	\$ 1,704,840	\$ 1,860,020	
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 543						\$ 543	\$ 25	
Civil Jury Fees	\$ 0						\$ 0	\$ 0	
Trust									
Due From Other Funds	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 16,232	\$ 1,655	\$ 44,742			\$ 0	\$ 62,629	\$ 128,078	
Due From Other Courts						\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Trust Due To/From						\$ 3,580	\$ 3,580	\$ 0	
Distribution Due To/From						\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From						\$ 0	\$ 0	\$ 0	
General Due To/From	\$ 9						\$ 9	\$ 180	
Total Receivables	\$ 16,784	\$ 1,655	\$ 44,742			\$ 3,580	\$ 68,761	\$ 128,284	
Prepaid Expenses - General	\$ 0						\$ 0	\$ 0	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0						\$ 0	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 1,207,068	\$ 67,564	\$ 581			\$ 1,494,579	\$ 2,769,791	\$ 3,278,483	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 3,150	\$ 0	\$ 0			\$ 27,068	\$ 30,218	\$ 1,052	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 3,889	\$ 3,889	\$ 1,743	
Due to Other Courts									
Due to State									
TC145 Liability						\$ 211,084	\$ 211,084	\$ 184,958	
Due to Other Governments						\$ 0	\$ 0	\$ 0	
AB145 Due to Other Government Agency						\$ 93,888	\$ 93,888	\$ 121,679	
Due to Other Public Agencies	\$ 32,879		\$ 0			\$ 1,484	\$ 34,363	\$ 22,993	
Sales and Use Tax	\$ 0		\$ 0				\$ 0	\$ 0	
Interest						\$ 113	\$ 113	\$ 194	
Miscellaneous Accts. Pay and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 36,028	\$ 0	\$ 0			\$ 337,526	\$ 373,554	\$ 332,818	
Civil						\$ 840,795	\$ 840,795	\$ 1,218,457	
Criminal	\$ 0					\$ 298,362	\$ 298,362	\$ 315,499	
Unreconciled - Civil and Criminal						\$ 0	\$ 0	\$ 0	
Trust Held Outside of the AOC						\$ 0	\$ 0	\$ 0	
Trust Interest Payable						\$ 6,973	\$ 6,973	\$ 6,649	
Miscellaneous Trust									
Total Trust Deposits	\$ 0					\$ 1,144,129	\$ 1,144,129	\$ 1,540,605	
Accrued Payroll									
Benefits Payable	\$ 18,523						\$ 18,523	\$ 28,871	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 0						\$ 0	\$ 25,304	
Payroll Clearing	\$ 0						\$ 0	\$ (923)	
Total Payroll Liabilities	\$ 18,523						\$ 18,523	\$ 53,252	
Revenue Collected in Advance	\$ 93,001						\$ 93,001	\$ 0	
Liabilities For Deposits	\$ 25,510					\$ 10,002	\$ 35,512	\$ 30,848	
Jury Fees - Non-Interest						\$ 1,350	\$ 1,350	\$ 28,840	
Fees - Partial Payment & Overpayment						\$ 1,572	\$ 1,572	\$ 0	
Uncleared Collections						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities								\$ 35,000	
Total Other Liabilities	\$ 118,511					\$ 12,924	\$ 131,435	\$ 92,887	
Total Liabilities	\$ 173,063	\$ 0	\$ 0			\$ 1,494,579	\$ 1,667,642	\$ 2,019,162	
Total Fund Balance	\$ 1,034,005	\$ 67,564	\$ 581			\$ 0	\$ 1,102,150	\$ 1,259,321	
Total Liabilities and Fund Balance	\$ 1,207,068	\$ 67,564	\$ 581			\$ 1,494,579	\$ 2,769,791	\$ 3,278,483	

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2013/14								2012/13	
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ 2,881	\$ 65,909	\$ (44,161)				\$ 965,824	\$ 990,454	\$ 1,634,872
Payroll									
Jury									
Revolving	\$ 9,988							\$ 9,988	\$ 9,985
Other									
Distribution	\$ 0						\$ 26,724	\$ 26,724	\$ 20,221
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (36,152)	\$ (36,152)	\$ (178,568)
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,000
Cash with County									
Cash Outside of the AOC							\$ 4,178	\$ 4,178	\$ 2,690
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Total Investments	\$ 1,174,415						\$ 530,425	\$ 1,704,840	\$ 1,660,020
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Due to Other Courts									
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TC145 Liability							\$ 211,084	\$ 211,084	\$ 184,956
Due to Other Governments							\$ 0	\$ 0	\$ 0
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Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 0
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Other Miscellaneous Liabilities									\$ 35,000
Total Other Liabilities	\$ 118,511						\$ 12,924	\$ 131,435	\$ 92,887
Total Liabilities	\$ 173,063	\$ 0	\$ 0				\$ 1,494,579	\$ 1,567,642	\$ 2,019,162
Total Fund Balance	\$ 1,034,005	\$ 67,584	\$ 581				\$ 0	\$ 1,102,150	\$ 1,259,321
Total Liabilities and Fund Balance	\$ 1,207,068	\$ 67,584	\$ 581				\$ 1,494,579	\$ 2,769,791	\$ 3,278,483

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2013/14										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,591,627	\$ 275,189			\$ 0	\$ (749)	\$ 1,866,067	\$ 3,001,830	\$ 1,839,320	\$ 2,765,342
Traffic & Other Infractions	\$ 356,703	\$ 47,692			\$ 0	\$ (28)	\$ 404,367	\$ 522,038	\$ 359,374	\$ 562,619
Other Criminal Cases	\$ 346,365	\$ 31,159			\$ 0	\$ (67)	\$ 377,457	\$ 524,286	\$ 333,481	\$ 419,432
Civil	\$ 376,056	\$ 31,172			\$ 0	\$ (69)	\$ 407,159	\$ 450,880	\$ 412,671	\$ 458,008
Family & Children Services	\$ 417,179	\$ 66,391			\$ 0	\$ 967	\$ 484,537	\$ 442,601	\$ 429,357	\$ 473,468
Probate, Guardianship & Mental Health Services	\$ 37,396	\$ 79,261			\$ 0	\$ (3)	\$ 79,261	\$ 248,358	\$ 82,048	\$ 252,106
Juvenile Dependency Services	\$ 37,394	\$ 470,137			\$ 0	\$ (3)	\$ 507,530	\$ 683,698	\$ 497,252	\$ 657,153
Juvenile Delinquency Services		\$ 3,398			\$ 0		\$ 40,790	\$ 60,477	\$ 37,846	\$ 55,566
Other Court Operations		\$ 72					\$ 72	\$ 26,125	\$ 948	\$ 27,865
Court Interpreters	\$ 71,067	\$ 65,004	\$ 67,087		\$ 0	\$ (840)	\$ 65,004	\$ 83,131	\$ 51,339	\$ 115,800
Jury Services		\$ 74,159					\$ 211,474	\$ 325,213	\$ 208,402	\$ 342,009
Security		\$ 87,713					\$ 87,713	\$ 130,714	\$ 83,270	\$ 140,046
Trial Court Operations Program	\$ 3,233,787	\$ 1,231,347	\$ 67,087		\$ 0	\$ (792)	\$ 4,531,430	\$ 6,499,150	\$ 4,335,309	\$ 6,269,015
Enhanced Collections										
Other Non-Court Operations										
Non-Court Operations Program										
Executive Office	\$ 171,417	\$ 12,548			\$ 0	\$ (15)	\$ 183,950	\$ 371,754	\$ 176,899	\$ 364,175
Fiscal Services	\$ 200,353	\$ 53,946			\$ 0	\$ (16)	\$ 254,284	\$ 332,195	\$ 235,055	\$ 323,836
Human Resources	\$ 111,720	\$ 24,188			\$ 0	\$ (9)	\$ 135,899	\$ 181,302	\$ 125,394	\$ 165,793
Business & Facilities Services	\$ 114,736	\$ 171,210			\$ 0	\$ (2,200)	\$ 283,746	\$ 409,823	\$ 281,510	\$ 463,736
Information Technology	\$ 245,053	\$ 95,043			\$ 0	\$ (20)	\$ 340,076	\$ 698,472	\$ 293,339	\$ 608,608
Court Administration Program	\$ 843,279	\$ 356,936			\$ 0	\$ (2,261)	\$ 1,197,955	\$ 1,993,346	\$ 1,112,166	\$ 1,926,148
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,077,067	\$ 1,588,283	\$ 67,087		\$ 0	\$ (3,053)	\$ 5,729,384	\$ 8,492,496	\$ 5,447,606	\$ 8,195,163