

**JUDICIAL COUNCIL OF CALIFORNIA
ADMINISTRATIVE OFFICE OF THE COURTS**

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Report

TO: Members of the Executive and Planning Committee

FROM: Stephen Nash, Director, Finance Division
Ruben Gomez, Senior Manager, Finance Division

DATE: December 2, 2008

SUBJECT: Special Funds Budgets: Fiscal Year 2008–2009

I. Summary

In conformance with the internal guidelines and delegation approved by the Judicial Council at its December 5, 2003 meeting, the Administrative Office of the Courts (AOC) requests that the Executive and Planning Committee (E&P) approve the recommended fiscal year (FY) 2008–2009 budgets for the Judicial Administration Efficiency and Modernization Fund (Modernization Fund) and the Trial Court Improvement Fund (Improvement Fund) to support projects and programs that improve the provision and administration of justice in the trial courts.

Specifically, staff is requesting that the E&P approve the following recommendations:

1. Approve a total reduction of \$2.752 million from 20 previously approved budgets (\$224,326 from the Modernization Fund of which \$9,175 is ongoing and \$2.528 million from the Improvement Fund).
2. Approve new, additional, or rollover funding for 16 programs and projects totaling \$3.948 million (\$3.204 million from the Modernization Fund and \$743,959 from the Improvement Fund) in FY 2008–2009, of which \$2.296 million is ongoing.
3. Approve a budget of \$150.531 million for Statewide Administrative Infrastructure Initiatives and other initiatives (\$47.277 million from the Modernization Fund and \$103.254 million from the Improvement Fund).

II. FY 2008–2009 Special Fund Resources

Ending FY 2007–2008 fund balances and projected FY 2008–2009 revenues and transfers comprise the resources that are anticipated to be available to fund the various special fund programs and projects. Table 1 displays an overview of actual FY 2007–2008 resources and expenditures (Column A) and projected FY 2008–2009 resources and already approved budgets (Column B) for both the Modernization and Improvement funds and combined totals.

Modernization Fund (see Table 2a)

The Modernization Fund receives an annual appropriation of State General Fund monies. This year's General Fund appropriation of \$38.709 million reflects an increase of 2.7 percent, based upon the Consumer Price Index (CPI). The Legislature used the lower CPI rate to adjust this appropriation rather than a rate based upon the State Appropriations Limit (SAL), as specified in Government Code and which was used in previous years as a basis to adjust the appropriation.

Excluding General Fund monies, the main revenue source for this fund is interest on fund balance earned through the Surplus Money Investment Fund (SMIF). This year this amount is projected to be \$921,333.

Including the beginning balance of \$20.773 million, the total projected resources for the Modernization Fund in FY 2008–2009 is \$60.403 million.

Improvement Fund (see Table 3a)

The Improvement Fund is continuously appropriated and receives no State General Fund transfer. The fund's revenue sources are varied: a one-percent transfer from the Trial Court Trust Fund (TCTF); 50/50 Excess Fines Split Revenue representing the state's fifty-percent share of the fee, fine, and forfeiture revenue exceeding each county's base Maintenance of Effort (revenue) level; interest earned on fund balance earned through SMIF; Two Percent Automation Fund revenues representing two percent of the fines, penalties, and forfeitures in criminal cases; and revenues from sales of documents and royalties from publications of uniform jury instructions (see Table 3a for the revenue projections).

Including the beginning balance of \$80.051 million, the total projected resources for FY 2008–2009 is \$157.543 million. Because the 50/50 Excess Fines Split Revenue, which is projected at \$61.907 million, is not due until 45 days after the end of the fiscal year, it will not be available for use until after the end of the fiscal year.

III. Overview of Recommended FY 2008–2009 Budget

Consistent with the Judicial Branch goals of *Modernization of Management and Administration* and *Branchwide Infrastructure for Service Excellence*, much of the funding recommendations for FY 2008–2009 from balances in both the Modernization Fund and the Improvement Fund relate to funding the design, deployment, and maintenance of statewide technology initiatives such as the California Case Management System and Phoenix projects. The total recommended technology funding for FY 2008–2009 is \$150.531 million.

In addition to statewide technology initiatives, staff is recommending new or additional FY 2008–2009 funding in the amount of \$3.948 million for 16 current and new projects/programs. Of the \$3.948 million, \$2.296 million would be ongoing funding for complex civil litigation, alternative dispute resolution for civil cases, court-appointed counsel and performance database, the Court Interpreters Program and, budget-focused training and meetings. In addition, staff is recommending reductions, which represent anticipated and realized savings from previously approved budgets, totaling \$2.752 million in FY 2008–2009. These cost reductions would offset approximately 70 percent of the current-year cost of funding requests for new and additional funding.

The special funds guidelines require programs and projects funded by the Modernization Fund and the Improvement Fund to be consistent with the approved categories. Charts 1 and 2 display by category the previously approved budgets, recommended reductions, recommended new or additional funding and the total recommended budget for FY 2008–2009 for the Modernization Fund and Improvement Fund, respectively.

Chart 1 – Modernization Fund

Category	Previously Approved Budget	Reduction	New or Additional	Total Budget
Statewide technology projects	0	0	47,276,849	47,276,849
Education and developmental programs	3,488,605	(126,526)	204,354	3,566,433
Pilot projects, special initiatives and ongoing programs	6,419,688	(97,800)	2,999,766	9,321,654
Total	\$9,908,293	(\$224,326)	\$50,480,969	\$60,164,936

Additional Appropriation Authority

As displayed at the bottom of Table 2a, if the recommended FY 2008–2009 budget is approved, the AOC will need to propose an augmentation to current year appropriation authority from the Modernization Fund in the amount of \$21.593 million from the State Department of Finance and the Legislature.

Chart 2 – Improvement Fund

Category	Previously Approved Budget	Reduction	New or Additional	Total Budget
Ongoing Statewide Programs	22,854,927	(1,355,609)	360,000	21,859,318
Trial Court Projects and Model Programs (excluding JC items)	21,814,188	(1,172,438)	383,959	21,025,709
Emergency Funding Reserve	13,108,000	0	0	13,108,000
Emergency Funding Reserve (release of reserves)	0	0	0	(13,108,000)
Statewide Technology Initiatives	0	0	105,259,161	103,254,161
Total	\$57,777,115	(\$2,528,047)	\$106,003,120	\$146,139,188

Judicial Council Items

As the Judicial Council has already approved one item and will act on another item, the Improvement Fund budgets for these two items are not being requested for E&P approval. At its October 9 special meeting, the Judicial Council approved an \$8.5 million allocation to trial courts for the estimated costs of complying with the mandates established by the Omnibus Conservatorship and Guardianship Reform Act of 2006. The approval to distribute \$639,857 to trial courts for their share of the amount above the FY 2002–2003 50/50 Excess Fines Split Revenue level has been submitted to the Judicial Council consent agenda for its December 9, 2008 business meeting.

Emergency Funding Reserve

In accordance with Government Code section 77209(b), one-half of the one percent transfer from the TCTF or \$13.108 million will be reserved until March 15, 2009. The plan is to utilize all unused reserves for the costs of statewide technology initiatives.

IV. Recommended Budget Reductions

As part of the regular annual special funds budget process, AOC divisions were directed to review their previously approved special funds budgets for possible deletion, deferral, or reduction. As a result of this review, a number of savings adjustments have been identified, resulting from reductions and deferrals: \$215,151 in one-time and \$9,175 in ongoing adjustments from ten Modernization Fund projects and programs, and \$2.528 million in one-time adjustments from ten Improvement Fund projects and programs. Tables 2b and 3b display the previously approved budget, identified savings, and total recommended FY 2008–2009 budget for each project/program in the Modernization Fund and the Improvement Fund, respectively.

Recommended Reductions by Project/Program

Modernization Fund

4.1 *Achieving Equal Justice for Women and Men*

Reduction: \$15,000

Rationale: \$15,000 of the FY 2008–2009 budget was encumbered in FY 2007–2008 and is no longer needed in FY 2008–2009.

4.2 *CFCC Publications*

Reduction: \$25,000

Rationale: One publication is being deferred to FY 2009–2010.

4.3 *Orientation/Education, JC Committee*

Reduction: \$6,311 (\$2,238 one-time, \$4,073 ongoing)

Rationale: General Fund monies will be utilized to fund a greater share of the costs of the committee.

4.4 *New Judicial Officer Regional Meeting*

Reduction: \$15,113

Rationale: The regional offices will utilize General Fund monies to cover a portion of the meeting costs.

4.5 *California Judicial Branch HR Conference*

Reduction: \$60,000

Rationale: These savings were realized as a result of cost controls and due to a collaborative effort with the Education Division with regard to planned meetings.

4.6 *Trial Court Financial Reports Training (will be renamed *Budget-Focused Training and Meetings*)*

Reduction: \$5,102 ongoing

Rationale: On-line training sessions will replace in-person training.

4.7 *Family Dispute Resolution Court Exchange Visits*

Reduction: \$10,800

Rationale: Court visits will be reduced and the remaining visits will be consolidated with other projects.

4.8 *Judicial Council Strategic/Operational Plan*

Reduction: \$10,000

Rationale: The strategic plan has already been printed, so funds are no longer needed.

4.9 *Trial Courts Performance Measures Study*

Reduction: \$70,000

Rationale: The cost estimate of work to be completed in FY 2008–2009 has been lowered as the scope of the project has been revised from the previous year.

4.10 *High Priority Media Relations*

Reduction: \$7,000

Rationale: General Fund monies will be utilized for planned Bench Bar Committee meetings.

Improvement Fund

4.11 *Trial Court Security Grant*

Reduction: \$1,000,000

Rationale: Some court security projects will be deferred to FY 2009–2010.

4.12 *Judicial Performance Defense Insurance*

Reduction: \$63,449

Rationale: The portion of this period's insurance premium that can be charged to the Improvement Fund is \$63,449 less than the previously approved budget.

4.13 *Subscription Costs – Judicial Conduct Reporter*

Reduction: \$7,160

Rationale: The subscription costs related to assigned judges will be paid from the Assigned Judges Program's budget.

4.14 *On-line Training*

Reduction: \$15,000

Rationale: Less critical elements of this training program will be reduced or deferred to the next fiscal year, but the number of software and professional development online courses provided for trial court staff will remain unchanged.

4.15 *Branchwide Strategic Planning*

Reduction: \$125,000

Rationale: The project “Web Redesign Migration Project for Knowledge Centers” will be deferred until FY 2009–2010.

4.16 *California Courts – Connecting with Constituencies*

Reduction: \$75,000

Rationale: Full implementation of the project “Teacher Training Institute” will be deferred to FY 2009–2010.

4.17 *EAP for Bench Officers*

Reduction: \$20,000

Rationale: Based on recent usage, a savings of \$20,000 is projected.

4.18 *Trial Court Benefits Program for Legal Advice*

Reduction: \$50,000

Rationale: The Trial Court Benefits Program will be ending December 2008, resulting in savings.

4.19 *Regional Office Grants*

Reduction: \$1,170,000

Rationale: The regional offices will not be providing grants this year.

4.20 *Working Group on Personal Information and Court Outsourcing*

Reduction: \$2,438

Rationale: The Working Group will not be meeting in person this fiscal year, so the budget will not be needed for travel costs of participants.

Recommendation #1: Approve a total reduction of \$2.752 million related to items 4.1 through 4.20 in FY 2008–2009 (\$215,151 one-time and \$9,175 ongoing related to the Modernization Fund and \$2.528 million one-time related to the Improvement Fund).

V. Recommended New or Additional Funding

AOC divisions submitted requests for new, additional, and/or rollover funding for various programs and projects. Fourteen requests were for new funding, four requests were for additional funding, and one request was for rollover funding. Sixteen are being recommended for approval. Three requests totaling \$325,000 were withdrawn by the divisions upon further review of the requests, as follows: Short Film for Impartial Courts (\$360,000 one-time), Riverside Court Case Flow Project Management (\$100,000 one-time), and Task Force for Criminal Justice Collaboration

on Mental Health Issues (\$25,000 one-time). Tables 2b and 3b display the previously approved budget, new or additional funding, and total recommended FY 2008–2009 budget for each project/program in the Modernization Fund and the Improvement Fund, respectively.

Recommended New, Additional, or Rollover Funding by Project/Program

Modernization Fund

5.1 *Budget-Focused Training and Meetings* (Table 2b, row 56)
\$32,425 ongoing (additional)

Funding for this project will be used to cover travel costs associated with meetings of groups that meet to discuss and address financial and budgetary issues concerning the trial courts, including the Trial Court Budget Working Group. This request will enable compliance with California Rules of Court 10.45, which requires the Administrative Director of the Courts to appoint annually a Trial Court Budget Working Group to advise the director on trial court budget issues. This project title will replace the current Financial Reports Training project title.

5.2 *Probate Conservatorship Institute* (Table 2b, row 55)
\$74,150 each year in FY 2008–2009 and FY 2009–2010 (new)

Funding for this project will be used to cover lodging and group meal expenses for trial court participants and pro bono faculty at a probate conservatorship institute. The program was offered September 29 through October 1, 2008. Probate Code §1456 requires the Judicial Council to adopt rules of court, effective January 1, 2008, that among other things, prescribe mandatory education concerning probate conservatorships and guardianships for probate court judges, commissioners, attorneys, examiners, and investigators. The council subsequently adopted rules 10.468 and 10.478, which set forth those education requirements. The probate conservatorship task force recommended a number of practices for improving the administration of justice in probate conservatorship cases, which were approved by the council in October 2007. One of the recommendations approved was that CJER should offer live education biannually for probate judges, commissioners, attorneys, examiners, and investigators: a Probate and Mental Health Institute (which has been presented for many years) and a new Probate Conservatorship Institute. This new Institute will be the primary venue for in-person education for probate court investigators, a new audience for judicial branch education. The funding is being recommended only for a two-year period, so that the ongoing need can be assessed in FY 2009–2010.

5.3 *Western States Court Leadership Academy* (Table 2b, row 56)
\$97,779 each year in FY 2008–2009 and FY 2009–2010 (new)

Funding for this project will be used to cover lodging and group meal expenses for participants from trial courts and pro bono faculty at the first Western States Court Leadership Academy (WSCLA). The program was held September 29 to October 3, 2008. The WSCLA is a collaborative program of the court systems of Arizona, California, and Utah and the Institute for Court Management of the National Center for State Courts. This multi-state collaboration is a pioneering effort, and it provides leadership training for court executives, a critical component in the judicial branch. The academy is designed for key court leaders, local and statewide, responsible for managing and leading the judicial system. The academy's goals are to develop among these multi-state court leaders a common understanding of key court administration issues, build administrative leadership skills, and facilitate examination of effective practices across state boundaries. The funding is being recommended only for a two-year period, so that the ongoing need can be assessed in FY 2009–2010.

5.4 *Complex Civil Litigation* (Table 2b, row 59)
\$168,410 in FY 2008–2009; \$43,410 ongoing (additional)

One-time funding for this program will be used to pay for an evidence presentation system and ongoing funding will be used to pay a portion of staff costs related to a second complex litigation department established by the Superior Court of Santa Clara County. The department was established on January 1, 2008 to accommodate the court's complex caseload and to allow for a smooth transition of the complex caseload from a retiring judge to a program judge. The funding of this request will help in permitting the continued efficient management of complex cases in the Superior Court of Santa Clara County, avoid the backlog and delayed disposition of complex cases that results when there is not continued involvement of judges dedicated to particular cases, and avoid the return of many complex cases to the court's master calendar and the consequent adverse effect on overall case management and disposition.

5.5 *Media Project Meetings* (Table 2b, row 77)
\$4,656 one-time (additional)

The request for increased funding for this project is to cover travel, lodging and group meal expenses for members of the Bench Bar Media Committee and the Statewide Educational meetings. During FY 2008–2009, it is anticipated that three meetings will be needed to fulfill the mission of the committee. The Bench Bar Media Committee, created at the request of Chief Justice in 2007, is a

statewide Judicial Council committee that will foster improved working relationships among the courts, attorneys, and journalists who report on legal issues and the judicial branch. It is the first committee of its kind in California and only one of several in the United States. The committee will provide statewide leadership and guidance on key issues such as public access to court records, cameras in courts, establishing local bench bar media committees, and the use of local fire brigade committees to settle fair trial/free press disputes.

5.6 *Alternative Dispute Resolution* (Table 2b, row 78)
\$1,740,000 ongoing (new)

Previous special funds limited-term funding having expired, funding for this project will be used to continue for at least one more year the Civil Mediation and Settlement Project, under which superior courts are awarded funding to plan or implement a new mediation or settlement program or to expand or improve an existing one. It will help provide ongoing support for superior court alternative dispute resolution (ADR) programs for civil cases. Trial court ADR programs for civil cases are among the core programs that have been supported by the Modernization Fund since its inception. The initial appropriation to the Modernization Fund in FY 1998–1999 was based on a Budget Concept Proposal that included funding requests for both ADR and complex litigation pilot programs. The funding level of \$2,468,000 was reduced in FY 2003–2004 to \$1,740,000, and remained at that level through FY 2007–2008.

Providing ongoing support for court-connected ADR programs for civil cases will help carry out many Judicial Council policies and directives. The *Evaluation of the Early Mediation Pilot Programs* report showed that these ADR programs can provide a variety of benefits to both litigants and the courts. For litigants, these programs can decrease the costs of resolving their disputes and the time to disposition and increase their satisfaction with the dispute resolution process and the services provided by the courts. Because ADR processes, particularly mediation, typically offer litigants a greater opportunity to tell their story, these programs can also increase litigant’s sense of “participation” or “voice” which is a critical element of procedural fairness. For courts, in addition to helping them better serve the public, these programs can reduce trial rates and pretrial hearings, which can free up judge’s time for other cases. This is a particularly important benefit as the branch faces a critical shortage of judges.

5.7 *Blue Ribbon Commission* (Table 2b, row 79)
\$141,700 one-time (new)

Funding for this project will be used to cover travel, lodging, and meal costs for participants of the Blue Ribbon Commission on Children in Foster Care, which

will release its final recommendations and implementation plan for foster care reform at a statewide stakeholders meeting (Summit) in December, 2008. The Summit has been planned in conjunction with Beyond the Bench Conference to achieve savings in travel, lodging, and time for the AOC and superior courts. The Commission will invite teams of 5 stakeholders from the 58 counties to the Summit, including the presiding judges of the local superior courts, the presiding juvenile judges, and the directors of county human services agencies. Establishment of local commissions is one of the original charges of the Commission. Releasing the Commission's final report, recommendations, and implementation plan at a statewide meeting of local stakeholders, and state policymakers, including members of the state Child Welfare Council, will achieve the broadest public awareness for the Commission's work.

5.8 *Court-Appointed Counsel, Performance Database* (Table 2b, row 80)
\$295,000 one-time; \$230,000 ongoing (new)

Previous special funds limited-term funding having expired, funding for this project will be used to cover monthly hosting and maintenance charges, network licensing fees for the original Dependency Representation, Administration, Funding and Training (DRAFT) courts and network licensing fees (\$205,000) for the ten new DRAFT court. The Judicial Council directed staff to implement the DRAFT pilot program for a three-year period beginning July 1, 2004. The program was implemented to address critical trial court needs with respect to attorney quality, availability, and cost through the establishment of partnerships between participating courts and the AOC. At its October 2007 meeting, the Judicial Council approved expansion of the program to include up to an additional ten court systems. All attorneys participating in the DRAFT program are required to submit monthly caseload data and provide quarterly workload data as part of their court-appointed counsel contracts with the AOC. The development of the Court-Appointed Counsel and Court Performance Database enabled quality data analysis, resulted in a more expeditious data reporting process for attorneys, and will be instrumental in quantitatively measuring the relationship between attorney performance and child welfare outcomes.

5.9 *Branch Online Communication* (Table 2b, row 81)
\$300,000 one-time (new)

Previous special funds limited-term funding having expired, funding for this project will be used to support the acquisition and deployment of a new Web Content Management System (WCMS). As web content management has both technical and web communications components the Information Services Division will pay for the ongoing costs to maintain and support the system and the Executive Office Programs will be responsible for the implementation and communications components. This system will consolidate AOC's currently

fragmented web system (*C/C, Serranus, COMET, CJER*) into one coherent and streamlined web portal.

5.10 *Court Interpreters Program* (Table 2b, row 82)
\$350,000 one-time; \$250,000 ongoing (additional)

Funding for this program will be used for administration of the certification and registration written and oral exams, bilingual oral proficiency screening exams, review and analysis of consortium exam, test preparation seminar, Court Interpreters Advisory Panel travel, court interpreters ethics and orientation workshops, and language need and interpreter use study. Specifically, over time funding will be used to supplement Court Interpreter Fund monies to increase the number of written and oral certification and registration exam cycles, setup and administer a bilingual oral proficiency screener, hire a consultant to analyze the testing standards and methods of the court interpreter exam currently administered by the National Center of State Courts, support the development and delivery of an annual test preparation seminar for qualified interpreter candidates, cover the travel costs for twice-a-year meetings held by the Court Interpreters Advisory Panel, conduct ethics workshops and orientation workshops for newly certified court and registered interpreters, and hire a consultant to support the work on the mandated *2010 Language Need and Interpreter Use Study*.

Improvement Fund

5.11 *Trial Court Security Grants* (Table 3b, row 3)
\$360,000 one-time (rollover)

The rollover of unspent FY 2007–2008 funding is planned to be used in FY 2008–2009 for security improvements at the Redding Main Courthouse and the Redding Justice Center in Shasta County. Even though the funding was originally encumbered in FY 2007–2008, it could not be used due to delays in the preconstruction phase. As the work will not begin before the transfer of responsibility of these facilities to the AOC, the MOU will be rendered invalid as the court will not be able to act as a payment agent for the AOC. These facilities do not currently allow for the placement of security equipment that is necessary for the safety of the judicial officers, court personnel, and the public. This funding will address that need.

5.12 *Domestic Violence Practice and Procedure Task Force* (Table 3b, row 83)
\$75,787 one-time (new)

Funding for this project will be used to cover two task force meetings, one symposium, a contractor to conduct a resource study, and printing costs to develop publications or training aids for judicial officers. Additionally, it will allow the Domestic Violence Practice and Procedure Task Force to continue its activities and oversee the implementation of practices and guidelines accepted by the Judicial Council on February 22, 2008. The Task Force was originally appointed partially in response to a high-profile Attorney General's report that was critical of the courts and other justice system entities. Accordingly, implementation of the recommended solutions to the problems cited is a high priority of the Judicial Council.

5.13 *Elkins Family Law Task Force* (Table 3b, row 84)

\$13,000 for FY 2008–2009; \$33,104 for FY 2009–2010 (new)

Funding for this project will be used to complete the final scope of work by extending the task force through FY 2009–2010. The funding will be supplemented with previously approved funding for the Snapshot 2008 project and will cover six committee meetings (four focused on stakeholder panel presentations, focus groups, development of recommendations, and public hearings) and regional meetings of court and justice partner teams. A California Supreme Court opinion, *Elkins v. Superior Court (Elkins)*, 07 C.D.O.S. 9285 recommended that the Judicial Council create a task force to propose measures to assist trial courts in achieving efficiency and fairness in family law proceedings and to ensure access to justice for litigants, many of whom are self-represented. As recommended in the *Elkins* opinion, the Task Force will consider statewide rules of practice and procedure for fair, understandable, and expeditious proceedings. Additional time and funding are requested to ensure that the work of the task force is responsive to intense public interest.

5.14 *Quadrennial Review of Statewide Uniform Child Support Guidelines* (Table 3b, row 85)

\$102,000 one-time (new)

Funding for this project will be used to cover the state's share (34 percent) of the total project cost of this project. Pursuant to Family Code section 4054 (a), the Judicial Council must conduct a review of the statewide uniform child support guidelines. This quadrennial review and a written report, including the study's findings and recommendations, must be submitted to the Legislature by December 31, 2009. The state's participation is required in order to be eligible for Federal financial contribution (45 CFR 305.22), which will be secured through a contract between the AOC and the Department of Child Support Services.

5.15 *Juvenile Delinquency Court Assessment Implementation* (Table 3b, row 86)
\$98,796 (new)

Funding for this project will be used for the purpose of implementing recommendations for system improvements in California's delinquency courts and to cover costs associated with a resource group that will meet and prioritize recommendations and implementation projects, legal consultant costs, and projects that will address public and court information, technical assistance, and research. Most of the projects will either directly fund local courts or provide education or materials to assist local courts. The *Juvenile Delinquency Court Assessment* (JDCA) is the judicial branch's first comprehensive research study of how the superior courts of California handle delinquency matters. *Juvenile Delinquency Court Assessment 2008* revealed an environment with only 94 full-time equivalent judicial officers hearing 93,000 filings, a county-based probation system and state youth correctional system that are both in need of leadership from the judiciary (Task Force report, National Council Resource Guidelines, CJJAP), and an increased awareness on the part of judges of the importance of extending judicial oversight to youth at the California Department of Corrections and Rehabilitation and similar agencies. The response of the courts to the most recent delinquency projects has been overwhelmingly positive. Many judges, attorneys, probation staff, and other stakeholders believe a long neglected area of the justice system is finally being addressed. This project will be critical in improving both the administration of justice and the lives of youth, victims, and other community members affected by the delinquency system by setting an agenda for system improvements over the coming years.

5.16 *Consultant Contract for Statewide Administrative Infrastructure Initiatives*
(Table 3b, row 87)

\$94,376 for FY 2008–2009; \$124,168 each year for FY 2009–2010 and FY 2010–2011 (new)

Funding for this project will be used for hiring an independent consultant, who has been a member of the CCMS lead courts and has assisted with design, development, testing and implementation of CCMS components, to address policy, rule, and standardization issues required to successfully implement the CCMS application. The consultant will also be assigned to related and other special projects for the Executive Office as needed.

Recommendation #2: Approve a total of \$3.948 million in new or additional funding related to items 5.1 to 5.16 (\$3.204 million related to the Modernization Fund and \$743,959 related to the Improvement Fund) in FY 2008–2009, of which \$2.296 million is ongoing.

VI. Statewide Administrative Infrastructure Initiatives and Other Initiatives

In FY 2008–2009, continued deployment is planned for the Statewide Administrative Infrastructure Initiatives (STATEWIDE TECHNOLOGY INITIATIVES) and other initiatives that benefit trial court operations. AOC staff, including the Project Review Board (PRB), recommends approval of funding for these projects in FY 2008–2009 in the amount of \$47.414 million from the Modernization Fund and \$105.259 million from the Improvement Fund. Other funding sources, which do not require approval by the E&P, also support STATEWIDE TECHNOLOGY INITIATIVES and are indicated below. Projects are displayed by the broad category levels, which reflect the technology objectives approved by the Court Technology Advisory Committee (CTAC).

The statewide technology initiatives and additional initiatives fall under four major categories. The first category consists of Major Statewide Initiatives. These initiatives are directly overseen by the PRB and are generally very large branch-wide initiatives. The PRB approves and monitors these major business project proposals and approves the budgetary and scope changes of all major branch technology projects. The second category consists of those initiatives that are overseen by the Court Improvement Steering Committee. These initiatives are generally those of interest to trial courts, administrative departments within the Administrative Office of the Courts, or the Judicial Council. The third category consists of those initiatives that are overseen by the IT Infrastructure Steering Committee and are generally oriented towards improvements to the IT infrastructure at the branch that supports the trial court initiatives. The last category, Ongoing Operations and Other Initiatives, consists of those ongoing operations that are necessary for system maintenance, miscellaneous initiatives that provide service to the trial courts, and AOC staff support of the statewide technology initiatives.

I. Major Statewide Initiatives

FY 2008–2009 Modernization Fund - \$35,301,729

FY 2008–2009 Improvement Fund - \$58,283,799

(FY 2008–2009 Other Funds - \$113,771,399)

California Court Case Management System

FY 2008–2009 Modernization Fund - \$22,374,560

FY 2008–2009 Improvement Fund - \$29,446,422

(FY 2008–2009 Other Funds - \$89,594,692)

CCMS-V4 is a statewide initiative to develop and deploy a unified case management system for all 58 superior courts. During FY 2008–2009 funding will support continuation of the design and construction of the application. Review of the Final

Functional Design, which was delivered in September 2008, will continue through January 2009. The regional program office also expects to sign a contract with the deployment vendor by December 2008. Regional forums will be conducted in January 2009 to inform courts about upcoming CCMS deployment activities. The CCMS-V3 project team is working on upgrades to the application software components. During FY 2008–2009 funding will also support testing and deployment of releases 7, 8, and 9. Enhancements for Release 10, which focuses on electronic filing, is currently in development and construction and testing will occur in FY 2008–2009. For CCMS-V2, funding will support necessary maintenance to address judicial branch requirements and legislative changes. In addition, an analysis of application changes and requirements for the CCMS-V2, CCMS-V3, and CCMS-V4 products began this fall to implement the changes related to SB 1407, which will improve the tracking of the imposition and collection of court-ordered fees, penalties, and assessments.

Phoenix Project

FY 2008–2009 Modernization Fund - \$11,390,759

FY 2008–2009 Improvement Fund - \$10,684,649

(FY 2008–2009 Other Funds - \$24,462,077)

In FY 2008–2009 the SAP software used for the Phoenix System is being upgraded. Eight subject-matter-specific user groups were established to assist in the preparation of the Phoenix System upgrade. The groups include Budget Management, Employee Self Service/Manager Self Service (ESS/MSS), General Ledger, Grants Management, HR/Payroll, Materials Management, Technical, and Trust and Treasury. This global blueprint design phase of the project will continue through June 2009.

This newer version has additional functionality that will provide benefits to the courts that has not been made available to date. New functionality will be added in the form of Grants Management and Fixed Assets modules, which will enable the courts to better track and evaluate expenditures/assets. Efforts are underway to assess the 125 bargaining contracts that exist statewide for all of the trial courts to determine how the future payroll system will be configured. Additionally, for the six courts on the payroll system, access to the ESS/MSS was improved through a portal that will provide a much more intuitive "look and feel" and thus will be easier to navigate.

Interim Case Management System

FY 2008–2009 Modernization Fund - \$1,399,410

FY 2008–2009 Improvement Fund - \$4,647,940

(FY 2008–2009 Other Funds - \$1,851,630)

Sustain Justice Edition (SJE) is an interim case management system that was selected by the courts prior to converting to the CCMS. SJE operates in eight courts and is hosted at the California Courts Technology Center (CCTC). Funding in FY 2008–

2009 will support project management oversight for the SJE for deployment to the Superior Court of Plumas County in November 2008, and the Superior Court of Merced County in February 2009; plus support for legislative updates and minor system enhancements. Five courts use the system locally (i.e., not based at the CCTC), for a total of 15 courts statewide, with approximately 48 court locations and 2,552 licensed court users.

California Courts Technology Center (CCTC) Re-Hosting

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$8,053,883

FY 2008–2009 funding will support the transition between vendors for re-hosting the CCTC. A new vendor was awarded the contract after a competitive bid process and migration to the new CCTC is in process. The new contract provides an opportunity for the AOC and the courts to realign managed services with IT industry best practices, and to expand capacity to serve the courts as additional applications are developed and deployed.

Wide Area Network Upgrades

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$3,450,905

This initiative provides the fundamental infrastructure for efficient telecommunications, including data transfer, video delivery and Voice Over IP (VOIP) between AOC, CCTC, the trial courts, and the public and justice partners. This project is focused on three courts in the southern region (Los Angeles, Orange, and San Diego) and five courts in the northern and central region (Alpine, Mariposa, Sutter, Tuolumne, and Mono) that have not yet participated in this program.

II. Court Improvement Steering Committee Initiatives

FY 2008–2009 Modernization Fund - \$ 4,061,597

FY 2008–2009 Improvement Fund - \$3,491,353

Jury Management Systems

FY 2008–2009 Modernization Fund - \$957,323

FY 2008–2009 Improvement Fund - \$0

Funding will support court jury management system upgrades/projects. Projects range from replacing a court's entire jury system, to projects such as Interactive Voice Response (IVR), and internet solutions that allow jurors to access information about their jury service via the internet or by phone.

E-Exchange

FY 2008–2009 Modernization Fund - \$467,541

FY 2008–2009 Improvement Fund - \$1,528,341

Funding will support initiatives in three areas: e-filing for self-represented litigants, e-filing service providers, and development of a XML legal vendor.

- The e-filing for self-represented litigants project will identify alternatives for solutions to meet the special needs of self-represented litigants.
- The e-filing service providers project will identify opportunities to work with commercial third-party service providers to develop and implement solutions consistent with the AOC vision for statewide electronic filing.
- Funding for the XML legal vendor will support deployment of e-filing projects in conjunction with the deployment of CCMS in at least five superior courts, specification development, development and evaluation of vendors to be awarded statewide e-filing contracts, and development of e-filing tools in the CCMS-V4 product.

State Partners

FY 2008–2009 Modernization Fund - \$22,774

FY 2008–2009 Improvement Fund - \$1,037,277

Funding will support the California Law Enforcement Telecommunications System (CLETS) services and integration with the justice partner systems, and Electronic Disposition Reporting (EDR).

CLETS is a secure, statewide network utilized by criminal justice and related agencies to access a variety of state level or interstate databases. This initiative provides the capability to obtain direct access for each court, as well as, future enhancements in the area of reporting protective and restraining orders to the California Department of Justice (DOJ).

EDR is the electronic form of reporting case dispositions to the California DOJ, as mandated by law. This portion of the data integration program will continue to be enhanced within the Integration Services Backbone to include technical data transport and data standards, in conjunction with the California DOJ and the CCMS-V4 project.

E-Citation ROM

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$213,831

Funding is to support the first phase of a project to develop and deploy a court citation tracking system. During the first phase, a standardized, reusable architecture will be developed that supports a state-wide Citation Tracking System (CTS). Data exchange standards for traffic citations will be validated and criteria developed to identify a pilot court based on technical stability, volume of citations, and court/local law enforcement agency buy-in. The deployment effort functional and technical testing will be undertaken to validate court connectivity to the CTS and local law enforcement agencies.

California Courts Protective Order Registry (CCPOR)

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$711,904

Funding will support the design, development and testing for the CCPOR, scheduled for completion by June 1, 2009. CCPOR will provide statewide access to a centralized system for viewing restraining and protective orders for the judicial branch and law enforcement. Final requirements are complete and design, development and testing are scheduled for completion by June 1, 2009. Twenty superior courts are in the queue for implementation by February 2010, and all 58 superior courts are expected to be completed by 2012.

JBSIS Reconstructions

FY 2008–2009 Modernization Fund - \$250,919

FY 2008–2009 Improvement Fund - \$0

Funding will support the complete testing and deployment of the “reconstructed” Judicial Branch Statistical Information System (JBSIS). These funds will augment the requirements and functionality of the system when courts begin to use the system. The new system is web-based, easier to use, eliminates errors in the current e-form process, and should eliminate many problems the courts have experienced with the current system.

Uniform Fees

FY 2008–2009 Modernization Fund - \$2,363,040

FY 2008–2009 Improvement Fund - \$0

Funding is for development and support of the system that centrally consolidates and manages distribution and reporting of uniform civil fees collected by the courts which averages \$45 million each month.

III. IT Infrastructure Steering Committee Initiatives

FY 2008–2009 Modernization Fund - \$ 572,665

FY 2008–2009 Improvement Fund - \$2,863,666

Testing Tools

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$2,828,523

The AOC Enterprise Test Management Suite (ETMS) is a suite of software test management applications that will enhance AOC software testing processes. Funding will support the implementation of this suite of software. ETMS will facilitate and improve applications quality management to help provide California courts with premier systems that are thoroughly tested, including state-developed systems and commercial products.

Security Program

FY 2008–2009 Modernization Fund - \$287,776

FY 2008–2009 Improvement Fund - \$0

Funding will support the establishment of a Judicial Branch information security policy framework and program, a California Court Technology Center identity management framework, and third party vulnerability assessments and audits of the CCTC environment in order to test the information security practices in use by the provider.

Wireless Standards

FY 2008–2009 Modernization Fund - \$203,305

FY 2008–2009 Improvement Fund - \$0

The trial courts have an immediate need to provide internet access in their jury assembly rooms, and have been investigating solutions to resolve this need. In order to leverage the infrastructure, and ensure the wireless technology used poses no additional information security risk to the court network, funding will be used to augment the LAN/WAN network standard to include wireless connectivity.

VOIP Standards and Pilot

FY 2008–2009 Modernization Fund - \$81,584

FY 2008–2009 Improvement Fund - \$0

Funding will support creation of a VOIP standard for the courts. The new trial courts telecommunications architecture will be based on IP, allowing for convergence of court communications. In the future, converged networks will be the common

denominator for the support of voice, data and video communications and applications. The eventual use of IP for voice and video will be less costly to the courts than traditional systems, and will also provide increased flexibility and speed in implementing new applications.

Standards Development

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$20,568

Funding is to support the expansion of data exchange standards to additional court case types.

Oracle Assisted Services

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$14,575

Funding will support Judicial Branch Premium Consulting Services from Oracle. The services provide expert assistance and key resources to select appropriate products, implement projects following best practices, and resolve specific problems using Oracle solutions.

IV. Ongoing Operations and Other Initiatives

FY 2008–2009 Modernization Fund - \$7,477,858

FY 2008–2009 Improvement Fund - \$40,615,343

(FY 2008–2009 Other Funds - \$2,344,796)

California Court Technology Center Ongoing Operations

FY 2008–2009 Modernization Fund - \$4,151,470

FY 2008–2009 Improvement Fund - \$5,221,204

(FY 2008–2009 Other Funds - \$2,085,845)

Funding will support ongoing operations for the CCTC. The new shared services vendor is providing comprehensive services to the judicial branch, based on industry standards and best practices. IT Service Areas include data center services, data network management services, desktop computing and local server services, and Help Desk services. IT service management and lifecycle services include IT lifecycle and service delivery and support.

Data Integration

FY 2008–2009 Modernization Fund - \$3,326,388

FY 2008–2009 Improvement Fund - \$8,115,913

(FY 2008–2009 Other Funds - \$178,380)

Funding will support the Integrated Services Backbone (ISB) infrastructure, including hosting at the CCTC, maintenance and support of licensed software, and ongoing maintenance of the ISB framework and steady state support.

Additional common services will be added to the ISB environments (production and non- production) throughout the fiscal year. The common services will be extended to several courts for both file transfers to third party vendors as well as use of the credit card authorization by the Superior Courts of Lake and Sacramento Counties.

Telecom Support

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$12,802,790

This initiative provides ongoing support for courts that have participated in the telecommunications program (50 courts to date) in the areas of program management at the AOC, information security monitoring, training and a refresh of the hardware as it reaches the end of its life.

Enterprise Policy/Planning Operations

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$10,241,588

Funding will provide continued administrative and technical support for the Enterprise Technology Architecture program, which provides a roadmap on how the various court technology initiatives fit together from a business and technology perspective. Activities planned for FY 2008–2009 include continuing to lead the technical architecture design process for major enterprise-wide initiatives for the branch; consult on technical designs and issues for the CCMS, Phoenix, CAFM, DMS, DI and other branchwide initiatives; support the local courts with EA related issues and solution design; implement the EA governance and decision process; investigate and lead review for technical issues brought to governing bodies; consult on technology decisions affecting the branch; and staff the Enterprise Technology Architecture unit.

Support

FY 2008–2009 Improvement Fund - \$17,279,680

The AOC is limited in the amount it can spend on AOC support staff from the Improvement Fund. Pursuant to the Budget Act of 2008, the appropriation for support of statewide technology and other initiatives in FY 2008–2009 is up to a maximum of \$18.673 million.

A. Statewide Administrative Infrastructure Initiatives - (\$13,045,832)

Projects listed in categories I and IV of the statewide technology initiatives, such as the California Case Management System, Data Integration, Phoenix Project, California Courts Technology Center Operations, are supported by AOC staff, who contribute to the design and development, implementation and deployment, and continuous maintenance of many statewide technology initiatives projects.

B. Other Initiatives – (\$4,233,848)

Enhanced Collections

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$801,289

In FY 2008–2009, the Enhanced Collections Unit will continue to implement Assembly Bill 367 by providing professional assistance to collections programs with establishing performance measures, benchmarks, and best practices as adopted by the Judicial Council on August 15, 2008. The Unit is participating in the California Court Case Management System (CCMS) meetings to contribute to the functional design and application of the system as it pertains to collections and other financial-related components. Contractor(s) selection for statewide collection services, and the execution of the master agreement(s), will be completed by December 31, 2008. The Unit plans to pursue legislation to improve performance of collection programs statewide. An outline of policies and procedures for the successful recovery of court-ordered debt is being developed and will serve to complete the *Statewide Comprehensive Collections Manual*, as required by the Judicial Council's approved operational plan for the California's judicial branch, by 2011. These efforts will result in increased revenues, the widespread and efficient dissemination of information to all justice partners on the importance of collection efforts, and the improved effectiveness of collection programs statewide.

Regional Office Assistance Group

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$1,739,140

Funding will support the ongoing costs associated with attorneys and associated staff who support the regional offices and who work primarily in the regional offices. Each regional office serves as liaison, clearinghouse, advocate, consultant, and service provider to the trial courts. Staff with expertise in court services, finance, legal, facilities, human resources, and education and training are stationed at the regional offices and work directly with the courts to improve court administration and

operations. Court visits and regional meetings ensure that the regions' perspectives are brought forward to the Judicial Council.

California Law Enforcement Telecommunications System

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$263,147

The California Law Enforcement Telecommunications System (CLETS) is a secure, statewide network utilized by criminal justice and related agencies to access a variety of state level or interstate databases. Five courts are now using the statewide network to access and update various California and federal databases, including the Domestic Violence Restraining Order System. For FY 2008–2009, 2-3 additional courts will be deployed onto the CLETS network. Funding will provide ongoing support of the system.

Internal Audits

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$764,104

This funding will be used to support audit activities and consultative engagements performed for the superior courts.

Trial Court Reengineering

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$290,442

The Reengineering and Process Improvement Unit focuses on reengineering the business processes and systems of the trial courts to help achieve improvement in business performance. The Reengineering Unit will continue working on reengineering programs in two trial courts in the AOC's Northern/Central Region as well as initiate reengineering programs in other trial courts as workload permits. Ultimately, it is the Reengineering Unit's goal to identify and share these best practices with other courts across the state.

Treasury

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$227,726

The expenditures for FY 2008–2009 will be utilized for personnel services, travel and rent for two employee positions responsible for the accounting and distribution of the Uniform Civil Fees (UCF) collected by the trial courts. Staff receives the monthly UCF collection reporting from all 58 trial courts, enter this reporting in a financial

systems application which calculates the statutory distributions, and executes the monthly cash distributions when due to the state and local agency recipients.

Administrative Infrastructure Fiscal Support

FY 2008–2009 Modernization Fund - \$0

FY 2008–2009 Improvement Fund - \$148,000

(FY 2008–2009 Other Funds - \$80,571)

Funding will be utilized for the continued implementation and support of the IT Projects Budget and Investment Management Process, and fiscal and administrative support of various statewide administrative infrastructure initiatives. Administrative support for executive management is necessary so that timely decisions can be made, and necessary actions are taken to ensure that IT projects provide positive business value and are managed to the realization of that value.

Recommendation #3: Approve a budget of \$150.531 million for statewide technology initiatives and other initiatives.

VII. Summary of Recommendations

Recommendation #1: Approve a total reduction of \$2.752 million related to items 4.1 through 4.20 (\$215,151 one-time and \$9,175 ongoing related to the Modernization Fund and \$2.528 million one-time related to the Improvement Fund).

Recommendation #2: Approve a total of \$3.948 million in new or additional funding related to items 5.1 to 5.16 (\$3.204 million related to the Modernization Fund and \$743,959 related to the Improvement Fund) in FY 2008–2009, of which \$2.296 million is ongoing.

Recommendation #3: Approve a budget of \$150.531 million for statewide technology and other initiatives.

Rationale for Recommendations

The recommendations above are consistent with Judicial Branch goals and the statutory purposes of the special funds, and represent prudent use of the special funds for achieving the various goals of the Judicial Branch as they apply to trial courts.

Alternative Actions Considered

None.

Comments from Interested Parties

Development of the special fund budgets is not subject to the comment process.

Implementation Requirements and Costs

Once approved, program staff will prepare the appropriate documents such as Requests for Proposals, Standard Agreements and Memoranda of Understanding to implement these projects.

Table 1

Overview of Special Funds
FY 2007-08 Actuals and FY 2008-09 Recommended Budget

(amount in dollars)

I. Modernization Fund	FY 2007-08 Actual	FY 2008-09 Budget			
		Projected Resources / Previously Approved Budget	Reduction	New and Additional	Total Projected Resources and Recommended Adjustments
		Column A	Column B	Column C	Column D
Beginning Balance	16,641,268	20,773,297	-	-	20,773,297
Prior Year Adjustments	1,646,854	-	-	-	-
Revenues and Transfers	39,735,793	39,630,333	-	-	39,630,333
Total Resources	58,023,915	60,403,630	-	-	60,403,630
All Other Projects and Programs	10,881,150	9,908,293	(224,326)	3,204,120	12,888,087
Statewide Technology Initiatives and Support	26,369,468	-	-	47,276,849	47,276,849
Total Expenditures and Encumbrances	37,250,618	9,908,293	(224,326)	50,480,969	60,164,936
Ending Fund Balance	20,773,297	50,495,337	224,326	(50,480,969)	238,694

II. Improvement Fund	FY 2007-08 Actual	FY 2008-09 Budget			
		Projected Resources / Previously Approved Budget	Reduction	New and Additional	Total Projected Resources and Recommended Adjustments
		Column A	Column B	Column C	Column D
Beginning Balance	146,706,027	80,050,994	-	-	80,050,994
Prior Year Adjustments	3,518,347	-	-	-	-
Revenues and Transfers	87,404,942	77,491,898	-	-	77,491,898
Total Resources	237,629,316	157,542,891	-	-	157,542,891
All Other Projects and Programs	23,560,402	53,169,115	(2,528,047)	743,959	51,385,027
Statewide Technology Initiatives and Support	132,596,743	-	-	103,254,161	103,254,161
Emergency Funding Reserve (reserved until 3/15/09)	-	13,108,000	-	-	1,310,800
Any unused reserves will be released for statewide technology initiatives.	-	-	-	-	(1,310,800)
Judicial Council Item - Distribution to Trial Courts	1,421,178	-	-	639,857	639,857
Total Expenditures and Encumbrances	157,578,323	66,277,115	(2,528,047)	104,637,977	155,279,045
Prorata	-	695,000	-	-	695,000
Ending Fund Balance	80,050,994	90,570,776	2,528,047	(104,637,977)	1,568,846

III. Combined	FY 2007-08 Actual	FY 2008-09 Budget			
		Projected Resources / Previously Approved Budget	Reduction	New and Additional	Total Projected Resources and Recommended Adjustments
		Column A	Column B	Column C	Column D
Beginning Balance	163,347,295	100,824,291	-	-	100,824,291
Prior Year Adjustments	5,165,201	-	-	-	-
Revenues and Transfers	127,140,735	117,122,231	-	-	117,122,231
Total Resources	295,653,231	217,946,521	-	-	217,946,521
All Other Projects and Programs	34,441,552	63,077,408	(2,752,373)	3,948,079	64,273,114
Statewide Technology Initiatives and Support	158,966,211	-	-	150,531,010	150,531,010
Emergency Funding Reserve	-	13,108,000	-	-	-
Judicial Council Item - Distribution to Trial Courts	1,421,178	-	-	639,857	639,857
Total Expenditures and Encumbrances	194,828,941	76,185,408	(2,752,373)	155,118,946	215,443,981
Prorata	-	695,000	-	-	695,000
Ending Fund Balance	100,824,291	141,066,113	2,752,373	(155,118,946)	1,807,540

Modernization Fund
Summary of FY 2007-08 Actuals and FY 2008-09 Recommended Budget
(amount in dollars)

	FY 2007-08 Actual	FY 2008-09 Budget			
		Projected Resources / Previously Approved Budget	Reduction	New and Additional	Total Projected Resources and Recommended Adjustments
		Column B	Column C	Column D	Column E
	Column A				
Beginning Balance	16,641,268	20,773,297			20,773,297
Prior Year Adjustments	1,646,854	-			-
Adjusted Beginning Balance	18,288,122	20,773,297	-	-	20,773,297
Revenues and Transfers					
Income from Surplus Money Investment Fund	1,969,502	921,333			921,333
Miscellaneous Revenue	74,291	-			-
State General Fund Transfer	37,692,000	38,709,000			38,709,000
Total, Revenues and Transfers	39,735,793	39,630,333	-	-	39,630,333
Total Resources	58,023,915	60,403,630	-	-	60,403,630
Expenditures and Encumbrances					
Category 1 - Statewide Technology Projects	26,369,468	-	-	47,276,849	47,276,849
Category 2 - Education and Developmental Programs	3,300,612	3,488,605	(126,526)	204,354	3,566,433
Category 3 - Pilot, Special Initiatives, and Ongoing Projects	7,580,538	6,419,688	(97,800)	2,999,766	9,321,654
Total Expenditures and Encumbrances	37,250,618	9,908,293	(224,326)	50,480,969	60,164,936
Ending Fund Balance	20,773,297	50,495,337	224,326	(50,480,969)	238,694
Budget Act Appropriation Authority	37,692,000	38,709,000			38,709,000
Unused or (Needed) Appropriation Authority	441,382	28,800,707	224,326	(50,480,969)	(21,455,936)

Modernization Fund
FY 2008-2009 Recommended Budget by Program/Project
(amount in dollars)

Line #	Project and Program Description	Term	Previously Approved Budget	Recommended		
				Reduction	New and Additional	Total Budget
				Column A	Column B	Column C
1	Category 1 - Statewide Technology Projects					
2	I. Major Statewide Initiatives					
3	California Case Management Systems (CCMS)				22,374,560	22,374,560
4	Phoenix Project				11,390,759	11,390,759
5	Interim Case Management Systems				1,399,410	1,399,410
6	II. Court Improvement Steering Committee Initiatives					
7	Jury Management Systems				957,323	957,323
8	E-Exchange				467,541	467,541
9	State Partners				22,774	22,774
10	JBSIS Reconstructions				250,919	250,919
11	Uniform Fees				2,363,040	2,363,040
12	III. Technology Improvement Steering Committee Initiatives					
13	Security Program				287,776	287,776
14	Wireless Standards				203,305	203,305
15	VOIP Standards & Pilot Project				81,584	81,584
16	IV. Ongoing Operations and Other Initiatives					
17	California Court Technology Center - Ongoing Operations				4,151,470	4,151,470
18	Data Integration				3,326,388	3,326,388
19	Total, Category 1		-	-	47,276,849	47,276,849
20	Category 2 - Education and Developmental Programs					
21	Achieving Equal Justice for Women and Men in CA Courts	Ends in 08-09	125,000	(15,000)		110,000
22	CFCC Programs - Teen Courts and Beyond the Bench	Ongoing	185,000			185,000
23	CFCC Publications	Ongoing	168,961	(25,000)		143,961
24	Orientation for New Court Judges	Ongoing	135,000			135,000
25	B.E. Witkin Judicial College of California	Ongoing	246,000			246,000
26	Family Law Assignment Education	Ongoing	68,000			68,000
27	Juvenile Law Assignment Education	Ongoing	50,000			50,000
28	Ethics Training for Judges	Ongoing	2,000			2,000
29	Criminal Law and Procedure Institute	Ongoing	20,000			20,000
30	Cow County Judges Institute	Ongoing	38,500			38,500
31	Statewide Fairness Conference (Spring CJSP)	Ongoing	50,000			50,000
32	Winter Continuing Judicial Studies Program (CJSP)	Ongoing	191,000			191,000
33	Probate and Mental Health Institute	Ongoing	54,500			54,500
34	Civil Law and Procedure Institute	Ongoing	45,000			45,000
35	Science and the Law Institute	Every other year	-			-
36	Traffic Institute (every other year)	Ongoing	25,000			25,000
37	Overview Courses	Ongoing	106,000			106,000
38	Court Management Course (Fall CJSP)	Ongoing	61,000			61,000
39	Technical Assistance to Local Courts	Ongoing	200,000			200,000
40	Train the Trainers - Faculty Development	Ongoing	115,000			115,000
41	Training Coordinators Conference	Ongoing	7,500			7,500
42	Trial Court Faculty (Statewide Education Programs)	Ongoing	325,000			325,000

Modernization Fund
FY 2008-2009 Recommended Budget by Program/Project
(amount in dollars)

Line #	Project and Program Description	Term	Previously Approved Budget	Recommended		
				Reduction	New and Additional	Total Budget
				Column A	Column B	Column C
43	Fall Leadership Conf. Summit of Judicial Leaders (every other year)	Ongoing	270,000			270,000
44	Court Management Curriculum	Ends in 09-10	33,333			33,333
45	Mid-level Management Conferences	Ongoing	50,000			50,000
46	Court Clerk Training Institute	Ongoing	360,000			360,000
47	Distance Learning (Satellite Broadcast)	Ongoing	350,000			350,000
48	Court Staff Training	Ongoing	20,000			20,000
49	Human Resources Staff Training	Ongoing	10,000			10,000
50	Orientation/Education - JC Advisory Committee Chairs	Ongoing	6,311	(6,311)		-
51	Trial Court Outreach - Visits to Council and AOC	Ongoing	50,000			50,000
52	New Judicial Officer Regional Meeting for Judicial Branch Policy	Ongoing	23,000	(15,113)		7,887
53	California Judicial Branch HR Conference (every even year)	Ongoing	90,000	(60,000)		30,000
54	Budget Focused Training and Meetings	Ongoing	7,500	(5,102)	32,425	34,823
55	Probate Conservatorship Institute	Ends in 09-10			74,150	74,150
56	Western States Court Leadership Academy	Ends in 09-10			97,779	97,779
57	Total, Category 2		3,488,605	(126,526)	204,354	3,566,433
58	Category 3 - Pilot, Special Initiatives, and Ongoing Projects					
59	Complex Civil Litigation	Ongoing	3,957,600		168,410	4,126,010
60	Plain-Language and Foreign Language	Ends in 08-09	75,000			75,000
61	Self-help Videos for the Website	Ongoing	3,850			3,850
62	Interactive Software - Self-rep Electronic Forms	Ongoing	60,000			60,000
63	California Drug Court Cost Analysis	Ends in 08-09	208,000			208,000
64	Collaborative Justice	Ends in 09-10	48,000			48,000
65	Family Law Resource Guidelines	Ends in 08-09	190,000			190,000
66	Family Dispute Resolution Court Exchange Visits	Ends in 08-09	10,800	(10,800)		-
67	Presiding Judge and Court Executive Meetings	Ongoing	200,000			200,000
68	Kleps Award Program	Ongoing	80,000			80,000
69	Jury Management/Improvement Initiatives	Ends in 08-09	271,000			271,000
70	Courts Review Magazine	Ongoing	123,789			123,789
71	Institutionalization of the JC Operational Plan	Ongoing	10,000	(10,000)		-
72	Promising Knowledge Practices	Ongoing	369,500			369,500
73	Trial Court Performance Measures Study	Ongoing	350,000	(70,000)		280,000
74	Court Interpreter Program - Testing Development/Implementation	Ongoing	250,000			250,000
75	JC Orientation and Branch Planning	Ongoing	32,149			32,149
76	Interpreter Recruitment Campaign	Ongoing	125,000			125,000
77	High Priority Media Relations Projects	Ends in 08-09	55,000	(7,000)	4,656	52,656
78	Alternative Dispute Resolution for Civil Cases	Ongoing			1,740,000	1,740,000
79	Blue Ribbon Commission Summit	Ends in 08-09			141,700	141,700
80	Court-Appointed Counsel, Performance Database (\$295K in 08, \$230K after)	Ongoing			295,000	295,000
81	Branch Online Communication	Ends in 08-09			300,000	300,000
82	Court Interpreters Program	Ongoing			350,000	350,000
83	Total, Category 3		6,419,688	(97,800)	2,999,766	9,321,654
84	Total, All Categories		9,908,293	(224,326)	50,480,969	60,164,936

Trial Court Improvement Fund
Summary of FY 2007-08 Actuals and FY 2008-09 Recommended Budget
(amount in dollars)

	FY 2007-08 Actual	FY 2008-09 Budget			
		Projected Resources / Previously Approved Budget	Reduction	New and Additional	Total Projected Resources and Recommended Adjustments
		Column A	Column B	Column C	Column D
Beginning Balance	146,706,027	80,050,994	-	-	80,050,994
Prior Year Adjustments	3,518,347	-	-	-	-
Adjusted Beginning Balance	150,224,374	80,050,994	-	-	80,050,994
Revenues					
50/50 Excess Fines Split Revenue	67,280,506	61,907,213	-	-	61,907,213
2% Automation Fund	17,536,456	18,125,870	-	-	18,125,870
Income from Surplus Money Investment Fund	7,499,537	2,323,805	-	-	2,323,805
Royalties from Publications and Other	527,314	482,011	-	-	482,011
Subtotal, Revenues	92,843,812	82,838,898	-	-	82,838,898
Transfers and Adjustments					
1% Transfer from Trial Court Trust Fund (GC §77205)	26,124,130	26,216,000	-	-	26,216,000
Transfer to Trial Court Trust Fund (AB 1806)	(31,563,000)	(31,563,000)	-	-	(31,563,000)
Subtotal, Transfers and Adjustments	(5,438,870)	(5,347,000)	-	-	(5,347,000)
Total Resources	237,629,316	157,542,891	-	-	157,542,891
Expenditures and Encumbrances					
Category 1 - Ongoing Statewide Programs (excluding Statewide Technology Initiatives) ¹⁾	18,433,254	22,854,927	(1,355,609)	360,000	21,859,318
Category 2 - Trial Court Projects and Model Programs					
a) Excluding JC Items ¹⁾	5,127,148	21,814,188	(1,172,438)	383,959	21,025,709
b) JC Items	1,421,178	8,500,000	-	639,857	9,139,857
Category 3 - Emergency Funding Reserve (reserved until 3/15/09)	-	13,108,000	-	-	13,108,000
Any unused reserves will be released for statewide technology initiatives.	-	-	-	-	(13,108,000)
Subtotal, Local Assistance	24,981,580	66,277,115	(2,528,047)	1,383,816	52,024,884
Statewide Technology Initiatives	122,258,402	-	-	85,979,481	85,979,481
State Operation (Administrative Support)	10,338,340	-	-	17,274,680	17,274,680
Subtotal, Statewide Technology Initiatives / Support	132,596,743	-	-	103,254,161	103,254,161
Total Expenditures and Encumbrances	157,578,323	66,277,115	(2,528,047)	104,637,977	155,279,045
Prorata		695,000	-	-	695,000
Ending Fund Balance	80,050,994	90,570,776	2,528,047	(104,637,977)	1,568,846

Note:

¹⁾ The new and additional funding (column D) for Category 1 and Category 2 a) equals \$743,959.

Table 3b

Improvement Fund FY 2008-2009 Recommended Budget by Program/Project

(amount in dollars)

Line #	Project and Program Description	Term	Previously Approved Budget	Recommended		
				Reduction	New and Additional	Total Budget
				Column B	Column C	Column D
1	Category 1 - Ongoing Statewide Programs					
2	(1) Non-Technology					
3	Trial Court Security Grants (Req't one-time rollover funds)	Ongoing	3,605,396	(1,000,000)	360,000	2,965,396
4	Litigation Management Program	Ongoing	7,412,292			7,412,292
5	Judicial Performance Defense Insurance	Ongoing	824,844	(63,449)		761,395
6	Subscription Costs - Judicial Conduct Reporter	Ongoing	28,770	(7,160)		21,610
7	Trial Court Transactional Assistance Program	Ongoing	693,625			693,625
8	Self-represented Litigants Strategic Planning	Ongoing	300,000			300,000
9	Domestic Violence - Family Law Interpretive Program	Ongoing	1,750,000			1,750,000
10	Self-Help Center	Ongoing	5,000,000			5,000,000
11	On-line Training	Ongoing	40,000	(15,000)		25,000
12	Branchwide Strategic Planning	Ongoing	500,000	(100,000)		400,000
13	CA Courts - Connecting with Constituencies	Ongoing	400,000	(100,000)		300,000
14	Chambers Reimbursement Program	Ongoing	2,000,000			2,000,000
15	Employment Assistance Program for Bench Officers	Ongoing	100,000	(20,000)		80,000
16	Trial Court Benefits Program for Legal Advice	Ongoing	200,000	(50,000)		150,000
17	Subtotal, Non-Technology		22,854,927	(1,355,609)	360,000	21,859,318
18	(2) Statewide Technology Initiatives					
19	I. Major Statewide Initiatives					
20	California Case Management System (CCMS)				23,795,047	23,795,047
21	Phoenix Project				8,607,287	8,607,287
22	Interim Case Management Systems				4,317,575	4,317,575
23	California Courts Technology Center - Re-hosting				8,053,883	8,053,883
24	WAN Update				3,450,905	3,450,905
25	Subtotal, Major Statewide Initiatives				48,224,697	48,224,697
26	II. Court Improvement Steering Committee Initiatives					
27	E-Exchange				1,528,341	1,528,341
28	State Partners				1,037,277	1,037,277
29	E-Citation				213,831	213,831
30	California Courts Protective Order Registry				711,904	711,904
31	Subtotal, Court Improvement Steering Committee Initiatives				3,491,353	3,491,353
32	III. Technology Improvement Steering Committee Initiatives					
33	Testing Tools				2,828,523	2,828,523
34	Standards Development				20,568	20,568
35	Oracle Assisted Services				14,575	14,575
36	Subtotal, Technology Improvement Steering Committee Initiatives				2,863,666	2,863,666

Table 3b

Improvement Fund FY 2008-2009 Recommended Budget by Program/Project

(amount in dollars)

Line #	Project and Program Description	Term	Previously Approved Budget	Recommended		
				Reduction	New and Additional	Total Budget
				Column A	Column B	Column C
37	IV. Ongoing Operations and Other Initiatives					
38	(I) Ongoing Operations (Local Assistance)					
39	California Courts Technology Center - Ongoing Operations				3,038,454	3,038,454
40	Data Integration				5,526,933	5,526,933
41	Telecom Support				12,802,790	12,802,790
42	Enterprise Policy/Planning Operations				10,031,588	10,031,588
43	Subtotal, Ongoing Operations (Local Assistance)				31,399,765	31,399,765
44	(II) Ongoing Operations (Administrative Support)					
45	A. Support Related to Statewide Technology Initiatives					
46	California Courts Case Management System				5,651,375	5,651,375
47	Phoenix Project				2,077,362	2,077,362
48	Interim Case Management Systems				330,365	330,365
49	California Courts Technology Center - Ongoing Operations				2,182,750	2,182,750
50	Data Integration				2,588,980	2,588,980
51	Enterprise Policy/Planning Operations				210,000	210,000
52	Subtotal, Support Related to Statewide Technology Initiatives				13,040,832	13,040,832
53	B. Support Related to Other Initiatives					
54	Enhanced Collections				801,289	801,289
55	Regional Office Assistance Group				1,739,140	1,739,140
56	California Law Enforcement Telecommunications System				263,147	263,147
57	Internal Audits				764,104	764,104
58	Trial Court Re-engineering				290,442	290,442
59	Treasury				227,726	227,726
60	Administrative Infrastructure Fiscal Support				148,000	148,000
61	Subtotal, Support Related to Other Initiatives				4,233,848	4,233,848
62	Total Administrative Support		-	-	17,274,680	17,274,680
63	Total Local Assistance			-	85,979,481	85,979,481
64	Total, Statewide Technology Initiatives		-	-	103,254,161	103,254,161
65	Total, Category 1		22,854,927	(1,355,609)	103,614,161	125,113,479
66	Category 2 - Trial Court Projects and Model Programs					
67	Settlement Support Services for Unrepresented Litigants	Ends in 08-09	330,000			330,000
68	Legal Services for P3 Agreement	Ends in 08-09	300,000			300,000
69	E-Access Working Group Meetings	Ends in 08-09	40,738			40,738
70	Working Group on Personal Information / Court Outsourcing	Ends in 08-09	2,438	(2,438)		-
71	Domestic Violence Practice and Procedure Task Force	Ends in 08-09	34,493			34,493
72	Snapshot 2008	Ends in 08-09	225,000			225,000

Table 3b

Improvement Fund
FY 2008-2009 Recommended Budget by Program/Project

(amount in dollars)

Line #	Project and Program Description	Term	Previously Approved Budget	Recommended		
				Reduction	New and Additional	Total Budget
				Column B	Column C	Column D
73	Comprehensive Collection Program	Ends in 08-09	24,298			24,298
74	SRO Grant	Ongoing	450,000	(450,000)		-
75	NCRO Grant	Ongoing	360,000	(360,000)		-
76	BANCRO Grant	Ongoing	360,000	(360,000)		-
77	Commission for Impartial Courts	Ends in 08-09	207,749			207,749
78	Audit Contract	Ongoing	750,000			750,000
79	Workers Compensation Program Reserve	Ongoing rollover	15,962,472			15,962,472
80	Trial Court Healthcare Reserve Account	Ongoing set-aside	1,533,000			1,533,000
81	Trial Court Benefits Program - Third Party Administrator	Ends in 08-09	500,000			500,000
82	New South Justice Center Courthouse Project	Ends in 09-10	734,000			734,000
83	Domestic Violence Practice and Procedure Task Force	One-time			75,787	75,787
84	Elkins Family Law Task Force	Ends in 09-10			13,000	13,000
85	Quadrennial Review of Statewide Uniform Child Support Guideline	Ends in 09-10			102,000	102,000
86	Juvenile Delinquency Court Assessment Implementation	One-time			98,796	98,796
87	Consultant for Statewide Administrative Infrastructure Initiatives	Ends in 10-11			94,376	94,376
88	Subtotal		21,814,188	(1,172,438)	383,959	21,025,709
89	Judicial Council Items					193,172
90	Distribution to Trial Courts	Ongoing			639,857	639,857
91	Conservatorship and Guardianship	One-time	8,500,000			8,500,000
92	Total, Category 2		52,128,376	(2,344,876)	1,023,816	30,165,566
93	Category 3 - Emergency Funding Reserve					
94	1/2 of 1% transfer from TCTF (reserved until 3/15/08)	Ongoing	13,108,000			13,108,000
95	Any unused reserves will be released for statewide technology initiatives.					(13,108,000)
96	Total, Category 3		13,108,000	-	-	-
97	Total, All Categories		88,091,303	(3,700,485)	104,637,977	155,279,045