



E-filing Workstream Recommendations

January 2016

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Our Charge:

Judicial Council Technology Tactical Plan

▶ E-filing Manager (EFM)

- ▶ Determine alternatives for implementing e-filing solutions for California *trial courts*
- ▶ Recommend an implementation approach to ITAC and JCTC
- ▶ Develop an e-filing Deployment Guide for selected approach

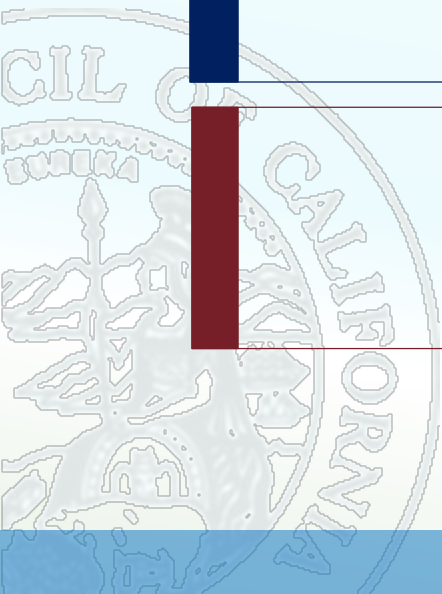
▶ E-filing Service Provider (EFSP) Selection/Certification

- ▶ Determine alternatives for selecting and certifying EFSPs to file with California *trial courts*.
- ▶ Recommend an approach to ITAC and JCTC
- ▶ Develop an EFSP roadmap for selected approach

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Executive Summary of Recommendations



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Recommendations - EFM

Issue an RFP for an E-Filing Manager that:

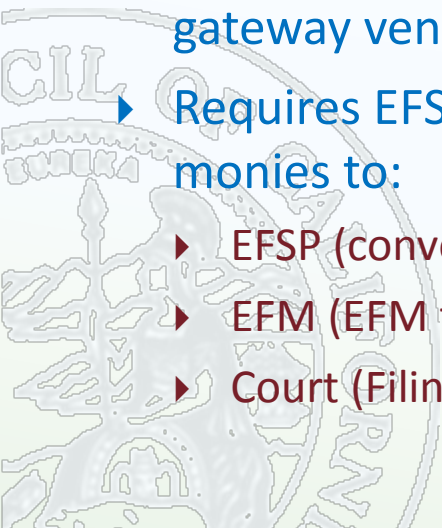
- ▶ Selects more than one statewide EFM
- ▶ Covers all litigation types
- ▶ Integrates with “core” Case Management Systems (the three statewide CMS Vendors *and* Journal Technology’s eCourt)
- ▶ Requires EFM vendors to describe their *approach for integration* with “non-standard” CMS’s including a free-standing e-Delivery option
- ▶ Integrates with Judicial Council approved Financial gateway vendors
- ▶ Support electronic payment types beyond credit card
- ▶ Provides a zero cost e-Filing option for Indigent and Government filers
- ▶ Allows individual Courts to retain authority as to which EFM they want to use
- ▶ Clearly discloses costs and services to EFSPs
- ▶ Requires compliance with NIEM/ECF as the new State of CA e-Filing standard
- ▶ Requires option of electronic service of Court generated documents

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Recommendations - EFSPs

Create a framework for EFSPs that:

- ▶ Requires all EFSPs to work with all “statewide” EFM
- ▶ Requires all EFSPs to sign an agreement with:
 - ▶ JCC for overall statewide participation
 - ▶ Individual trial courts for county performance
 - ▶ Individual EFM for management and coordination of program, and to minimize reconciliation for each court
- ▶ Requires all EFSPs to integrate with Judicial Council approved Financial gateway vendors
- ▶ Requires EFSPs to be the entity to collect all monies due and distribute monies to:
 - ▶ EFSP (convenience fees)
 - ▶ EFM (EFM fee)
 - ▶ Court (Filing fees and optional Cost Recovery Fee)



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Other E-Filing Recommendations

- ▶ All E-Filing in the state must adhere to a “consistent framework”
- ▶ EFM(s) must maintain and freely share “service list” for all cases
- ▶ EFMs may also be EFSPs*

* This will likely be how the EFM offers free e-Filing to fee-waiver and government filers.

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