

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Kevin Auer

Signature of Presiding Judge or Court Executive

9/12/12

Date

MARIN

Court

FY 11-12/Q 4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Marin
 Court

2011- 2012 Q4
 Fiscal Year and Ending Quarter

| | Total Authorized Court Positions (FTEs) (OPTIONAL) | Positions (FTEs) Filled | | | |
|------------------------------------|---|-------------------------|----------------|----------------|----------------|
| | | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
| Court Employee Positions (FTEs) | 138.1 | 135.1 | 131.1 | 128.3 | 129.1 |

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Marin

Court

2011- 2012 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

| | |
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Superior Court of California, County of Marin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

| For the month ended Jun | | | | | | | | | |
|---|--------------------|-----------------|-----------|--|--|--|--------------|--------------------------------------|--------------------------------------|
| Fiscal Year 2011/12 | | | | | | | | | 2010/11 |
| | Governmental Funds | | | | | | | Total Funds (Info. Purposes Only) | Total Funds (Info. Purposes Only) |
| | | Special Revenue | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| ASSETS | | | | | | | | | |
| Operations | \$ (80,370) | \$ 12,668 | \$ 0 | | | | \$ 1,186,248 | \$ 1,118,546 | \$ (392,974) |
| Payroll | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Jury | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Revolving | \$ 15,000 | | | | | | | \$ 15,000 | \$ 15,000 |
| Other | | | | | | | | | |
| Distribution | | | | | | | | | |
| Civil Filing Fees | | | | | | | \$ 0 | \$ 0 | \$ 0 |
| Trust | | | | | | | \$ (192,127) | \$ (192,127) | \$ 1,417,674 |
| Credit Card | | | | | | | | | |
| Cash on Hand | \$ 2,745 | | | | | | | \$ 2,745 | \$ 2,745 |
| Cash with County | | | | | | | | | \$ 0 |
| Cash Outside of the AOC | | | | | | | | | |
| Total Cash | \$ (62,625) | \$ 12,668 | \$ 0 | | | | \$ 994,121 | \$ 944,165 | \$ 1,042,445 |
| Short Term Investment | \$ 4,943,303 | | | | | | \$ 508,947 | \$ 5,452,251 | \$ 6,005,387 |
| Investment in Financial Institution | | | | | | | | | \$ 0 |
| Total Investments | \$ 4,943,303 | | | | | | \$ 508,947 | \$ 5,452,251 | \$ 6,005,387 |
| Accrued Revenue | \$ 5,191 | \$ 30 | | | | | \$ 0 | \$ 5,221 | \$ 6,878 |
| Accounts Receivable - General | | | | | | | | | |
| Dishonored Checks | | | | | | | | | |
| Due From Employee | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Civil Jury Fees | | | | | | | | | |
| Trust | | | | | | | | | |
| Due From Other Funds | \$ 84,829 | | | | | | \$ 0 | \$ 84,829 | \$ 222,066 |
| Due From Other Governments | \$ 17,228 | | | | | | | \$ 17,228 | \$ 19,664 |
| Due From Other Courts | \$ 0 | | | | | | \$ 0 | \$ 0 | \$ 0 |
| Due From State | \$ 138,066 | \$ 460 | \$ 98,585 | | | | | \$ 237,112 | \$ 390,269 |
| Trust Due To/From | | | | | | | \$ 0 | \$ 0 | |
| Distribution Due To/From | | | | | | | | | |
| Civil Filing Fee Due To/From | | | | | | | | | |
| General Due To/From | \$ 339 | | | | | | | \$ 339 | \$ 623 |
| Total Receivables | \$ 245,654 | \$ 490 | \$ 98,585 | | | | \$ 0 | \$ 344,729 | \$ 639,500 |
| Prepaid Expenses - General | \$ 10,000 | | | | | | | \$ 10,000 | \$ 0 |
| Salary and Travel Advances | | | | | | | | | \$ 0 |
| Counties | | | | | | | | | |
| Total Prepaid Expenses | \$ 10,000 | | | | | | | \$ 10,000 | \$ 0 |
| Other Assets | | | | | | | | | |
| Total Other Assets | | | | | | | | | |
| Total Assets | \$ 5,136,333 | \$ 13,158 | \$ 98,585 | | | | \$ 1,503,068 | \$ 6,751,144 | \$ 7,687,332 |
| LIABILITIES AND FUND BALANCES | | | | | | | | | |
| Accrued Liabilities | \$ 130,720 | | | | | | | \$ 130,720 | \$ 78,350 |
| Accounts Payable - General | \$ 0 | | \$ 0 | | | | \$ 0 | \$ 0 | \$ 2,853 |
| Due to Other Funds | \$ 0 | \$ 0 | \$ 84,829 | | | | \$ 339 | \$ 85,168 | \$ 222,690 |
| Due to Other Courts | | | | | | | | | \$ 0 |
| Due to State | | | | | | | | | \$ 0 |
| TC145 Liability | | | | | | | \$ 520,356 | \$ 520,356 | \$ 578,933 |
| Due to Other Governments | \$ 31,585 | | \$ 13,756 | | | | \$ 0 | \$ 45,341 | \$ 328,903 |
| AB145 Due to Other Government Agency | | | | | | | | | |
| Due to Other Public Agencies | | | | | | | | | |
| Sales and Use Tax | \$ 956 | | | | | | | \$ 956 | \$ 550 |
| Interest | | | | | | | \$ 65 | \$ 65 | \$ 33 |
| Miscellaneous Accts. Pay. and Accrued Liab. | | | | | | | | | \$ 0 |
| Total Accounts Payable and Accrued Liab. | \$ 163,261 | \$ 0 | \$ 98,585 | | | | \$ 520,760 | \$ 782,607 | \$ 1,212,312 |
| Civil | | | | | | | \$ 645,014 | \$ 645,014 | \$ 1,057,669 |
| Criminal | | | | | | | \$ 216,606 | \$ 216,606 | \$ 259,920 |
| Unreconciled - Civil and Criminal | | | | | | | \$ 7,773 | \$ 7,773 | \$ 7,923 |
| Trust Held Outside of the AOC | | | | | | | | | |
| Trust Interest Payable | | | | | | | \$ 17,585 | \$ 17,585 | \$ 19,372 |
| Miscellaneous Trust | | | | | | | | | |
| Total Trust Deposits | | | | | | | \$ 886,977 | \$ 886,977 | \$ 1,344,884 |
| Accrued Payroll | \$ 243,262 | | | | | | | \$ 243,262 | \$ 196,964 |
| Benefits Payable | \$ 66,535 | | | | | | | \$ 66,535 | \$ 64,079 |
| Deferred Compensation Payable | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Deductions Payable | \$ 2,379 | | | | | | | \$ 2,379 | \$ 2,553 |
| Payroll Clearing | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Total Payroll Liabilities | \$ 312,176 | | | | | | | \$ 312,176 | \$ 263,596 |
| Revenue Collected in Advance | | | | | | | | | \$ 0 |
| Liabilities For Deposits | \$ 33,615 | | | | | | \$ 75,981 | \$ 109,596 | \$ 76,015 |
| Jury Fees - Non-Interest | | | | | | | \$ 19,350 | \$ 19,350 | \$ 17,351 |
| Fees - Partial Payment & Overpayment | | | | | | | | | |
| Uncleared Collections | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Other Miscellaneous Liabilities | | | | | | | | | \$ 0 |
| Total Other Liabilities | \$ 33,615 | | | | | | \$ 95,331 | \$ 128,946 | \$ 93,366 |
| Total Liabilities | \$ 509,052 | \$ 0 | \$ 98,585 | | | | \$ 1,503,068 | \$ 2,110,706 | \$ 2,914,157 |
| Total Fund Balance | \$ 4,627,281 | \$ 13,158 | \$ 0 | | | | | \$ 4,640,439 | \$ 4,773,175 |
| Total Liabilities and Fund Balance | \$ 5,136,333 | \$ 13,158 | \$ 98,585 | | | | \$ 1,503,068 | \$ 6,751,144 | \$ 7,687,332 |

Superior Court of California, County of Marin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

| For the month ended Jun | | | | | | | | | | | |
|--|----------------------|------------------|-------------------|------------------|--------------|-------------------|----------------------|--------------------------------------|----------------------------|--------------------------------------|--------------------------|
| Fiscal Year 2011/12 | | | | | | | | | | 2010/11 | |
| | Governmental Funds | | | | | Proprietary Funds | Fiduciary Funds | Total Funds (Info. Purposes Only) | Current Budget (Annual) | Total Funds (Info. Purposes Only) | Final Budget (Annual) |
| | General | Special Revenue | | Capital Projects | Debt Service | | | | | | |
| | | Non-Grant | Grant | | | | | | | | |
| REVENUES | | | | | | | | | | | |
| State Financing Sources | | | | | | | | | | | |
| Trial Court Trust Fund | \$ 16,123,588 | \$ 3,420 | | | | | \$ 16,127,008 | \$ 16,655,138 | \$ 20,041,430 | \$ 19,734,339 | |
| Trial Court Improvement Fund | \$ 36,448 | | | | | | \$ 36,448 | \$ 36,376 | \$ 37,237 | \$ 40,031 | |
| Judicial Administration Efficiency & Mod Fund | | | | | | | | | | \$ 0 | |
| Judges' Compensation (45.25) | | | | | | | | | | | |
| Court Interpreter (45.45) | \$ 555,604 | | | | | | \$ 555,604 | \$ 537,000 | \$ 531,642 | \$ 560,837 | |
| Civil Coordination Reimbursement (45.55) | | | | | | | | | | | |
| MOU Reimbursements (45.10 and General) | \$ 258,159 | | | | | | \$ 258,159 | \$ 259,035 | \$ 252,406 | \$ 243,628 | |
| Other Miscellaneous | \$ 587,765 | | | | | | \$ 587,765 | \$ 587,765 | | | |
| | \$ 17,561,565 | \$ 3,420 | | | | | \$ 17,564,985 | \$ 18,075,314 | \$ 20,862,715 | \$ 20,578,835 | |
| Grants | | | | | | | | | | | |
| AB 1058 Commissioner/Facilitator | | | \$ 272,492 | | | | \$ 272,492 | \$ 321,782 | \$ 321,462 | \$ 333,687 | |
| Other AOC Grants | | | \$ 13,756 | | | | \$ 13,756 | \$ 11,497 | \$ 11,484 | \$ 11,497 | |
| Non-AOC Grants | | | | | | | | | | | |
| | | | \$ 286,248 | | | | \$ 286,248 | \$ 333,279 | \$ 332,946 | \$ 345,184 | |
| Other Financing Sources | | | | | | | | | | | |
| Interest Income | \$ 26,303 | \$ 95 | | | | | \$ 26,398 | \$ 24,000 | \$ 32,053 | \$ 44,153 | |
| Investment Income | | | | | | | | | | | |
| Donations | \$ 6 | | | | | | \$ 6 | | | | |
| Local Fees | \$ 329,819 | \$ 14,870 | | | | | \$ 344,688 | \$ 327,580 | \$ 309,044 | \$ 369,058 | |
| Non-Fee Revenues | \$ 54,880 | | | | | | \$ 54,880 | \$ 57,000 | \$ 92,891 | \$ 132,159 | |
| Enhanced Collections | | | | | | | | | | | |
| Escheatment | | | | | | | | | | | |
| Prior Year Revenue | | | | | | | | | | | |
| County Program - Restricted | | \$ 7,220 | | | | | \$ 7,220 | \$ 8,000 | \$ 7,827 | \$ 9,081 | |
| Reimbursement Other | \$ 106,594 | | | | | | \$ 106,594 | \$ 108,323 | \$ 88,082 | \$ 92,600 | |
| Sale of Fixed Assets | | | | | | | | | | | |
| Other Miscellaneous | \$ 2,829 | | | | | | \$ 2,829 | \$ 2,600 | \$ 5,095 | \$ 2,300 | |
| | \$ 520,430 | \$ 22,185 | | | | | \$ 542,615 | \$ 527,503 | \$ 534,991 | \$ 649,351 | |
| Total Revenues | \$ 18,081,995 | \$ 25,605 | \$ 286,248 | | | | \$ 18,393,848 | \$ 18,936,096 | \$ 21,730,652 | \$ 21,573,370 | |
| EXPENDITURES | | | | | | | | | | | |
| Personal Services | | | | | | | | | | | |
| Salaries - Permanent | \$ 8,714,362 | \$ 19,491 | \$ 152,559 | | | | \$ 8,886,413 | \$ 8,802,958 | \$ 9,241,286 | \$ 9,315,340 | |
| Temp Help | \$ 158,295 | | | | | | \$ 158,295 | \$ 159,050 | \$ 61,665 | \$ 62,000 | |
| Overtime | \$ 14,438 | | | | | | \$ 14,438 | \$ 15,900 | \$ 15,139 | \$ 15,000 | |
| Staff Benefits | \$ 3,917,617 | \$ 5,570 | \$ 81,990 | | | | \$ 4,005,177 | \$ 4,107,154 | \$ 4,136,664 | \$ 4,373,255 | |
| | \$ 12,804,712 | \$ 25,061 | \$ 234,549 | | | | \$ 13,064,322 | \$ 13,085,062 | \$ 13,454,754 | \$ 13,765,595 | |
| Operating Expenses and Equipment | | | | | | | | | | | |
| General Expense | \$ 238,763 | | \$ 136 | | | | \$ 238,900 | \$ 281,122 | \$ 308,925 | \$ 317,747 | |
| Printing | \$ 66,576 | | | | | | \$ 66,576 | \$ 68,000 | \$ 66,137 | \$ 72,920 | |
| Telecommunications | \$ 20,170 | | | | | | \$ 20,170 | \$ 21,200 | \$ 22,077 | \$ 24,350 | |
| Postage | \$ 120,279 | | \$ 132 | | | | \$ 120,411 | \$ 121,261 | \$ 139,790 | \$ 141,200 | |
| Insurance | \$ 3,328 | | | | | | \$ 3,328 | \$ 3,328 | \$ 3,344 | \$ 3,344 | |
| In-State Travel | \$ 13,440 | | \$ 891 | | | | \$ 14,331 | \$ 16,200 | \$ 10,910 | \$ 14,110 | |
| Out-of-State Travel | | | | | | | | | | | |
| Training | \$ 8,044 | | | | | | \$ 8,044 | \$ 10,500 | \$ 27,188 | \$ 26,600 | |
| Security Services | \$ 0 | | | | | | \$ 0 | \$ 33,000 | \$ 3,110,152 | \$ 3,107,570 | |
| Facility Operations | \$ 274,779 | | | | | | \$ 274,779 | \$ 273,512 | \$ 351,138 | \$ 354,700 | |
| Utilities | | | | | | | | | | | |
| Contracted Services | \$ 584,618 | | \$ 13,756 | | | | \$ 598,374 | \$ 626,336 | \$ 660,078 | \$ 699,997 | |
| Consulting and Professional Services | \$ 1,040 | | | | | | \$ 1,040 | \$ 1,010 | \$ 710 | \$ 600 | |
| Information Technology | \$ 2,844,752 | | | | | | \$ 2,844,752 | \$ 2,861,460 | \$ 1,781,712 | \$ 1,780,871 | |
| Major Equipment | \$ 85,788 | | | | | | \$ 85,788 | \$ 130,263 | \$ 0 | \$ 0 | |
| Other Items of Expense | | | | | | | | | | | |
| | \$ 4,261,577 | | \$ 14,915 | | | | \$ 4,276,493 | \$ 4,447,192 | \$ 6,482,161 | \$ 6,544,009 | |
| Special Items of Expense | | | | | | | | | | | |
| Grand Jury | | | | | | | | | | | |
| Jury Costs | \$ 92,080 | | | | | | \$ 92,080 | \$ 95,600 | \$ 137,371 | \$ 138,500 | |
| Judgements, Settlements and Claims | | | | | | | | | | | |
| Debt Service | | | | | | | | | | | |
| Other | \$ 1,000,000 | | | | | | \$ 1,000,000 | \$ 1,000,000 | \$ 1,000,000 | \$ 1,000,000 | |
| Capital Costs | | | | | | | | | | | |
| Internal Cost Recovery | \$ (46,910) | | \$ 46,910 | | | | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Prior Year Expense Adjustment | \$ 93,691 | | | | | | \$ 93,691 | | \$ (96,990) | | |
| | \$ 1,138,860 | | \$ 46,910 | | | | \$ 1,185,770 | \$ 1,095,600 | \$ 1,040,382 | \$ 1,138,500 | |
| Total Expenditures | \$ 18,205,149 | \$ 25,061 | \$ 296,375 | | | | \$ 18,526,584 | \$ 18,627,854 | \$ 20,977,297 | \$ 21,448,104 | |
| Excess (Deficit) of Revenues Over Expenditures | \$ (123,154) | \$ 544 | \$ (10,126) | | | | \$ (132,737) | \$ 308,242 | \$ 753,354 | \$ 125,266 | |
| Operating Transfers In (Out) | \$ (10,126) | | \$ 10,126 | | | | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Fund Balance (Deficit) | | | | | | | | | | | |
| Beginning Balance (Deficit) | \$ 4,760,562 | \$ 12,614 | \$ 0 | | | | \$ 4,773,175 | \$ 4,773,175 | \$ 4,019,821 | \$ 4,019,821 | |
| Ending Balance (Deficit) | \$ 4,627,281 | \$ 13,158 | \$ 0 | | | | \$ 4,640,439 | \$ 5,081,417 | \$ 4,773,175 | \$ 4,145,087 | |

Superior Court of California, County of Marin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

| | For the month ended Jun | | | | | | | | | |
|---|-------------------------|----------------------------------|--------------------------|---------------|------------------------|-------------------------------|----------------------|-------------------------|----------------------|-----------------------|
| | Fiscal Year 2011/12 | | | | | | | | 2010/11 | |
| | Personal Services | Operating Expenses and Equipment | Special Items of Expense | Capital Costs | Internal Cost Recovery | Prior Year Expense Adjustment | Total Actual Expense | Current Budget (Annual) | Total Actual Expense | Final Budget (Annual) |
| PROGRAM EXPENDITURES: | | | | | | | | | | |
| Judges & Courtroom Support | \$ 4,515,996 | \$ 319,781 | | | \$ 0 | | \$ 4,835,778 | \$ 4,705,415 | \$ 4,955,307 | \$ 4,683,877 |
| Traffic & Other Infractions | \$ 1,108,973 | \$ 24,882 | | | | | \$ 1,133,855 | \$ 1,203,710 | \$ 1,370,767 | \$ 1,603,036 |
| Other Criminal Cases | \$ 647,184 | \$ 52,542 | | | | | \$ 699,726 | \$ 766,490 | \$ 713,709 | \$ 707,860 |
| Civil | \$ 1,856,511 | \$ 16,270 | | | | | \$ 1,872,780 | \$ 1,901,883 | \$ 1,989,236 | \$ 2,066,733 |
| Family & Children Services | \$ 798,617 | \$ 22,823 | | | \$ 0 | | \$ 821,439 | \$ 857,764 | \$ 896,772 | \$ 869,016 |
| Probate, Guardianship & Mental Health Services | \$ 318,226 | \$ 1,931 | | | | | \$ 320,157 | \$ 312,619 | \$ 326,252 | \$ 322,128 |
| Juvenile Dependency Services | | \$ 6,840 | | | | | \$ 6,840 | \$ 7,500 | \$ 8,599 | \$ 26,000 |
| Juvenile Delinquency Services | | \$ 13,950 | | | | | \$ 13,950 | \$ 14,786 | \$ 18,000 | |
| Other Court Operations | \$ 202,036 | \$ 49,663 | | | | | \$ 251,699 | \$ 192,201 | \$ 180,273 | \$ 177,484 |
| Court Interpreters | \$ 525,461 | \$ 64,742 | | | | | \$ 590,203 | \$ 538,622 | \$ 615,200 | \$ 608,063 |
| Jury Services | \$ 166,896 | \$ 23,404 | \$ 92,080 | | | | \$ 282,379 | \$ 289,993 | \$ 333,659 | \$ 514,691 |
| Security | | \$ 0 | | | | \$ 91,284 | \$ 91,284 | \$ 33,000 | \$ 3,110,632 | \$ 3,107,570 |
| Trial Court Operations Program | \$ 10,139,900 | \$ 596,827 | \$ 92,080 | | \$ 0 | \$ 91,284 | \$ 10,920,091 | \$ 10,823,983 | \$ 14,518,407 | \$ 14,686,458 |
| Enhanced Collections | | | | | | | | | | |
| Other Non-Court Operations | | | | | | | | | | |
| Non-Court Operations Program | | | | | | | | | | |
| Executive Office | \$ 414,512 | \$ 7,396 | | | | | \$ 421,908 | \$ 454,844 | \$ 433,085 | \$ 560,894 |
| Fiscal Services | \$ 695,411 | \$ 154,385 | \$ 1,000,000 | | | | \$ 1,849,796 | \$ 1,881,160 | \$ 1,874,419 | \$ 1,893,311 |
| Human Resources | \$ 775,645 | \$ 21,612 | | | | \$ 9,027 | \$ 806,284 | \$ 809,296 | \$ 683,498 | \$ 703,179 |
| Business & Facilities Services | | \$ 566,346 | | | | \$ (6,621) | \$ 559,725 | \$ 577,764 | \$ 594,894 | \$ 633,264 |
| Information Technology | \$ 1,038,854 | \$ 2,929,926 | | | | | \$ 3,968,780 | \$ 4,080,807 | \$ 2,872,994 | \$ 2,970,998 |
| Court Administration Program | \$ 2,924,421 | \$ 3,679,665 | \$ 1,000,000 | | | \$ 2,406 | \$ 7,606,493 | \$ 7,803,871 | \$ 6,458,890 | \$ 6,761,646 |
| Expenditures Not Distributed or Posted to a Program | | \$ 0 | | | | | \$ 0 | | \$ 0 | |
| Prior Year Adjustments Not Posted to a Program | | | | | | | | | | |
| Total | \$ 13,064,322 | \$ 4,276,493 | \$ 1,092,080 | | \$ 0 | \$ 93,691 | \$ 18,526,584 | \$ 18,627,854 | \$ 20,977,297 | \$ 21,448,104 |

