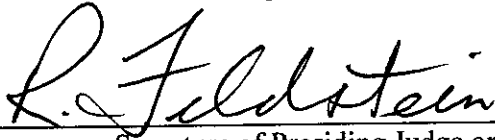


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

8/14/12
Date

Napa Superior Court

Court

Q4 - 2011/12

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Napa Superior Court
Court

Q4 – 2011/12
Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court’s fund balance.
2	Refer to the Schedule of Fund Balance Constraints for an accurate listing.
3	Operations Cash Balance shows as a negative balance because funds are held in short-term investments.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Napa Superior Court
 Court

Q4 – 2011/12
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	90.5	81.5	78.0	78.0	77.0

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Napa Superior Court
Trial Court Operations Fund
Balance Sheet
(Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (95,933)	\$ 32,262	\$ 0				\$ 3,802	\$ (59,870)	\$ (169,275)
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 5,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 2,950							\$ 2,950	\$ 2,950
Cash with County	\$ 1,688,630		\$ 0				\$ 535,614	\$ 2,224,244	\$ 2,730,402
Cash Outside of the AOC									
Total Cash	\$ 1,595,647	\$ 32,262	\$ 0				\$ 539,415	\$ 2,167,324	\$ 2,569,077
Short Term Investment	\$ 1,158,839	\$ 0					\$ 168,633	\$ 1,327,472	\$ 1,154,152
Investment in Financial Institution									
Total Investments	\$ 1,158,839	\$ 0					\$ 168,633	\$ 1,327,472	\$ 1,154,152
Accrued Revenue	\$ 2,333	\$ 22						\$ 2,354	\$ 5,066
Accounts Receivable - General	\$ 59,685		\$ 53,312					\$ 112,997	\$ 161,629
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 51,101							\$ 51,101	\$ 95,284
Due From Other Governments	\$ 37,856							\$ 37,856	\$ 27,795
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 141,266		\$ 4,895					\$ 146,161	\$ 151,693
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 292,242	\$ 22	\$ 58,207				\$ 0	\$ 350,470	\$ 441,468
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									\$ 0
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,046,727	\$ 32,283	\$ 58,207				\$ 708,048	\$ 3,845,266	\$ 4,164,697
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 34,039		\$ 6,949					\$ 40,988	\$ 57,935
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due to Other Funds	\$ 0		\$ 51,101					\$ 51,101	\$ 95,284
Due to Other Courts									\$ 0
Due to State	\$ 7,681							\$ 7,681	\$ 12,644
TC145 Liability							\$ 172,411	\$ 172,411	\$ 201,496
Due to Other Governments	\$ 14,088	\$ 30	\$ 0					\$ 14,118	\$ 14,493
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax									\$ 0
Interest							\$ 23	\$ 23	\$ 12
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 55,809	\$ 30	\$ 58,050				\$ 172,435	\$ 286,323	\$ 381,865
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 535,614	\$ 535,614	\$ 972,225
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 535,614	\$ 535,614	\$ 972,225
Accrued Payroll	\$ 448,631		\$ 0					\$ 448,631	\$ 453,795
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									\$ 0
Payroll Clearing									
Total Payroll Liabilities	\$ 448,631		\$ 0					\$ 448,631	\$ 453,795
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 6,047	\$ 100	\$ 157					\$ 6,303	\$ 5,692
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 6,047	\$ 100	\$ 157					\$ 6,303	\$ 5,692
Total Liabilities	\$ 510,486	\$ 130	\$ 58,207				\$ 708,048	\$ 1,276,871	\$ 1,813,577
Total Fund Balance	\$ 2,536,241	\$ 32,153	\$ 0					\$ 2,568,395	\$ 2,351,120
Total Liabilities and Fund Balance	\$ 3,046,727	\$ 32,283	\$ 58,207				\$ 708,048	\$ 3,845,266	\$ 4,164,697

Napa Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 7,951,014						\$ 7,951,014	\$ 8,060,316	\$ 10,138,993	\$ 10,082,006	
Trial Court Improvement Fund	\$ 25,738						\$ 25,738	\$ 25,085	\$ 25,741	\$ 25,000	
Judicial Administration Efficiency & Mod Fund	\$ 5,750						\$ 5,750	\$ 6,000	\$ 7,600	\$ 7,600	
Judges' Compensation (45.25)	\$ 38,116						\$ 38,116	\$ 45,000	\$ 45,000	\$ 45,000	
Court Interpreter (45.45)	\$ 484,692						\$ 484,692	\$ 450,000	\$ 423,097	\$ 464,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 320,411						\$ 320,411	\$ 303,015	\$ 306,520	\$ 296,934	
Other Miscellaneous	\$ 185,045						\$ 185,045				
	\$ 9,010,766						\$ 9,010,766	\$ 8,889,416	\$ 10,946,951	\$ 10,920,540	
Grants											
AB 1058 Commissioner/Facilitator			\$ 320,040				\$ 320,040	\$ 320,647	\$ 370,374	\$ 370,376	
Other AOC Grants	\$ 1,818		\$ 57,648				\$ 59,466	\$ 74,741	\$ 83,331	\$ 76,000	
Non-AOC Grants									\$ 4,500	\$ 4,500	
	\$ 1,818		\$ 377,688				\$ 379,506	\$ 395,388	\$ 458,206	\$ 450,876	
Other Financing Sources											
Interest Income	\$ 15,662	\$ 105					\$ 15,766	\$ 20,000	\$ 21,820	\$ 25,000	
Investment Income											
Donations											
Local Fees	\$ 295,968						\$ 295,968	\$ 289,225	\$ 310,848	\$ 284,425	
Non-Fee Revenues	\$ 281,273						\$ 281,273	\$ 263,000	\$ 283,178	\$ 277,000	
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 118,341						\$ 118,341		\$ 33,515		
County Program - Restricted											
Reimbursement Other	\$ 37,005						\$ 37,005	\$ 9,000	\$ 62,716	\$ 60,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 4,142						\$ 4,142		\$ 2		
	\$ 752,391	\$ 105					\$ 752,496	\$ 581,225	\$ 712,080	\$ 646,425	
Total Revenues	\$ 9,764,975	\$ 105	\$ 377,688				\$ 10,142,768	\$ 9,866,029	\$ 12,117,236	\$ 12,017,841	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,381,287		\$ 244,443				\$ 5,625,730	\$ 5,339,600	\$ 5,909,282	\$ 5,901,694	
Temp Help	\$ 5,849						\$ 5,849	\$ 35,953	\$ 2,542	\$ 23,473	
Overtime	\$ 5,455						\$ 5,455	\$ 2,000	\$ 3,997	\$ 1,000	
Staff Benefits	\$ 2,405,129		\$ 160,161				\$ 2,565,290	\$ 3,026,341	\$ 2,502,776	\$ 2,647,253	
	\$ 7,797,720		\$ 404,604				\$ 8,202,323	\$ 8,403,894	\$ 8,418,596	\$ 8,573,420	
Operating Expenses and Equipment											
General Expense	\$ 163,963		\$ 1,348				\$ 165,311	\$ 152,390	\$ 160,437	\$ 158,059	
Printing	\$ 15,877						\$ 15,877	\$ 30,000	\$ 36,605	\$ 42,813	
Telecommunications	\$ 25,661		\$ 633				\$ 26,294	\$ 26,850	\$ 26,064	\$ 25,780	
Postage	\$ 29,935		\$ 331				\$ 30,266	\$ 33,800	\$ 32,659	\$ 36,770	
Insurance	\$ 4,075						\$ 4,075	\$ 4,500	\$ 4,071	\$ 4,071	
In-State Travel	\$ 6,415		\$ 1,968				\$ 8,383	\$ 16,076	\$ 16,445	\$ 18,250	
Out-of-State Travel	\$ 1,145						\$ 1,145				
Training	\$ 7,505						\$ 7,505	\$ 9,850	\$ 11,082	\$ 12,300	
Security Services	\$ 204,759		\$ 4,173				\$ 208,932	\$ 215,000	\$ 1,854,899	\$ 1,865,000	
Facility Operations	\$ 133,430		\$ 2,042				\$ 135,472	\$ 152,825	\$ 162,220	\$ 179,938	
Utilities											
Contracted Services	\$ 804,920		\$ 65,415				\$ 870,336	\$ 943,484	\$ 920,430	\$ 998,916	
Consulting and Professional Services	\$ 62,932		\$ 977				\$ 63,909	\$ 60,200	\$ 57,819	\$ 64,300	
Information Technology	\$ 150,299		\$ 2,325				\$ 152,624	\$ 155,351	\$ 153,297	\$ 163,083	
Major Equipment	\$ 6,529		\$ 152				\$ 6,680	\$ 6,693			
Other Items of Expense	\$ 37						\$ 37	\$ 250	\$ (2,310)	\$ 215	
	\$ 1,617,482		\$ 79,364				\$ 1,696,846	\$ 1,807,269	\$ 3,433,717	\$ 3,569,495	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 26,325	\$ 0					\$ 26,325	\$ 24,000	\$ 27,988	\$ 25,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (80,878)		\$ 80,878				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 0		
	\$ (54,553)	\$ 0	\$ 80,878				\$ 26,325	\$ 24,000	\$ 27,988	\$ 25,000	
Total Expenditures	\$ 9,360,648	\$ 0	\$ 564,846				\$ 9,925,494	\$ 10,235,163	\$ 11,880,302	\$ 12,167,915	
Excess (Deficit) of Revenues Over Expenditures	\$ 404,327	\$ 105	\$ (187,158)				\$ 217,274	\$ (369,134)	\$ 236,935	\$ (150,074)	
Operating Transfers In (Out)	\$ (187,158)		\$ 187,158				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,319,072	\$ 32,049	\$ 0				\$ 2,351,120	\$ 2,351,120	\$ 2,114,186	\$ 2,114,186	
Ending Balance (Deficit)	\$ 2,536,241	\$ 32,153	\$ 0				\$ 2,568,395	\$ 1,981,986	\$ 2,351,120	\$ 1,964,112	

Napa Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,223,878	\$ 215,097				\$ 0	\$ 3,438,975	\$ 3,646,065	\$ 3,666,496	\$ 3,583,235
Traffic & Other Infractions	\$ 308,526	\$ 51,730					\$ 360,256	\$ 523,267	\$ 337,519	\$ 492,017
Other Criminal Cases	\$ 802,004	\$ 20,939					\$ 822,942	\$ 960,608	\$ 742,631	\$ 1,013,013
Civil	\$ 577,300	\$ 8,227					\$ 585,527	\$ 920,573	\$ 544,392	\$ 805,649
Family & Children Services	\$ 888,204	\$ 124,931				\$ 0	\$ 1,013,135	\$ 1,221,099	\$ 1,124,175	\$ 1,229,516
Probate, Guardianship & Mental Health Services	\$ 93,161	\$ 8,345					\$ 101,506	\$ 57,459	\$ 69,553	\$ 50,096
Juvenile Dependency Services	\$ 115,406	\$ 188,813					\$ 304,219	\$ 246,152	\$ 298,683	\$ 247,395
Juvenile Delinquency Services	\$ 50,576	\$ 688					\$ 51,264	\$ 39,147	\$ 57,622	\$ 38,392
Other Court Operations	\$ 25,404	\$ 2,218					\$ 27,623	\$ 136,745	\$ 95,078	\$ 136,249
Court Interpreters	\$ 340,241	\$ 150,183					\$ 490,424	\$ 492,081	\$ 473,892	\$ 463,824
Jury Services	\$ 117,209	\$ 26,970	\$ 26,325				\$ 170,504	\$ 207,549	\$ 172,706	\$ 205,762
Security		\$ 224,470					\$ 224,470	\$ 216,500	\$ 1,868,315	\$ 1,866,496
Trial Court Operations Program	\$ 6,541,908	\$ 1,022,612	\$ 26,325			\$ 0	\$ 7,590,845	\$ 8,667,245	\$ 9,451,062	\$ 10,131,644
Enhanced Collections	\$ 29,584	\$ 15,922					\$ 45,506	\$ 74,670	\$ 67,667	\$ 70,663
Other Non-Court Operations	\$ 14,304	\$ 66					\$ 14,370		\$ 16,119	
Non-Court Operations Program	\$ 43,888	\$ 15,988					\$ 59,875	\$ 74,670	\$ 83,785	\$ 70,663
Executive Office	\$ 453,513	\$ 37,549					\$ 491,063	\$ 518,106	\$ 492,650	\$ 712,609
Fiscal Services	\$ 296,035	\$ 92,526					\$ 388,561	\$ 407,387	\$ 392,994	\$ 351,359
Human Resources	\$ 265,784	\$ 38,601					\$ 304,385	\$ (624,371)	\$ 347,192	\$ (408,850)
Business & Facilities Services		\$ 193,715					\$ 193,715	\$ 181,950	\$ 183,776	\$ 257,929
Information Technology	\$ 601,195	\$ 295,856					\$ 897,051	\$ 1,010,176	\$ 928,842	\$ 1,052,561
Court Administration Program	\$ 1,616,527	\$ 658,246					\$ 2,274,774	\$ 1,493,248	\$ 2,345,454	\$ 1,965,608
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 8,202,323	\$ 1,696,846	\$ 26,325			\$ 0	\$ 9,925,494	\$ 10,235,163	\$ 11,880,302	\$ 12,167,915

