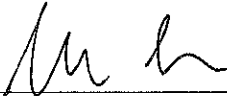


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/11/2015

Date

Santa Cruz

Court

2015-2016 1st

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Cruz

Court

FY 15-16

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Cruz
 Court

FY15-16 Q1
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		118.00			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2015/16										2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 5,678,124	\$ 9,434					\$ 5,687,558	\$ 12,402,957	\$ 5,386,436	\$ 11,724,594	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 35,288		\$ 35,288	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 194,089						\$ 194,089	\$ 868,000	\$ 178,139	\$ 707,780	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 21,359						\$ 21,359	\$ 195,480	\$ 39,418	\$ 196,778	
Other Miscellaneous								\$ 203,557		\$ 203,557	
	\$ 5,893,572	\$ 9,434					\$ 5,903,006	\$ 13,705,282	\$ 5,603,993	\$ 12,867,997	
Grants											
AB 1058 Commissioner/Facilitator			\$ 33,415				\$ 33,415	\$ 315,002	\$ 5,536	\$ 332,686	
Other Judicial Council Grants			\$ 29,021				\$ 29,021	\$ 178,096		\$ 34,000	
Non-Judicial Council Grants											
			\$ 62,436				\$ 62,436	\$ 493,098	\$ 5,536	\$ 366,686	
Other Financing Sources											
Interest Income	\$ 53	\$ 20					\$ 73	\$ 7,800	\$ 63	\$ 9,313	
Investment Income											
Donations	\$ 28						\$ 28				
Local Fees	\$ 19,290	\$ 10,551					\$ 29,841	\$ 261,062	\$ 44,519	\$ 293,359	
Non-Fee Revenues											
Enhanced Collections		\$ 32,856					\$ 32,856	\$ 204,997	\$ 32,418	\$ 215,239	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 809					\$ 809	\$ 41,300	\$ 38,548	\$ 47,441	
Reimbursement Other	\$ 3,327						\$ 3,327	\$ 196,946	\$ 18,350	\$ 149,293	
Sale of Fixed Assets											
Other Miscellaneous	\$ 3,548						\$ 3,548	\$ 16,850	\$ 8,292	\$ 16,475	
	\$ 26,246	\$ 44,236					\$ 70,483	\$ 728,955	\$ 142,191	\$ 731,120	
Total Revenues	\$ 5,919,818	\$ 53,670	\$ 62,436				\$ 6,035,925	\$ 14,927,335	\$ 5,751,720	\$ 13,965,803	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,576,004	\$ 21,042	\$ 53,594				\$ 1,650,640	\$ 8,123,487	\$ 1,704,248	\$ 7,844,950	
Temp Help	\$ 4,246						\$ 4,246		\$ 1,962		
Overtime	\$ 1,394						\$ 1,394		\$ 990		
Staff Benefits	\$ 885,548	\$ 14,919	\$ 29,540				\$ 930,007	\$ 4,370,226	\$ 877,694	\$ 3,959,452	
	\$ 2,467,192	\$ 35,960	\$ 83,134				\$ 2,586,286	\$ 12,493,713	\$ 2,584,893	\$ 11,804,402	
Operating Expenses and Equipment											
General Expense	\$ 39,023						\$ 39,023	\$ 281,094	\$ 35,643	\$ 220,575	
Printing	\$ 22,285						\$ 22,285	\$ 70,101	\$ 15,279	\$ 78,103	
Telecommunications	\$ (39,176)						\$ (39,176)	\$ 107,900	\$ 15,215	\$ 98,009	
Postage	\$ 7,227						\$ 7,227	\$ 67,500	\$ 21,041	\$ 88,451	
Insurance								\$ 6,000	\$ 329	\$ 6,030	
In-State Travel	\$ 7,050						\$ 7,050	\$ 24,150	\$ 3,881	\$ 25,883	
Out-of-State Travel	\$ 889						\$ 889				
Training	\$ 0		\$ 440				\$ 440	\$ 8,500	\$ 1,310	\$ 5,888	
Security Services								\$ 100		\$ 300	
Facility Operations	\$ 28,580			\$ 75,000			\$ 103,580	\$ 355,950	\$ (76,833)	\$ 453,096	
Utilities											
Contracted Services	\$ 95,444	\$ 5,500	\$ 4,200				\$ 105,144	\$ 685,430	\$ 75,839	\$ 581,718	
Consulting and Professional Services	\$ 1,985						\$ 1,985	\$ 13,140	\$ 1,925	\$ 11,760	
Information Technology	\$ 119,837						\$ 119,837	\$ 931,000	\$ 149,277	\$ 2,002,491	
Major Equipment	\$ 29,639						\$ 29,639	\$ 50,000	\$ 1,118	\$ 30,661	
Other Items of Expense	\$ 613						\$ 613	\$ 1,850	\$ 526	\$ 1,995	
	\$ 313,395	\$ 5,500	\$ 4,640	\$ 75,000			\$ 398,535	\$ 2,602,715	\$ 244,550	\$ 3,604,960	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 10,495						\$ 10,495	\$ 133,000	\$ 36,557	\$ 134,899	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (6,253)	\$ 684	\$ 5,569				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 4,241	\$ 684	\$ 5,569				\$ 10,495	\$ 133,000	\$ 36,557	\$ 134,899	
Total Expenditures	\$ 2,784,828	\$ 42,144	\$ 93,344	\$ 75,000			\$ 2,995,316	\$ 15,229,428	\$ 2,866,001	\$ 15,544,261	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,134,990	\$ 11,526	\$ (30,907)	\$ (75,000)			\$ 3,040,609	\$ (302,093)	\$ 2,885,719	\$ (1,578,458)	
Operating Transfers In (Out)	\$ (75,000)			\$ 75,000			\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 243,678	\$ 448,165	\$ 0	\$ 0			\$ 691,843	\$ 691,843	\$ 1,578,458	\$ 1,578,458	
Ending Balance (Deficit)	\$ 3,303,668	\$ 459,692	\$ (30,907)	\$ 0			\$ 3,732,452	\$ 389,750	\$ 4,464,177	\$ 0	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2015/16									2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 801,873	\$ 46,894					\$ 848,767	\$ 4,319,770	\$ 884,069	\$ 4,258,595
Traffic & Other Infractions	\$ 133,776	\$ (602)					\$ 133,174	\$ 693,538	\$ 163,443	\$ 792,916
Other Criminal Cases	\$ 141,671	\$ 26,309					\$ 167,980	\$ 813,027	\$ 184,508	\$ 869,721
Civil	\$ 212,269	\$ 8,098					\$ 220,367	\$ 1,065,776	\$ 204,746	\$ 942,110
Family & Children Services	\$ 116,033	\$ 8,948			\$ 5,569		\$ 130,550	\$ 591,326	\$ 157,096	\$ 588,822
Probate, Guardianship & Mental Health Services	\$ 73,150	\$ 451					\$ 73,601	\$ 394,632	\$ 72,305	\$ 344,536
Juvenile Dependency Services	\$ 45,097						\$ 45,097	\$ 225,904	\$ 40,206	\$ 195,481
Juvenile Delinquency Services		\$ 160					\$ 160	\$ 1,908	\$ 265	\$ 196
Other Court Operations	\$ 158,245	\$ 21,619					\$ 179,864	\$ 817,108	\$ 165,705	\$ 795,795
Court Interpreters	\$ 167,007	\$ 9,917					\$ 176,924	\$ 905,945	\$ 167,100	\$ 779,580
Jury Services	\$ 31,436	\$ 29,777	\$ 10,495				\$ 71,708	\$ 300,490	\$ 94,110	\$ 361,089
Security								\$ 100		\$ 11,185
Trial Court Operations Program	\$ 1,880,558	\$ 151,570	\$ 10,495		\$ 5,569		\$ 2,048,192	\$ 10,129,524	\$ 2,133,553	\$ 9,940,026
Enhanced Collections	\$ 38,499				\$ 684		\$ 39,183	\$ 204,997	\$ 45,278	\$ 215,239
Other Non-Court Operations	\$ 145,816	\$ 75,602					\$ 221,418	\$ 987,336	\$ 112,435	\$ 818,569
Non-Court Operations Program	\$ 184,315	\$ 75,602			\$ 684		\$ 260,601	\$ 1,192,333	\$ 157,713	\$ 1,033,808
Executive Office	\$ 54,552	\$ 1,468					\$ 56,019	\$ 266,347	\$ 70,426	\$ 268,541
Fiscal Services	\$ 195,130	\$ 1,921			\$ (6,253)		\$ 190,798	\$ 899,359	\$ 211,969	\$ 765,911
Human Resources	\$ 79,542	\$ 1,205					\$ 80,747	\$ 3,216	\$ 49,765	\$ 238,040
Business & Facilities Services	\$ 43,048	\$ 24,655					\$ 67,703	\$ 542,652	\$ (66,967)	\$ 408,170
Information Technology	\$ 149,141	\$ 142,115					\$ 291,256	\$ 2,195,997	\$ 309,542	\$ 2,889,765
Court Administration Program	\$ 521,412	\$ 171,364			\$ (6,253)		\$ 686,523	\$ 3,907,571	\$ 574,734	\$ 4,570,427
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,586,286	\$ 398,535	\$ 10,495		\$ 0		\$ 2,995,316	\$ 15,229,428	\$ 2,866,001	\$ 15,544,261

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2015/16

2014/15

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (364,693)	\$ 459,692	\$ (33,235)	\$ 0			\$ 58,859	\$ 120,623	\$ (23,991)
Payroll	\$ 0						\$ 0	\$ 0	\$ (2,355)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 0	\$ 0	
Civil Filing Fees	\$ 0	\$ 0					\$ 5,943	\$ 5,943	\$ 9,526
Trust							\$ (14,996)	\$ (14,996)	\$ (15,668)
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 2,082,210	\$ 2,082,210	\$ 1,625,580
Cash Outside of the JCC									
Cash Equivalents	\$ 3,679,599						\$ 394,634	\$ 4,074,234	\$ 4,978,309
Total Cash and Cash Equivalents	\$ 3,323,256	\$ 459,692	\$ (33,235)	\$ 0			\$ 2,526,650	\$ 6,276,363	\$ 6,579,751
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 759
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0					\$ 11,944	\$ 11,944	\$ 11,944
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 61,574					\$ 61,574	\$ 1,752
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 4							\$ 4	\$ 35
Total Receivables	\$ 4	\$ 0	\$ 61,574	\$ 0			\$ 11,944	\$ 73,521	\$ 14,490
Prepaid Expenses - General	\$ 0							\$ 0	
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 3,323,260	\$ 459,692	\$ 28,339	\$ 0			\$ 2,538,594	\$ 6,349,884	\$ 6,594,241
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 7,822	\$ 0	\$ 0	\$ 0			\$ 4,760	\$ 12,582	\$ 7,231
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 4	\$ 4	\$ 35
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 409,459	\$ 409,459	\$ 456,115
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,920							\$ 1,920	\$ 658
Interest							\$ 18	\$ 18	\$ 5
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 9,742	\$ 0	\$ 0	\$ 0			\$ 414,241	\$ 423,983	\$ 464,043
Civil							\$ 31,498	\$ 31,498	\$ 21,406
Criminal							\$ 0	\$ 0	
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 2,082,210	\$ 2,082,210	\$ 1,625,580
Trust Interest Payable									\$ 0
Miscellaneous Trust									
Total Trust Deposits							\$ 2,113,708	\$ 2,113,708	\$ 1,646,986
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (6,486)							\$ (6,486)	\$ (5,461)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 482							\$ 482	\$ 1,189
Payroll Clearing	\$ (12)							\$ (12)	\$ (3)
Total Payroll Liabilities	\$ (6,017)	\$ 0	\$ 0					\$ (6,017)	\$ (4,275)
Revenue Collected in Advance	\$ 450		\$ 59,246					\$ 59,696	
Liabilities For Deposits	\$ 15,185						\$ 8,102	\$ 23,287	\$ 20,957
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 2,543	\$ 2,543	\$ 2,353
Uncleared Collections	\$ 232							\$ 232	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 15,867		\$ 59,246				\$ 10,644	\$ 85,757	\$ 23,310
Total Liabilities	\$ 19,592	\$ 0	\$ 59,246	\$ 0			\$ 2,538,594	\$ 2,617,432	\$ 2,130,064
Total Fund Balance	\$ 3,303,668	\$ 459,692	\$ (30,907)	\$ 0				\$ 3,732,452	\$ 4,464,177
Total Liabilities and Fund Balance	\$ 3,323,260	\$ 459,692	\$ 28,339	\$ 0			\$ 2,538,594	\$ 6,349,884	\$ 6,594,241