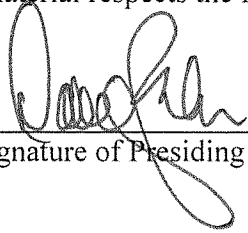


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

02/23/2016

Date

Santa Barbara

Court

2015 / 3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2015 / 3

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara

Court

2015 / 3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	235.38	226.67	232.66	233.605	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2015/16									2014/15
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,971,565)	\$ 2,301,653	\$ (300,144)				\$ 283,966	\$ 313,910	\$ 36,896
Payroll	\$ 10,046	\$ 0	\$ 0					\$ 10,046	\$ 297
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ (3,138,698)	\$ (3,138,698)	\$ 78,659
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ 2,986,586	\$ 2,986,586	\$ (33,990)
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 5,173,652						\$ 7,838,776	\$ 13,012,428	\$ 12,003,035
Total Cash and Cash Equivalents	\$ 3,224,263	\$ 2,301,653	\$ (300,144)				\$ 7,970,631	\$ 13,196,403	\$ 12,097,027
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Civil Jury Fees									
Trust Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 210,733					\$ 210,733	\$ 115,003
Trust Due To/From							\$ 166,125	\$ 166,125	\$ 2,035,375
Distribution Due To/From							\$ 27,145	\$ 27,145	\$ 193,815
Civil Filing Fee Due To/From									
General Due To/From	\$ 4,681	\$ 62						\$ 4,743	\$ 1,011
Total Receivables	\$ 4,681	\$ 62	\$ 210,733				\$ 193,270	\$ 408,746	\$ 2,345,205
Prepaid Expenses - General Salary and Travel Advances Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,228,944	\$ 2,301,715	\$ (89,411)				\$ 8,163,902	\$ 13,605,149	\$ 14,442,232
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 78,817	\$ 2,766	\$ 218				\$ 0	\$ 81,801	\$ 17,280
Due to Other Funds	\$ 357	\$ 7,197	\$ 0				\$ 190,459	\$ 198,013	\$ 2,230,202
Due to Other Courts	\$ 0							\$ 0	
Due to State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 520,213	\$ 520,213	\$ 511,053
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,100,863	\$ 3,100,863	\$ 3,158,546
Due to Other Public Agencies									
Sales and Use Tax	\$ 100							\$ 100	\$ 72
Interest							\$ 2,013	\$ 2,013	\$ 173
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,686							\$ 1,686	\$ 447
Total Accounts Payable and Accrued Liab.	\$ 80,961	\$ 9,963	\$ 218				\$ 3,813,549	\$ 3,904,690	\$ 5,917,773
Civil							\$ 2,834,430	\$ 2,834,430	\$ 2,828,009
Criminal							\$ 1,474,552	\$ 1,474,552	\$ 537,605
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 22,625	\$ 22,625	\$ 21,371
Miscellaneous Trust									
Total Trust Deposits							\$ 4,335,262	\$ 4,335,262	\$ 3,390,639
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (223,016)	\$ 0	\$ 0					\$ (223,016)	\$ (188,319)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (44)	\$ 0	\$ 0					\$ (44)	\$ 0
Payroll Clearing									
Total Payroll Liabilities	\$ (223,060)	\$ 0	\$ 0					\$ (223,060)	\$ (188,319)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 37,801						\$ 13,845	\$ 51,646	\$ 45,094
Jury Fees - Non-Interest							\$ 1,186	\$ 1,186	\$ 5,206
Fees - Partial Payment & Overpayment							\$ 60	\$ 60	\$ 54
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 101,750							\$ 101,750	\$ 42,500
Total Other Liabilities	\$ 139,551						\$ 15,091	\$ 154,642	\$ 92,853
Total Liabilities	\$ (2,548)	\$ 9,963	\$ 218				\$ 8,163,902	\$ 8,171,535	\$ 9,212,946
Total Fund Balance	\$ 3,231,492	\$ 2,291,751	\$ (89,629)					\$ 5,433,614	\$ 5,229,286
Total Liabilities and Fund Balance	\$ 3,228,944	\$ 2,301,715	\$ (89,411)				\$ 8,163,902	\$ 13,605,149	\$ 14,442,232

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2015/16										2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 16,175,631	\$ 134,694					\$ 16,310,325	\$ 21,071,609	\$ 15,589,929	\$ 20,359,820	
Improvement and Modernization Fund	\$ (1)						\$ (1)	\$ 56,712	\$ 0	\$ 56,712	
Judges' Compensation (45.25)	\$ 149,625						\$ 149,625	\$ 199,500	\$ 149,625	\$ 199,500	
Court Interpreter (45.45)	\$ 1,060,494						\$ 1,060,494	\$ 1,575,469	\$ 965,294	\$ 1,516,515	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 292,190						\$ 292,190	\$ 542,657	\$ 264,775	\$ 501,115	
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	\$ 19,275,601	\$ 134,694					\$ 19,410,295	\$ 25,043,609	\$ 18,567,285	\$ 24,231,324	
Grants											
AB 1058 Commissioner/Facilitator			\$ 594,390				\$ 594,390	\$ 796,374	\$ 379,261	\$ 814,937	
Other Judicial Council Grants								\$ 35,278	\$ 0	\$ 44,000	
Non-Judicial Council Grants								\$ 73,022			
			\$ 594,390				\$ 594,390	\$ 904,674	\$ 379,261	\$ 858,937	
Other Financing Sources											
Interest Income	\$ 13,387	\$ 4,262					\$ 17,649	\$ 8,000	\$ 11,359	\$ 16,000	
Investment Income											
Donations											
Local Fees	\$ 68,420	\$ 108,528					\$ 176,948	\$ 254,000	\$ 200,278	\$ 256,000	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 125,000	
Enhanced Collections		\$ 593,921					\$ 593,921	\$ 1,031,761	\$ 566,284	\$ 1,058,563	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 620,216					\$ 620,216	\$ 1,073,049	\$ 659,520	\$ 1,115,000	
Reimbursement Other	\$ 77,561						\$ 77,561	\$ 115,000	\$ 83,204	\$ 116,500	
Sale of Fixed Assets	\$ 1,750						\$ 1,750				
Other Miscellaneous	\$ 13,345						\$ 13,345	\$ 12,000	\$ 14,874	\$ 2,000	
	\$ 299,463	\$ 1,326,927					\$ 1,626,390	\$ 2,618,810	\$ 1,660,519	\$ 2,689,063	
Total Revenues	\$ 19,575,063	\$ 1,461,621	\$ 594,390				\$ 21,631,075	\$ 28,567,093	\$ 20,607,066	\$ 27,779,324	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 8,255,032	\$ 681,875	\$ 262,637				\$ 9,199,544	\$ 15,039,872	\$ 9,098,843	\$ 14,273,059	
Temp Help	\$ 268,655	\$ 27,391	\$ 7,904				\$ 303,951	\$ 487,339	\$ 337,550	\$ 761,034	
Overtime	\$ 80,379	\$ 1,197	\$ 742				\$ 82,318		\$ 26,619		
Staff Benefits	\$ 5,729,964	\$ 486,292	\$ 196,583				\$ 6,412,840	\$ 8,300,017	\$ 6,230,448	\$ 7,915,592	
	\$ 14,334,031	\$ 1,196,756	\$ 467,866				\$ 15,998,653	\$ 23,827,228	\$ 15,693,460	\$ 22,949,685	
Operating Expenses and Equipment											
General Expense	\$ 316,103	\$ 22,396	\$ 4,160				\$ 342,659	\$ 757,317	\$ 467,065	\$ 648,885	
Printing	\$ 51,777	\$ 6,129	\$ 0				\$ 57,907	\$ 105,695	\$ 70,286	\$ 97,500	
Telecommunications	\$ 138,515	\$ 1,829					\$ 140,344	\$ 192,450	\$ 126,532	\$ 187,115	
Postage	\$ 91,325	\$ 18,170	\$ 107				\$ 109,602	\$ 156,285	\$ 103,917	\$ 153,155	
Insurance	\$ 11,405		\$ 198				\$ 11,603	\$ 20,185	\$ 15,078	\$ 21,475	
In-State Travel	\$ 24,815	\$ 4,241	\$ 4,607				\$ 33,663	\$ 30,455	\$ 20,965	\$ 35,870	
Out-of-State Travel	\$ 3,622						\$ 3,622	\$ 6,360	\$ 2,437	\$ 2,000	
Training	\$ 3,057		\$ 608				\$ 3,665	\$ 16,650	\$ 10,566	\$ 15,000	
Security Services	\$ 353,047		\$ 57,203				\$ 410,250	\$ 598,125	\$ 506,309	\$ 805,900	
Facility Operations	\$ 245,118	\$ 20,953					\$ 266,070	\$ 380,370	\$ 253,575	\$ 357,470	
Utilities		\$ 1,734					\$ 1,734	\$ 2,500	\$ 1,457	\$ 2,800	
Contracted Services	\$ 1,004,014	\$ 213,355	\$ 56,509				\$ 1,273,879	\$ 1,854,053	\$ 1,117,274	\$ 1,592,993	
Consulting and Professional Services	\$ 74,705	\$ 4,090	\$ 204				\$ 78,999	\$ 121,750	\$ 84,121	\$ 105,515	
Information Technology	\$ 818,567	\$ 216,131	\$ 5,058				\$ 1,039,757	\$ 1,591,475	\$ 1,153,905	\$ 2,251,025	
Major Equipment								\$ 524,960	\$ 213,313		
Other Items of Expense	\$ 17,085		\$ 3,263				\$ 20,348	\$ 28,550	\$ 20,859	\$ 30,000	
	\$ 3,153,155	\$ 509,029	\$ 131,917				\$ 3,794,100	\$ 6,387,180	\$ 4,167,659	\$ 6,306,703	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 119,343						\$ 119,343	\$ 250,000	\$ 167,348	\$ 208,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (84,236)		\$ 84,236				\$ 0		\$ 0		
Prior Year Expense Adjustment	\$ 35,107		\$ 84,236				\$ 119,343	\$ 250,000	\$ 167,348	\$ 208,000	
Total Expenditures	\$ 17,522,293	\$ 1,705,785	\$ 684,019				\$ 19,912,096	\$ 30,464,408	\$ 20,028,467	\$ 29,464,388	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,052,771	\$ (244,164)	\$ (89,629)				\$ 1,718,978	\$ (1,897,315)	\$ 578,599	\$ (1,685,064)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,178,721	\$ 2,535,915	\$ 0				\$ 3,714,636	\$ 3,714,636	\$ 4,650,687	\$ 4,650,687	
Ending Balance (Deficit)	\$ 3,231,492	\$ 2,291,751	\$ (89,629)				\$ 5,433,614	\$ 1,817,321	\$ 5,229,286	\$ 2,965,623	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March

Fiscal Year 2015/16

2014/15

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,136,220	\$ 462,581			\$ 0		\$ 5,598,801	\$ 8,502,668	\$ 5,711,898	\$ 8,332,007
Traffic & Other Infractions	\$ 634,766	\$ 30,536					\$ 665,302	\$ 923,633	\$ 655,835	\$ 886,080
Other Criminal Cases	\$ 1,373,240	\$ 220,568					\$ 1,593,808	\$ 2,413,585	\$ 1,606,109	\$ 2,346,434
Civil	\$ 1,132,979	\$ 200,105					\$ 1,333,083	\$ 1,857,043	\$ 1,234,794	\$ 1,727,197
Family & Children Services	\$ 923,544	\$ 67,208			\$ 0		\$ 990,752	\$ 1,235,069	\$ 814,357	\$ 1,075,285
Probate, Guardianship & Mental Health Services	\$ 205,085	\$ 26,264					\$ 231,348	\$ 460,454	\$ 309,382	\$ 466,692
Juvenile Dependency Services	\$ 37,193						\$ 37,193	\$ 128,814	\$ 24,346	\$ 24,075
Juvenile Delinquency Services	\$ 163,697	\$ 28,865					\$ 192,562	\$ 199,553	\$ 200,004	\$ 291,778
Other Court Operations	\$ 1,900,905	\$ 351,424					\$ 2,252,329	\$ 3,565,668	\$ 2,171,028	\$ 3,362,494
Court Interpreters	\$ 758,428	\$ 295,417					\$ 1,053,845	\$ 1,577,813	\$ 905,485	\$ 1,399,130
Jury Services	\$ 258,828	\$ 88,057	\$ 119,343				\$ 466,228	\$ 828,560	\$ 517,914	\$ 696,461
Security		\$ 416,397					\$ 416,397	\$ 651,200	\$ 517,855	\$ 815,450
Trial Court Operations Program	\$ 12,524,883	\$ 2,187,422	\$ 119,343		\$ 0		\$ 14,831,648	\$ 22,344,060	\$ 14,669,006	\$ 21,423,083
Enhanced Collections	\$ 290,547	\$ 260,514					\$ 551,062	\$ 1,031,761	\$ 581,278	\$ 1,043,613
Other Non-Court Operations	\$ 626,784	\$ 19,283					\$ 646,067	\$ 944,786	\$ 655,190	\$ 994,129
Non-Court Operations Program	\$ 917,332	\$ 279,797					\$ 1,197,129	\$ 1,976,547	\$ 1,236,468	\$ 2,037,742
Executive Office	\$ 257,534	\$ 49,021					\$ 306,555	\$ 431,514	\$ 230,303	\$ 337,947
Fiscal Services	\$ 856,886	\$ 97,704					\$ 954,590	\$ 1,125,276	\$ 827,442	\$ 1,053,407
Human Resources	\$ 370,274	\$ 56,875					\$ 427,150	\$ 632,197	\$ 506,795	\$ 704,697
Business & Facilities Services		\$ 11,083					\$ 11,083	\$ 186,560	\$ 9,865	\$ 45,175
Information Technology	\$ 1,071,744	\$ 1,112,197					\$ 2,183,941	\$ 3,768,254	\$ 2,548,588	\$ 3,862,337
Court Administration Program	\$ 2,556,438	\$ 1,326,881					\$ 3,883,319	\$ 6,143,801	\$ 4,122,993	\$ 6,003,563
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 15,998,653	\$ 3,794,100	\$ 119,343		\$ 0		\$ 19,912,096	\$ 30,464,408	\$ 20,028,467	\$ 29,464,388