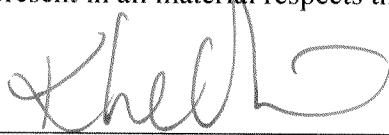


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/08/2016

Date

Lake

Court

FY15/16 - QTR4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Lake

Court

FY15/16 - QTR4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					29

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June							2014/15	
	Fiscal Year 2015/16								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (162,498)	\$ 122,655	\$ 0				\$ 8,378	\$ (31,465)	\$ 6,339
Payroll	\$ (700)						\$ 0	\$ (700)	\$ (5,320)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (3,621)	\$ (3,621)	\$ (1,362)
Credit Card									
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,000
Cash with County									
Cash Outside of the JCC							\$ 123,078	\$ 123,078	\$ 121,293
Cash Equivalents	\$ 449,079						\$ 431,971	\$ 881,050	\$ 807,280
Total Cash and Cash Equivalents	\$ 286,881	\$ 122,655	\$ 0				\$ 559,806	\$ 969,342	\$ 929,231
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 621	\$ 136					\$ 0	\$ 756	\$ 365
Accounts Receivable - General	\$ 14,330	\$ 928	\$ 55,500					\$ 70,758	\$ 50,468
Dishonored Checks									
Due From Employee	\$ 1,437							\$ 1,437	\$ 2,423
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 43,153							\$ 43,153	\$ 0
Due From Other Governments	\$ 3,558	\$ 0						\$ 3,558	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 25,587	\$ 3,737	\$ 0					\$ 29,324	\$ 26,282
Trust Due To/From									\$ 0
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 62							\$ 62	\$ 2
Total Receivables	\$ 88,748	\$ 4,801	\$ 55,500				\$ 0	\$ 149,048	\$ 79,539
Prepaid Expenses - General									
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 375,629	\$ 127,455	\$ 55,500				\$ 559,806	\$ 1,118,390	\$ 1,008,770
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 57,046		\$ 0					\$ 57,046	\$ 57,976
Accounts Payable - General	\$ 51,235		\$ 0				\$ 0	\$ 51,235	\$ 71,690
Due to Other Funds	\$ 0		\$ 43,153				\$ 62	\$ 43,215	\$ 2
Due to Other Courts	\$ 2,876							\$ 2,876	
Due to State	\$ 4,771							\$ 4,771	\$ 4,730
TC145 Liability							\$ 56,246	\$ 56,246	\$ 59,406
Due to Other Governments	\$ 4,942		\$ 12,347					\$ 17,289	\$ 19,310
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 458							\$ 458	\$ 506
Interest							\$ 18	\$ 18	\$ 2
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 121,328		\$ 55,500				\$ 56,327	\$ 233,155	\$ 213,621
Civil							\$ 26,379	\$ 26,379	\$ 49,232
Criminal							\$ 341,926	\$ 341,926	\$ 8,466
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 123,078	\$ 123,078	\$ 121,293
Trust Interest Payable							\$ 6,256	\$ 6,256	\$ 6,307
Miscellaneous Trust									
Total Trust Deposits							\$ 497,639	\$ 497,639	\$ 185,299
Accrued Payroll	\$ 48,024	\$ 31						\$ 48,056	\$ 48,802
Benefits Payable	\$ 5,128							\$ 5,128	\$ 4,221
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 22							\$ 22	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 53,174	\$ 31						\$ 53,206	\$ 53,023
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 214,526
Liabilities For Deposits	\$ 8,510						\$ 2,965	\$ 11,475	\$ 9,725
Jury Fees - Non-Interest							\$ 600	\$ 600	\$ 600
Fees - Partial Payment & Overpayment							\$ 2,276	\$ 2,276	\$ 344
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 8,510		\$ 0				\$ 5,841	\$ 14,351	\$ 225,196
Total Liabilities	\$ 183,013	\$ 31	\$ 55,500				\$ 559,806	\$ 798,351	\$ 677,139
Total Fund Balance	\$ 192,616	\$ 127,424	\$ 0					\$ 320,040	\$ 331,630
Total Liabilities and Fund Balance	\$ 375,629	\$ 127,455	\$ 55,500				\$ 559,806	\$ 1,118,390	\$ 1,008,770

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
	Fiscal Year 2015/16							2014/15			
	Governmental Funds							Total Funds (Info Purposes Only)	Current Budget (Annual)	Total Funds (Info Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 3,283,156	\$ 21,477						\$ 3,304,633	\$ 3,296,874	\$ 3,245,894	\$ 3,184,296
Improvement and Modernization Fund	\$ 8,623							\$ 8,623	\$ 8,622	\$ 10,242	\$ 10,242
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 85,426							\$ 85,426	\$ 88,000	\$ 57,456	\$ 81,000
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 75,924							\$ 75,924	\$ 95,291	\$ 105,340	\$ 78,482
Other Miscellaneous	\$ 9,123							\$ 9,123	\$ 9,123	\$ 9,123	\$ 9,123
	\$ 3,462,253	\$ 21,477						\$ 3,483,730	\$ 3,447,910	\$ 3,428,055	\$ 3,363,153
Grants											
AB 1058 Commissioner/Facilitator			\$ 251,638					\$ 251,638	\$ 251,824	\$ 243,069	\$ 235,650
Other Judicial Council Grants			\$ 104,088					\$ 104,088	\$ 194,855	\$ 30,955	\$ 12,210
Non-Judicial Council Grants											
			\$ 355,726					\$ 355,726	\$ 446,679	\$ 274,024	\$ 247,860
Other Financing Sources											
Interest Income	\$ 3,370	\$ 504						\$ 3,874	\$ 2,900	\$ 2,755	\$ 500
Investment Income											
Donations											
Local Fees		\$ 10,068						\$ 10,068	\$ 11,500	\$ 12,396	\$ 11,000
Non-Fee Revenues	\$ 2,117	\$ 875						\$ 2,992	\$ 1,500	\$ 3,892	\$ 1,500
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 1,248							\$ 1,248		\$ 1,473	
County Program - Restricted		\$ 1,033						\$ 1,033	\$ 1,300	\$ 1,259	\$ 2,000
Reimbursement Other	\$ 43,606							\$ 43,606	\$ 40,500	\$ 34,379	\$ 27,745
Sale of Fixed Assets											
Other Miscellaneous	\$ 880							\$ 880		\$ 5	
	\$ 51,221	\$ 12,480						\$ 63,701	\$ 58,800	\$ 56,159	\$ 43,245
Total Revenues	\$ 3,513,473	\$ 33,957	\$ 355,726					\$ 3,903,157	\$ 3,951,189	\$ 3,758,237	\$ 3,654,258
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,614,244	\$ 9,299	\$ 135,357					\$ 1,758,901	\$ 1,788,958	\$ 1,634,216	\$ 1,654,811
Temp Help	\$ 18,686		\$ 12,809					\$ 31,495	\$ 40,000	\$ 37,593	\$ 65,343
Overtime	\$ 645							\$ 645	\$ 5,000	\$ 4,393	\$ 5,000
Staff Benefits	\$ 569,717	\$ 2,185	\$ 66,771					\$ 638,673	\$ 644,049	\$ 573,784	\$ 595,103
	\$ 2,203,292	\$ 11,484	\$ 214,938					\$ 2,429,714	\$ 2,478,007	\$ 2,249,987	\$ 2,320,257
Operating Expenses and Equipment											
General Expense	\$ 104,127		\$ 2,733					\$ 106,860	\$ 107,821	\$ 114,260	\$ 128,377
Printing	\$ 9,338		\$ 238					\$ 9,575	\$ 10,126	\$ 9,416	\$ 10,900
Telecommunications	\$ 11,646		\$ 507					\$ 12,153	\$ 14,500	\$ 13,113	\$ 13,500
Postage	\$ 23,963		\$ 964					\$ 24,927	\$ 30,900	\$ 28,059	\$ 34,500
Insurance	\$ 1,549							\$ 1,549	\$ 2,050	\$ 1,893	\$ 2,800
In-State Travel	\$ 6,105		\$ 4,326					\$ 10,431	\$ 7,700	\$ 5,244	\$ 17,700
Out-of-State Travel											
Training	\$ 1,031		\$ 1,255					\$ 2,286	\$ 4,500	\$ 3,440	\$ 4,000
Security Services	\$ 156,853		\$ 2,485					\$ 159,338	\$ 164,500	\$ 163,399	\$ 165,395
Facility Operations	\$ 64,184		\$ 1,984					\$ 66,167	\$ 70,480	\$ 65,913	\$ 70,079
Utilities	\$ 3,063		\$ 13					\$ 3,077	\$ 4,500	\$ 3,078	\$ 6,000
Contracted Services	\$ 801,141	\$ 1,280	\$ 83,998					\$ 886,419	\$ 1,053,499	\$ 853,844	\$ 897,637
Consulting and Professional Services	\$ 13,327							\$ 13,327	\$ 14,000	\$ 15,067	\$ 19,000
Information Technology	\$ 124,658							\$ 124,658	\$ 232,500	\$ 119,174	\$ 215,903
Major Equipment	\$ 35,010							\$ 35,010		\$ 43,741	
Other Items of Expense	\$ 465							\$ 465	\$ 2,000	\$ 1,032	\$ 2,000
	\$ 1,358,460	\$ 1,280	\$ 98,502					\$ 1,458,242	\$ 1,718,876	\$ 1,440,471	\$ 1,587,791
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 30,213							\$ 30,213	\$ 47,500	\$ 55,453	\$ 31,500
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (42,287)		\$ 42,287					\$ 0		\$ 0	
Prior Year Expense Adjustment	\$ (1,422)							\$ (1,422)		\$ (6,956)	
	\$ (13,496)		\$ 42,287					\$ 28,791	\$ 47,500	\$ 48,496	\$ 31,500
Total Expenditures	\$ 3,546,256	\$ 12,765	\$ 355,726					\$ 3,914,747	\$ 4,244,383	\$ 3,738,954	\$ 3,939,548
Excess (Deficit) of Revenues Over Expenditures	\$ (32,783)	\$ 21,192	\$ 0					\$ (11,591)	\$ (293,194)	\$ 19,283	\$ (285,290)
Operating Transfers In (Out)	\$ 0							\$ 0		\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 225,399	\$ 106,231	\$ 0					\$ 331,630	\$ 331,630	\$ 312,347	\$ 312,347
Ending Balance (Deficit)	\$ 192,616	\$ 127,424	\$ 0					\$ 320,040	\$ 38,436	\$ 331,630	\$ 27,057

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended June									
	Fiscal Year 2015/16							2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 125,311	\$ 110,080					\$ 235,391	\$ 308,332	\$ 232,967	\$ 171,639
Traffic & Other Infractions	\$ 10,427						\$ 10,427		\$ 10,604	
Other Criminal Cases	\$ 48,091	\$ 68,495			\$ 9,554		\$ 126,141	\$ 211,803	\$ 97,575	\$ 49,790
Civil		\$ 78,694					\$ 78,694	\$ 79,500	\$ 83,474	\$ 82,500
Family & Children Services	\$ 200,923	\$ 203,621			\$ 32,732		\$ 437,276	\$ 411,390	\$ 451,819	\$ 470,564
Probate, Guardianship & Mental Health Services		\$ 64,187					\$ 64,187	\$ 62,000	\$ 57,395	\$ 60,425
Juvenile Dependency Services		\$ 30,766					\$ 30,766	\$ 3,600	\$ 5,654	\$ 3,210
Juvenile Delinquency Services		\$ 6,000					\$ 6,000	\$ 12,000	\$ 12,013	\$ 12,000
Other Court Operations	\$ 1,531,105						\$ 1,531,105	\$ 1,668,853	\$ 1,403,010	\$ 1,600,260
Court Interpreters	\$ 12,985	\$ 87,521					\$ 100,506	\$ 68,000	\$ 70,976	\$ 81,000
Jury Services			\$ 30,213				\$ 30,213	\$ 47,500	\$ 55,453	\$ 31,500
Security		\$ 197,385					\$ 197,385	\$ 172,744	\$ 216,096	\$ 180,751
Trial Court Operations Program	\$ 1,928,842	\$ 846,750	\$ 30,213		\$ 42,287		\$ 2,848,091	\$ 3,045,722	\$ 2,697,036	\$ 2,743,639
Enhanced Collections										
Other Non-Court Operations	\$ 1,417	\$ 2,332					\$ 3,748	\$ 3,764	\$ 5,164	\$ 2,500
Non-Court Operations Program	\$ 1,417	\$ 2,332					\$ 3,748	\$ 3,764	\$ 5,164	\$ 2,500
Executive Office	\$ 298,887	\$ 5,560			\$ (6,740)		\$ 297,708	\$ 205,436	\$ 188,655	\$ 189,139
Fiscal Services	\$ 62,177	\$ 4,509			\$ (5,239)		\$ 61,447	\$ 118,393	\$ 142,115	\$ 151,352
Human Resources	\$ 48,533	\$ 8,246			\$ (1,616)		\$ 55,163	\$ 58,650	\$ 46,395	\$ 19,975
Business & Facilities Services		\$ 207,147			\$ (7,990)	\$ 158	\$ 199,314	\$ 224,958	\$ 202,326	\$ 243,638
Information Technology	\$ 89,859	\$ 381,699			\$ (20,702)	\$ (1,580)	\$ 449,276	\$ 587,460	\$ 457,264	\$ 589,305
Court Administration Program	\$ 499,456	\$ 607,161			\$ (42,287)	\$ (1,422)	\$ 1,062,908	\$ 1,194,897	\$ 1,036,754	\$ 1,193,409
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,429,714	\$ 1,456,242	\$ 30,213		\$ 0	\$ (1,422)	\$ 3,914,747	\$ 4,244,383	\$ 3,738,954	\$ 3,939,548

	Fund	1000/110001	1000/120001	1000/120003	1000/120021	1000/180004	1000/190100	1000/1910581	1000/1910591	1000/1910601	Overall Result
		General Fund - TCTF	General Fund - NTCTF	Small Claims Ad.	Special Revenue Fund	2% Automation	JCC Grant Fund	1058 FLF Program	1058 CSC Program	Substance Abuse Focu	
Beginning Fund Balance	\$	-200,569.57	-24,829.11	-2,264.39	-42,785.49	-61,181.60	0.00	0.00	0.00	0.00	-331,630.16
Trial Court Revenue Sources	\$	-3,296,351.29	-2,294.73	-0.04	-12,285.73	-20,638.38					-3,331,570.17
Trial Court Reimbursements	\$	-208,344.36	-5,234.68	-1,032.75			-355,726.37				-570,338.16
Prior Year Revenue	\$	-1,248.43									-1,248.43
Revenue Total	\$	-3,505,944.08	-7,529.41	-1,032.79	-12,285.73	-20,638.38	-355,726.37				-3,903,156.76
Personal Services	\$	2,203,292.33		1,416.55	10,067.83		214,937.67				2,429,714.38
Operating Expenses and Equipment	\$	1,355,210.17	1,249.65		1,280.21		98,502.14				1,456,242.17
Special Items of Expense	\$	24,978.62	5,234.68								30,213.30
Internal Cost Recovery	\$	-42,286.56					42,286.56				0.00
Prior Year Expense Adjustments	\$	-1,422.43									-1,422.43
Expense Total	\$	3,539,772.13	6,484.33	1,416.55	11,348.04		355,726.37				3,914,747.42
Operating Transfers In	\$	12,395.06									12,395.06
Operating Transfers Out	\$		-12,395.06								-12,395.06
Other Financial Sources Total	\$	12,395.06	-12,395.06								0.00
Ending Fund Balance	\$	-154,346.46	-38,269.25	-1,880.63	-43,723.18	-81,819.98	0.00	0.00	0.00	0.00	-320,039.50

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Lake

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	50,431	-	50,431	-	-	-	-	-	50,431	
Restricted	-	-	-	127,424	-	-	-	-	127,424	
Committed	103,915	2,303	106,219	-	-	-	-	-	106,219	
Assigned	-	35,966	35,966	-	-	-	-	-	35,966	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 154,346	\$ 38,269	\$ 192,616	\$ 127,424	\$ -	\$ -	\$ -	\$ -	\$ 320,040	