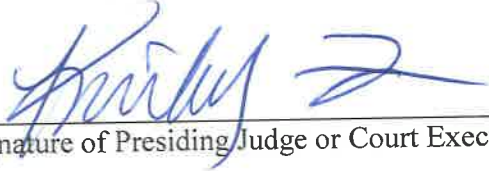


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

12-9-16

\_\_\_\_\_  
Date

Butte County Superior Court

\_\_\_\_\_  
Court

2016-17, Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Butte County Superior Court

Court

2016-17, Q1

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Butte County Superior Court

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Court

2016-17, Q1

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	125	114			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Butte  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September								2015/16	
	Fiscal Year 2016/17									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)		Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service					
Non-Grant		Grant								
<b>ASSETS</b>										
Operations	\$ 209,767	\$ 221,761	\$ (209,029)				\$ 31,264	\$ 253,763	\$ 131,638	
Payroll	\$ (405)	\$ 0	\$ 0					\$ (405)	\$ 628	
Jury								\$ 15,000	\$ 15,000	
Revolving	\$ 15,000									
Other										
Distribution							\$ 0	\$ 0	\$ 0	
Civil Filing Fees							\$ (8,400)	\$ (8,400)	\$ (31,658)	
Trust										
Credit Card								\$ 2,900	\$ 2,900	
Cash on Hand	\$ 2,900							\$ 914,907	\$ 820,831	
Cash with County	\$ 163,063						\$ 761,844	\$ 266,060	\$ 270,777	
Cash Outside of the JCC	\$ 20,817						\$ 245,243	\$ 2,936,490	\$ 3,859,717	
Cash Equivalents	\$ 2,773,679						\$ 162,811			
<b>Total Cash and Cash Equivalents</b>	<b>\$ 3,174,822</b>	<b>\$ 221,761</b>	<b>\$ (209,029)</b>				<b>\$ 1,192,762</b>	<b>\$ 4,380,316</b>	<b>\$ 5,069,834</b>	
Short-Term Investment										
Investments										
<b>Total Investments</b>										
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Receivable - General	\$ 9,601	\$ 0	\$ 0					\$ 9,601	\$ 2,793	
Dishonored Checks								\$ 1,054	\$ 1,054	
Due From Employee	\$ 1,054							\$ 0	\$ 0	
Civil Jury Fees	\$ 0									
Trust								\$ 0	\$ 0	
Due From Other Funds			\$ 0					\$ 74,436	\$ 36,432	
Due From Other Governments	\$ 0	\$ 74,436					\$ 0	\$ 0	\$ 0	
Due From Other Courts	\$ 0							\$ 180,650	\$ 178,281	
Due From State	\$ 0	\$ 0	\$ 180,650							
Trust Due To/From										
Distribution Due To/From								\$ 16	\$ 1	
Civil Filing Fee Due To/From	\$ 16									
General Due To/From										
<b>Total Receivables</b>	<b>\$ 10,671</b>	<b>\$ 74,436</b>	<b>\$ 180,650</b>				<b>\$ 0</b>	<b>\$ 265,757</b>	<b>\$ 218,560</b>	
Prepaid Expenses - General	\$ 21,960	\$ 0	\$ 0					\$ 21,960	\$ 32,955	
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>	<b>\$ 21,960</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 21,960</b>	<b>\$ 32,955</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 3,207,453</b>	<b>\$ 296,197</b>	<b>\$ (28,379)</b>				<b>\$ 1,192,762</b>	<b>\$ 4,668,033</b>	<b>\$ 5,321,349</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 3,946	\$ 0	\$ 0				\$ 0	\$ 3,946	\$ 8,487	
Due to Other Funds	\$ 0						\$ 16	\$ 16	\$ 1	
Due to Other Courts	\$ 0		\$ 0					\$ 0	\$ 0	
Due to State	\$ 0							\$ 0	\$ 0	
TC145 Liability							\$ 185,655	\$ 185,655	\$ 166,095	
Due to Other Governments										
AB145 Due to Other Government Agency							\$ 245,243	\$ 245,243	\$ 249,517	
Due to Other Public Agencies								\$ 73	\$ 402	
Sales and Use Tax	\$ 73						\$ 4	\$ 4	\$ 5	
Interest										
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 4,020</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 430,918</b>	<b>\$ 434,938</b>	<b>\$ 424,507</b>	
Civil								\$ 0	\$ 0	
Criminal								\$ 0	\$ 0	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 761,844	\$ 761,844	\$ 667,758	
Trust Interest Payable										
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 761,844</b>	<b>\$ 761,844</b>	<b>\$ 667,758</b>	
Accrued Payroll	\$ 16,734							\$ 16,734	\$ 9,495	
Benefits Payable	\$ 7,907							\$ 7,907	\$ 0	
Deferred Compensation Payable	\$ 52,300							\$ 52,300	\$ 230	
Deductions Payable	\$ 0							\$ 0	\$ 0	
Payroll Clearing	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ 76,941</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 76,941</b>	<b>\$ 9,725</b>	
Revenue Collected in Advance	\$ 0		\$ 255					\$ 255	\$ 171	
Liabilities For Deposits	\$ 2,481							\$ 2,481	\$ 2,481	
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0	
Fees - Partial Payment & Overpayment										
Uncleared Collections								\$ 1,294	\$ 1,655	
Other Miscellaneous Liabilities	\$ 1,294							\$ 4,030	\$ 4,308	
<b>Total Other Liabilities</b>	<b>\$ 3,775</b>		<b>\$ 255</b>				<b>\$ 0</b>	<b>\$ 4,030</b>	<b>\$ 4,308</b>	
<b>Total Liabilities</b>	<b>\$ 84,736</b>	<b>\$ 0</b>	<b>\$ 255</b>				<b>\$ 1,192,762</b>	<b>\$ 1,277,753</b>	<b>\$ 1,106,308</b>	
<b>Total Fund Balance</b>	<b>\$ 3,122,717</b>	<b>\$ 296,197</b>	<b>\$ (28,634)</b>					<b>\$ 3,390,280</b>	<b>\$ 4,215,041</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 3,207,453</b>	<b>\$ 296,197</b>	<b>\$ (28,379)</b>				<b>\$ 1,192,762</b>	<b>\$ 4,668,033</b>	<b>\$ 5,321,349</b>	

Superior Court of California, County of Butte  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended September										
	Fiscal Year 2016/17								2015/16		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 5,111,572	\$ 6,408					\$ 5,117,979	\$ 10,543,325	\$ 4,870,151	\$ 9,945,844	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 29,216	\$ (8,507)	\$ 29,216	
Judges' Compensation (45.25)	\$ 20,625						\$ 20,625	\$ 82,500	\$ 21,136	\$ 82,500	
Court Interpreter (45.45)	\$ 10,989						\$ 10,989	\$ 179,250	\$ 19,555	\$ 167,000	
Civil Coordination Reimbursement (45.55)							\$ 70,773	\$ 774,010	\$ 90,828	\$ 815,779	
MOU Reimbursements (45.10 and General)	\$ 70,773							\$ 124,077		\$ 124,076	
Other Miscellaneous											
	\$ 5,213,959	\$ 6,408					\$ 5,220,366	\$ 11,732,378	\$ 4,993,163	\$ 11,164,415	
Grants											
AB 1058 Commissioner/Facilitator			\$ 77,859				\$ 77,859	\$ 490,982	\$ 77,769	\$ 520,403	
Other Judicial Council Grants			\$ 42,939				\$ 42,939	\$ 287,598	\$ 49,713	\$ 288,728	
Non-Judicial Council Grants										\$ 171	
			\$ 120,798				\$ 120,798	\$ 778,568	\$ 127,482	\$ 809,303	
Other Financing Sources											
Interest Income	\$ 1,391	\$ 93					\$ 1,485	\$ 8,620	\$ 1,202	\$ 8,200	
Investment Income											
Donations							\$ 2,767	\$ 7,000	\$ 5,692	\$ 23,500	
Local Fees	\$ 2,767										
Non-Fee Revenues							\$ 74,438	\$ 519,000	\$ 73,667	\$ 644,000	
Enhanced Collections	\$ 0	\$ 74,436									
Escheatment											
Prior Year Revenue											
County Program - Restricted							\$ 10,599	\$ 182,739	\$ 7,806	\$ 180,813	
Reimbursement Other	\$ 10,599										
Sale of Fixed Assets	\$ 446						\$ 446	\$ 7,000	\$ 11,070	\$ 9,000	
Other Miscellaneous	\$ 15,203	\$ 74,529					\$ 89,733	\$ 724,359	\$ 100,338	\$ 863,313	
<b>Total Revenues</b>	\$ 5,229,162	\$ 90,937	\$ 120,798				\$ 5,430,896	\$ 13,235,305	\$ 5,220,983	\$ 12,637,031	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,280,399	\$ 64,407	\$ 92,810				\$ 1,437,616	\$ 6,308,500	\$ 1,168,045	\$ 5,967,145	
Temp Help	\$ 29,258	\$ 511	\$ 9,467				\$ 39,235	\$ 127,663	\$ 28,414	\$ 161,124	
Overtime	\$ 14,889	\$ 562	\$ 827				\$ 16,278	\$ 75,000	\$ 6,288	\$ 20,000	
Staff Benefits	\$ 603,132	\$ 33,612	\$ 39,444				\$ 676,188	\$ 3,318,788	\$ 647,621	\$ 3,269,014	
	\$ 1,927,678	\$ 99,092	\$ 142,548				\$ 2,169,318	\$ 9,829,951	\$ 1,850,248	\$ 9,417,283	
Operating Expenses and Equipment											
General Expense	\$ 35,757		\$ 892				\$ 36,649	\$ 371,810	\$ 56,319	\$ 451,342	
Printing	\$ 171						\$ 171	\$ 22,250	\$ 0	\$ 21,750	
Telecommunications	\$ 36,577		\$ 92				\$ 36,668	\$ 78,270	\$ 44,473	\$ 124,654	
Postage	\$ 566						\$ 566	\$ 98,758	\$ 153	\$ 98,198	
Insurance							\$ 8,702	\$ 42,457	\$ 5,309	\$ 42,400	
In-State Travel	\$ 5,063		\$ 3,839								
Out-of-State Travel							\$ 1,515	\$ 33,445	\$ 2,427	\$ 21,750	
Training	\$ 425		\$ 1,080					\$ 472,190		\$ 487,145	
Security Services							\$ 27,310	\$ 167,860	\$ 27,623	\$ 179,435	
Facility Operations	\$ 27,310										
Utilities	\$ 232,440	\$ 4,500	\$ 1,171				\$ 238,111	\$ 1,722,119	\$ 330,628	\$ 2,290,560	
Contracted Services	\$ 930						\$ 930	\$ 18,875	\$ 800	\$ 20,075	
Consulting and Professional Services	\$ (126)						\$ (126)	\$ 253,715	\$ 12,755	\$ 330,189	
Information Technology	\$ 120						\$ 120	\$ 7,000	\$ 60	\$ 39,000	
Major Equipment	\$ 339,232	\$ 4,500	\$ 6,883				\$ 350,615	\$ 3,314,255	\$ 482,326	\$ 4,091,498	
Other Items of Expense											
Special Items of Expense											
Grand Jury	\$ 16,115						\$ 16,115	\$ 79,000	\$ 17,913	\$ 82,500	
Jury Costs											
Judgements, Settlements and Claims							\$ 0	\$ 132,741	\$ 0	\$ 128,540	
Debt Service	\$ 0									\$ 0	
Other								\$ 0		\$ 0	
Capital Costs											
Internal Cost Recovery	\$ 240						\$ 240				
Prior Year Expense Adjustment	\$ 16,355						\$ 16,355	\$ 211,741	\$ 17,913	\$ 211,040	
<b>Total Expenditures</b>	\$ 2,283,266	\$ 103,592	\$ 149,431				\$ 2,536,289	\$ 13,355,947	\$ 2,350,487	\$ 13,719,821	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,945,897	\$ (22,655)	\$ (28,634)				\$ 2,894,608	\$ (120,642)	\$ 2,870,496	\$ (882,790)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 178,821	\$ 318,852	\$ 0				\$ 495,673	\$ 495,673	\$ 1,344,546	\$ 1,344,546	
Ending Balance (Deficit)	\$ 3,122,717	\$ 296,197	\$ (28,634)				\$ 3,390,260	\$ 375,031	\$ 4,215,041	\$ 461,750	

Superior Court of California, County of Butte  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended September									
	Fiscal Year 2016/17							2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 619,871	\$ 70,215					\$ 690,085	\$ 3,318,343	\$ 628,212	\$ 3,249,693
Traffic & Other Infractions	\$ 112,043	\$ 4,254					\$ 116,297	\$ 604,056	\$ 94,056	\$ 664,414
Other Criminal Cases	\$ 190,641	\$ 7,416					\$ 198,057	\$ 977,312	\$ 217,432	\$ 1,284,903
Civil	\$ 89,221	\$ 4,026					\$ 93,246	\$ 452,806	\$ 87,640	\$ 501,486
Family & Children Services	\$ 195,843	\$ 23,572					\$ 219,415	\$ 1,272,382	\$ 201,799	\$ 1,153,252
Probate, Guardianship & Mental Health Services	\$ 39,238	\$ 21,362					\$ 60,600	\$ 264,444	\$ 50,817	\$ 392,210
Juvenile Dependency Services	\$ 16,450	\$ 120,157					\$ 136,607	\$ 811,933	\$ 129,751	\$ 790,435
Juvenile Delinquency Services	\$ 7,539	\$ 277					\$ 7,816	\$ 47,086	\$ 8,194	\$ 54,113
Other Court Operations	\$ 214,785	\$ 8,919					\$ 223,704	\$ 1,012,424	\$ 156,957	\$ 825,306
Court Interpreters		\$ 15,241					\$ 15,241	\$ 198,122	\$ 31,070	\$ 180,254
Jury Services	\$ 13,085	\$ 611	\$ 16,115				\$ 29,811	\$ 168,126	\$ 31,927	\$ 184,619
Security		\$ 48					\$ 48	\$ 477,498		\$ 513,161
Trial Court Operations Program	\$ 1,498,715	\$ 276,097	\$ 16,115				\$ 1,790,927	\$ 9,604,532	\$ 1,637,854	\$ 9,793,846
Enhanced Collections	\$ 114,663	\$ 4,168					\$ 118,831	\$ 528,247	\$ 106,838	\$ 461,334
Other Non-Court Operations	\$ 93,075	\$ 9,489					\$ 102,563	\$ 412,324	\$ 79,755	\$ 423,352
Non-Court Operations Program	\$ 207,738	\$ 13,657					\$ 221,394	\$ 940,571	\$ 186,593	\$ 884,686
Executive Office	\$ 69,365	\$ 2,736					\$ 72,102	\$ 321,593	\$ 72,930	\$ 330,331
Fiscal Services	\$ 102,645	\$ 18,228					\$ 120,873	\$ 668,087	\$ 125,597	\$ 685,919
Human Resources	\$ 65,148	\$ 3,662	\$ 0				\$ 68,810	\$ 455,433	\$ 63,881	\$ 452,350
Business & Facilities Services	\$ 55,501	\$ 29,545				\$ 240	\$ 85,286	\$ 451,900	\$ 82,973	\$ 481,417
Information Technology	\$ 170,205	\$ 6,691					\$ 176,896	\$ 913,831	\$ 180,659	\$ 1,091,272
Court Administration Program	\$ 462,865	\$ 60,862	\$ 0			\$ 240	\$ 523,967	\$ 2,810,844	\$ 526,039	\$ 3,041,289
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,169,318	\$ 350,615	\$ 16,115			\$ 240	\$ 2,536,289	\$ 13,355,947	\$ 2,350,487	\$ 13,719,821