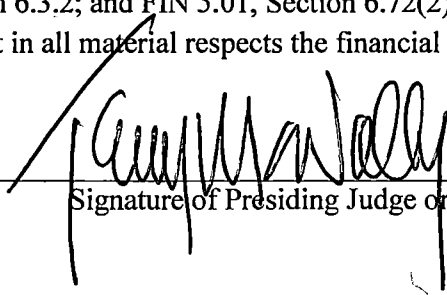


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.


Signature of Presiding Judge or Court Executive

12-14-16
Date

Kern
Court
2016-17 1st Qtr
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2016/17

2015/16

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (4,794,728)	\$ 3,114,507	\$ (405,558)			\$ 2,393,081	\$ 184,395	\$ 491,697	\$ 911,637
Payroll									
Jury									
Revolving									
Other	\$ 9,827							\$ 9,827	\$ 16,696
Distribution									
Civil Filing Fees	\$ 0					\$ 0	\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 11,400							\$ 11,400	\$ 11,400
Cash with County	\$ 649,091						\$ 9,611,268	\$ 10,260,359	\$ 12,734,343
Cash Outside of the JCC	\$ 0						\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 20,387,375						\$ 911,522	\$ 21,298,897	\$ 16,572,889
Total Cash and Cash Equivalents	\$ 16,262,965	\$ 3,114,507	\$ (405,558)			\$ 2,393,081	\$ 10,707,185	\$ 32,072,180	\$ 30,246,965
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0				\$ 0		\$ 0	\$ 0
Accounts Receivable - General						\$ 38,119		\$ 38,119	\$ 44,878
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 6							\$ 6	
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 881,293	\$ 0	\$ 344,177			\$ 0		\$ 1,225,470	\$ 882,416
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 881,299	\$ 0	\$ 344,177			\$ 38,119	\$ 0	\$ 1,263,595	\$ 927,294
Prepaid Expenses - General	\$ 568,642					\$ (104,230)		\$ 464,413	\$ 83,890
Salary and Travel Advances	\$ 1,168	\$ 1,046	\$ 1,018					\$ 3,232	\$ 3,109
Counties									
Total Prepaid Expenses	\$ 569,811	\$ 1,046	\$ 1,018			\$ (104,230)		\$ 467,645	\$ 86,999
Other Assets						\$ 100,000		\$ 100,000	\$ 100,000
Total Other Assets						\$ 100,000		\$ 100,000	\$ 100,000
Total Assets	\$ 17,714,075	\$ 3,115,553	\$ (60,363)			\$ 2,426,970	\$ 10,707,185	\$ 33,903,419	\$ 31,361,257
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0							\$ 0	\$ 0
Accounts Payable - General	\$ 200,195	\$ 0	\$ 0			\$ 0	\$ 0	\$ 200,195	\$ 144,558
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 0							\$ 0	
TC145 Liability							\$ 1,095,906	\$ 1,095,906	\$ 973,773
Due to Other Governments	\$ 211,272		\$ 0					\$ 211,272	\$ 413,723
AB145 Due to Other Government Agency							\$ 0	\$ 0	\$ 6,865,891
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 11	\$ 11	\$ 32
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 411,467	\$ 0	\$ 0			\$ 0	\$ 1,095,917	\$ 1,507,384	\$ 8,397,977
Civil									
Criminal	\$ 0							\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 9,611,268	\$ 9,611,268	\$ 5,110,898
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 9,611,268	\$ 9,611,268	\$ 5,110,898
Accrued Payroll	\$ 1,507,431	\$ 84,979	\$ 60,579					\$ 1,652,988	\$ 1,284,906
Benefits Payable	\$ (536)							\$ (536)	\$ 4,984
Deferred Compensation Payable									
Deductions Payable	\$ 0							\$ 0	\$ 7,682
Payroll Clearing									
Total Payroll Liabilities	\$ 1,506,894	\$ 84,979	\$ 60,579					\$ 1,652,452	\$ 1,297,572
Revenue Collected in Advance	\$ 10,234,670		\$ 0					\$ 10,234,670	\$ 8,983,838
Liabilities For Deposits	\$ 60,668	\$ 155				\$ 477	\$ 0	\$ 61,299	\$ 53,797
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 12,040					\$ 1,192,745		\$ 1,204,785	\$ 1,192,745
Total Other Liabilities	\$ 10,307,378	\$ 155	\$ 0			\$ 1,193,222	\$ 0	\$ 11,500,754	\$ 10,230,380
Total Liabilities	\$ 12,225,739	\$ 85,133	\$ 60,579			\$ 1,193,222	\$ 10,707,185	\$ 24,271,858	\$ 25,036,827
Total Fund Balance	\$ 5,488,336	\$ 3,030,420	\$ (120,943)			\$ 1,233,748		\$ 9,631,561	\$ 6,324,430
Total Liabilities and Fund Balance	\$ 17,714,075	\$ 3,115,553	\$ (60,363)			\$ 2,426,970	\$ 10,707,185	\$ 33,903,419	\$ 31,361,257

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 12,031.389	\$ 52.050					\$ 12,083.439	\$ 48,295.486	\$ 10,871.074	\$ 43,083.872	
Improvement and Modernization Fund	\$ 26.240						\$ 26.240	\$ 104.900	\$ 26.200	\$ 104.900	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 612.944						\$ 612.944	\$ 2,595.573	\$ 578.279	\$ 2,054.282	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 855.953						\$ 855.953	\$ 3,884.056	\$ 468.660	\$ 3,918.810	
Other Miscellaneous	\$ 886.080						\$ 886.080	\$ 3,544.269	\$ 886.029	\$ 3,544.269	
	\$ 14,412.606	\$ 52.050					\$ 14,464.656	\$ 58,424.284	\$ 12,830.242	\$ 52,706.133	
Grants											
AB 1058 Commissioner/Facilitator			\$ 339.741				\$ 339.741	\$ 1,006.907	\$ 333.821	\$ 1,335.268	
Other Judicial Council Grants			\$ 4.436				\$ 4.436	\$ 239.624	\$ 16.837	\$ 511.714	
Non-Judicial Council Grants											
			\$ 344.177				\$ 344.177	\$ 1,246.531	\$ 350.658	\$ 1,846.982	
Other Financing Sources											
Interest Income	\$ 4.749	\$ 139				\$ 159	\$ 5.047	\$ 67.188	\$ 3.320	\$ 27.519	
Investment Income											
Donations											
Local Fees	\$ 277.644	\$ 77.329					\$ 354.974	\$ 1,185.704	\$ 330.410	\$ 1,571.521	
Non-Fee Revenues	\$ 213	\$ 35.831					\$ 36.044	\$ 72.680	\$ 17.356	\$ 82.254	
Enhanced Collections		\$ 1,034.231					\$ 1,034.231	\$ 3,673.000	\$ 961.783	\$ 3,206.433	
Escheatment	\$ 50						\$ 50	\$ 79.421	\$ 48.074	\$ 56.320	
Prior Year Revenue											
County Program - Restricted		\$ 45.501					\$ 45.501	\$ 165.088	\$ 42.825	\$ 147.259	
Reimbursement Other	\$ 136.788					\$ 117.499	\$ 254.287	\$ 660.093	\$ 264.899	\$ 902.902	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1.138					\$ 2,024.310	\$ 2,025.448	\$ 7,769.206	\$ 1,860.147	\$ 7,924.295	
	\$ 420.581	\$ 1,193.031				\$ 2,141.968	\$ 3,755.581	\$ 13,672.380	\$ 3,528.814	\$ 13,918.503	
Total Revenues	\$ 14,833.188	\$ 1,245.081	\$ 344.177			\$ 2,141.968	\$ 18,564.414	\$ 73,343.195	\$ 16,709.714	\$ 68,471.618	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 6,287.866	\$ 381.035	\$ 215.915				\$ 6,884.816	\$ 29,263.092	\$ 6,197.170	\$ 27,620.164	
Temp Help	\$ 190.181						\$ 190.181	\$ 934.050	\$ 125.125		
Overtime	\$ 52.066	\$ 165	\$ 332				\$ 52.563		\$ 19.322		
Staff Benefits	\$ 5,072.278	\$ 305.376	\$ 161.208				\$ 5,538.862	\$ 23,482.102	\$ 5,230.076	\$ 23,239.142	
	\$ 11,602.391	\$ 686.575	\$ 377.455				\$ 12,666.421	\$ 53,679.244	\$ 11,571.692	\$ 50,859.306	
Operating Expenses and Equipment											
General Expense	\$ 601.134	\$ 3.077	\$ 5.021				\$ 609.232	\$ 3,979.559	\$ 347.023	\$ 740.310	
Printing	\$ 21.030	\$ 7.098	\$ 2.327			\$ 2.478	\$ 32.933	\$ 146.646	\$ 46.288	\$ 96.565	
Telecommunications	\$ 152.515						\$ 152.515	\$ 490.678	\$ 30.712	\$ 358.848	
Postage	\$ 43.994						\$ 43.994	\$ 445.436	\$ 25.731	\$ 511.515	
Insurance	\$ 223					\$ 231.805	\$ 232.028	\$ 905.043	\$ 214.079	\$ 796.295	
In-State Travel	\$ 20.460		\$ 1.807				\$ 22.267	\$ 89.412	\$ 23.771	\$ 87.639	
Out-of-State Travel	\$ 1.192						\$ 1.192	\$ 3.907	\$ 2.132		
Training	\$ 8.336	\$ 1.950	\$ 1.965				\$ 12.251	\$ 31.442	\$ 10.472	\$ 22.842	
Security Services								\$ 343			
Facility Operations	\$ 241.543						\$ 241.543	\$ 3,118.149	\$ 185.663	\$ 756.218	
Utilities	\$ 513						\$ 513	\$ 1,277			
Contracted Services	\$ 487.809	\$ 59.757				\$ 100.138	\$ 647.705	\$ 4,121.685	\$ 845.629	\$ 4,050.022	
Consulting and Professional Services	\$ 132.286	\$ 2.309	\$ 0				\$ 134.595	\$ 633.681	\$ 118.211	\$ 945.005	
Information Technology	\$ 54.434	\$ 27.364					\$ 81.798	\$ 2,222.763	\$ 348.657	\$ 2,918.118	
Major Equipment	\$ 297.420						\$ 297.420	\$ 75.580	\$ 52.977		
Other Items of Expense	\$ 2.971		\$ 222				\$ 3.193	\$ 20.405	\$ 7.817	\$ 18.684	
	\$ 2,065.859	\$ 101.556	\$ 11.342			\$ 334.421	\$ 2,513.178	\$ 16,286.006	\$ 2,259.160	\$ 11,302.061	
Special Items of Expense											
Grand Jury	\$ 189						\$ 189	\$ 1,476	\$ 744	\$ 241	
Jury Costs	\$ 157.339						\$ 157.339	\$ 679.884	\$ 172.835	\$ 719.217	
Judgements, Settlements and Claims						\$ 1,298.288	\$ 1,298.288	\$ 6,898.043	\$ 1,381.150	\$ 7,373.662	
Debt Service											
Other								\$ 49.588		\$ 67.536	
Capital Costs											
Internal Cost Recovery	\$ (76.323)		\$ 76.323				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 81.205		\$ 76.323			\$ 1,298.288	\$ 1,455.816	\$ 7,628.991	\$ 1,554.729	\$ 8,160.656	
Total Expenditures	\$ 13,749.455	\$ 788.132	\$ 465.120			\$ 1,632.709	\$ 16,635.415	\$ 77,594.241	\$ 15,385.582	\$ 70,322.023	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,083.733	\$ 456.949	\$ (120.943)			\$ 509.259	\$ 1,928.999	\$ (4,251.046)	\$ 1,324.132	\$ (1,850.405)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,404.603	\$ 2,573.471	\$ 0			\$ 724.489	\$ 7,702.562	\$ 7,702.562	\$ 5,000.298	\$ 5,000.298	
Ending Balance (Deficit)	\$ 5,488.336	\$ 3,030.420	\$ (120.943)			\$ 1,233.748	\$ 9,631.561	\$ 3,451.516	\$ 6,324.430	\$ 3,149.893	

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2016/17								2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,469,358	\$ 174,705					\$ 4,644,064	\$ 18,452,477	\$ 4,115,277	\$ 17,139,801
Traffic & Other Infractions	\$ 633,192	\$ 8,435					\$ 641,627	\$ 2,892,804	\$ 644,797	\$ 2,856,838
Other Criminal Cases	\$ 982,334	\$ 7,090					\$ 989,424	\$ 4,048,222	\$ 893,803	\$ 3,718,320
Civil	\$ 992,008	\$ 6,999					\$ 999,008	\$ 3,807,878	\$ 781,233	\$ 3,907,232
Family & Children Services	\$ 1,224,204	\$ 18,903					\$ 1,243,107	\$ 6,394,614	\$ 1,353,646	\$ 6,052,330
Probate, Guardianship & Mental Health Services	\$ 285,127	\$ 1,144					\$ 286,271	\$ 1,139,989	\$ 273,241	\$ 1,123,114
Juvenile Dependency Services	\$ 162,377	\$ 206,316					\$ 368,694	\$ 3,096,992	\$ 556,431	\$ 3,171,131
Juvenile Delinquency Services	\$ 86,663						\$ 86,663	\$ 358,067	\$ 86,962	\$ 360,486
Other Court Operations	\$ 821,759	\$ 337,412					\$ 1,159,171	\$ 4,859,029	\$ 889,862	\$ 3,767,251
Court Interpreters	\$ 540,884	\$ 187,027					\$ 727,912	\$ 2,740,945	\$ 636,245	\$ 2,742,654
Jury Services	\$ 127,181	\$ 5,304	\$ 157,339				\$ 289,824	\$ 1,408,537	\$ 299,846	\$ 1,406,877
Security		\$ 52,775					\$ 52,775	\$ 77,492	\$ 104,884	\$ 67,883
Trial Court Operations Program	\$ 10,325,087	\$ 1,006,112	\$ 157,339				\$ 11,488,539	\$ 49,277,046	\$ 10,636,228	\$ 46,313,917
Enhanced Collections	\$ 667,826	\$ 50,059					\$ 717,885	\$ 3,742,515	\$ 812,650	\$ 3,206,433
Other Non-Court Operations	\$ 7,577	\$ 385,950	\$ 1,298,477				\$ 1,692,004	\$ 8,488,082	\$ 1,803,557	\$ 8,880,017
Non-Court Operations Program	\$ 675,402	\$ 436,009	\$ 1,298,477				\$ 2,409,889	\$ 12,230,597	\$ 2,616,207	\$ 12,086,450
Executive Office	\$ 508,626	\$ 3,839					\$ 512,466	\$ 2,314,786	\$ 396,413	\$ 2,122,543
Fiscal Services	\$ 223,440	\$ 39,281					\$ 262,721	\$ 1,608,008	\$ 154,709	\$ 1,579,376
Human Resources	\$ 148,919	\$ 38,677					\$ 187,596	\$ 760,153	\$ 184,125	\$ 821,029
Business & Facilities Services	\$ 410,352	\$ 340,767			\$ 0		\$ 751,119	\$ 2,420,156	\$ 552,141	\$ 2,052,456
Information Technology	\$ 374,595	\$ 648,492					\$ 1,023,087	\$ 8,983,495	\$ 845,760	\$ 5,346,252
Court Administration Program	\$ 1,665,931	\$ 1,071,056			\$ 0		\$ 2,736,988	\$ 16,086,598	\$ 2,133,148	\$ 11,921,656
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 12,666,421	\$ 2,513,178	\$ 1,455,816		\$ 0		\$ 16,635,415	\$ 77,594,241	\$ 15,385,582	\$ 70,322,023