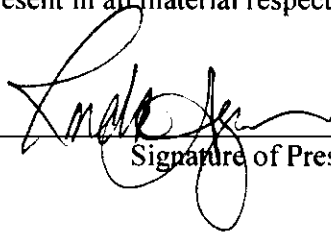


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/30/2016

Date

MODOC

Court

16/17

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Modoc

Court

16/17 1st Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Modoc
 Court

16/17 1st qtr

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	11	9			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September								2015/16	
	Fiscal Year 2016/17									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)		Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service					
Non-Grant		Grant								
ASSETS										
Operations	\$ 66,066	\$ 7,588	\$ (53,492)				\$ 1,407	\$ 21,570	\$ (19,349)	
Payroll	\$ 0	\$ 0						\$ 0	\$ 0	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution										
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (768)	\$ (768)	\$ (916)	
Credit Card										
Cash on Hand	\$ 596							\$ 596	\$ 596	
Cash with County										
Cash Outside of the JCC		\$ 91,689						\$ 91,689	\$ 62,249	
Cash Equivalents	\$ 306,828						\$ 67,414	\$ 374,242	\$ 358,978	
Total Cash and Cash Equivalents	\$ 378,490	\$ 99,277	\$ (53,492)				\$ 68,053	\$ 492,328	\$ 406,558	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Dishonored Checks										
Due From Employee										
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0							\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 875	\$ 0	\$ 37,479					\$ 38,354	\$ 25,178	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 18							\$ 18	\$ 2	
Total Receivables	\$ 893	\$ 0	\$ 37,479				\$ 0	\$ 38,372	\$ 25,179	
Prepaid Expenses - General										
Salary and Travel Advances	\$ 0		\$ 0					\$ 0	\$ 0	
Counties										
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 379,383	\$ 99,277	\$ (16,013)				\$ 68,053	\$ 530,699	\$ 431,737	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 1,828	\$ 1,828	\$ 0	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 18	\$ 18	\$ 2	
Due to Other Courts										
Due to State										
TC145 Liability							\$ 7,219	\$ 7,219	\$ 6,331	
Due to Other Governments	\$ 0	\$ 91,689	\$ 0					\$ 91,689	\$ 62,249	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax	\$ 5							\$ 5	\$ 0	
Interest							\$ 11	\$ 11	\$ 1	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 5	\$ 91,689	\$ 0				\$ 9,076	\$ 100,770	\$ 68,583	
Civil							\$ 26,661	\$ 26,661	\$ 26,661	
Criminal							\$ 27,813	\$ 27,813	\$ 24,530	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC										
Trust Interest Payable							\$ 277	\$ 277	\$ 238	
Miscellaneous Trust										
Total Trust Deposits							\$ 54,751	\$ 54,751	\$ 51,429	
Accrued Payroll										
Benefits Payable	\$ 11,116	\$ 0						\$ 11,116	\$ 0	
Deferred Compensation Payable	\$ 525	\$ 0						\$ 525	\$ 0	
Deductions Payable	\$ 12,042	\$ (96)						\$ 11,946	\$ 0	
Payroll Clearing										
Total Payroll Liabilities	\$ 23,683	\$ (96)						\$ 23,587	\$ 0	
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 12,282	
Liabilities For Deposits	\$ 314						\$ 1,225	\$ 1,539	\$ 1,704	
Jury Fees - Non-Interest							\$ 3,000	\$ 3,000	\$ 3,000	
Fees - Partial Payment & Overpayment										
Uncleared Collections									\$ 0	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 314		\$ 0				\$ 4,225	\$ 4,539	\$ 16,986	
Total Liabilities	\$ 24,003	\$ 91,593	\$ 0				\$ 68,053	\$ 183,648	\$ 136,998	
Total Fund Balance	\$ 355,380	\$ 7,684	\$ (16,013)					\$ 347,052	\$ 294,739	
Total Liabilities and Fund Balance	\$ 379,383	\$ 99,277	\$ (16,013)				\$ 68,053	\$ 530,699	\$ 431,737	

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 417,877	\$ 536					\$ 418,413	\$ 846,337	\$ 437,031	\$ 873,561	
Improvement and Modernization Fund								\$ 1,323		\$ 1,323	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 2,503						\$ 2,503	\$ 7,435	\$ 190	\$ 5,145	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 6,984						\$ 6,984	\$ 55,322	\$ 3,755	\$ 54,856	
Other Miscellaneous								\$ 31,967		\$ 31,967	
	\$ 427,364	\$ 536					\$ 427,900	\$ 942,384	\$ 440,976	\$ 966,856	
Grants											
AB 1058 Commissioner/Facilitator			\$ 18,038				\$ 18,038	\$ 71,698	\$ 18,307	\$ 72,953	
Other Judicial Council Grants			\$ 374				\$ 374	\$ 151,455		\$ 219,926	
Non-Judicial Council Grants											
			\$ 18,412				\$ 18,412	\$ 223,153	\$ 18,307	\$ 292,879	
Other Financing Sources											
Interest Income	\$ 55	\$ 4					\$ 59	\$ 532	\$ 7	\$ 420	
Investment Income											
Donations											
Local Fees	\$ 319						\$ 319	\$ 3,260	\$ 312	\$ 3,036	
Non-Fee Revenues			\$ 179				\$ 179	\$ 935	\$ 277	\$ 1,031	
Enhanced Collections		\$ 6,050					\$ 6,050	\$ 72,595	\$ 5,874	\$ 67,516	
Escheatment											
Prior Year Revenue											
County Program - Restricted			\$ 6				\$ 6	\$ 109	\$ 8	\$ 192	
Reimbursement Other											
Sale of Fixed Assets									\$ 0		
Other Miscellaneous											
	\$ 374	\$ 6,238					\$ 6,613	\$ 77,431	\$ 6,477	\$ 72,202	
Total Revenues	\$ 427,739	\$ 6,774	\$ 18,412				\$ 452,925	\$ 1,242,968	\$ 465,761	\$ 1,331,936	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 106,800	\$ 12,246	\$ 10,792				\$ 129,839	\$ 481,745	\$ 122,780	\$ 456,590	
Temp Help											
Overtime											
Staff Benefits	\$ 49,324	\$ 5,670	\$ 5,589				\$ 60,583	\$ 301,486	\$ 58,731	\$ 280,906	
	\$ 156,124	\$ 17,916	\$ 16,382				\$ 190,422	\$ 783,231	\$ 181,511	\$ 747,496	
Operating Expenses and Equipment											
General Expense	\$ 5,493	\$ 18	\$ 5				\$ 5,516	\$ 33,931	\$ 5,779	\$ 33,836	
Printing								\$ 2,000	\$ 667	\$ 1,070	
Telecommunications	\$ 3,520						\$ 3,520	\$ 13,500	\$ 3,111	\$ 16,386	
Postage	\$ 1,045	\$ 197					\$ 1,243	\$ 6,027	\$ 1,125	\$ 6,400	
Insurance								\$ 500		\$ 516	
In-State Travel	\$ 25		\$ 0				\$ 25	\$ 8,550	\$ 30	\$ 6,800	
Out-of-State Travel											
Training								\$ 1,025			
Security Services											
Facility Operations	\$ 2,631						\$ 2,631	\$ 12,800	\$ 2,701	\$ 11,400	
Utilities											
Contracted Services	\$ 16,616	\$ 1,226	\$ 18,038				\$ 35,880	\$ 409,440	\$ 35,782	\$ 505,116	
Consulting and Professional Services	\$ 475						\$ 475	\$ 2,195	\$ 235	\$ 2,320	
Information Technology	\$ 180		\$ 0				\$ 180	\$ 77,000	\$ 472	\$ 34,426	
Major Equipment											
Other Items of Expense											
	\$ 29,985	\$ 1,441	\$ 18,043				\$ 49,469	\$ 596,968	\$ 49,903	\$ 618,053	
Special Items of Expense											
Grand Jury									\$ 0		
Jury Costs	\$ 128						\$ 128	\$ 1,580		\$ 1,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ 0						\$ 0				
Prior Year Expense Adjustment											
	\$ 128						\$ 128	\$ 1,580	\$ 0	\$ 1,500	
Total Expenditures	\$ 186,237	\$ 19,357	\$ 34,425				\$ 240,019	\$ 1,351,779	\$ 231,414	\$ 1,367,046	
Excess (Deficit) of Revenues Over Expenditures	\$ 241,502	\$ (12,582)	\$ (16,013)				\$ 212,906	\$ (108,811)	\$ 234,346	\$ (35,113)	
Operating Transfers In (Out)	\$ (244)	\$ 244					\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 114,123	\$ 20,023	\$ 0				\$ 134,145	\$ 134,145	\$ 60,393	\$ 60,393	
Ending Balance (Deficit)	\$ 355,380	\$ 7,684	\$ (16,013)				\$ 347,052	\$ 25,334	\$ 294,739	\$ 25,280	

Superior Court of California, County of Modoc
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
	Fiscal Year 2016/17							2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 44,402	\$ 4,081					\$ 48,484	\$ 233,940	\$ 51,394	\$ 271,950
Traffic & Other Infractions		\$ 1,383				\$ 0	\$ 1,383	\$ 4,725	\$ 1,095	\$ 5,188
Other Criminal Cases	\$ 32,564	\$ 1,405					\$ 33,969	\$ 246,135	\$ 33,752	\$ 294,551
Civil	\$ 11,403	\$ 10,291					\$ 21,694	\$ 89,507	\$ 24,221	\$ 105,974
Family & Children Services	\$ 15,438	\$ 18,083					\$ 33,522	\$ 138,211	\$ 31,844	\$ 133,592
Probate, Guardianship & Mental Health Services	\$ 15,438						\$ 15,438	\$ 63,807	\$ 13,507	\$ 58,440
Juvenile Dependency Services		\$ 4,715					\$ 4,715	\$ 25,000	\$ 2,618	\$ 21,193
Juvenile Delinquency Services										\$ 190
Other Court Operations								\$ 250	\$ 60	\$ 2,066
Court Interpreters		\$ 2,013					\$ 2,013	\$ 7,435	\$ 700	\$ 5,200
Jury Services		\$ 188	\$ 128				\$ 315	\$ 5,330	\$ 855	\$ 4,745
Security								\$ 500		\$ 100
Trial Court Operations Program	\$ 119,246	\$ 42,159	\$ 128			\$ 0	\$ 161,532	\$ 814,840	\$ 160,045	\$ 903,189
Enhanced Collections	\$ 17,891	\$ 691					\$ 18,582	\$ 72,595	\$ 17,371	\$ 67,518
Other Non-Court Operations		\$ 750					\$ 750	\$ 3,100	\$ 862	\$ 3,000
Non-Court Operations Program	\$ 17,891	\$ 1,441					\$ 19,332	\$ 75,695	\$ 18,234	\$ 70,518
Executive Office	\$ 30,453	\$ 931					\$ 31,384	\$ 132,921	\$ 27,744	\$ 119,959
Fiscal Services	\$ 9,684	\$ 2,129					\$ 11,812	\$ 84,320	\$ 9,902	\$ 77,077
Human Resources	\$ 13,148						\$ 13,148	\$ 25,607	\$ 12,377	\$ 20,889
Business & Facilities Services		\$ 2,631					\$ 2,631	\$ 12,850	\$ 2,641	\$ 12,416
Information Technology		\$ 180					\$ 180	\$ 205,546	\$ 472	\$ 163,001
Court Administration Program	\$ 53,285	\$ 5,870					\$ 59,155	\$ 461,244	\$ 53,136	\$ 393,342
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 190,422	\$ 49,469	\$ 128			\$ 0	\$ 240,019	\$ 1,351,779	\$ 231,414	\$ 1,367,049