

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

11/09/2016

\_\_\_\_\_  
Date

San Benito Superior Court

\_\_\_\_\_  
Court

FY16/17 - Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

San Benito Superior Court

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Court

FY16/17 - Q1

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	26.7	24.7			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Benito  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September								2015/16
	Fiscal Year 2016/17								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 819	\$ 39,176	\$ (55,106)	\$ 39,110			\$ 20,942	\$ 44,942	\$ 58,295
Payroll	\$ 0							\$ 0	\$ 0
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (274)	\$ (274)	\$ 0
Credit Card									
Cash on Hand	\$ 1,848							\$ 1,848	\$ 1,873
Cash with County							\$ 0	\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 790,215						\$ 420,359	\$ 1,210,574	\$ 1,155,160
<b>Total Cash and Cash Equivalents</b>	<b>\$ 792,883</b>	<b>\$ 39,176</b>	<b>\$ (55,106)</b>	<b>\$ 39,110</b>			<b>\$ 441,027</b>	<b>\$ 1,257,090</b>	<b>\$ 1,215,328</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 35	\$ 0	\$ 0	\$ 0				\$ 35	\$ 8,625
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From	\$ 64							\$ 64	\$ 1
General Due To/From									
<b>Total Receivables</b>	<b>\$ 99</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 0</b>	<b>\$ 99</b>	<b>\$ 8,626</b>
Prepaid Expenses - General	\$ 0		\$ 0					\$ 0	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>		<b>\$ 0</b>					<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 792,981</b>	<b>\$ 39,176</b>	<b>\$ (55,105)</b>	<b>\$ 39,110</b>			<b>\$ 441,027</b>	<b>\$ 1,257,189</b>	<b>\$ 1,223,954</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 5,214	\$ 0	\$ 0				\$ 0	\$ 5,214	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 64	\$ 64	\$ 1
Due to Other Courts									\$ 0
Due to State	\$ 0						\$ 0	\$ 0	\$ 0
TC145 Liability							\$ 118,472	\$ 118,472	\$ 105,397
Due to Other Governments				\$ 39,110			\$ 0	\$ 39,110	\$ 34,224
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 5							\$ 5	\$ 0
Interest							\$ 69	\$ 69	\$ 7
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 5,219</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 39,110</b>			<b>\$ 118,605</b>	<b>\$ 162,934</b>	<b>\$ 139,629</b>
Civil							\$ 239,277	\$ 239,277	\$ 174,826
Criminal							\$ 37,100	\$ 37,100	\$ 1,000
Unreconciled - Civil and Criminal							\$ 37,085	\$ 37,085	\$ 37,085
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 5,982	\$ 5,982	\$ 5,607
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 319,444</b>	<b>\$ 319,444</b>	<b>\$ 218,518</b>
Accrued Payroll	\$ 0		\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (2,241)							\$ (2,241)	\$ (6,696)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 3,910							\$ 3,910	\$ 8,181
Payroll Clearing									\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 1,669</b>		<b>\$ 0</b>					<b>\$ 1,669</b>	<b>\$ 1,485</b>
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 1,330						\$ 2,710	\$ 4,041	\$ 4,189
Jury Fees - Non-Interest							\$ 267	\$ 267	\$ 267
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 1,330</b>						<b>\$ 2,978</b>	<b>\$ 4,308</b>	<b>\$ 4,457</b>
<b>Total Liabilities</b>	<b>\$ 8,219</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 39,110</b>			<b>\$ 441,027</b>	<b>\$ 488,356</b>	<b>\$ 364,088</b>
<b>Total Fund Balance</b>	<b>\$ 784,762</b>	<b>\$ 39,176</b>	<b>\$ (55,105)</b>					<b>\$ 768,833</b>	<b>\$ 859,866</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 792,981</b>	<b>\$ 39,176</b>	<b>\$ (55,105)</b>	<b>\$ 39,110</b>			<b>\$ 441,027</b>	<b>\$ 1,257,189</b>	<b>\$ 1,223,954</b>

Superior Court of California, County of San Benito  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2015/16	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)
Non-Grant		Grant								
<b>REVENUES</b>										
<b>State Financing Sources</b>										
Trial Court Trust Fund	\$ 1,157,538	\$ 1,625					\$ 1,159,163	\$ 2,451,646	\$ 1,205,028	\$ 2,499,739
Improvement and Modernization Fund							\$ 7,751	\$ 0	\$ 0	\$ 7,751
Judges' Compensation (45.25)	\$ 3,750						\$ 3,750	\$ 15,000	\$ 3,750	\$ 15,000
Court Interpreter (45.45)	\$ 10,265						\$ 10,265	\$ 100,000	\$ 2,228	\$ 99,000
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 3,704						\$ 3,704	\$ 84,425	\$ 3,081	\$ 86,425
Other Miscellaneous								\$ 34,642		\$ 34,642
	\$ 1,175,257	\$ 1,625					\$ 1,176,882	\$ 2,693,464	\$ 1,214,087	\$ 2,742,557
<b>Grants</b>										
AB 1058 Commissioner/Facilitator								\$ 228,745		\$ 228,312
Other Judicial Council Grants										
Non-Judicial Council Grants								\$ 228,745		\$ 228,312
<b>Other Financing Sources</b>										
Interest Income	\$ 171						\$ 171	\$ 2,200	\$ 20	\$ 2,000
Investment Income										
Donations								\$ 65,000	\$ 4,102	\$ 62,000
Local Fees										
Non-Fee Revenues										
Enhanced Collections										
Escheatment										
Prior Year Revenue	\$ 13,348		\$ 1				\$ 13,349	\$ 20,000	\$ 26,972	
County Program - Restricted		\$ 60					\$ 60	\$ 600	\$ 103	\$ 700
Reimbursement Other	\$ 48						\$ 48	\$ 1,000		\$ 1,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 3,543						\$ 3,543	\$ 8,050	\$ 380	\$ 4,419
	\$ 17,110	\$ 60	\$ 1				\$ 17,171	\$ 96,850	\$ 31,577	\$ 70,119
<b>Total Revenues</b>	<b>\$ 1,192,367</b>	<b>\$ 1,685</b>	<b>\$ 1</b>				<b>\$ 1,194,053</b>	<b>\$ 3,019,059</b>	<b>\$ 1,245,664</b>	<b>\$ 3,040,988</b>
<b>EXPENDITURES</b>										
<b>Personal Services</b>										
Salaries - Permanent	\$ 288,824		\$ 36,472				\$ 325,296	\$ 1,559,136	\$ 355,553	\$ 1,573,677
Temp Help								\$ 200		\$ 200
Overtime			\$ 18,634				\$ 173,712	\$ 750,855	\$ 175,471	\$ 742,606
Staff Benefits	\$ 155,077		\$ 55,107				\$ 499,008	\$ 2,310,191	\$ 531,024	\$ 2,316,483
<b>Operating Expenses and Equipment</b>										
General Expense	\$ 12,142						\$ 12,142	\$ 88,280	\$ 14,906	\$ 94,370
Printing	\$ 779						\$ 779	\$ 10,000	\$ 3,259	\$ 8,600
Telecommunications	\$ 493						\$ 493	\$ 11,000	\$ 1,610	\$ 11,000
Postage	\$ 600						\$ 600	\$ 10,000	\$ 1,795	\$ 10,500
Insurance								\$ 2,000		\$ 2,500
In-State Travel	\$ 613						\$ 613	\$ 3,000	\$ 35	\$ 2,950
Out-of-State Travel										
Training								\$ 750		\$ 1,120
Security Services								\$ 240	\$ 0	\$ 240
Facility Operations	\$ 4,698						\$ 4,698	\$ 76,906	\$ 15,963	\$ 91,911
Utilities										
Contracted Services	\$ 54,818						\$ 54,818	\$ 559,800	\$ 37,137	\$ 575,600
Consulting and Professional Services								\$ 70	\$ 80	\$ 70
Information Technology	\$ 60,267	\$ 24,545					\$ 84,812	\$ 74,406	\$ 37,112	\$ 58,309
Major Equipment										
Other Items of Expense										
	\$ 134,410	\$ 24,545					\$ 158,955	\$ 836,452	\$ 111,896	\$ 857,170
<b>Special Items of Expense</b>										
Grand Jury	\$ 804						\$ 804	\$ 6,000	\$ 563	\$ 6,000
Jury Costs										
Judgements, Settlements and Claims										
Debt Service										
Other										
<b>Capital Costs</b>										
<b>Internal Cost Recovery</b>								\$ 1		\$ 0
<b>Prior Year Expense Adjustment</b>	\$ 804						\$ 804	\$ 6,001	\$ 563	\$ 6,000
<b>Total Expenditures</b>	<b>\$ 579,115</b>	<b>\$ 24,545</b>	<b>\$ 55,107</b>				<b>\$ 658,767</b>	<b>\$ 3,152,644</b>	<b>\$ 643,483</b>	<b>\$ 3,179,653</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	\$ 613,252	\$ (22,860)	\$ (55,105)				\$ 535,286	\$ (133,585)	\$ 602,181	\$ (138,665)
<b>Operating Transfers In (Out)</b>	\$ 2,436		\$ (2,436)				\$ 0	\$ 0	\$ 0	\$ 0
<b>Fund Balance (Deficit)</b>										
Beginning Balance (Deficit)	\$ 169,075	\$ 62,036	\$ 2,436				\$ 233,547	\$ 233,547	\$ 257,685	\$ 257,685
Ending Balance (Deficit)	\$ 784,762	\$ 39,176	\$ (55,105)				\$ 768,833	\$ 99,962	\$ 859,866	\$ 119,020

Superior Court of California, County of San Benito  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
	Fiscal Year 2016/17							2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 32,741	\$ 19,898					\$ 52,639	\$ 227,227	\$ 51,163	\$ 295,720
Traffic & Other Infractions	\$ 74,047	\$ 22					\$ 74,069	\$ 335,148	\$ 79,880	\$ 340,518
Other Criminal Cases	\$ 77,341	\$ 866					\$ 78,207	\$ 335,148	\$ 81,495	\$ 340,518
Civil	\$ 40,259	\$ 173					\$ 40,431	\$ 210,193	\$ 46,094	\$ 189,060
Family & Children Services	\$ 113,443	\$ 8,935					\$ 122,378	\$ 713,090	\$ 123,673	\$ 657,095
Probate, Guardianship & Mental Health Services										
Juvenile Dependency Services		\$ 5,800					\$ 5,800	\$ 38,800	\$ 5,800	\$ 40,800
Juvenile Delinquency Services										
Other Court Operations										
Court Interpreters		\$ 20,456					\$ 20,456	\$ 100,100	\$ 19,152	\$ 99,100
Jury Services		\$ 580	\$ 804				\$ 1,385	\$ 15,500	\$ 2,098	\$ 15,000
Security								\$ 240	\$ 0	\$ 240
<b>Trial Court Operations Program</b>	<b>\$ 337,830</b>	<b>\$ 56,730</b>	<b>\$ 804</b>				<b>\$ 395,364</b>	<b>\$ 1,975,446</b>	<b>\$ 409,355</b>	<b>\$ 1,978,051</b>
Enhanced Collections										
Other Non-Court Operations								\$ 2,800		\$ 2,800
<b>Non-Court Operations Program</b>								<b>\$ 2,800</b>		<b>\$ 2,800</b>
Executive Office	\$ 65,826	\$ 15,425					\$ 81,251	\$ 456,543	\$ 98,310	\$ 494,494
Fiscal Services	\$ 50,300	\$ 1,494					\$ 51,794	\$ 446,561	\$ 49,994	\$ 451,333
Human Resources	\$ 19,709	\$ 102					\$ 19,811	\$ 90,310	\$ 22,460	\$ 89,330
Business & Facilities Services										
Information Technology	\$ 25,343	\$ 85,204					\$ 110,547	\$ 180,984	\$ 63,365	\$ 163,645
<b>Court Administration Program</b>	<b>\$ 161,178</b>	<b>\$ 102,225</b>					<b>\$ 263,403</b>	<b>\$ 1,174,398</b>	<b>\$ 234,128</b>	<b>\$ 1,198,802</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 499,008</b>	<b>\$ 158,955</b>	<b>\$ 804</b>				<b>\$ 658,767</b>	<b>\$ 3,152,644</b>	<b>\$ 643,483</b>	<b>\$ 3,179,653</b>