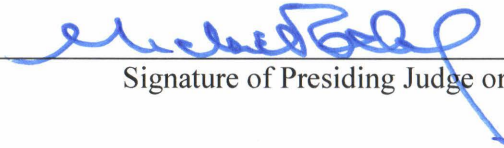


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/07/2016

Date

San Diego

Court

FY 16-17 and September 2016

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2016/17

2015/16

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (10,441,741)	\$ 11,834,921	\$ (1,215,256)				\$ 1,148,028	\$ 1,325,951	\$ 4,959,335
Payroll	\$ (1,693)	\$ 0	\$ 0					\$ (1,693)	\$ (1,912,505)
Jury									
Revolving	\$ 200,000							\$ 200,000	\$ 200,000
Other	\$ 423,017							\$ 423,017	\$ 559,703
Distribution							\$ 486,127	\$ 486,127	\$ 562,417
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (3,673,027)	\$ (3,673,027)	\$ (3,913,112)
Credit Card									
Cash on Hand	\$ 25,070	\$ 300					\$ 0	\$ 25,370	\$ 24,705
Cash with County	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 53,056,176						\$ 33,143,940	\$ 86,200,116	\$ 85,071,559
Total Cash and Cash Equivalents	\$ 43,260,829	\$ 11,835,221	\$ (1,215,256)				\$ 31,105,067	\$ 84,985,861	\$ 85,552,103
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 130,762	\$ 0	\$ 871,581					\$ 1,002,343	\$ 148,008
Dishonored Checks									
Due From Employee	\$ 7,012							\$ 7,012	\$ 3,184
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0					\$ 223,022	\$ 223,022	\$ 223,022
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 456	\$ 0	\$ 0					\$ 456	\$ 0
Trust Due To/From							\$ 772,518	\$ 772,518	\$ 889,542
Distribution Due To/From							\$ 84,790	\$ 84,790	\$ 42,379
Civil Filing Fee Due To/From									
General Due To/From	\$ 6,989	\$ 0						\$ 6,989	\$ 1,015
Total Receivables	\$ 145,220	\$ 0	\$ 871,581				\$ 1,080,331	\$ 2,097,132	\$ 1,307,150
Prepaid Expenses - General	\$ 172,989							\$ 172,989	\$ 363,733
Salary and Travel Advances	\$ 4,087							\$ 4,087	\$ 4,578
Counties									
Total Prepaid Expenses	\$ 177,077							\$ 177,077	\$ 368,311
Other Assets									
Total Other Assets									
Total Assets	\$ 43,583,125	\$ 11,835,221	\$ (343,675)				\$ 32,185,398	\$ 87,260,069	\$ 87,227,563
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 106,651	\$ 148,445	\$ 559				\$ 21,238	\$ 276,893	\$ 325,741
Due to Other Funds	\$ 45	\$ 0	\$ 0				\$ 864,252	\$ 864,297	\$ 932,936
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0		\$ 2,059					\$ 2,059	\$ 200
TC145 Liability							\$ 4,033,584	\$ 4,033,584	\$ 3,472,412
Due to Other Governments	\$ (868)	\$ 0	\$ 0					\$ (868)	\$ (2,667)
AB145 Due to Other Government Agency							\$ 3,876,326	\$ 3,876,326	\$ 4,033,344
Due to Other Public Agencies									
Sales and Use Tax	\$ 203	\$ 0	\$ 0					\$ 203	\$ 4,910
Interest							\$ 5,578	\$ 5,578	\$ 891
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 106,031	\$ 148,445	\$ 2,618				\$ 8,800,978	\$ 9,058,072	\$ 8,767,768
Civil							\$ 13,663,912	\$ 13,663,912	\$ 14,118,157
Criminal							\$ 9,600,824	\$ 9,600,824	\$ 10,457,471
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 115,445	\$ 115,445	\$ 98,165
Miscellaneous Trust							\$ 0	\$ 0	\$ 0
Total Trust Deposits							\$ 23,380,181	\$ 23,380,181	\$ 24,673,793
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (767,884)							\$ (767,884)	\$ 364,233
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 864,336
Payroll Clearing	\$ 107	\$ 0	\$ 0					\$ 107	\$ 3,853
Total Payroll Liabilities	\$ (767,776)	\$ 0	\$ 0					\$ (767,776)	\$ 1,232,422
Revenue Collected in Advance	\$ 15,365		\$ 0					\$ 15,365	\$ 19,369
Liabilities For Deposits	\$ 130,744		\$ 253				\$ 0	\$ 130,996	\$ 99,201
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 4,239	\$ 4,239	\$ 4,239
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									\$ 1,039
Total Other Liabilities	\$ 146,109		\$ 253				\$ 4,239	\$ 150,601	\$ 123,848
Total Liabilities	\$ (515,636)	\$ 148,445	\$ 2,870				\$ 32,185,398	\$ 31,821,077	\$ 34,797,831
Total Fund Balance	\$ 44,098,761	\$ 11,686,775	\$ (346,545)					\$ 55,438,992	\$ 52,429,732
Total Liabilities and Fund Balance	\$ 43,583,125	\$ 11,835,221	\$ (343,675)				\$ 32,185,398	\$ 87,260,069	\$ 87,227,563

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17									2015/16		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 68,442,625	\$ 94,202					\$ 68,536,827	\$ 147,401,077	\$ 69,252,481	\$ 149,677,055	
Improvement and Modernization Fund	\$ (1,860)						\$ (1,860)	\$ 455,117	\$ (1,459)	\$ 455,117	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 1,806,463						\$ 1,806,463	\$ 5,700,000	\$ 1,228,100	\$ 5,500,000	
Civil Coordination Reimbursement (45.55)	\$ 372						\$ 372	\$ 10,000	\$ 1,016	\$ 15,000	
MOU Reimbursements (45.10 and General)	\$ 187,956						\$ 187,956	\$ 1,614,125	\$ 140,921	\$ 1,775,132	
Other Miscellaneous								\$ 2,853,599		\$ 2,853,599	
	\$ 70,435,556	\$ 94,202					\$ 70,529,758	\$ 158,033,918	\$ 70,621,059	\$ 160,275,903	
Grants											
AB 1058 Commissioner/Facilitator			\$ 493,970				\$ 493,970	\$ 3,226,307	\$ (281,417)	\$ 3,171,055	
Other Judicial Council Grants			\$ 51,077				\$ 51,077	\$ 634,171	\$ (23,459)	\$ 935,750	
Non-Judicial Council Grants								\$ 25,000	\$ 0	\$ 39,050	
			\$ 545,047				\$ 545,047	\$ 3,885,478	\$ (304,876)	\$ 4,145,855	
Other Financing Sources											
Interest Income	\$ 10,920	\$ 247					\$ 11,167	\$ 150,000	\$ 1,702	\$ 90,000	
Investment Income											
Donations											
Local Fees	\$ 146,777	\$ 139,327					\$ 286,105	\$ 1,706,500	\$ 254,446	\$ 1,466,000	
Non-Fee Revenues	\$ 1	\$ 0					\$ 1	\$ 264,000	\$ (100,256)	\$ 380,000	
Enhanced Collections		\$ 1,033,946					\$ 1,033,946	\$ 7,551,888	\$ 1,180,761	\$ 7,515,923	
Escheatment											
Prior Year Revenue									\$ (171,610)		
County Program - Restricted	\$ 3,402	\$ 481,549					\$ 484,951	\$ 2,397,000	\$ 452,175	\$ 2,251,300	
Reimbursement Other	\$ 44,490						\$ 44,490	\$ 402,600	\$ 58,766	\$ 637,600	
Sale of Fixed Assets											
Other Miscellaneous	\$ (47,879)						\$ (47,879)	\$ 106,900	\$ 639	\$ 7,100	
	\$ 157,712	\$ 1,655,070					\$ 1,812,781	\$ 12,578,888	\$ 1,676,624	\$ 12,347,923	
Total Revenues	\$ 70,593,267	\$ 1,749,272	\$ 545,047				\$ 72,887,586	\$ 174,498,284	\$ 71,992,807	\$ 176,769,681	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 16,792,620	\$ 624,117	\$ 475,108				\$ 17,891,845	\$ 84,433,829	\$ 17,679,378	\$ 84,377,383	
Temp Help	\$ 69,525		\$ 12,617				\$ 82,142	\$ 1,108,358	\$ 114,188	\$ 424,034	
Overtime	\$ 335,031	\$ 18,392	\$ 4,938				\$ 358,361	\$ 1,000,000	\$ 176,944	\$ 300,000	
Staff Benefits	\$ 12,800,364	\$ 414,356	\$ 331,638				\$ 13,546,357	\$ 58,622,449	\$ 12,065,586	\$ 57,405,962	
	\$ 29,997,540	\$ 1,056,865	\$ 824,301				\$ 31,878,705	\$ 145,164,636	\$ 30,036,096	\$ 142,507,379	
Operating Expenses and Equipment											
General Expense	\$ 833,315	\$ 657	\$ 38,314				\$ 872,285	\$ 4,061,966	\$ 563,324	\$ 3,908,665	
Printing	\$ 97,937						\$ 97,937	\$ 804,242	\$ 88,528	\$ 820,300	
Telecommunications	\$ (21,289)	\$ 19					\$ (21,270)	\$ 452,545	\$ 77,304	\$ 577,440	
Postage	\$ 7,254						\$ 7,254	\$ 820,402	\$ 79,005	\$ 1,147,383	
Insurance								\$ 45,000		\$ 49,000	
In-State Travel	\$ 7,925	\$ (196)	\$ 1,091				\$ 8,820	\$ 171,100	\$ 9,509	\$ 248,100	
Out-of-State Travel	\$ 7,517						\$ 7,517	\$ 7,500	\$ 2,469	\$ 27,000	
Training	\$ 12,690		\$ 880				\$ 13,570	\$ 145,500	\$ 14,956	\$ 138,195	
Security Services	\$ 68,693						\$ 68,693	\$ 756,952	\$ 52,515	\$ 681,952	
Facility Operations	\$ (33,587)						\$ (33,587)	\$ 216,358	\$ (17,206)	\$ 395,700	
Utilities											
Contracted Services	\$ 1,146,363	\$ 431,250	\$ 27,007				\$ 1,604,620	\$ 14,724,252	\$ 1,322,622	\$ 11,961,166	
Consulting and Professional Services	\$ 250,118						\$ 250,118	\$ 3,578,258	\$ 274,294	\$ 3,184,703	
Information Technology	\$ 2,928,924						\$ 2,928,924	\$ 7,669,466	\$ 2,474,838	\$ 7,858,837	
Major Equipment	\$ 255,560						\$ 255,560	\$ 635,344	\$ 169,009	\$ 855,706	
Other Items of Expense	\$ 630						\$ 630	\$ 126,600	\$ 18,019	\$ 126,600	
	\$ 5,562,049	\$ 431,730	\$ 67,292				\$ 6,061,071	\$ 34,215,484	\$ 5,129,184	\$ 31,980,747	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 207,689						\$ 207,689	\$ 1,000,000	\$ 236,087	\$ 1,070,000	
Judgements, Settlements and Claims									\$ 16,500		
Debt Service											
Other	\$ (1,596)						\$ (1,596)	\$ 75,000	\$ (3,979)	\$ 50,000	
Capital Costs											
Internal Cost Recovery	\$ 0						\$ 0		\$ 0		
Prior Year Expense Adjustment	\$ 0						\$ 0		\$ (7,050)		
	\$ 206,092						\$ 206,092	\$ 1,075,000	\$ 241,559	\$ 1,120,000	
Total Expenditures	\$ 35,765,681	\$ 1,488,595	\$ 891,592				\$ 38,145,868	\$ 180,455,120	\$ 35,406,839	\$ 175,608,126	
Excess (Deficit) of Revenues Over Expenditures	\$ 34,827,586	\$ 260,677	\$ (346,545)				\$ 34,741,718	\$ (5,956,836)	\$ 36,585,968	\$ 1,161,555	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 9,271,175	\$ 11,426,098	\$ 0				\$ 20,697,274	\$ 20,697,274	\$ 15,843,764	\$ 15,843,764	
Ending Balance (Deficit)	\$ 44,098,761	\$ 11,686,775	\$ (346,545)				\$ 55,438,992	\$ 14,740,438	\$ 52,429,732	\$ 17,005,319	

Superior Court of California, County of San Diego
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 12,678,615	\$ 433,320					\$ 13,111,935	\$ 56,863,360	\$ 12,238,845	\$ 57,868,834
Traffic & Other Infractions	\$ 1,647,210	\$ 124,194					\$ 1,771,404	\$ 8,581,243	\$ 1,644,174	\$ 8,634,972
Other Criminal Cases	\$ 3,552,519	\$ 297,651					\$ 3,850,170	\$ 20,887,603	\$ 3,498,404	\$ 17,361,411
Civil	\$ 1,791,243	\$ 66,183					\$ 1,857,426	\$ 9,293,846	\$ 1,665,388	\$ 8,125,301
Family & Children Services	\$ 3,736,854	\$ 321,604			\$ 0		\$ 4,058,459	\$ 18,992,157	\$ 3,447,197	\$ 17,235,459
Probate, Guardianship & Mental Health Services	\$ 868,810	\$ 5,918					\$ 874,728	\$ 3,763,275	\$ 695,493	\$ 3,873,036
Juvenile Dependency Services	\$ 405,956	\$ 38,351					\$ 444,307	\$ 2,341,777	\$ 475,993	\$ 1,709,556
Juvenile Delinquency Services	\$ 281,677	\$ 124,031					\$ 405,709	\$ 1,546,777	\$ 244,498	\$ 1,335,612
Other Court Operations	\$ 213,340	\$ 13,985					\$ 227,326	\$ 2,321,999	\$ 215,875	\$ 2,646,646
Court Interpreters	\$ 965,617	\$ 260,926					\$ 1,226,542	\$ 5,917,186	\$ 1,216,600	\$ 5,849,906
Jury Services	\$ 249,963	\$ 36,253	\$ 207,689				\$ 493,905	\$ 2,002,132	\$ 590,335	\$ 3,340,472
Security		\$ 60,197					\$ 60,197	\$ 862,952	\$ 67,430	\$ 995,952
Trial Court Operations Program	\$ 26,391,805	\$ 1,782,614	\$ 207,689		\$ 0		\$ 28,382,107	\$ 133,374,307	\$ 26,000,231	\$ 128,977,157
Enhanced Collections	\$ 591,539	\$ 430,928					\$ 1,022,467	\$ 8,244,326	\$ 1,107,922	\$ 7,953,486
Other Non-Court Operations	\$ 101,452	\$ 0					\$ 101,452	\$ 291,173	\$ 310,886	\$ 757,257
Non-Court Operations Program	\$ 692,991	\$ 430,928					\$ 1,123,919	\$ 8,535,499	\$ 1,418,809	\$ 8,710,743
Executive Office	\$ 732,897	\$ 25,129					\$ 758,026	\$ 3,610,544	\$ 650,006	\$ 2,820,941
Fiscal Services	\$ 1,017,979	\$ 266,825	\$ (1,596)			\$ 0	\$ 1,283,208	\$ 6,651,915	\$ 1,376,802	\$ 6,830,044
Human Resources	\$ 572,138	\$ 142,030					\$ 714,167	\$ 3,710,073	\$ 626,795	\$ 3,626,051
Business & Facilities Services	\$ 458,182	\$ 278,481					\$ 736,663	\$ 6,059,115	\$ 706,339	\$ 5,240,975
Information Technology	\$ 2,012,713	\$ 3,135,065					\$ 5,147,778	\$ 18,513,667	\$ 4,627,858	\$ 19,402,215
Court Administration Program	\$ 4,793,909	\$ 3,847,529	\$ (1,596)			\$ 0	\$ 8,639,842	\$ 38,545,314	\$ 7,987,800	\$ 37,920,226
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 31,878,705	\$ 6,061,071	\$ 206,092		\$ 0	\$ 0	\$ 38,145,868	\$ 180,455,120	\$ 35,406,839	\$ 175,608,126