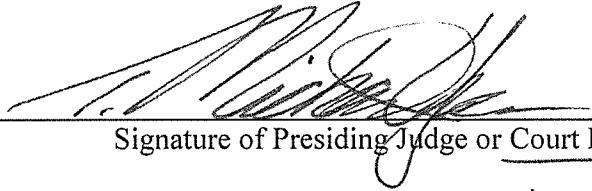


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive Officer

12/07/2016

Date

San Francisco

Court

2016-17 - 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Francisco

Court

2016-17 - 1st Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Francisco

Court

2016-17 - 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	472.38	420.7			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Francisco
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2016/17									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,242,013)	\$ 1,440,551	\$ (302,933)				\$ 447,585	\$ 343,190	\$ 684,077
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (31,266)	\$ (31,266)	\$ (93,146)
Credit Card								\$ 5,650	\$ 5,650
Cash on Hand	\$ 5,650							\$ 3,239,057	\$ 3,239,057
Cash with County	\$ 2,105,062	\$ 0	\$ 0						
Cash Outside of the JCC									
Cash Equivalents	\$ 20,038,733						\$ 15,351,642	\$ 35,390,376	\$ 36,169,695
Total Cash and Cash Equivalents	\$ 20,907,432	\$ 1,440,551	\$ (302,933)				\$ 16,901,957	\$ 38,947,007	\$ 40,068,900
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 18,085					\$ 18,085	\$ 95,400
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 263,639					\$ 263,639	\$ 374,161
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 2							\$ 2	\$ 27
Total Receivables	\$ 2	\$ 0	\$ 281,724				\$ 0	\$ 281,725	\$ 469,588
Prepaid Expenses - General	\$ 0							\$ 0	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 20,907,434	\$ 1,440,551	\$ (21,210)				\$ 16,901,957	\$ 39,228,732	\$ 40,538,488
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 72,017	\$ 0	\$ 1,733				\$ 170,184	\$ 243,934	\$ 13,640
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 2	\$ 2	\$ 27
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 2,411,593	\$ 2,411,593	\$ 2,840,854
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 2,116	\$ 0						\$ 2,116	\$ 1,292
Interest							\$ 2,417	\$ 2,417	\$ 221
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 74,133	\$ 0	\$ 1,733				\$ 2,584,196	\$ 2,980,062	\$ 2,856,033
Civil							\$ 12,358,934	\$ 12,358,934	\$ 9,957,313
Criminal							\$ 2,132	\$ 2,132	\$ 2,132
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 1,133,995	\$ 1,133,995	\$ 1,133,995
Trust Interest Payable							\$ 38,843	\$ 38,843	\$ 24,479
Miscellaneous Trust									
Total Trust Deposits							\$ 13,533,904	\$ 13,533,904	\$ 11,117,919
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0		\$ 0					\$ 0	
Total Payroll Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Revenue Collected in Advance	\$ 0		\$ 17,345					\$ 17,345	\$ 186,617
Liabilities For Deposits	\$ 201,646		\$ 216				\$ 90,517	\$ 292,379	\$ 247,762
Jury Fees - Non-Interest							\$ 590,850	\$ 590,850	\$ 606,900
Fees - Partial Payment & Overpayment							\$ 102,489	\$ 102,489	\$ 102,786
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 201,646		\$ 17,561				\$ 783,856	\$ 1,003,063	\$ 1,144,065
Total Liabilities	\$ 275,779	\$ 0	\$ 19,294				\$ 16,901,957	\$ 17,197,030	\$ 15,118,017
Total Fund Balance	\$ 20,631,655	\$ 1,440,551	\$ (40,504)					\$ 22,031,702	\$ 25,420,472
Total Liabilities and Fund Balance	\$ 20,907,434	\$ 1,440,551	\$ (21,210)				\$ 16,901,957	\$ 39,228,732	\$ 40,538,488

Superior Court of California, County of San Francisco
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17										
	Governmental Funds						Total Funds (Info Purposes Only)	Current Budget (Annual)	Total Funds (Info Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 26,073,332	\$ 65,017					\$ 26,738,349	\$ 7,406,350	\$ 27,947,081	\$ 60,054,542
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 107,430	\$ 35,605	\$ 107,430
Judges' Compensation (45.25)	\$ 123,501						\$ 123,501	\$ 494,000	\$ 123,501	\$ 494,000
Court Interpreter (45.45)	\$ 1,267,365						\$ 1,267,365	\$ 3,100,000	\$ 1,414,427	\$ 2,178,758
Civil Coordination Reimbursement (45.55)	\$ 0						\$ 0	\$ 5,000	\$ 0	\$ 5,000
MOU Reimbursements (45.10 and General)	\$ 134,823						\$ 134,823	\$ 4,109,055	\$ 167,177	\$ 4,477,941
Other Miscellaneous								\$ 5,487,135		\$ 5,487,134
	\$ 28,199,022	\$ 65,017					\$ 28,264,039	\$ 70,706,970	\$ 28,414,881	\$ 72,602,803
Grants										
AB 1058 Commissioner/Facilitator			\$ 99,623				\$ 99,623	\$ 1,589,802	\$ 11,417	\$ 1,578,066
Other Judicial Council Grants			\$ 66,566				\$ 66,566	\$ 848,145	\$ 18,040	\$ 321,400
Non-Judicial Council Grants			\$ 2,779				\$ 2,779	\$ 747,488	\$ 0	\$ 430,489
			\$ 168,968				\$ 168,968	\$ 3,185,415	\$ 29,457	\$ 2,329,955
Other Financing Sources										
Interest Income	\$ 1,978	\$ 278					\$ 2,253	\$ 80,000	\$ (5,796)	\$ 79,126
Investment Income										
Donations	\$ 10,611						\$ 10,611	\$ 237,000	\$ 41,253	\$ 250,883
Local Fees		\$ 12,426					\$ 12,426	\$ 30,000	\$ 0	\$ 19,000
Non-Fee Revenues		\$ 318,862					\$ 318,862	\$ 3,000,000	\$ 571,987	\$ 2,350,000
Enhanced Collections										
Escheatment										
Prior Year Revenue	\$ 0	\$ 6,287					\$ 6,287	\$ 826,932	\$ 4,332	\$ 1,320,453
County Program - Restricted	\$ 115,996		\$ 535				\$ 116,531	\$ 772,084	\$ 71,070	\$ 374,438
Reimbursement Other										
Sale of Fixed Assets	\$ 3,078						\$ 3,078	\$ 130,000	\$ 21,768	\$ 71,000
Other Miscellaneous	\$ 131,660	\$ 337,853	\$ 535				\$ 470,047	\$ 5,078,016	\$ 704,873	\$ 4,464,880
Total Revenues	\$ 28,330,681	\$ 402,870	\$ 168,803				\$ 28,903,054	\$ 78,968,401	\$ 29,149,012	\$ 79,697,618
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 7,745,218	\$ 83,882	\$ 59,209				\$ 7,888,309	\$ 41,761,735	\$ 7,803,482	\$ 39,503,521
Temp Help										
Overtime	\$ 5,160						\$ 5,160		\$ 6,266	
Staff Benefits	\$ 3,609,369	\$ 39,779	\$ 27,422				\$ 3,676,570	\$ 18,879,694	\$ 3,669,744	\$ 19,451,743
	\$ 11,359,747	\$ 123,661	\$ 86,631				\$ 11,970,039	\$ 60,641,429	\$ 11,479,492	\$ 58,955,264
Operating Expenses and Equipment										
General Expense	\$ 425,202		\$ 6,572				\$ 431,774	\$ 1,580,299	\$ 198,859	\$ 1,795,783
Printing	\$ 18,073		\$ 745				\$ 18,818	\$ 108,000	\$ 19,845	\$ 115,000
Telecommunications	\$ 22,429		\$ 1,097				\$ 23,526	\$ 215,000	\$ 15,775	\$ 350,000
Postage	\$ 57,601						\$ 57,601	\$ 300,000	\$ 62,721	\$ 355,000
Insurance								\$ 15,500		\$ 17,000
In-State Travel	\$ 4,490		\$ 3,320				\$ 7,810	\$ 33,000	\$ 3,481	\$ 12,500
Out-of-State Travel	\$ 0		\$ 728				\$ 728	\$ 20,858	\$ 18,000	\$ 7,000
Training	\$ 8,397		\$ 3,042				\$ 11,438	\$ 37,300	\$ 1,520	\$ 49,180
Security Services									\$ 982	
Facility Operations	\$ 614,179						\$ 614,179	\$ 1,527,556	\$ 206,613	\$ 1,673,588
Utilities										
Contracted Services	\$ 1,418,073	\$ 96,025	\$ 94,658				\$ 1,608,756	\$ 9,445,990	\$ 1,351,544	\$ 9,286,509
Consulting and Professional Services	\$ 7,215						\$ 7,215	\$ 2,381,626	\$ 67,479	\$ 2,079,129
Information Technology	\$ 748,188	\$ 8,160					\$ 756,348	\$ 3,401,842	\$ 808,126	\$ 4,342,393
Major Equipment	\$ 497,538						\$ 497,538	\$ 760,201	\$ 121,728	\$ 200,000
Other Items of Expense										
	\$ 3,821,382	\$ 104,195	\$ 110,162				\$ 4,035,730	\$ 19,827,182	\$ 2,868,682	\$ 20,293,082
Special Items of Expense										
Grand Jury	\$ 875						\$ 875		\$ 1,030	
Jury Costs	\$ 166,467						\$ 166,467	\$ 725,000	\$ 122,052	\$ 725,000
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery	\$ (13,804)		\$ 13,804				\$ 0	\$ 0		\$ 0
Prior Year Expense Adjustment	\$ 153,538		\$ 13,804				\$ 167,342	\$ 725,000	\$ 123,082	\$ 725,000
Total Expenditures	\$ 16,334,667	\$ 227,847	\$ 210,697				\$ 16,773,110	\$ 81,193,611	\$ 14,469,236	\$ 78,973,348
Excess (Deficit) of Revenues Over Expenditures	\$ 12,996,014	\$ 175,023	\$ (41,003)				\$ 13,129,944	\$ (2,225,210)	\$ 14,679,776	\$ (375,708)
Operating Transfers In (Out)	\$ (589)		\$ 589				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 7,636,230	\$ 1,265,528	\$ 0				\$ 8,901,758	\$ 8,901,758	\$ 10,740,695	\$ 10,740,695
Ending Balance (Deficit)	\$ 20,631,655	\$ 1,440,551	\$ (40,504)				\$ 22,031,702	\$ 6,676,548	\$ 26,420,472	\$ 10,364,987

Superior Court of California, County of San Francisco
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2016/17							2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,512,797	\$ 252,267					\$ 4,765,065	\$ 26,098,257	\$ 4,649,512	\$ 4,790,346
Traffic & Other Infractions	\$ 764,415	\$ 3,176					\$ 767,591	\$ 4,080,697	\$ 778,396	\$ 4,124,509
Other Criminal Cases	\$ 895,050	\$ 107,199			\$ 0		\$ 1,002,249	\$ 5,315,004	\$ 875,404	\$ 13,887,438
Civil	\$ 870,429	\$ 10,206					\$ 880,635	\$ 6,377,818	\$ 783,008	\$ 13,714,485
Family & Children Services	\$ 603,837	\$ 43,775			\$ 13,497		\$ 661,108	\$ 3,528,219	\$ 634,482	\$ 4,110,208
Probate, Guardianship & Mental Health Services	\$ 462,977	\$ 4,465					\$ 467,442	\$ 3,052,993	\$ 540,720	\$ 3,135,902
Juvenile Dependency Services	\$ 36,162	\$ 584,584					\$ 620,746	\$ 3,664,061	\$ 548,063	\$ 4,780,474
Juvenile Delinquency Services	\$ 51,943	\$ (1,062)					\$ 50,880	\$ 525,244	\$ 70,279	\$ 1,600,120
Other Court Operations	\$ 783,596	\$ 28,360					\$ 811,956	\$ 2,092,973	\$ 757,082	\$ 2,060,668
Court Interpreters	\$ 378,576	\$ 307,633					\$ 686,210	\$ 3,106,840	\$ 625,634	\$ 3,550,502
Jury Services	\$ 347,836	\$ 28,958	\$ 166,467				\$ 543,261	\$ 2,674,728	\$ 494,480	\$ 2,399,377
Security		\$ 203,660					\$ 203,660		\$ 10,157	
Trial Court Operations Program	\$ 9,707,618	\$ 1,573,221	\$ 166,467		\$ 13,497		\$ 11,460,803	\$ 60,616,834	\$ 10,767,216	\$ 68,154,029
Enhanced Collections	\$ 123,661	\$ 72,443					\$ 196,104	\$ 2,142,184	\$ 308,739	\$ 2,387,000
Other Non-Court Operations		\$ 2,100	\$ 875				\$ 2,975	\$ 1,030	\$ 966,751	
Non-Court Operations Program	\$ 123,661	\$ 74,543	\$ 875				\$ 199,079	\$ 2,142,184	\$ 309,769	\$ 3,353,751
Executive Office	\$ 94,715	\$ 13,384					\$ 108,098	\$ 781,537	\$ 100,029	\$ 748,870
Fiscal Services	\$ 421,768	\$ 35,928					\$ 457,696	\$ 1,997,632	\$ 479,003	\$ 2,244,613
Human Resources	\$ 622,106	\$ 18,858	\$ 0				\$ 640,964	\$ 3,917,354	\$ 641,644	\$ 3,157,054
Business & Facilities Services	\$ 57,613	\$ 893,193					\$ 937,309	\$ 2,854,933	\$ 537,209	\$ 2,807,146
Information Technology	\$ 542,558	\$ 1,426,603			\$ (13,497)		\$ 1,969,161	\$ 8,983,137	\$ 1,634,365	\$ 9,507,883
Court Administration Program	\$ 1,738,760	\$ 2,387,965	\$ 0		\$ (13,497)		\$ 4,113,228	\$ 18,534,593	\$ 3,392,251	\$ 18,465,566
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 11,570,039	\$ 4,035,730	\$ 167,342		\$ 0		\$ 15,773,110	\$ 81,193,611	\$ 14,469,236	\$ 79,973,346