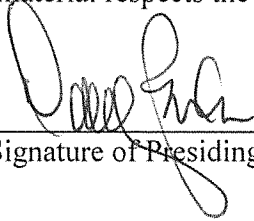


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/30/16
Date

Santa Barbara

Court

2016, September 30

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Barbara

Court

2016, September 30

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Barbara

Court

2016, September 30

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	235.96	232.775			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2016/17

2015/16

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (1,933,183)	\$ 2,225,120	\$ (201,786)				\$ 206,624	\$ 296,775	\$ 375,252
Payroll	\$ (999)	\$ 1,165	\$ 0				\$ 0	\$ 166	\$ (1,651)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 160,542	\$ 160,542	\$ 259,307
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (44,597)	\$ (44,597)	\$ (64,113)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 8,771,541						\$ 6,232,178	\$ 15,003,719	\$ 15,035,407
Total Cash and Cash Equivalents	\$ 6,849,488	\$ 2,226,284	\$ (201,786)				\$ 6,554,748	\$ 15,428,734	\$ 15,616,332
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 318,467	\$ 0					\$ 318,467	\$ 5,719
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (12,820)	\$ 0	\$ 22,581					\$ 9,761	\$ 320,830
Trust Due To/From							\$ 293,298	\$ 293,298	\$ 958,704
Distribution Due To/From							\$ 49,715	\$ 49,715	\$ 184,340
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,595	\$ 0						\$ 1,595	\$ 1,807
Total Receivables	\$ (11,225)	\$ 318,467	\$ 22,581				\$ 343,013	\$ 672,836	\$ 1,471,400
Prepaid Expenses - General	\$ 128,653							\$ 128,653	\$ 1,020
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 128,653							\$ 128,653	\$ 1,020
Other Assets									
Total Other Assets									
Total Assets	\$ 6,966,916	\$ 2,544,752	\$ (179,205)				\$ 6,897,760	\$ 16,230,223	\$ 17,088,752
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 4,416	\$ 92	\$ 0				\$ 0	\$ 4,508	\$ 67,596
Due to Other Funds	\$ 30	\$ 9,471	\$ 0				\$ 335,106	\$ 344,608	\$ 1,144,851
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 491,772	\$ 491,772	\$ 486,617
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,822,459	\$ 2,822,459	\$ 2,973,344
Due to Other Public Agencies									
Sales and Use Tax	\$ 46							\$ 46	\$ 70
Interest							\$ 1,385	\$ 1,385	\$ 228
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,410							\$ 1,410	\$ 520
Total Accounts Payable and Accrued Liab.	\$ 5,902	\$ 9,563	\$ 0				\$ 3,650,722	\$ 3,666,187	\$ 4,673,226
Civil							\$ 1,411,818	\$ 1,411,818	\$ 2,326,292
Criminal							\$ 1,792,449	\$ 1,792,449	\$ 462,394
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 21,819	\$ 21,819	\$ 21,196
Miscellaneous Trust									
Total Trust Deposits							\$ 3,229,740	\$ 3,229,740	\$ 2,813,536
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (197,215)	\$ 0	\$ 0					\$ (197,215)	\$ (10,541)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 4,428
Payroll Clearing	\$ 0							\$ 0	
Total Payroll Liabilities	\$ (197,215)	\$ 0	\$ 0					\$ (197,215)	\$ (6,113)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 41,030						\$ 15,220	\$ 56,250	\$ 47,985
Jury Fees - Non-Interest							\$ 2,028	\$ 2,028	\$ 3,886
Fees - Partial Payment & Overpayment							\$ 50	\$ 50	\$ (300)
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 95,750							\$ 95,750	\$ 64,750
Total Other Liabilities	\$ 136,780						\$ 17,298	\$ 154,078	\$ 116,320
Total Liabilities	\$ (54,533)	\$ 9,563	\$ 0				\$ 6,897,760	\$ 6,852,791	\$ 7,596,970
Total Fund Balance	\$ 7,021,449	\$ 2,535,188	\$ (179,205)					\$ 9,377,433	\$ 9,491,782
Total Liabilities and Fund Balance	\$ 6,966,916	\$ 2,544,752	\$ (179,205)				\$ 6,897,760	\$ 16,230,223	\$ 17,088,752

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,119,557	\$ 30,987					\$ 10,150,544	\$ 21,908,758	\$ 10,237,990	\$ 21,071,609	
Improvement and Modernization Fund								\$ 56,713		\$ 56,712	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (45.45)	\$ 365,055						\$ 365,055	\$ 1,809,609	\$ 304,661	\$ 1,575,469	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 156,584						\$ 156,584	\$ 1,821,770	\$ 21,058	\$ 542,657	
Other Miscellaneous								\$ 1,597,662		\$ 1,597,662	
	\$ 10,691,070	\$ 30,987					\$ 10,722,058	\$ 27,394,012	\$ 10,613,584	\$ 25,043,609	
Grants											
AB 1058 Commissioner/Facilitator								\$ 835,358	\$ 177,217	\$ 796,374	
Other Judicial Council Grants			\$ 18,420				\$ 18,420	\$ 35,024		\$ 35,278	
Non-Judicial Council Grants								\$ 14,300		\$ 73,022	
			\$ 18,420				\$ 18,420	\$ 884,682	\$ 177,217	\$ 904,674	
Other Financing Sources											
Interest Income	\$ 2,019	\$ 85					\$ 2,104	\$ 30,000	\$ 251	\$ 8,000	
Investment Income											
Donations											
Local Fees	\$ 16,290	\$ 35,015					\$ 51,305	\$ 230,500	\$ 70,288	\$ 254,000	
Non-Fee Revenues								\$ 246,561	\$ 125,000	\$ 125,000	
Enhanced Collections		\$ 94,407					\$ 94,407	\$ 948,889	\$ 115,984	\$ 1,031,761	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 116,990					\$ 116,990	\$ 1,165,956	\$ 125,115	\$ 1,073,049	
Reimbursement Other	\$ 44,654						\$ 44,654	\$ 160,500	\$ 30,835	\$ 115,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 731						\$ 731	\$ 15,000	\$ 1,351	\$ 12,000	
	\$ 63,695	\$ 246,497					\$ 310,192	\$ 2,797,406	\$ 468,825	\$ 2,618,810	
Total Revenues	\$ 10,754,765	\$ 277,484	\$ 18,420				\$ 11,050,670	\$ 31,076,100	\$ 11,259,625	\$ 28,567,093	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,349,591	\$ 195,146	\$ 104,407				\$ 2,649,144	\$ 15,183,400	\$ 2,644,253	\$ 15,039,872	
Temp Help	\$ 52,324	\$ 4,553	\$ 5,556				\$ 62,433	\$ 576,078	\$ 114,314	\$ 487,339	
Overtime	\$ 22,711	\$ 510	\$ 240				\$ 23,461	\$ 150,000	\$ 12,979		
Staff Benefits	\$ 1,612,910	\$ 139,473	\$ 63,662				\$ 1,816,044	\$ 8,470,523	\$ 1,849,356	\$ 8,300,017	
	\$ 4,037,536	\$ 339,682	\$ 173,865				\$ 4,551,082	\$ 24,380,001	\$ 4,620,901	\$ 23,827,228	
Operating Expenses and Equipment											
General Expense	\$ 108,297	\$ 5,695	\$ 1,139				\$ 115,132	\$ 617,665	\$ 95,178	\$ 757,317	
Printing	\$ 21,216						\$ 21,216	\$ 86,435	\$ 7,546	\$ 105,695	
Telecommunications	\$ 35,195	\$ 405					\$ 35,600	\$ 197,225	\$ 23,905	\$ 192,450	
Postage	\$ 30,199	\$ 6,416	\$ 96				\$ 36,711	\$ 154,875	\$ 31,506	\$ 156,285	
Insurance								\$ 14,600		\$ 20,185	
In-State Travel	\$ 5,786	\$ 132	\$ 1,254				\$ 7,172	\$ 44,340	\$ 7,820	\$ 30,455	
Out-of-State Travel	\$ 1,582						\$ 1,582	\$ 4,575	\$ 1,458	\$ 6,360	
Training	\$ 3,740	\$ 0	\$ 1,240				\$ 4,980	\$ 19,640	\$ 1,290	\$ 16,650	
Security Services	\$ 89,878		\$ 13,119				\$ 102,997	\$ 610,000	\$ 90,278	\$ 598,125	
Facility Operations	\$ 79,517	\$ 1,121					\$ 80,638	\$ 458,578	\$ 73,296	\$ 380,370	
Utilities		\$ (45)					\$ (45)		\$ 237	\$ 2,500	
Contracted Services	\$ 287,808	\$ 78,419	\$ 6,620				\$ 372,847	\$ 3,216,665	\$ 329,063	\$ 1,854,053	
Consulting and Professional Services	\$ 13,082	\$ 996	\$ 61				\$ 14,139	\$ 116,510	\$ 23,467	\$ 121,750	
Information Technology	\$ 97,280	\$ 2,495					\$ 99,775	\$ 1,052,725	\$ 134,947	\$ 1,591,475	
Major Equipment	\$ 14,305						\$ 14,305	\$ 507,995		\$ 524,960	
Other Items of Expense	\$ 3,434		\$ 231				\$ 3,665	\$ 30,650	\$ 8,751	\$ 28,550	
	\$ 791,320	\$ 95,635	\$ 23,760				\$ 910,714	\$ 7,132,478	\$ 828,742	\$ 6,387,180	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 49,385						\$ 49,385	\$ 190,500	\$ 32,836	\$ 250,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0		
Prior Year Expense Adjustment											
	\$ 49,385						\$ 49,385	\$ 190,500	\$ 32,836	\$ 250,000	
Total Expenditures	\$ 4,878,240	\$ 435,316	\$ 197,625				\$ 5,511,181	\$ 31,702,979	\$ 5,482,479	\$ 30,464,408	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,876,525	\$ (157,832)	\$ (179,205)				\$ 5,539,488	\$ (626,879)	\$ 5,777,146	\$ (1,897,315)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,144,924	\$ 2,693,021	\$ 0				\$ 3,837,945	\$ 3,837,945	\$ 3,714,636	\$ 3,714,636	
Ending Balance (Deficit)	\$ 7,021,449	\$ 2,535,188	\$ (179,205)				\$ 9,377,433	\$ 3,211,066	\$ 9,491,782	\$ 1,817,321	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2016/17

2015/16

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,393,983	\$ 152,817					\$ 1,546,800	\$ 9,951,279	\$ 1,599,215	\$ 8,502,668
Traffic & Other Infractions	\$ 179,727	\$ 8,084					\$ 187,811	\$ 993,073	\$ 192,683	\$ 923,633
Other Criminal Cases	\$ 397,165	\$ 56,640					\$ 453,804	\$ 2,255,888	\$ 451,490	\$ 2,413,585
Civil	\$ 338,441	\$ 55,433					\$ 393,873	\$ 1,753,413	\$ 383,576	\$ 1,857,043
Family & Children Services	\$ 258,684	\$ 19,084					\$ 277,768	\$ 1,316,272	\$ 275,733	\$ 1,235,069
Probate, Guardianship & Mental Health Services	\$ 62,246	\$ 5,967					\$ 68,213	\$ 596,993	\$ 75,400	\$ 460,454
Juvenile Dependency Services	\$ 12,468						\$ 12,468	\$ 126,123	\$ 11,112	\$ 128,814
Juvenile Delinquency Services	\$ 53,204	\$ 12,139					\$ 65,343	\$ 271,092	\$ 53,725	\$ 199,553
Other Court Operations	\$ 540,909	\$ 100,978					\$ 641,887	\$ 3,587,210	\$ 629,267	\$ 3,565,668
Court Interpreters	\$ 268,682	\$ 96,960					\$ 365,642	\$ 1,806,784	\$ 310,872	\$ 1,577,813
Jury Services	\$ 76,169	\$ 50,661	\$ 49,385				\$ 176,215	\$ 757,993	\$ 133,679	\$ 828,560
Security		\$ 104,024					\$ 104,024	\$ 731,850	\$ 92,537	\$ 651,200
Trial Court Operations Program	\$ 3,581,677	\$ 662,786	\$ 49,385				\$ 4,293,848	\$ 24,147,970	\$ 4,209,288	\$ 22,344,060
Enhanced Collections	\$ 112,317	\$ 82,202					\$ 194,519	\$ 948,889	\$ 177,711	\$ 1,031,761
Other Non-Court Operations	\$ 167,838	\$ 3,505					\$ 171,343	\$ 1,039,171	\$ 183,112	\$ 944,786
Non-Court Operations Program	\$ 280,155	\$ 85,706					\$ 365,862	\$ 1,988,060	\$ 360,823	\$ 1,976,547
Executive Office	\$ 72,864	\$ 9,826					\$ 82,690	\$ 466,539	\$ 92,788	\$ 431,514
Fiscal Services	\$ 219,133	\$ 10,382					\$ 229,515	\$ 1,114,527	\$ 248,047	\$ 1,125,276
Human Resources	\$ 101,185	\$ 12,188					\$ 113,373	\$ 657,794	\$ 109,444	\$ 632,197
Business & Facilities Services		\$ 250					\$ 250	\$ 483,438	\$ 459	\$ 186,560
Information Technology	\$ 296,068	\$ 129,576					\$ 425,643	\$ 2,844,651	\$ 461,629	\$ 3,768,254
Court Administration Program	\$ 689,250	\$ 162,221					\$ 851,471	\$ 5,566,949	\$ 912,368	\$ 6,143,801
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,551,082	\$ 910,714	\$ 49,385				\$ 5,511,181	\$ 31,702,979	\$ 5,482,479	\$ 30,464,408