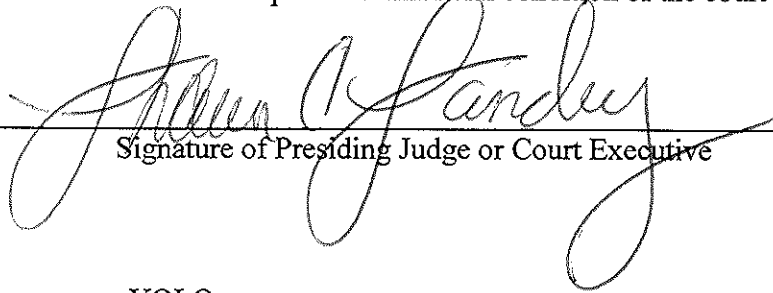


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

2-6-17  
Date

YOLO

\_\_\_\_\_  
Court

2016/01

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

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Court

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Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (136,336)	\$ 351,912	\$ (94,347)	\$ 272			\$ 42,837	\$ 164,339	\$ 145,636
Payroll	\$ (902)	\$ 0						\$ (902)	\$ 2,956
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 2,811,383	\$ 2,811,383	\$ 8,647,075
Cash Equivalents	\$ 3,392,855						\$ 211,758	\$ 3,604,613	\$ 3,286,336
Total Cash and Cash Equivalents	\$ 3,271,294	\$ 351,912	\$ (94,347)	\$ 272			\$ 3,065,979	\$ 6,595,110	\$ 12,097,679
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 17,484					\$ 17,484	\$ 42,513
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									\$ 0
Total Receivables	\$ 0	\$ 0	\$ 17,484	\$ 0			\$ 0	\$ 17,484	\$ 42,513
Prepaid Expenses - General	\$ 0							\$ 0	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 3,271,294	\$ 351,912	\$ (76,863)	\$ 272			\$ 3,065,979	\$ 6,612,594	\$ 12,140,192
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 7,664	\$ 744	\$ 288	\$ 0			\$ 0	\$ 8,697	\$ 9,423
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 254,593	\$ 254,593	\$ 0
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 3	\$ 3	\$ 2
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 7,664	\$ 744	\$ 288	\$ 0			\$ 254,595	\$ 263,292	\$ 9,425
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 2,811,383	\$ 2,811,383	\$ 8,647,075
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 2,811,383	\$ 2,811,383	\$ 8,647,075
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 30,695							\$ 30,695	\$ 29,712
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (2,914)							\$ (2,914)	\$ (2,687)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 27,781	\$ 0						\$ 27,781	\$ 27,025
Revenue Collected in Advance	\$ 438,438		\$ 950					\$ 439,388	\$ 438,438
Liabilities For Deposits	\$ 26,557							\$ 26,557	\$ 26,557
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 464,995		\$ 950					\$ 465,945	\$ 464,995
Total Liabilities	\$ 500,441	\$ 744	\$ 1,238	\$ 0			\$ 3,065,979	\$ 3,568,402	\$ 9,148,520
Total Fund Balance	\$ 2,770,853	\$ 351,168	\$ (78,102)	\$ 272				\$ 3,044,191	\$ 2,991,672
Total Liabilities and Fund Balance	\$ 3,271,294	\$ 351,912	\$ (76,863)	\$ 272			\$ 3,065,979	\$ 6,612,594	\$ 12,140,192

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17									2015/16		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 4,787,679	\$ 4,496					\$ 4,792,175	\$ 10,268,224	\$ 4,418,833	\$ 9,457,451	
Improvement and Modernization Fund	\$ 18,989						\$ 18,989	\$ 22,000	\$ 13,561	\$ 25,603	
Judges' Compensation (45.25)	\$ 20,625						\$ 20,625	\$ 82,500	\$ 20,625	\$ 75,000	
Court Interpreter (45.45)	\$ 126,270						\$ 126,270	\$ 727,738	\$ 63,777	\$ 608,447	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 53,534						\$ 53,534	\$ 622,477	\$ 31,724	\$ 580,957	
Other Miscellaneous								\$ 210,077		\$ 210,077	
	\$ 5,007,097	\$ 4,496					\$ 5,011,593	\$ 11,933,016	\$ 4,548,520	\$ 10,957,535	
Grants											
AB 1058 Commissioner/Facilitator			\$ (388)				\$ (388)	\$ 351,811	\$ 27,989	\$ 350,331	
Other Judicial Council Grants			\$ 16,694				\$ 16,694	\$ 27,038	\$ 12,070	\$ 16,210	
Non-Judicial Council Grants									\$ 950		
			\$ 16,306				\$ 16,306	\$ 378,849	\$ 41,009	\$ 366,541	
Other Financing Sources											
Interest Income	\$ 205	\$ 92					\$ 298	\$ 5,500	\$ 55	\$ 1,200	
Investment Income								\$ 100			
Donations											
Local Fees	\$ 7,110	\$ (1,150)					\$ 5,961	\$ 211,600	\$ 26,277	\$ 275,500	
Non-Fee Revenues	\$ 7,269						\$ 7,269	\$ 170,000	\$ 32,742	\$ 172,629	
Enhanced Collections		\$ 32,268					\$ 32,268	\$ 809,319	\$ 22,425	\$ 747,441	
Escheatment											
Prior Year Revenue											
County Program - Restricted											
Reimbursement Other	\$ 0						\$ 0		\$ 549	\$ 14,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 62						\$ 62	\$ 1,250	\$ 116		
	\$ 14,646	\$ 31,210					\$ 45,857	\$ 1,197,769	\$ 82,164	\$ 1,210,770	
Total Revenues	\$ 5,021,743	\$ 35,706	\$ 16,306				\$ 5,073,756	\$ 13,509,634	\$ 4,671,693	\$ 12,534,846	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,125,453	\$ 58,234	\$ 52,292				\$ 1,235,979	\$ 5,911,118	\$ 1,151,941	\$ 5,201,635	
Temp Help											
Overtime	\$ (2,007)	\$ (244)					\$ (2,252)		\$ 4,821		
Staff Benefits	\$ 744,778	\$ 35,807	\$ 27,542				\$ 808,127	\$ 3,768,278	\$ 776,229	\$ 3,533,175	
	\$ 1,868,224	\$ 93,796	\$ 79,834				\$ 2,041,854	\$ 9,679,396	\$ 1,932,990	\$ 8,734,810	
Operating Expenses and Equipment											
General Expense	\$ 39,135	\$ 950	\$ 583				\$ 40,668	\$ 175,790	\$ 46,035	\$ 229,758	
Printing	\$ 2,856						\$ 2,856	\$ 19,400	\$ 2,011	\$ 33,800	
Telecommunications	\$ 13,915						\$ 13,915	\$ 52,075	\$ 6,727	\$ 43,000	
Postage	\$ 12,957	\$ 28,211	\$ 12				\$ 41,180	\$ 127,640	\$ 19,016	\$ 151,000	
Insurance	\$ 4,240						\$ 4,240	\$ 5,000		\$ 4,000	
In-State Travel	\$ 846		\$ 1,368				\$ 2,215	\$ 6,390	\$ 0	\$ 6,500	
Out-of-State Travel											
Training	\$ 2,392		\$ 1,266				\$ 3,658	\$ 2,225	\$ 859	\$ 4,750	
Security Services	\$ 74,622		\$ 2,344				\$ 76,967	\$ 291,400	\$ 36,723	\$ 440,000	
Facility Operations	\$ 33,913						\$ 33,913	\$ 131,900	\$ 29,384	\$ 216,358	
Utilities									\$ 380	\$ 3,000	
Contracted Services	\$ 298,578	\$ 9,210	\$ 9,000				\$ 316,788	\$ 1,798,197	\$ 333,413	\$ 1,964,910	
Consulting and Professional Services	\$ 2,645						\$ 2,645	\$ 10,000	\$ 2,125	\$ 8,000	
Information Technology	\$ 44,097						\$ 44,097	\$ 130,227	\$ 18,228	\$ 201,196	
Major Equipment									\$ (544)		
Other Items of Expense	\$ 91						\$ 91	\$ 1,150	\$ 380	\$ 850	
	\$ 530,289	\$ 38,371	\$ 14,573				\$ 583,233	\$ 2,751,394	\$ 494,735	\$ 3,307,122	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 36,991						\$ 36,991	\$ 154,200	\$ 42,456	\$ 127,200	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0	\$ 235,000	\$ 0	\$ 400,000	
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 36,991						\$ 36,991	\$ 389,200	\$ 42,456	\$ 527,200	
Total Expenditures	\$ 2,435,503	\$ 132,167	\$ 94,407				\$ 2,662,078	\$ 12,819,990	\$ 2,470,181	\$ 12,569,132	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,586,240	\$ (96,461)	\$ (78,102)				\$ 2,411,678	\$ 689,644	\$ 2,201,512	\$ (34,286)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 184,613	\$ 447,629	\$ 0	\$ 272			\$ 632,514	\$ 632,514	\$ 790,160	\$ 790,160	
Ending Balance (Deficit)	\$ 2,770,853	\$ 351,168	\$ (78,102)	\$ 272			\$ 3,044,191	\$ 1,322,158	\$ 2,991,672	\$ 755,874	

Superior Court of California, County of Yolo  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 740,066	\$ 80,626					\$ 820,692	\$ 4,063,613	\$ 744,840	\$ 3,608,680
Traffic & Other Infractions	\$ 115,743	\$ 3,297					\$ 119,040	\$ 600,668	\$ 132,702	\$ 568,923
Other Criminal Cases	\$ 112,312	\$ 4,383					\$ 116,694	\$ 626,015	\$ 121,322	\$ 648,697
Civil	\$ 57,938	\$ 924					\$ 58,862	\$ 353,171	\$ 58,955	\$ 385,867
Family & Children Services	\$ 131,538	\$ 23,268					\$ 154,806	\$ 626,302	\$ 117,339	\$ 556,585
Probate, Guardianship & Mental Health Services	\$ 18,361	\$ 19,775					\$ 38,136	\$ 176,428	\$ 37,468	\$ 165,418
Juvenile Dependency Services	\$ 4,892	\$ 69,396					\$ 74,288	\$ 453,900	\$ 5,186	\$ 431,949
Juvenile Delinquency Services	\$ 4,703						\$ 4,703	\$ 23,206	\$ 4,322	\$ 25,142
Other Court Operations	\$ 102,589	\$ 1,656					\$ 104,245	\$ 588,110	\$ 129,111	\$ 600,588
Court Interpreters	\$ 46,218	\$ 118,128					\$ 164,346	\$ 727,738	\$ 98,986	\$ 608,447
Jury Services	\$ 39,846	\$ 14,242	\$ 36,991				\$ 91,079	\$ 369,840	\$ 83,877	\$ 373,888
Security		\$ 77,563					\$ 77,563	\$ 285,200	\$ 49,807	\$ 459,791
Trial Court Operations Program	\$ 1,374,206	\$ 413,257	\$ 36,991				\$ 1,824,454	\$ 8,894,191	\$ 1,583,915	\$ 8,433,975
Enhanced Collections	\$ 93,169	\$ 38,371					\$ 131,540	\$ 809,319	\$ 120,876	\$ 747,441
Other Non-Court Operations	\$ 18,647	\$ 583					\$ 19,230	\$ 97,113	\$ 20,115	\$ 97,882
Non-Court Operations Program	\$ 111,816	\$ 38,954					\$ 150,770	\$ 906,432	\$ 140,991	\$ 845,323
Executive Office	\$ 260,514	\$ 2,843	\$ 0				\$ 263,357	\$ 1,186,089	\$ 241,890	\$ 1,087,665
Fiscal Services	\$ 95,118	\$ 6,343					\$ 101,461	\$ 475,141	\$ 114,393	\$ 453,465
Human Resources	\$ 90,885	\$ 14,530					\$ 105,415	\$ 425,606	\$ 81,469	\$ 405,343
Business & Facilities Services		\$ 76,063					\$ 76,063	\$ 235,185	\$ 121,070	\$ 426,190
Information Technology	\$ 109,315	\$ 31,242					\$ 140,557	\$ 697,346	\$ 186,455	\$ 917,171
Court Administration Program	\$ 555,832	\$ 131,022	\$ 0				\$ 686,854	\$ 3,019,367	\$ 745,276	\$ 3,289,834
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 2,041,854	\$ 583,233	\$ 36,991				\$ 2,662,078	\$ 12,819,990	\$ 2,470,181	\$ 12,569,132