

## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

ALAMEDA

\_\_\_\_\_  
Court

2016-2017 Q3

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

ALAMEDA

Court

2016-2017 Q3

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

ALAMEDA

Court

2016-2017 Q3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	712.86	675.08	673.69	663.44	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 958,086	\$ 609,372	\$ (1,630,405)	\$ 134,718			\$ 464,054	\$ 535,825	\$ 433,278
Payroll	\$ (10,819)	\$ 0						\$ (10,819)	\$ (36,226)
Jury									
Revolving	\$ 150,000							\$ 150,000	\$ 100,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ (1,830,999)	\$ (1,830,999)	
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (123,764)	\$ (123,764)	\$ (18,656)
Credit Card								\$ 24,215	\$ 24,215
Cash on Hand	\$ 24,215							\$ (23,285)	\$ (28,415)
Cash with County	\$ (23,285)								
Cash Outside of the JCC							\$ 14,487,281	\$ 14,487,281	\$ 25,958,629
Cash Equivalents	\$ 11,594,130						\$ 15,459,548	\$ 27,053,678	\$ 10,920,848
Total Cash and Cash Equivalents	\$ 12,692,327	\$ 609,372	\$ (1,630,405)	\$ 134,718			\$ 28,456,121	\$ 40,262,133	\$ 37,353,672
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 76,558
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Governments	\$ 36,330	\$ 0	\$ 410,474					\$ 446,804	\$ 145,100
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 93,629	\$ 0	\$ 844,414					\$ 938,043	\$ 1,103,681
Trust Due To/From							\$ 949,978	\$ 949,978	
Distribution Due To/From							\$ 405,891	\$ 405,891	
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,113	\$ 378						\$ 3,491	\$ 0
Total Receivables	\$ 133,072	\$ 378	\$ 1,254,888	\$ 0			\$ 1,407,805	\$ 2,796,143	\$ 1,377,277
Prepaid Expenses - General	\$ 10,390		\$ 0					\$ 10,390	\$ 176,846
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 10,390		\$ 0					\$ 10,390	\$ 176,846
Other Assets									
Total Other Assets									
Total Assets	\$ 12,835,789	\$ 609,750	\$ (375,518)	\$ 134,718			\$ 29,863,926	\$ 43,068,666	\$ 38,907,794
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 4,243,412
Accounts Payable - General	\$ 57,505	\$ 0	\$ 209	\$ 0			\$ 1,350	\$ 59,064	\$ 123,359
Due to Other Funds	\$ 509	\$ 0	\$ 0				\$ 1,358,851	\$ 1,359,360	\$ 0
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 0		\$ 0				\$ 3,981,616	\$ 3,981,616	\$ 19,242,388
AB145 Due to Other Government Agency							\$ 2,427,621	\$ 2,427,621	
Due to Other Public Agencies									
Sales and Use Tax	\$ 353							\$ 353	\$ 0
Interest							\$ 6,529	\$ 6,529	\$ 470
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 58,368	\$ 0	\$ 209	\$ 0			\$ 7,775,968	\$ 7,834,545	\$ 23,609,629
Civil							\$ 3,309,752	\$ 3,309,752	\$ 2,059,104
Criminal							\$ 7,935,722	\$ 7,935,722	\$ 0
Unreconciled - Civil and Criminal							\$ 446	\$ 446	
Trust Held Outside of the JCC							\$ 10,557,602	\$ 10,557,602	\$ 6,768,178
Trust Interest Payable							\$ 7,513	\$ 7,513	\$ 2,285
Miscellaneous Trust									
Total Trust Deposits							\$ 21,811,035	\$ 21,811,035	\$ 8,829,567
Accrued Payroll	\$ 4,061,246	\$ 55,700						\$ 4,116,946	\$ 4,308,102
Benefits Payable	\$ 33,333							\$ 33,333	\$ (18,728)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 61,376							\$ 61,376	\$ 52,858
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 4,155,955	\$ 55,700						\$ 4,211,655	\$ 4,342,232
Revenue Collected in Advance	\$ (106,445)		\$ 29,039					\$ (77,406)	\$ (11,958)
Liabilities For Deposits	\$ 94,987						\$ 8,691	\$ 103,678	\$ 103,679
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 22,157	\$ 22,157	
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ 246,075	\$ 246,075	
Total Other Liabilities	\$ (11,457)		\$ 29,039				\$ 276,923	\$ 294,505	\$ 91,721
Total Liabilities	\$ 4,202,866	\$ 55,700	\$ 29,248	\$ 0			\$ 29,863,926	\$ 34,151,740	\$ 36,873,150
Total Fund Balance	\$ 8,632,923	\$ 554,050	\$ (404,766)	\$ 134,718				\$ 8,916,926	\$ 2,034,644
Total Liabilities and Fund Balance	\$ 12,835,789	\$ 609,750	\$ (375,518)	\$ 134,718			\$ 29,863,926	\$ 43,068,666	\$ 38,907,794



Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended March										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 19,912,953	\$ 945,479				\$ (1,534)	\$ 20,856,899	\$ 27,570,363	\$ 20,664,820	\$ 28,125,744
Traffic & Other Infractions	\$ 3,150,116	\$ 375,731				\$ (158)	\$ 3,525,689	\$ 5,275,499	\$ 4,487,225	\$ 5,831,513
Other Criminal Cases	\$ 4,978,288	\$ 569,617	\$ 25,392				\$ 5,573,298	\$ 8,517,847	\$ 6,031,856	\$ 8,938,692
Civil	\$ 3,094,276	\$ 42,033				\$ (202)	\$ 3,136,107	\$ 5,174,367	\$ 5,224,972	\$ 7,672,217
Family & Children Services	\$ 6,274,727	\$ 344,608				\$ (3,058)	\$ 6,616,277	\$ 10,470,116	\$ 6,502,722	\$ 8,871,019
Probate, Guardianship & Mental Health Services	\$ 1,399,563						\$ 1,399,563	\$ 1,992,896	\$ 1,606,050	\$ 2,309,313
Juvenile Dependency Services	\$ 22,210	\$ 170,813					\$ 193,024	\$ 475,925		
Juvenile Delinquency Services	\$ 999,345	\$ 6,377					\$ 1,005,722	\$ 1,422,600	\$ 1,072,461	\$ 2,475,961
Other Court Operations	\$ 1,458,901	\$ 260,880				\$ (250)	\$ 1,719,531	\$ 2,622,298	\$ 2,672,076	\$ 2,703,690
Court Interpreters	\$ 2,816,547	\$ 568,578				\$ (287)	\$ 3,384,838	\$ 4,901,099	\$ 3,270,529	\$ 4,521,256
Jury Services	\$ 492,223	\$ 567,365	\$ 449,296				\$ 1,508,885	\$ 1,975,953	\$ 1,698,198	\$ 1,905,582
Security	\$ 2,048,603	\$ 86,262					\$ 2,134,865	\$ 2,658,463	\$ 2,564,803	\$ 3,242,436
Trial Court Operations Program	\$ 46,647,752	\$ 3,937,744	\$ 474,689			\$ (5,490)	\$ 51,054,696	\$ 73,057,426	\$ 55,795,712	\$ 76,597,423
Enhanced Collections	\$ 234,359	\$ 1,309,127			\$ 47,253		\$ 1,590,738	\$ 3,000,480	\$ 2,288,784	\$ 3,000,000
Other Non-Court Operations	\$ 746,457	\$ 0					\$ 746,457	\$ 1,097,483	\$ 640,424	\$ 1,051,609
Non-Court Operations Program	\$ 980,816	\$ 1,309,127			\$ 47,253		\$ 2,337,196	\$ 4,097,963	\$ 2,929,208	\$ 4,051,609
Executive Office	\$ 768,647	\$ 91,786			\$ (7,251)	\$ (36)	\$ 853,147	\$ 1,098,679	\$ 1,162,566	\$ 1,699,799
Fiscal Services	\$ 1,620,617	\$ 663,311			\$ (13,248)	\$ (20)	\$ 2,270,660	\$ 3,278,072	\$ 5,537,150	\$ 3,256,297
Human Resources	\$ 4,244,032	\$ 566,919			\$ (7,289)	\$ (4,216)	\$ 4,799,446	\$ 8,781,459	\$ 1,500,573	\$ 1,846,417
Business & Facilities Services	\$ 362,666	\$ 1,967,418		\$ 2,000,000		\$ (15,239)	\$ 4,314,845	\$ 5,708,202	\$ 4,419,513	\$ 6,534,219
Information Technology	\$ 3,390,554	\$ 2,247,428			\$ (19,466)	\$ (66,357)	\$ 5,552,159	\$ 8,705,290	\$ 8,453,140	\$ 11,033,899
Court Administration Program	\$ 10,386,516	\$ 5,536,863		\$ 2,000,000	\$ (47,253)	\$ (85,868)	\$ 17,790,258	\$ 27,571,702	\$ 21,072,942	\$ 24,370,631
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 58,015,084	\$ 10,783,733	\$ 474,689	\$ 2,000,000	\$ 0	\$ (91,358)	\$ 71,182,149	\$ 104,727,091	\$ 79,797,862	\$ 105,019,663