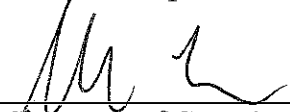


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Court Executive

\_\_\_\_\_  
May 8, 2017

Date

SANTA CRUZ SUPERIOR COURT

Court

2016-17 Quarter 3

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

SANTA CRUZ SUPERIOR COURT

Court

2016-17 Quarter 3

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

SANTA CRUZ SUPERIOR COURT

Court

2016-17 Quarter 3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		121.50	119.00	117.40	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (354,704)	\$ 346,962	\$ 129,501	\$ 0			\$ 42,208	\$ 163,967	\$ 191,756
Payroll	\$ (6,714)						\$ 0	\$ (6,714)	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ (39,297)	\$ (39,297)	\$ (55,915)
Civil Filing Fees							\$ (20,683)	\$ (20,683)	\$ (7,937)
Trust							\$ (4,875)	\$ (4,875)	\$ (2,640)
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 1,320,214	\$ 1,320,214	\$ 2,082,210
Cash Outside of the JCC									
Cash Equivalents	\$ 2,105,798						\$ 480,123	\$ 2,585,921	\$ 1,373,258
Total Cash and Cash Equivalents	\$ 1,752,730	\$ 346,962	\$ 129,501	\$ 0			\$ 1,777,689	\$ 4,006,882	\$ 3,589,082
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 4,098	\$ 0	\$ 0					\$ 4,098	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 7	\$ 0					\$ 11,944	\$ 11,950	\$ 11,944
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 105,600					\$ 105,600	\$ 45,510
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From							\$ 0	\$ 0	
General Due To/From	\$ 0							\$ 0	\$ 17
Total Receivables	\$ 4,105	\$ 0	\$ 105,600	\$ 0			\$ 11,944	\$ 121,649	\$ 57,471
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses									\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,756,835	\$ 346,962	\$ 235,101	\$ 0			\$ 1,789,633	\$ 4,128,531	\$ 3,646,553
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 194,126	\$ 0	\$ 0	\$ 0			\$ 0	\$ 194,126	\$ 15,730
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 17
Due to Other Courts	\$ 0							\$ 0	
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 313,489	\$ 313,489	\$ 397,064
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 2,946
Interest							\$ 194	\$ 194	\$ 103
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 194,125	\$ 0	\$ 0	\$ 0			\$ 313,683	\$ 507,808	\$ 415,859
Civil							\$ 132,146	\$ 132,146	\$ 51,895
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 1,320,214	\$ 1,320,214	\$ 2,082,210
Trust Interest Payable							\$ 921	\$ 921	\$ 13
Miscellaneous Trust									
Total Trust Deposits							\$ 1,453,280	\$ 1,453,280	\$ 2,134,118
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 8,864							\$ 8,864	\$ (4,207)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 3,467							\$ 3,467	\$ 4,929
Payroll Clearing	\$ (1)							\$ (1)	\$ (20)
Total Payroll Liabilities	\$ 12,329	\$ 0	\$ 0					\$ 12,329	\$ 703
Revenue Collected in Advance	\$ 0		\$ 319,595					\$ 319,595	\$ 59,696
Liabilities For Deposits	\$ 17,122						\$ 20,328	\$ 37,450	\$ 37,442
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 2,343	\$ 2,343	\$ 2,393
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 240
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 17,122		\$ 319,595				\$ 22,670	\$ 359,387	\$ 99,770
Total Liabilities	\$ 223,576	\$ 0	\$ 319,595	\$ 0			\$ 1,789,633	\$ 2,332,804	\$ 2,650,450
Total Fund Balance	\$ 1,533,259	\$ 346,962	\$ (84,493)	\$ 0				\$ 1,795,727	\$ 996,103
Total Liabilities and Fund Balance	\$ 1,756,835	\$ 346,962	\$ 235,101	\$ 0			\$ 1,789,633	\$ 4,128,531	\$ 3,646,553

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended March											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 9,565,883	\$ 66,038					\$ 9,631,921	\$ 12,270,911	\$ 9,027,522	\$ 12,402,957	
Improvement and Modernization Fund	\$ 35,289						\$ 35,289	\$ 35,289	\$ 35,289	\$ 35,288	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 552,482						\$ 552,482	\$ 884,000	\$ 683,095	\$ 868,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 132,302						\$ 132,302	\$ 183,940	\$ 152,084	\$ 195,480	
Other Miscellaneous	\$ 203,558						\$ 203,558	\$ 203,558	\$ 203,558	\$ 203,557	
	<b>\$ 10,489,514</b>	<b>\$ 66,038</b>					<b>\$ 10,555,552</b>	<b>\$ 13,577,698</b>	<b>\$ 10,101,548</b>	<b>\$ 13,705,282</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 225,570				\$ 225,570	\$ 334,788	\$ 257,470	\$ 315,002	
Other Judicial Council Grants			\$ 226,839				\$ 226,839	\$ 522,194	\$ 65,101	\$ 178,096	
Non-Judicial Council Grants											
			<b>\$ 452,409</b>				<b>\$ 452,409</b>	<b>\$ 856,982</b>	<b>\$ 322,571</b>	<b>\$ 493,098</b>	
Other Financing Sources											
Interest Income	\$ 10,565	\$ 1,489					\$ 12,053	\$ 9,500	\$ 5,559	\$ 7,800	
Investment Income											
Donations									\$ 0		
Local Fees	\$ 51,385	\$ 16,475					\$ 67,860	\$ 190,150	\$ 110,127	\$ 261,062	
Non-Fee Revenues											
Enhanced Collections		\$ 131,533					\$ 131,533	\$ 228,000	\$ 120,506	\$ 204,997	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 6,748					\$ 6,748	\$ 42,000	\$ 6,119	\$ 41,300	
Reimbursement Other	\$ 56,239						\$ 56,239	\$ 142,500	\$ 81,886	\$ 196,946	
Sale of Fixed Assets											
Other Miscellaneous	\$ 12,571						\$ 12,571	\$ 9,600	\$ 7,906	\$ 16,850	
	<b>\$ 130,760</b>	<b>\$ 156,244</b>					<b>\$ 287,004</b>	<b>\$ 621,750</b>	<b>\$ 332,102</b>	<b>\$ 728,955</b>	
<b>Total Revenues</b>	<b>\$ 10,620,274</b>	<b>\$ 222,282</b>	<b>\$ 452,409</b>				<b>\$ 11,294,966</b>	<b>\$ 15,056,430</b>	<b>\$ 10,756,221</b>	<b>\$ 14,927,335</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,593,222	\$ 118,356	\$ 249,128				\$ 5,960,706	\$ 7,983,913	\$ 5,709,040	\$ 8,123,487	
Temp Help	\$ 11,050						\$ 11,050		\$ 6,307		
Overtime	\$ 17,359	\$ 1,079	\$ 173				\$ 18,610	\$ 25,000	\$ 20,469		
Staff Benefits	\$ 3,064,358	\$ 66,110	\$ 117,749				\$ 3,248,217	\$ 4,730,993	\$ 3,042,606	\$ 4,370,226	
	<b>\$ 8,685,989</b>	<b>\$ 185,545</b>	<b>\$ 367,050</b>				<b>\$ 9,238,584</b>	<b>\$ 12,739,906</b>	<b>\$ 8,778,422</b>	<b>\$ 12,493,713</b>	
Operating Expenses and Equipment											
General Expense	\$ 137,445		\$ 4,462				\$ 141,907	\$ 193,144	\$ 144,467	\$ 281,094	
Printing	\$ 50,419						\$ 50,419	\$ 76,300	\$ 65,959	\$ 70,101	
Telecommunications	\$ 46,044						\$ 46,044	\$ 107,900	\$ 64,908	\$ 107,900	
Postage	\$ 22,843		\$ 358				\$ 23,200	\$ 70,500	\$ 22,225	\$ 67,500	
Insurance	\$ 4,908						\$ 4,908		\$ 4,762	\$ 6,000	
In-State Travel	\$ 13,202		\$ 2,062				\$ 15,264	\$ 40,900	\$ 21,503	\$ 24,150	
Out-of-State Travel	\$ 571						\$ 571	\$ 2,000	\$ 1,929		
Training	\$ 4,394		\$ 1,690				\$ 6,084	\$ 6,000	\$ 5,203	\$ 8,500	
Security Services	\$ 225						\$ 225	\$ 925	\$ 789	\$ 100	
Facility Operations	\$ 143,792						\$ 143,792	\$ 484,951	\$ 150,266	\$ 355,950	
Utilities											
Contracted Services	\$ 282,269	\$ 22,000	\$ 108,218				\$ 412,487	\$ 582,980	\$ 526,094	\$ 685,430	
Consulting and Professional Services	\$ 5,115						\$ 5,115	\$ 12,000	\$ 7,475	\$ 13,140	
Information Technology	\$ (318,427)		\$ 24				\$ (318,403)	\$ 334,574	\$ 488,297	\$ 931,000	
Major Equipment	\$ 11,640						\$ 11,640	\$ 10,000	\$ 74,145	\$ 50,000	
Other Items of Expense	\$ 836						\$ 836	\$ 1,850	\$ 1,276	\$ 1,850	
	<b>\$ 405,276</b>	<b>\$ 22,000</b>	<b>\$ 116,814</b>				<b>\$ 544,090</b>	<b>\$ 1,924,024</b>	<b>\$ 1,579,298</b>	<b>\$ 2,602,715</b>	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 70,259						\$ 70,259	\$ 112,500	\$ 94,241	\$ 133,000	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 280,000			
Capital Costs											
Internal Cost Recovery	\$ (69,716)	\$ 16,677	\$ 53,039				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	<b>\$ 542</b>	<b>\$ 16,677</b>	<b>\$ 53,039</b>				<b>\$ 70,259</b>	<b>\$ 392,500</b>	<b>\$ 94,241</b>	<b>\$ 133,000</b>	
<b>Total Expenditures</b>	<b>\$ 9,091,807</b>	<b>\$ 224,222</b>	<b>\$ 536,902</b>				<b>\$ 9,852,932</b>	<b>\$ 15,056,430</b>	<b>\$ 10,451,961</b>	<b>\$ 15,229,428</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,528,467	\$ (1,940)	\$ (84,493)				\$ 1,442,034	\$ 0	\$ 304,260	\$ (302,093)	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,792	\$ 348,902	\$ 0	\$ 0			\$ 353,694	\$ 353,694	\$ 691,843	\$ 691,843	
Ending Balance (Deficit)	\$ 1,533,259	\$ 346,962	\$ (84,493)	\$ 0			\$ 1,795,727	\$ 353,694	\$ 996,103	\$ 389,750	

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended March										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 3,240,139	\$ 188,300					\$ 3,428,440	\$ 3,807,349	\$ 3,053,689	\$ 4,319,770
Traffic & Other Infractions	\$ 521,319	\$ 14,456					\$ 535,775	\$ 543,037	\$ 494,592	\$ 693,538
Other Criminal Cases	\$ 327,689	\$ 164,313					\$ 492,001	\$ 1,123,142	\$ 525,627	\$ 813,027
Civil	\$ 784,523	\$ 26,774					\$ 811,297	\$ 1,272,760	\$ 779,349	\$ 1,065,776
Family & Children Services	\$ 393,287	\$ 28,708			\$ 38,720		\$ 460,716	\$ 455,280	\$ 550,711	\$ 591,326
Probate, Guardianship & Mental Health Services	\$ 273,377	\$ 650					\$ 274,027	\$ 373,628	\$ 257,306	\$ 394,632
Juvenile Dependency Services	\$ 143,436						\$ 143,436	\$ 232,967	\$ 156,914	\$ 225,904
Juvenile Delinquency Services		\$ 1,684					\$ 1,684	\$ 1,400	\$ 1,856	\$ 1,908
Other Court Operations	\$ 538,276	\$ 2,037			\$ 14,319		\$ 554,632	\$ 994,993	\$ 580,655	\$ 817,108
Court Interpreters	\$ 531,535	\$ 21,773					\$ 553,308	\$ 872,506	\$ 608,413	\$ 905,945
Jury Services	\$ 120,302	\$ 47,515	\$ 70,259				\$ 238,075	\$ 350,420	\$ 253,313	\$ 300,490
Security		\$ 14,225					\$ 14,225	\$ 425	\$ 425	\$ 100
Trial Court Operations Program	\$ 6,873,883	\$ 510,435	\$ 70,259		\$ 53,039		\$ 7,507,615	\$ 10,027,907	\$ 7,262,850	\$ 10,129,524
Enhanced Collections	\$ 186,819				\$ 16,677		\$ 203,497	\$ 228,000	\$ 133,421	\$ 204,997
Other Non-Court Operations	\$ 476,619	\$ 6,162					\$ 482,780	\$ 1,131,495	\$ 520,973	\$ 987,336
Non-Court Operations Program	\$ 663,438	\$ 6,162			\$ 16,677		\$ 686,277	\$ 1,359,495	\$ 654,394	\$ 1,192,333
Executive Office	\$ 200,725	\$ 32,947					\$ 233,672	\$ 306,020	\$ 205,765	\$ 266,347
Fiscal Services	\$ 518,124	\$ 52,822			\$ (69,716)		\$ 501,230	\$ 858,836	\$ 549,048	\$ 899,359
Human Resources	\$ 216,427	\$ 12,449					\$ 228,876	\$ 703,645	\$ 212,321	\$ 3,216
Business & Facilities Services	\$ 136,374	\$ 198,050					\$ 334,424	\$ 419,375	\$ 281,005	\$ 542,652
Information Technology	\$ 629,613	\$ (268,775)					\$ 360,838	\$ 1,381,152	\$ 1,286,578	\$ 2,195,997
Court Administration Program	\$ 1,701,263	\$ 27,493			\$ (69,716)		\$ 1,659,040	\$ 3,669,028	\$ 2,534,716	\$ 3,907,571
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 9,238,584	\$ 544,090	\$ 70,259		\$ 0		\$ 9,852,932	\$ 15,056,430	\$ 10,451,961	\$ 15,229,428