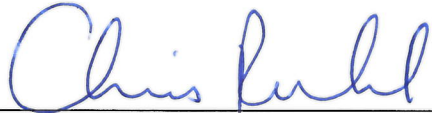


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/15/2017

Date

Monterey

Court

FY 2016-17 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2016/17									2015/16
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (773,293)	\$ 771,436	\$ 0				\$ 96,158	\$ 94,301	\$ 103,214
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury Revolving	\$ 24,714							\$ 24,714	\$ 25,000
Other Distribution							\$ 86,757	\$ 86,757	\$ 1,059,611
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (108,586)	\$ (108,586)	\$ 2,243,938
Cash on Hand	\$ 5,300							\$ 5,300	\$ 5,300
Cash with County									
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 1,501,235						\$ 3,640,343	\$ 5,141,579	\$ 6,954,617
Total Cash and Cash Equivalents	\$ 757,956	\$ 771,436	\$ 0				\$ 3,714,672	\$ 5,244,064	\$ 10,391,681
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 4,921	\$ 5,985						\$ 10,906	\$ 6,731
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 109,939
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 154,893							\$ 154,893	\$ 375,343
Due From Other Governments	\$ 669	\$ 19,304	\$ 0					\$ 19,973	\$ 17,631
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 477,156	\$ 49,842	\$ 255,721					\$ 782,719	\$ 986,465
Trust Due To/From							\$ 62,731	\$ 62,731	\$ 88,608
Distribution Due To/From							\$ 113,566	\$ 113,566	\$ 54,443
Civil Filing Fee Due To/From									
General Due To/From	\$ 20,321	\$ 7,747						\$ 28,067	\$ 37,376
Total Receivables	\$ 657,960	\$ 82,878	\$ 255,721				\$ 176,297	\$ 1,172,856	\$ 1,676,538
Prepaid Expenses - General	\$ 45,849							\$ 45,849	\$ 129,005
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 45,849							\$ 45,849	\$ 129,005
Other Assets									
Total Other Assets									
Total Assets	\$ 1,461,766	\$ 854,314	\$ 255,721				\$ 3,890,969	\$ 6,462,770	\$ 12,197,224
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 215,310	\$ 14,764	\$ 37,162					\$ 267,236	\$ 345,751
Accounts Payable - General	\$ 216,237	\$ 7,056	\$ 1,797				\$ 0	\$ 225,089	\$ 3,577,943
Due to Other Funds	\$ 0	\$ 26,579	\$ 128,314				\$ 204,365	\$ 359,258	\$ 555,771
Due to Other Courts							\$ 0	\$ 0	
Due to State	\$ 112,221							\$ 112,221	\$ 158,340
TC145 Liability							\$ 451,175	\$ 451,175	\$ 394,532
Due to Other Governments	\$ 26,029		\$ 88,448					\$ 114,477	\$ 122,666
AB145 Due to Other Government Agency							\$ 298,779	\$ 298,779	\$ 384,946
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,683							\$ 3,683	\$ 135
Interest							\$ 97	\$ 97	\$ 81
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 573,480	\$ 48,399	\$ 255,721				\$ 954,416	\$ 1,832,016	\$ 5,540,166
Civil							\$ 1,367,728	\$ 1,367,728	\$ 2,547,828
Criminal							\$ 1,350,308	\$ 1,350,308	\$ 1,822,798
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 4,231	\$ 4,231	\$ 1,729
Miscellaneous Trust									
Total Trust Deposits							\$ 2,722,267	\$ 2,722,267	\$ 4,372,355
Accrued Payroll	\$ 275,385	\$ 0						\$ 275,385	\$ 843,014
Benefits Payable	\$ 14,087						\$ 0	\$ 14,087	\$ 238,027
Deferred Compensation Payable	\$ 0							\$ 0	\$ 8,960
Deductions Payable	\$ 9,713		\$ 0					\$ 9,713	\$ 4,132
Payroll Clearing	\$ 0							\$ 0	\$ (316,564)
Total Payroll Liabilities	\$ 299,185	\$ 0	\$ 0				\$ 0	\$ 299,185	\$ 777,568
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 24,136						\$ 214,286	\$ 238,422	\$ 104,920
Jury Fees - Non-Interest									\$ 0
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 24,136		\$ 0				\$ 214,286	\$ 238,422	\$ 104,920
Total Liabilities	\$ 896,801	\$ 48,399	\$ 255,721				\$ 3,890,969	\$ 5,091,890	\$ 10,795,009
Total Fund Balance	\$ 564,966	\$ 805,915	\$ 0					\$ 1,370,880	\$ 1,402,215
Total Liabilities and Fund Balance	\$ 1,461,766	\$ 854,314	\$ 255,721				\$ 3,890,969	\$ 6,462,770	\$ 12,197,224

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2016/17								2015/16			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 18,500,237	\$ 224,936					\$ 18,725,173	\$ 18,909,800	\$ 18,080,391	\$ 18,343,907	
Improvement and Modernization Fund	\$ 57,146						\$ 57,146	\$ 57,146	\$ 102,345	\$ 102,345	
Judges' Compensation (0150019)	\$ 180,500						\$ 180,500	\$ 181,900	\$ 180,500	\$ 174,071	
Court Interpreter (0150037)	\$ 1,019,972						\$ 1,019,972	\$ 1,049,028	\$ 1,068,314	\$ 999,765	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 677,387						\$ 677,387	\$ 796,328	\$ 644,275	\$ 746,463	
Other Miscellaneous	\$ 277,496						\$ 277,496	\$ 277,496	\$ 277,496	\$ 277,496	
	\$ 20,712,738	\$ 224,936					\$ 20,937,674	\$ 21,271,698	\$ 20,353,321	\$ 20,644,047	
Grants											
AB 1058 Commissioner/Facilitator			\$ 679,617				\$ 679,617	\$ 652,985	\$ 602,433	\$ 649,501	
Other Judicial Council Grants			\$ 519,145				\$ 519,145	\$ 630,748	\$ 403,333	\$ 488,792	
Non-Judicial Council Grants											
			\$ 1,198,761				\$ 1,198,761	\$ 1,283,733	\$ 1,005,767	\$ 1,138,293	
Other Financing Sources											
Interest Income	\$ 33,480	\$ 5,450					\$ 38,930	\$ 18,000	\$ 21,159	\$ 16,200	
Investment Income											
Donations											
Local Fees	\$ 177,093	\$ 131,254					\$ 308,347	\$ 340,600	\$ 333,221	\$ 355,600	
Non-Fee Revenues		\$ 11,451					\$ 11,451	\$ 11,000	\$ 7,993	\$ 12,600	
Enhanced Collections		\$ 7,636					\$ 7,636	\$ 54,523	\$ 55,467	\$ 35,000	
Escheatment								\$ 15,000	\$ 4,238	\$ 15,000	
Prior Year Revenue									\$ (529)		
County Program - Restricted		\$ 69,011					\$ 69,011	\$ 64,000	\$ 68,588	\$ 64,000	
Reimbursement Other	\$ 13,696	\$ 63,156					\$ 76,852	\$ 41,623	\$ 41,588	\$ 73,424	
Sale of Fixed Assets	\$ 2,430						\$ 2,430				
Other Miscellaneous	\$ 204,496						\$ 204,496	\$ 60,500	\$ 70,424	\$ 65,500	
	\$ 431,194	\$ 287,958					\$ 719,152	\$ 605,246	\$ 602,150	\$ 637,324	
Total Revenues	\$ 21,143,932	\$ 512,894	\$ 1,198,761				\$ 22,855,587	\$ 23,160,677	\$ 21,961,237	\$ 22,419,664	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,520,938	\$ 73,594	\$ 536,686				\$ 12,131,218	\$ 12,298,919	\$ 11,104,010	\$ 11,343,501	
Temp Help	\$ 89,761						\$ 89,761	\$ 78,000	\$ 110,027	\$ 103,000	
Overtime	\$ 193,171	\$ 0					\$ 193,171	\$ 290,000	\$ 246,527	\$ 260,000	
Staff Benefits	\$ 5,073,945	\$ 35,681	\$ 238,474				\$ 5,348,100	\$ 5,562,725	\$ 5,101,517	\$ 5,287,992	
	\$ 16,877,815	\$ 109,275	\$ 775,161				\$ 17,762,250	\$ 18,229,644	\$ 16,562,080	\$ 16,994,493	
Operating Expenses and Equipment											
General Expense	\$ 520,006	\$ 10,312	\$ 3,508				\$ 533,825	\$ 570,326	\$ 532,320	\$ 554,824	
Printing	\$ 32,392		\$ 267				\$ 32,659	\$ 34,150	\$ 40,641	\$ 33,150	
Telecommunications	\$ 101,590		\$ 1,615				\$ 103,205	\$ 109,714	\$ 107,397	\$ 134,060	
Postage	\$ 112,562		\$ 432				\$ 112,994	\$ 119,000	\$ 120,917	\$ 119,000	
Insurance	\$ 8,525						\$ 8,525	\$ 8,500	\$ 7,583	\$ 15,500	
In-State Travel	\$ 33,521		\$ 6,746				\$ 40,268	\$ 50,750	\$ 46,243	\$ 51,290	
Out-of-State Travel	\$ 6,117		\$ 1,365				\$ 7,482	\$ 11,838	\$ 13,201	\$ 14,838	
Training	\$ 41,178		\$ 3,680				\$ 44,858	\$ 46,300	\$ 55,682	\$ 60,025	
Security Services	\$ 628,333		\$ 9,607				\$ 637,940	\$ 631,332	\$ 637,420	\$ 623,332	
Facility Operations	\$ 231,442		\$ 990				\$ 232,432	\$ 222,115	\$ 296,813	\$ 305,500	
Utilities											
Contracted Services	\$ 1,869,882	\$ 272,227	\$ 407,088				\$ 2,549,198	\$ 2,811,047	\$ 2,436,744	\$ 2,627,502	
Consulting and Professional Services	\$ 14,868						\$ 14,868	\$ 7,000	\$ 8,612	\$ 8,000	
Information Technology	\$ 389,227	\$ 0	\$ 133				\$ 389,360	\$ 583,478	\$ 708,011	\$ 1,014,227	
Major Equipment	\$ 220,472						\$ 220,472	\$ 378,010	\$ 313,281	\$ 456,002	
Other Items of Expense	\$ 8,058		\$ 145				\$ 8,203	\$ 12,000	\$ 14,356	\$ 15,500	
	\$ 4,218,174	\$ 282,539	\$ 435,577				\$ 4,936,290	\$ 5,595,560	\$ 5,339,222	\$ 6,032,750	
Special Items of Expense											
Grand Jury	\$ 5,225						\$ 5,225	\$ 16,000	\$ 14,260	\$ 16,000	
Jury Costs	\$ 181,957						\$ 181,957	\$ 205,000	\$ 167,065	\$ 205,000	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0		
Capital Costs											
Internal Cost Recovery	\$ (163,323)	\$ 8,291	\$ 155,032				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 1,200						\$ 1,200		\$ 1,345		
	\$ 25,058	\$ 8,291	\$ 155,032				\$ 188,382	\$ 221,000	\$ 182,669	\$ 221,000	
Total Expenditures	\$ 21,121,047	\$ 400,105	\$ 1,365,770				\$ 22,886,922	\$ 24,046,204	\$ 22,083,971	\$ 23,248,243	
Excess (Deficit) of Revenues Over Expenditures	\$ 22,885	\$ 112,789	\$ (167,009)				\$ (31,335)	\$ (885,527)	\$ (122,734)	\$ (828,579)	
Operating Transfers In (Out)	\$ (167,009)		\$ 167,009				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 709,089	\$ 693,126	\$ 0				\$ 1,402,215	\$ 1,402,215	\$ 1,524,949	\$ 1,524,949	
Ending Balance (Deficit)	\$ 564,966	\$ 805,915	\$ 0				\$ 1,370,880	\$ 516,688	\$ 1,402,215	\$ 696,370	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 5,429,425	\$ 373,637					\$ 5,803,062	\$ 5,993,805	\$ 5,606,015	\$ 6,527,610
Traffic & Other Infractions	\$ 615,924	\$ 201,274			\$ (8,291)		\$ 808,907	\$ 849,302	\$ 940,361	\$ 925,986
Other Criminal Cases	\$ 2,348,206	\$ 210,685				\$ 1,200	\$ 2,560,091	\$ 2,310,875	\$ 3,023,070	\$ 2,366,668
Civil	\$ 883,232	\$ 116,466					\$ 999,698	\$ 1,005,673	\$ 1,288,012	\$ 870,513
Family & Children Services	\$ 1,161,756	\$ 458,887			\$ 0		\$ 1,620,643	\$ 1,675,332	\$ 1,734,621	\$ 1,572,876
Probate, Guardianship & Mental Health Services	\$ 347,675	\$ 169,379					\$ 517,054	\$ 538,655	\$ 412,017	\$ 366,189
Juvenile Dependency Services	\$ 113,624	\$ 400,302					\$ 513,925	\$ 619,934	\$ 492,747	\$ 698,308
Juvenile Delinquency Services	\$ 185,751	\$ 1,358					\$ 187,108	\$ 196,998	\$ 115,440	\$ 192,948
Other Court Operations	\$ 1,480,016	\$ 122,941					\$ 1,602,957	\$ 1,605,333	\$ 484,680	\$ 773,611
Court Interpreters	\$ 678,776	\$ 360,081					\$ 1,038,857	\$ 1,052,253	\$ 1,072,822	\$ 1,032,854
Jury Services	\$ 205,667	\$ 92,193	\$ 181,957				\$ 479,818	\$ 579,507	\$ 522,673	\$ 553,367
Security		\$ 653,834					\$ 653,834	\$ 627,056	\$ 677,846	\$ 660,396
Trial Court Operations Program	\$ 13,450,052	\$ 3,161,038	\$ 181,957		\$ (8,291)	\$ 1,200	\$ 16,785,955	\$ 17,054,724	\$ 16,370,303	\$ 16,541,326
Enhanced Collections	\$ 41,620	\$ 427			\$ 8,291		\$ 50,338	\$ 54,165	\$ 57,675	\$ 78,672
Other Non-Court Operations			\$ 5,225				\$ 5,225	\$ 360	\$ 14,260	\$ 16,500
Non-Court Operations Program	\$ 41,620	\$ 427	\$ 5,225		\$ 8,291		\$ 55,563	\$ 54,525	\$ 71,935	\$ 95,172
Executive Office	\$ 1,374,823	\$ 350,406			\$ 0		\$ 1,725,229	\$ 1,808,313	\$ 849,452	\$ 1,439,788
Fiscal Services	\$ 908,154	\$ 96,753					\$ 1,004,907	\$ 1,016,367	\$ 1,096,554	\$ 877,357
Human Resources	\$ 395,814	\$ 22,567					\$ 418,381	\$ 939,519	\$ 561,786	\$ 497,207
Business & Facilities Services	\$ 218,074	\$ 521,962					\$ 740,036	\$ 908,201	\$ 689,276	\$ 998,955
Information Technology	\$ 1,373,712	\$ 783,138					\$ 2,156,850	\$ 2,264,555	\$ 2,444,665	\$ 2,798,438
Court Administration Program	\$ 4,270,577	\$ 1,774,826			\$ 0		\$ 6,045,404	\$ 6,936,955	\$ 5,641,733	\$ 6,611,745
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0	\$ 0	\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 17,762,250	\$ 4,936,290	\$ 187,182		\$ 0	\$ 1,200	\$ 22,886,922	\$ 24,046,204	\$ 22,083,971	\$ 23,248,243

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Monterey

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	97,763	-	97,763	-	-	-	-	-	97,763	
Restricted	-	-	-	805,915	-	-	-	-	805,915	
Committed	308,732	-	308,732	-	-	-	-	-	308,732	
Assigned	65,564	92,907	158,471	-	-	-	-	-	158,471	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 472,059	\$ 92,907	\$ 564,966	\$ 805,915	\$ -	\$ -	\$ -	\$ -	\$ 1,370,880	