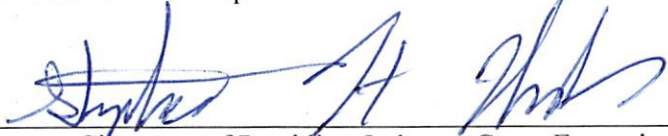


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

11-28-17

\_\_\_\_\_  
Date

Contra Costa

\_\_\_\_\_  
Court

FY 2017-2018 Qtr 1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Contra Costa  
 Court

FY 2017-2018 Qtr. 1  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	326.65	317.65			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2017/18

2016/17

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,185,938)	\$ 1,613,975	\$ (389,910)				\$ 297,596	\$ (664,277)	\$ 1,309,677
Payroll	\$ 7,896							\$ 7,896	\$ 2,962
Jury									
Revolving	\$ 50,000							\$ 50,000	\$ 50,000
Other									
Distribution							\$ 0	\$ 0	\$ (282,064)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (362,511)	\$ (362,511)	\$ (424,373)
Credit Card									
Cash on Hand	\$ 7,713							\$ 7,713	\$ 7,878
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 15,478,515						\$ 11,403,932	\$ 26,882,448	\$ 26,218,588
<b>Total Cash and Cash Equivalents</b>	<b>\$ 13,358,186</b>	<b>\$ 1,613,975</b>	<b>\$ (389,910)</b>				<b>\$ 11,339,018</b>	<b>\$ 25,921,269</b>	<b>\$ 26,882,669</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 9,145							\$ 9,145	\$ 10,102
Civil Jury Fees									
Trust							\$ 9,341	\$ 9,341	\$ 8,941
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 4,319	\$ 0	\$ 0					\$ 4,319	\$ 308
Due From Other Courts	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 279,443					\$ 279,443	\$ 409,833
Trust Due To/From									
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 26,459							\$ 26,459	\$ 65,425
<b>Total Receivables</b>	<b>\$ 39,923</b>	<b>\$ 0</b>	<b>\$ 279,443</b>				<b>\$ 9,341</b>	<b>\$ 328,707</b>	<b>\$ 494,608</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 13,398,110</b>	<b>\$ 1,613,975</b>	<b>\$ (110,468)</b>				<b>\$ 11,348,358</b>	<b>\$ 26,249,976</b>	<b>\$ 27,377,277</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 66,732	\$ 0	\$ 0				\$ 0	\$ 66,732	\$ 29,836
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 26,459	\$ 26,459	\$ 65,425
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 7,013,922	\$ 7,013,922	\$ 8,284,665
Due to Other Public Agencies									
Sales and Use Tax	\$ 3,174	\$ 0	\$ 0					\$ 3,174	\$ 393
Interest							\$ 7,724	\$ 7,724	\$ 2,873
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 69,905</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 7,048,106</b>	<b>\$ 7,118,011</b>	<b>\$ 8,383,192</b>
Civil							\$ 2,778,824	\$ 2,778,824	\$ 3,327,998
Criminal							\$ 739,337	\$ 739,337	\$ 309,807
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 33,219
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 50,135	\$ 50,135	\$ 48,700
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 3,568,296</b>	<b>\$ 3,568,296</b>	<b>\$ 3,719,724</b>
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 77,363	\$ 782						\$ 78,145	\$ 35,956
Deferred Compensation Payable	\$ 46,404							\$ 46,404	\$ (1,025)
Deductions Payable	\$ 956,326	\$ 5,982						\$ 962,308	\$ 961,922
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 1,080,093</b>	<b>\$ 6,764</b>						<b>\$ 1,086,856</b>	<b>\$ 996,853</b>
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 194,777	\$ 1,041	\$ 1,761				\$ 727,315	\$ 924,893	\$ 836,975
Jury Fees - Non-Interest							\$ 4,200	\$ 4,200	\$ 4,200
Fees - Partial Payment & Overpayment							\$ 443	\$ 443	\$ 2,812
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 194,777</b>	<b>\$ 1,041</b>	<b>\$ 1,761</b>				<b>\$ 731,957</b>	<b>\$ 929,536</b>	<b>\$ 843,987</b>
<b>Total Liabilities</b>	<b>\$ 1,344,774</b>	<b>\$ 7,804</b>	<b>\$ 1,761</b>				<b>\$ 11,348,358</b>	<b>\$ 12,702,698</b>	<b>\$ 13,943,756</b>
<b>Total Fund Balance</b>	<b>\$ 12,053,335</b>	<b>\$ 1,606,171</b>	<b>\$ (112,229)</b>					<b>\$ 13,547,277</b>	<b>\$ 13,433,521</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 13,398,110</b>	<b>\$ 1,613,975</b>	<b>\$ (110,468)</b>				<b>\$ 11,348,358</b>	<b>\$ 26,249,976</b>	<b>\$ 27,377,277</b>

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2017/18										2016/17	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 20,397,380	\$ 29,048					\$ 20,426,428	\$ 41,694,181	\$ 19,352,150	\$ 40,972,072	
Improvement and Modernization Fund	\$ (31,336)						\$ (31,336)	\$ 138,461	\$ 0	\$ 138,461	
Judges' Compensation (0150019)	\$ 90,249						\$ 90,249	\$ 351,509	\$ 90,249	\$ 357,382	
Court Interpreter (0150037)	\$ 427,019						\$ 427,019	\$ 2,379,192	\$ 292,963	\$ 2,174,305	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 669,591						\$ 669,591	\$ 3,212,634	\$ 515,449	\$ 3,449,361	
Other Miscellaneous								\$ 1,396,191		\$ 1,396,191	
	\$ 21,552,902	\$ 29,048					\$ 21,581,950	\$ 49,172,168	\$ 20,250,812	\$ 48,487,772	
Grants											
AB 1058 Commissioner/Facilitator								\$ 1,343,518	\$ 121,788	\$ 1,377,106	
Other Judicial Council Grants			\$ (5,427)				\$ (5,427)	\$ 1,000,007	\$ (84,821)	\$ 497,520	
Non-Judicial Council Grants											
			\$ (5,427)				\$ (5,427)	\$ 2,343,525	\$ 36,967	\$ 1,874,626	
Other Financing Sources											
Interest Income	\$ 13,637	\$ 266					\$ 13,903	\$ 95,386	\$ 6,045	\$ 55,386	
Investment Income											
Donations									\$ 3,000		
Local Fees	\$ 64,109	\$ 32,055					\$ 96,164	\$ 1,338,792	\$ 132,165	\$ 1,480,792	
Non-Fee Revenues	\$ 0	\$ 5,146					\$ 5,146	\$ 179,980	\$ 14,905	\$ 338,835	
Enhanced Collections		\$ 4,193					\$ 4,193	\$ 3,010,353	\$ 7,449	\$ 2,935,692	
Escheatment								\$ 160,000		\$ 200,000	
Prior Year Revenue											
County Program - Restricted	\$ 14,221	\$ 833					\$ 15,053	\$ 547,565	\$ (68,888)	\$ 453,625	
Reimbursement Other	\$ 18,501						\$ 18,501	\$ 114,527	\$ 20,066	\$ 114,527	
Sale of Fixed Assets											
Other Miscellaneous	\$ 2,475						\$ 2,475	\$ 2,270	\$ 6,964	\$ 2,270	
	\$ 112,943	\$ 42,492					\$ 155,435	\$ 5,448,873	\$ 121,706	\$ 5,581,127	
Total Revenues	\$ 21,665,845	\$ 71,540	\$ (5,427)				\$ 21,731,958	\$ 56,964,566	\$ 20,409,484	\$ 55,943,525	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,517,916	\$ 28,656	\$ 51,957				\$ 5,598,529	\$ 24,468,335	\$ 5,506,478	\$ 24,865,058	
Temp Help	\$ 51,492		\$ 2,804				\$ 54,296		\$ 54,171		
Overtime	\$ 48,092						\$ 48,092	\$ 225,000	\$ 57,327	\$ 275,000	
Staff Benefits	\$ 3,524,763	\$ 16,457	\$ 25,688				\$ 3,566,909	\$ 17,658,281	\$ 3,540,796	\$ 16,864,021	
	\$ 9,142,264	\$ 45,113	\$ 80,449				\$ 9,267,827	\$ 42,351,616	\$ 9,158,773	\$ 42,004,079	
Operating Expenses and Equipment											
General Expense	\$ 212,991	\$ 1,944	\$ 4,892				\$ 219,827	\$ 969,311	\$ 257,488	\$ 1,434,056	
Printing	\$ 10,918	\$ 7,363	\$ 389				\$ 18,670	\$ 238,977	\$ 36,455	\$ 236,408	
Telecommunications	\$ 5,508	\$ 84					\$ 5,592	\$ 418,193	\$ 1,642	\$ 406,000	
Postage	\$ (6,835)						\$ (6,835)	\$ 254,696	\$ 11,666	\$ 254,696	
Insurance								\$ 20,275		\$ 31,546	
In-State Travel	\$ 9,144		\$ 1,954				\$ 11,098	\$ 44,750	\$ 10,956	\$ 33,550	
Out-of-State Travel	\$ 351						\$ 351		\$ 1,069		
Training	\$ 1,420		\$ 650				\$ 2,070	\$ 32,551	\$ 3,957	\$ 8,712	
Security Services	\$ 2,784						\$ 2,784	\$ 6,000	\$ 2,614	\$ 1,504	
Facility Operations	\$ 150,122						\$ 150,122	\$ 692,852	\$ 72,241	\$ 621,708	
Utilities	\$ 0						\$ 0	\$ 15,000	\$ 2,607	\$ 22,800	
Contracted Services	\$ 1,117,322	\$ 545,055	\$ 3,336				\$ 1,665,713	\$ 8,818,839	\$ 1,356,635	\$ 8,728,649	
Consulting and Professional Services	\$ 81,154	\$ 0					\$ 81,154	\$ 1,757,722	\$ 3,110	\$ 1,773,628	
Information Technology	\$ 109,702	\$ 29,355					\$ 139,057	\$ 1,145,741	\$ 66,051	\$ 1,194,250	
Major Equipment	\$ 29,683						\$ 29,683	\$ 64,920	\$ 8,532	\$ 276,175	
Other Items of Expense	\$ (10,011)						\$ (10,011)	\$ 36,500	\$ 3,147	\$ 50,500	
	\$ 1,714,253	\$ 583,801	\$ 11,221				\$ 2,309,275	\$ 14,516,327	\$ 1,838,170	\$ 15,074,182	
Special Items of Expense											
Grand Jury										\$ 2,200	
Jury Costs	\$ 153,600						\$ 153,600	\$ 700,000	\$ 117,534	\$ 700,000	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 400,000	\$ 0	\$ 250,000	
Capital Costs											
Internal Cost Recovery	\$ (24,777)	\$ 9,645	\$ 15,132				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 128,824	\$ 9,645	\$ 15,132				\$ 153,600	\$ 1,100,000	\$ 117,534	\$ 952,200	
Total Expenditures	\$ 10,985,341	\$ 638,559	\$ 106,802				\$ 11,730,702	\$ 57,967,943	\$ 11,114,476	\$ 58,030,461	
Excess (Deficit) of Revenues Over Expenditures	\$ 10,680,504	\$ (567,019)	\$ (112,229)				\$ 10,001,256	\$ (1,003,377)	\$ 9,295,008	\$ (2,086,936)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,372,831	\$ 2,173,190	\$ 0				\$ 3,546,021	\$ 3,546,021	\$ 4,138,513	\$ 4,138,513	
Ending Balance (Deficit)	\$ 12,053,335	\$ 1,606,171	\$ (112,229)				\$ 13,547,277	\$ 2,542,644	\$ 13,433,521	\$ 2,051,577	

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 2,915,084	\$ 199,883					\$ 3,114,967	\$ 12,780,153	\$ 3,118,228	\$ 14,584,699
Traffic & Other Infractions	\$ 239,037	\$ 45,928					\$ 284,966	\$ 1,523,528	\$ 353,912	\$ 1,838,042
Other Criminal Cases	\$ 562,400	\$ 20,981					\$ 583,381	\$ 3,011,626	\$ 596,497	\$ 2,521,882
Civil	\$ 832,940	\$ 23,936					\$ 856,876	\$ 4,024,447	\$ 793,835	\$ 3,718,408
Family & Children Services	\$ 1,085,383	\$ 7,646			\$ 15,132		\$ 1,108,160	\$ 5,625,228	\$ 1,231,658	\$ 5,719,144
Probate, Guardianship & Mental Health Services	\$ 293,020	\$ 1,908					\$ 294,928	\$ 1,219,810	\$ 323,450	\$ 1,275,702
Juvenile Dependency Services	\$ 7,589	\$ 603,677					\$ 611,266	\$ 2,381,610	\$ 690,679	\$ 2,755,337
Juvenile Delinquency Services	\$ 8,659						\$ 8,659			
Other Court Operations	\$ 1,077,641	\$ 9,348					\$ 1,086,988	\$ 4,454,772	\$ 805,759	\$ 4,089,579
Court Interpreters	\$ 317,221	\$ 162,440					\$ 479,661	\$ 2,413,200	\$ 477,233	\$ 2,206,107
Jury Services	\$ 95,495	\$ 25,147	\$ 153,600				\$ 274,242	\$ 1,554,142	\$ 277,582	\$ 1,454,800
Security		\$ 30,503					\$ 30,503	\$ 142,687	\$ 44,269	\$ 278,427
Trial Court Operations Program	\$ 7,434,468	\$ 1,131,396	\$ 153,600		\$ 15,132		\$ 8,734,596	\$ 39,131,203	\$ 8,713,100	\$ 40,442,127
Enhanced Collections	\$ 60,212	\$ 583,634			\$ 12,879		\$ 656,725	\$ 3,975,852	\$ 299,451	\$ 2,953,639
Other Non-Court Operations		\$ 38,455					\$ 38,455	\$ 120,000	\$ 30,362	
Non-Court Operations Program	\$ 60,212	\$ 622,088			\$ 12,879		\$ 695,179	\$ 4,095,852	\$ 329,814	\$ 2,953,639
Executive Office	\$ 208,892	\$ 343			\$ (2,712)		\$ 206,524	\$ 923,076	\$ 201,118	\$ 1,061,617
Fiscal Services	\$ 554,862	\$ 59,509			\$ (7,167)		\$ 607,204	\$ 1,616,418	\$ 648,417	\$ 3,717,438
Human Resources	\$ 517,432	\$ 31,096			\$ (9,811)		\$ 538,717	\$ 5,334,003	\$ 504,599	\$ 2,998,822
Business & Facilities Services	\$ 122,240	\$ 248,793			\$ (4,450)		\$ 366,583	\$ 2,114,740	\$ 312,913	\$ 1,761,516
Information Technology	\$ 369,720	\$ 216,049			\$ (3,871)		\$ 581,899	\$ 4,752,651	\$ 404,516	\$ 5,095,302
Court Administration Program	\$ 1,773,146	\$ 555,790			\$ (28,011)		\$ 2,300,926	\$ 14,740,888	\$ 2,071,563	\$ 14,634,695
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 9,267,827	\$ 2,309,275	\$ 153,600		\$ 0		\$ 11,730,702	\$ 57,967,943	\$ 11,114,476	\$ 58,030,461