

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2017/18									2016/17
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (290,917)	\$ 230,922	\$ (6,130)	\$ 272			\$ 17,943	\$ (47,911)	\$ 164,339
Payroll	\$ (5,823)	\$ 0						\$ (5,823)	\$ (902)
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 2,486,010	\$ 2,486,010	\$ 2,811,383
Cash Equivalents	\$ 3,928,473						\$ 224,696	\$ 4,153,169	\$ 3,604,613
Total Cash and Cash Equivalents	\$ 3,647,410	\$ 230,922	\$ (6,130)	\$ 272			\$ 2,728,649	\$ 6,601,122	\$ 6,595,110
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 1,939					\$ 1,939	\$ 17,484
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 0	\$ 0	\$ 1,939	\$ 0			\$ 0	\$ 1,939	\$ 17,484
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,647,410	\$ 230,922	\$ (4,191)	\$ 272			\$ 2,728,649	\$ 6,603,061	\$ 6,612,594
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 12,926	\$ 1,008	\$ 262	\$ 0			\$ 0	\$ 14,197	\$ 8,697
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 96,273							\$ 96,273	\$ 0
TC145 Liability							\$ 242,528	\$ 242,528	\$ 254,593
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 110	\$ 110	\$ 3
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 109,199	\$ 1,008	\$ 262	\$ 0			\$ 242,638	\$ 353,109	\$ 263,292
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 2,486,010	\$ 2,486,010	\$ 2,811,383
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 2,486,010	\$ 2,486,010	\$ 2,811,383
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 27,592							\$ 27,592	\$ 30,695
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (2,914)							\$ (2,914)	\$ (2,914)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 24,677	\$ 0	\$ 0					\$ 24,677	\$ 27,781
Revenue Collected in Advance	\$ 438,438		\$ 0					\$ 438,438	\$ 439,388
Liabilities For Deposits	\$ 36,174							\$ 36,174	\$ 26,557
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 474,612		\$ 0					\$ 474,612	\$ 465,945
Total Liabilities	\$ 608,488	\$ 1,008	\$ 262	\$ 0			\$ 2,728,649	\$ 3,338,407	\$ 3,568,402
Total Fund Balance	\$ 3,038,922	\$ 229,914	\$ (4,453)	\$ 272				\$ 3,264,654	\$ 3,044,191
Total Liabilities and Fund Balance	\$ 3,647,410	\$ 230,922	\$ (4,191)	\$ 272			\$ 2,728,649	\$ 6,603,061	\$ 6,612,594

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2017/18										2016/17	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 4,935,789	\$ 5,064					\$ 4,940,853	\$ 10,369,041	\$ 4,792,175	\$ 10,268,224	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 25,603	\$ 18,989	\$ 22,000	
Judges' Compensation (0150019)	\$ 20,625						\$ 20,625	\$ 82,500	\$ 20,625	\$ 82,500	
Court Interpreter (0150037)	\$ 98,485						\$ 98,485	\$ 706,678	\$ 126,270	\$ 727,738	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 76,174						\$ 76,174	\$ 817,543	\$ 53,534	\$ 622,477	
Other Miscellaneous								\$ 210,077		\$ 210,077	
	\$ 5,131,073	\$ 5,064					\$ 5,136,137	\$ 12,211,442	\$ 5,011,593	\$ 11,933,016	
Grants											
AB 1058 Commissioner/Facilitator			\$ (13,261)				\$ (13,261)	\$ 316,327	\$ (388)	\$ 351,811	
Other Judicial Council Grants			\$ 97,421				\$ 97,421	\$ 94,262	\$ 16,694	\$ 27,038	
Non-Judicial Council Grants			\$ 501				\$ 501				
			\$ 84,661				\$ 84,661	\$ 410,589	\$ 16,306	\$ 378,849	
Other Financing Sources											
Interest Income	\$ 508	\$ 318					\$ 826	\$ 7,000	\$ 298	\$ 5,500	
Investment Income										\$ 100	
Donations											
Local Fees	\$ (8,959)	\$ (4,202)					\$ (13,161)	\$ 113,000	\$ 5,961	\$ 211,600	
Non-Fee Revenues	\$ 22,568						\$ 22,568	\$ 150,200	\$ 7,269	\$ 170,000	
Enhanced Collections		\$ (102,017)					\$ (102,017)	\$ 865,266	\$ 32,268	\$ 809,319	
Escheatment											
Prior Year Revenue											
County Program - Restricted								\$ 13,200	\$ 0		
Reimbursement Other											
Sale of Fixed Assets											
Other Miscellaneous	\$ 0						\$ 0		\$ 62	\$ 1,250	
	\$ 14,117	\$ (105,901)					\$ (91,784)	\$ 1,148,666	\$ 45,857	\$ 1,197,769	
Total Revenues	\$ 5,145,190	\$ (100,837)	\$ 84,661				\$ 5,129,014	\$ 13,770,697	\$ 5,073,756	\$ 13,509,634	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,136,887	\$ 67,702	\$ 49,167				\$ 1,253,756	\$ 6,233,927	\$ 1,235,979	\$ 5,911,118	
Temp Help											
Overtime	\$ 640						\$ 640		\$ (2,252)		
Staff Benefits	\$ 742,863	\$ 39,295	\$ 28,559				\$ 810,718	\$ 3,666,378	\$ 808,127	\$ 3,768,278	
	\$ 1,880,391	\$ 106,997	\$ 77,726				\$ 2,065,114	\$ 9,900,305	\$ 2,041,854	\$ 9,679,396	
Operating Expenses and Equipment											
General Expense	\$ 180,266	\$ 681	\$ 226				\$ 181,173	\$ 336,493	\$ 40,668	\$ 175,790	
Printing	\$ (759)	\$ 372					\$ (388)	\$ 24,500	\$ 2,856	\$ 19,400	
Telecommunications	\$ 12,432						\$ 12,432	\$ 48,100	\$ 13,915	\$ 52,075	
Postage	\$ 12,441	\$ 8,054					\$ 20,495	\$ 190,200	\$ 41,180	\$ 127,640	
Insurance	\$ 3,813						\$ 3,813	\$ 4,600	\$ 4,240	\$ 5,000	
In-State Travel	\$ 59		\$ 984				\$ 1,043	\$ 10,000	\$ 2,215	\$ 6,390	
Out-of-State Travel											
Training	\$ 1,060		\$ 590				\$ 1,650	\$ 7,000	\$ 3,658	\$ 2,225	
Security Services	\$ 45,777		\$ 1,881				\$ 47,658	\$ 322,400	\$ 76,967	\$ 291,400	
Facility Operations	\$ 20,246						\$ 20,246	\$ 149,400	\$ 33,913	\$ 131,900	
Utilities											
Contracted Services	\$ 328,256	\$ 34,248	\$ 7,707				\$ 370,211	\$ 2,050,065	\$ 316,788	\$ 1,798,197	
Consulting and Professional Services	\$ 595						\$ 595	\$ 10,000	\$ 2,645	\$ 10,000	
Information Technology	\$ 124,285						\$ 124,285	\$ 518,063	\$ 44,097	\$ 130,227	
Major Equipment											
Other Items of Expense	\$ 109						\$ 109	\$ 750	\$ 91	\$ 1,150	
	\$ 728,579	\$ 43,356	\$ 11,388				\$ 783,322	\$ 3,671,571	\$ 583,233	\$ 2,751,394	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 26,188						\$ 26,188	\$ 141,150	\$ 36,991	\$ 154,200	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 235,000	\$ 0	\$ 235,000	
Capital Costs											
Internal Cost Recovery								\$ 0		\$ 0	
Prior Year Expense Adjustment											
	\$ 26,188						\$ 26,188	\$ 376,150	\$ 36,991	\$ 389,200	
Total Expenditures	\$ 2,635,158	\$ 150,353	\$ 89,114				\$ 2,874,624	\$ 13,948,026	\$ 2,662,078	\$ 12,819,990	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,510,033	\$ (251,190)	\$ (4,453)				\$ 2,254,390	\$ (177,329)	\$ 2,411,678	\$ 689,644	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 528,889	\$ 481,104	\$ 0	\$ 272			\$ 1,010,264	\$ 1,010,264	\$ 632,514	\$ 632,514	
Ending Balance (Deficit)	\$ 3,038,922	\$ 229,914	\$ (4,453)	\$ 272			\$ 3,264,654	\$ 832,935	\$ 3,044,191	\$ 1,322,158	

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 729,951	\$ 72,918					\$ 802,869	\$ 3,928,065	\$ 820,692	\$ 4,063,613
Traffic & Other Infractions	\$ 110,287	\$ (1,457)					\$ 108,830	\$ 635,419	\$ 119,040	\$ 600,668
Other Criminal Cases	\$ 138,468	\$ 4,386					\$ 142,854	\$ 764,933	\$ 116,694	\$ 626,015
Civil	\$ 70,660	\$ 4,587					\$ 75,247	\$ 361,966	\$ 58,862	\$ 353,171
Family & Children Services	\$ 147,946	\$ 19,119					\$ 167,066	\$ 601,666	\$ 154,806	\$ 626,302
Probate, Guardianship & Mental Health Services	\$ 19,477	\$ 0					\$ 19,477	\$ 167,687	\$ 38,136	\$ 176,428
Juvenile Dependency Services	\$ 4,272	\$ 99,867					\$ 104,139	\$ 651,206	\$ 74,288	\$ 453,900
Juvenile Delinquency Services	\$ 9,211						\$ 9,211	\$ 43,127	\$ 4,703	\$ 23,206
Other Court Operations	\$ 112,739	\$ 1,245					\$ 113,984	\$ 636,888	\$ 104,245	\$ 588,110
Court Interpreters	\$ 28,213	\$ 125,943					\$ 154,156	\$ 706,678	\$ 164,346	\$ 727,738
Jury Services	\$ 34,004	\$ 7,271	\$ 26,188				\$ 67,464	\$ 367,311	\$ 91,079	\$ 369,840
Security		\$ 49,088					\$ 49,088	\$ 312,900	\$ 77,563	\$ 285,200
Trial Court Operations Program	\$ 1,405,229	\$ 382,968	\$ 26,188				\$ 1,814,385	\$ 9,177,846	\$ 1,824,454	\$ 8,894,191
Enhanced Collections	\$ 106,492	\$ 43,356					\$ 149,848	\$ 865,266	\$ 131,540	\$ 809,319
Other Non-Court Operations	\$ 19,177	\$ 226					\$ 19,403	\$ 96,896	\$ 19,230	\$ 97,113
Non-Court Operations Program	\$ 125,669	\$ 43,582					\$ 169,251	\$ 962,162	\$ 150,770	\$ 906,432
Executive Office	\$ 255,783	\$ 1,847					\$ 257,631	\$ 1,392,578	\$ 263,357	\$ 1,186,089
Fiscal Services	\$ 93,475	\$ 26,160					\$ 119,635	\$ 471,145	\$ 101,461	\$ 475,141
Human Resources	\$ 79,340	\$ 7,777					\$ 87,117	\$ 438,081	\$ 105,415	\$ 425,606
Business & Facilities Services		\$ 41,823					\$ 41,823	\$ 257,930	\$ 76,063	\$ 235,185
Information Technology	\$ 105,617	\$ 279,165					\$ 384,782	\$ 1,248,284	\$ 140,557	\$ 697,346
Court Administration Program	\$ 534,215	\$ 356,773					\$ 890,988	\$ 3,808,018	\$ 686,854	\$ 3,019,367
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,065,114	\$ 783,322	\$ 26,188				\$ 2,874,624	\$ 13,948,026	\$ 2,662,078	\$ 12,819,990