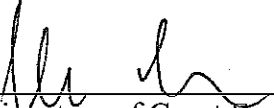


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive

February 8, 2018
Date

SANTA CRUZ SUPERIOR COURT
Court

2017-18 Quarter 2
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT

Court

2017-18 Quarter 2

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SANTA CRUZ SUPERIOR COURT

Court

2017-18 Quarter 2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		112.9	115.7		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2017/18									
	Governmental Funds						Total Funds (Info. Purposes Only)	2016/17 Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (943,152)	\$ 538,731	\$ 474,903	\$ 306			\$ 12,158	\$ 82,946	\$ 26,363
Payroll	\$ (3,549)						\$ 0	\$ (3,549)	\$ (3,592)
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 4,431	\$ 4,431	\$ (42,301)
Civil Filing Fees							\$ 3,717	\$ 3,717	\$ (21,956)
Trust	\$ 84						\$ (76,937)	\$ (76,853)	\$ (328,715)
Credit Card								\$ 3,350	\$ 3,350
Cash on Hand	\$ 3,350							\$ 601,867	\$ 1,320,214
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 4,859,914						\$ 715,226	\$ 5,575,140	\$ 4,749,830
Total Cash and Cash Equivalents	\$ 3,921,648	\$ 538,731	\$ 474,903	\$ 306			\$ 1,260,461	\$ 6,196,048	\$ 5,708,193
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1,407
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 7	\$ 0					\$ 11,944	\$ 11,950	\$ (14,484)
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 83,765					\$ 83,765	\$ 118,115
Trust Due To/From							\$ 21,537	\$ 21,537	
Distribution Due To/From							\$ 0	\$ 0	
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 3,026							\$ 3,026	\$ 72
Total Receivables	\$ 3,032	\$ 0	\$ 83,765	\$ 0			\$ 33,480	\$ 120,278	\$ 105,109
Prepaid Expenses - General Salary and Travel Advances Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 3,924,680	\$ 538,731	\$ 558,668	\$ 306			\$ 1,293,941	\$ 6,316,326	\$ 5,813,302
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 55,316	\$ 0	\$ 0	\$ 0			\$ 0	\$ 55,316	\$ 94,006
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0			\$ 24,562	\$ 24,562	\$ 72
Due to Other Courts									\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 332,226	\$ 332,226	\$ 311,087
Due to Other Governments	\$ 0		\$ 0	\$ 0				\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 24,611	\$ 24,611	
Due to Other Public Agencies									
Sales and Use Tax	\$ 712							\$ 712	\$ 413
Interest							\$ 638	\$ 638	\$ 206
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 56,028	\$ 0	\$ 0	\$ 0			\$ 382,037	\$ 438,065	\$ 405,784
Civil Criminal							\$ 244,259	\$ 244,259	\$ 136,982
Unreconciled - Civil and Criminal							\$ 39,529	\$ 39,529	\$ 0
Trust Held Outside of the JCC							\$ 601,867	\$ 601,867	\$ 1,320,214
Trust Interest Payable							\$ 1,750	\$ 1,750	\$ 657
Miscellaneous Trust									
Total Trust Deposits							\$ 887,405	\$ 887,405	\$ 1,457,853
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 153,582							\$ 153,582	\$ (148)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 414,138							\$ 414,138	\$ 1,748
Payroll Clearing	\$ (5)							\$ (5)	\$ (3)
Total Payroll Liabilities	\$ 567,716	\$ 0	\$ 0					\$ 567,716	\$ 1,598
Revenue Collected in Advance			\$ 549,999					\$ 549,999	\$ 319,595
Liabilities For Deposits	\$ 24,527						\$ 23,336	\$ 47,863	\$ 37,450
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 1,163	\$ 1,163	\$ 2,343
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 24,527		\$ 549,999				\$ 24,499	\$ 599,025	\$ 359,387
Total Liabilities	\$ 648,271	\$ 0	\$ 549,999	\$ 0			\$ 1,293,941	\$ 2,492,211	\$ 2,224,621
Total Fund Balance	\$ 3,276,409	\$ 538,731	\$ 8,669	\$ 306				\$ 3,824,115	\$ 3,588,681
Total Liabilities and Fund Balance	\$ 3,924,680	\$ 538,731	\$ 558,668	\$ 306			\$ 1,293,941	\$ 6,316,326	\$ 5,813,302

**Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended December											
	Fiscal Year 2017/18								2016/17		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 7,789,979		\$ 37,986					\$ 7,827,965	\$ 12,093,210	\$ 8,137,864	\$ 12,270,911
Improvement and Modernization Fund	\$ 0							\$ 0	\$ 35,289		\$ 35,289
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 291,609							\$ 291,609	\$ 750,000	\$ 346,523	\$ 884,000
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 96,687							\$ 96,687	\$ 174,175	\$ 96,931	\$ 183,940
Other Miscellaneous	\$ 203,558							\$ 203,558	\$ 203,558	\$ 203,558	\$ 203,558
	\$ 8,381,833		\$ 37,986					\$ 8,419,819	\$ 13,256,232	\$ 8,784,876	\$ 13,577,698
Grants											
AB 1058 Commissioner/Facilitator								\$ 146,360	\$ 326,361	\$ 125,419	\$ 334,788
Other Judicial Council Grants								\$ 142,021	\$ 492,289	\$ 135,570	\$ 522,194
Non-Judicial Council Grants											
			\$ 288,381					\$ 288,381	\$ 818,650	\$ 260,990	\$ 856,982
Other Financing Sources											
Interest Income	\$ 9,508		\$ 2,561			\$ 306		\$ 12,375	\$ 20,000	\$ 4,178	\$ 9,500
Investment Income											
Donations											
Local Fees	\$ 31,300		\$ 8,228					\$ 39,528	\$ 167,450	\$ 46,810	\$ 190,150
Non-Fee Revenues											
Enhanced Collections			\$ 87,102					\$ 87,102	\$ 239,013	\$ 73,702	\$ 228,000
Escheatment											
Prior Year Revenue											
County Program - Restricted			\$ 1,791					\$ 1,791	\$ 40,000	\$ 4,640	\$ 42,000
Reimbursement Other	\$ 43,045							\$ 43,045	\$ 111,506	\$ (1,441)	\$ 142,500
Sale of Fixed Assets											
Other Miscellaneous	\$ 5,986							\$ 5,986	\$ 23,300	\$ 9,629	\$ 9,600
	\$ 89,838		\$ 99,682			\$ 306		\$ 189,827	\$ 601,269	\$ 137,517	\$ 621,750
Total Revenues	\$ 8,471,672		\$ 137,668			\$ 306		\$ 8,898,027	\$ 14,676,151	\$ 9,183,383	\$ 15,056,430
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 3,486,819		\$ 47,796			\$ 169,690		\$ 3,704,305	\$ 8,296,222	\$ 3,725,120	\$ 7,983,913
Temp Help	\$ 11,609							\$ 11,609	\$ 41,496	\$ 7,354	
Overtime	\$ 4,700							\$ 4,700	\$ 13,266	\$ 13,266	\$ 25,000
Staff Benefits	\$ 1,928,815		\$ 32,847			\$ 85,861		\$ 2,047,522	\$ 4,806,734	\$ 2,069,064	\$ 4,730,993
	\$ 5,431,943		\$ 80,643			\$ 255,551		\$ 5,768,136	\$ 13,144,452	\$ 5,814,804	\$ 12,739,906
Operating Expenses and Equipment											
General Expense	\$ 174,626					\$ 1,269		\$ 175,895	\$ 224,845	\$ 69,779	\$ 193,144
Printing	\$ 38,194							\$ 38,194	\$ 73,650	\$ 28,810	\$ 76,300
Telecommunications	\$ 35,292							\$ 35,292	\$ 78,625	\$ 26,539	\$ 107,900
Postage	\$ 2,546		\$ 5,774					\$ 8,320	\$ 51,100	\$ 16,341	\$ 70,500
Insurance	\$ 210							\$ 210	\$ 5,000	\$ 234	
In-State Travel	\$ 5,259					\$ 4,753		\$ 10,011	\$ 29,400	\$ 8,141	\$ 40,900
Out-of-State Travel									\$ 5,550	\$ 571	\$ 2,000
Training	\$ 1,434					\$ 1,785		\$ 3,219	\$ 6,250	\$ 3,265	\$ 6,000
Security Services									\$ 300	\$ 225	\$ 925
Facility Operations	\$ 178,505					\$ 1,070	\$ 0	\$ 179,575	\$ 436,648	\$ (30,259)	\$ 484,951
Utilities											
Contracted Services	\$ 130,831		\$ 11,667			\$ (12,796)		\$ 129,702	\$ 430,028	\$ 265,787	\$ 582,980
Consulting and Professional Services	\$ 2,855							\$ 2,855	\$ 8,000	\$ 3,370	\$ 12,000
Information Technology	\$ (521,203)					\$ 2,669		\$ (518,533)	\$ 275,100	\$ (323,615)	\$ 334,574
Major Equipment									\$ 12,800	\$ 11,640	\$ 10,000
Other Items of Expense	\$ 515							\$ 515	\$ 1,850	\$ 585	\$ 1,850
	\$ 49,065		\$ 17,440			\$ (1,250)	\$ 0	\$ 65,255	\$ 1,639,146	\$ 81,412	\$ 1,924,024
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 38,100							\$ 38,100	\$ 103,200	\$ 52,179	\$ 112,500
Judgements, Settlements and Claims											
Debt Service											
Other											\$ 280,000
Capital Costs											
Internal Cost Recovery	\$ (39,253)		\$ 13,842			\$ 25,411		\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment											
	\$ (1,153)		\$ 13,842			\$ 25,411		\$ 38,100	\$ 103,200	\$ 52,179	\$ 392,500
Total Expenditures	\$ 5,479,854		\$ 111,924			\$ 279,713	\$ 0	\$ 5,871,491	\$ 14,886,798	\$ 5,948,396	\$ 15,056,430
Excess (Deficit) of Revenues Over Expenditures	\$ 2,991,817		\$ 25,744			\$ 8,669	\$ 306	\$ 3,026,536	\$ (210,647)	\$ 3,234,988	\$ 0
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 284,592		\$ 512,987			\$ 0	\$ 0	\$ 797,579	\$ 797,579	\$ 353,694	\$ 353,694
Ending Balance (Deficit)	\$ 3,276,409		\$ 538,731			\$ 8,669	\$ 306	\$ 3,824,115	\$ 586,932	\$ 3,588,681	\$ 353,694

**Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended December										
Fiscal Year 2017/18								2016/17		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,980,885	\$ 100,090					\$ 2,080,975	\$ 3,735,946	\$ 2,145,730	\$ 3,807,349
Traffic & Other Infractions	\$ 306,277	\$ 3,564					\$ 309,841	\$ 563,930	\$ 326,725	\$ 543,037
Other Criminal Cases	\$ 213,040	\$ 49,701					\$ 262,741	\$ 1,351,998	\$ 302,824	\$ 1,123,142
Civil	\$ 524,920	\$ 14,526					\$ 539,447	\$ 1,084,223	\$ 511,084	\$ 1,272,760
Family & Children Services	\$ 276,600	\$ 323			\$ 25,411		\$ 302,334	\$ 707,156	\$ 285,717	\$ 455,280
Probate, Guardianship & Mental Health Services	\$ 157,308	\$ 170					\$ 157,478	\$ 546,360	\$ 170,617	\$ 373,628
Juvenile Dependency Services	\$ 71,697	\$ 15					\$ 71,711	\$ 228,938	\$ 100,352	\$ 232,967
Juvenile Delinquency Services		\$ 740					\$ 740	\$ 2,900	\$ 690	\$ 1,400
Other Court Operations	\$ 368,244	\$ 19,344					\$ 387,588	\$ 1,238,662	\$ 341,683	\$ 994,993
Court Interpreters	\$ 328,167	\$ 21,730					\$ 349,897	\$ 860,255	\$ 346,924	\$ 872,506
Jury Services	\$ 74,866	\$ 36,335	\$ 38,100				\$ 149,301	\$ 463,683	\$ 161,538	\$ 350,420
Security		\$ 11,200					\$ 11,200	\$ 3,100	\$ 3,025	\$ 425
Trial Court Operations Program	\$ 4,302,005	\$ 257,738	\$ 38,100		\$ 25,411		\$ 4,623,254	\$ 10,787,151	\$ 4,696,909	\$ 10,027,907
Enhanced Collections	\$ 91,656	\$ 5,774			\$ 13,842		\$ 111,271	\$ 239,144	\$ 142,950	\$ 228,000
Other Non-Court Operations	\$ 286,213	\$ 78,733					\$ 364,946	\$ 1,130,519	\$ 301,777	\$ 1,131,495
Non-Court Operations Program	\$ 377,869	\$ 84,507			\$ 13,842		\$ 476,217	\$ 1,369,663	\$ 444,727	\$ 1,359,495
Executive Office	\$ 149,049	\$ (16,390)					\$ 132,659	\$ 103,756	\$ 156,863	\$ 306,020
Fiscal Services	\$ 302,273	\$ 34,519			\$ (39,253)		\$ 297,539	\$ 755,156	\$ 305,568	\$ 858,836
Human Resources	\$ 95,081	\$ 5,611					\$ 100,692	\$ 123,773	\$ 154,011	\$ 703,645
Business & Facilities Services	\$ 88,797	\$ 169,381					\$ 258,178	\$ 401,448	\$ 149,166	\$ 419,375
Information Technology	\$ 453,062	\$ (470,109)					\$ (17,047)	\$ 1,345,851	\$ 41,152	\$ 1,381,152
Court Administration Program	\$ 1,088,263	\$ (276,989)			\$ (39,253)		\$ 772,020	\$ 2,729,984	\$ 806,759	\$ 3,669,028
Expenditures Not Distributed or Posted to a Program								\$ 0		
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,768,136	\$ 65,255	\$ 38,100		\$ 0		\$ 5,871,491	\$ 14,886,798	\$ 5,948,396	\$ 15,056,430