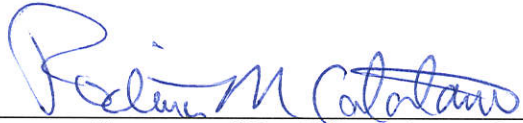


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

San Mateo

\_\_\_\_\_  
Court

2017-2018 Qtr 4

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

San Mateo  


---

Court  
2017-2018 Qtr 4  


---

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	286.75	268.5	272.5	269	272.5

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2017/18									2016/17
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (1,093,718)	\$ 1,342,782	\$ 87,256			\$ 0	\$ 232,770	\$ 569,090	\$ 429,343
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 304,146	\$ 304,146	\$ 307,066
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (2,834,547)	\$ (2,834,547)	\$ (3,011,702)
Credit Card									
Cash on Hand	\$ 6,130							\$ 6,130	\$ 5,630
Cash with County	\$ 1,450,000						\$ 0	\$ 1,450,000	\$ 1,362,320
Cash Outside of the JCC									
Cash Equivalents	\$ 586,382						\$ 18,421,103	\$ 19,007,485	\$ 33,656,135
Total Cash and Cash Equivalents	\$ 948,794	\$ 1,342,782	\$ 87,256			\$ 0	\$ 16,123,473	\$ 18,502,304	\$ 32,748,793
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 4,058	\$ 6,740				\$ 0	\$ 0	\$ 10,799	\$ 11,287
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 63,331							\$ 63,331	\$ 56,483
Trust									
Due From Other Funds	\$ 95,114						\$ 0	\$ 95,114	\$ 342,645
Due From Other Governments	\$ 546,647	\$ 0				\$ 0		\$ 546,647	\$ 110,218
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 2,033,058	\$ 85,984	\$ 514,958					\$ 2,634,001	\$ 779,601
Trust Due To/From							\$ 191,433	\$ 191,433	\$ 392,897
Distribution Due To/From							\$ 0	\$ 0	\$ 248
Civil Filing Fee Due To/From									
General Due To/From	\$ 68,503	\$ 21,951						\$ 90,454	\$ 64,476
Total Receivables	\$ 2,810,712	\$ 114,675	\$ 514,958			\$ 0	\$ 191,433	\$ 3,631,779	\$ 1,757,856
Prepaid Expenses - General	\$ 0							\$ 0	
Salary and Travel Advances									
Counties	\$ 308,915							\$ 308,915	
Total Prepaid Expenses	\$ 308,915							\$ 308,915	
Other Assets									
Total Other Assets									
Total Assets	\$ 4,068,422	\$ 1,457,457	\$ 602,214			\$ 0	\$ 16,314,906	\$ 22,442,998	\$ 34,506,649
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 290,072	\$ 56,860	\$ 1,312					\$ 348,245	\$ 300,095
Accounts Payable - General	\$ 287,149	\$ 0	\$ 1,174			\$ 0	\$ 0	\$ 288,324	\$ 8,141
Due to Other Funds	\$ 0	\$ 16,681	\$ 78,434				\$ 281,887	\$ 377,001	\$ 800,266
Due to Other Courts	\$ 0							\$ 0	\$ 2,522
Due to State	\$ 39,986							\$ 39,986	\$ 310,893
TC145 Liability							\$ 1,014,714	\$ 1,014,714	\$ 1,088,366
Due to Other Governments	\$ 1,022,874	\$ 1,240	\$ 73,148					\$ 1,097,261	\$ 512,192
AB145 Due to Other Government Agency							\$ 2,884,941	\$ 2,884,941	\$ 1,903,351
Due to Other Public Agencies									
Sales and Use Tax	\$ 392							\$ 392	\$ 51
Interest							\$ 637	\$ 637	\$ 242
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 1,640,472	\$ 74,781	\$ 154,069			\$ 0	\$ 4,182,178	\$ 6,051,500	\$ 4,926,118
Civil							\$ 3,979,895	\$ 3,979,895	\$ 16,184,874
Criminal							\$ 6,233,594	\$ 6,233,594	\$ 7,061,955
Unreconciled - Civil and Criminal							\$ 1,518,504	\$ 1,518,504	\$ 1,518,504
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 184,806	\$ 184,806	\$ 160,446
Miscellaneous Trust									
Total Trust Deposits							\$ 11,916,799	\$ 11,916,799	\$ 24,925,779
Accrued Payroll	\$ 1,323,816							\$ 1,323,816	\$ 1,258,239
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,323,816							\$ 1,323,816	\$ 1,258,239
Revenue Collected in Advance	\$ 0		\$ 448,145			\$ 0		\$ 448,145	\$ 22,723
Liabilities For Deposits	\$ 89,778						\$ 22,760	\$ 112,538	\$ 112,610
Jury Fees - Non-Interest							\$ 189,306	\$ 189,306	\$ 185,011
Fees - Partial Payment & Overpayment							\$ 4,947	\$ 4,947	\$ 4,436
Uncleared Collections	\$ 0						\$ (1,084)	\$ (1,084)	\$ 11,970
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 89,778		\$ 448,145			\$ 0	\$ 215,928	\$ 753,851	\$ 336,749
Total Liabilities	\$ 3,054,066	\$ 74,781	\$ 602,214			\$ 0	\$ 16,314,906	\$ 20,045,966	\$ 31,446,886
Total Fund Balance	\$ 1,014,356	\$ 1,382,677	\$ 0			\$ 0		\$ 2,397,032	\$ 3,059,763
Total Liabilities and Fund Balance	\$ 4,068,422	\$ 1,457,457	\$ 602,214			\$ 0	\$ 16,314,906	\$ 22,442,998	\$ 34,506,649

Superior Court of California, County of San Mateo  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended June											
Fiscal Year 2017/18										2016/17	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 33,557,457	\$ 437,552					\$ 33,995,009	\$ 33,271,220	\$ 35,159,991	\$ 34,616,093	
Improvement and Modernization Fund	\$ 127,366						\$ 127,366	\$ 156,992	\$ 97,399	\$ 97,399	
Judges' Compensation (0150019)	\$ 244,996						\$ 244,996	\$ 247,000	\$ 243,096	\$ 247,000	
Court Interpreter (0150037)	\$ 2,317,688						\$ 2,317,688	\$ 1,800,090	\$ 1,952,069	\$ 1,774,500	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 1,665,203						\$ 1,665,203	\$ 1,211,383	\$ 877,448	\$ 1,071,564	
Other Miscellaneous	\$ 2,411,113						\$ 2,411,113	\$ 2,411,112	\$ 2,411,113	\$ 2,842,450	
	<b>\$ 40,323,823</b>	<b>\$ 437,552</b>					<b>\$ 40,761,375</b>	<b>\$ 39,097,797</b>	<b>\$ 40,741,116</b>	<b>\$ 40,649,006</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 669,216				\$ 669,216	\$ 709,920	\$ 704,953	\$ 705,126	
Other Judicial Council Grants			\$ 268,821				\$ 268,821	\$ 716,929	\$ 269,518	\$ 262,459	
Non-Judicial Council Grants			\$ 232,960				\$ 232,960	\$ 304,175			
			<b>\$ 1,170,996</b>				<b>\$ 1,170,996</b>	<b>\$ 1,731,024</b>	<b>\$ 974,471</b>	<b>\$ 967,585</b>	
Other Financing Sources											
Interest Income	\$ 357,819	\$ 25,576					\$ 383,395	\$ 73,000	\$ 93,806	\$ 42,700	
Investment Income											
Donations											
Local Fees	\$ 179,791	\$ 287,949					\$ 467,740	\$ 703,590	\$ 703,899	\$ 999,200	
Non-Fee Revenues	\$ 18,620						\$ 18,620	\$ 9,500	\$ 10,183	\$ 9,500	
Enhanced Collections	\$ 304	\$ 12,860					\$ 13,164	\$ 21,800	\$ 13,406	\$ 24,500	
Escheatment									\$ (19)		
Prior Year Revenue	\$ 61,371						\$ 61,371				
County Program - Restricted		\$ 142,502					\$ 142,502	\$ 113,000	\$ 137,048	\$ 122,100	
Reimbursement Other	\$ 882,808						\$ 882,808	\$ 829,532	\$ 265,586	\$ 313,097	
Sale of Fixed Assets											
Other Miscellaneous	\$ 8,579						\$ 8,579	\$ 14,000	\$ 20,321	\$ 10,000	
	<b>\$ 1,509,293</b>	<b>\$ 468,887</b>					<b>\$ 1,978,180</b>	<b>\$ 1,764,422</b>	<b>\$ 1,244,229</b>	<b>\$ 1,521,097</b>	
<b>Total Revenues</b>	<b>\$ 41,833,116</b>	<b>\$ 906,439</b>	<b>\$ 1,170,996</b>				<b>\$ 43,910,551</b>	<b>\$ 42,593,243</b>	<b>\$ 42,959,816</b>	<b>\$ 43,137,688</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 21,386,490	\$ 264,159	\$ 450,937				\$ 22,101,586	\$ 21,296,773	\$ 21,635,094	\$ 21,784,965	
Temp Help	\$ 56,932						\$ 56,932	\$ 152,176	\$ 75,185	\$ 92,293	
Overtime	\$ 191,245						\$ 191,245	\$ 50,000	\$ 220,826		
Staff Benefits	\$ 13,216,610	\$ 0	\$ 332,561				\$ 13,549,171	\$ 14,848,758	\$ 12,460,857	\$ 13,824,617	
	<b>\$ 34,851,278</b>	<b>\$ 264,159</b>	<b>\$ 783,497</b>				<b>\$ 35,898,935</b>	<b>\$ 36,347,707</b>	<b>\$ 34,391,962</b>	<b>\$ 35,701,875</b>	
Operating Expenses and Equipment											
General Expense	\$ 686,869	\$ 66,133	\$ 13,492				\$ 766,493	\$ 750,665	\$ 710,830	\$ 785,206	
Printing	\$ 73,452		\$ 438				\$ 73,889	\$ 80,080	\$ 79,159	\$ 144,960	
Telecommunications	\$ 426,894						\$ 426,894	\$ 568,850	\$ 248,339	\$ 273,337	
Postage	\$ 272,653						\$ 272,653	\$ 202,630	\$ 217,546	\$ 238,400	
Insurance	\$ 7,479						\$ 7,479	\$ 8,830	\$ 7,352	\$ 8,100	
In-State Travel	\$ 38,893		\$ 7,792				\$ 46,686	\$ 70,408	\$ 38,706	\$ 52,490	
Out-of-State Travel	\$ 6,165		\$ 7,359				\$ 13,524				
Training	\$ 7,192						\$ 7,192	\$ 25,280	\$ 10,830	\$ 29,360	
Security Services	\$ 469,456						\$ 469,456	\$ 448,277	\$ 448,202	\$ 495,627	
Facility Operations	\$ 113,648						\$ 113,648	\$ 80,316	\$ 48,319	\$ 41,650	
Utilities	\$ 3,500						\$ 3,500				
Contracted Services	\$ 2,936,713	\$ 482,582	\$ 312,690				\$ 3,731,985	\$ 3,637,765	\$ 2,415,384	\$ 2,424,213	
Consulting and Professional Services	\$ 655,836	\$ 143,460					\$ 799,296	\$ 658,436	\$ 993,261	\$ 1,007,928	
Information Technology	\$ 837,836	\$ 194,698	\$ 4,204				\$ 1,036,739	\$ 1,148,242	\$ 1,482,334	\$ 1,537,282	
Major Equipment	\$ 234,601	\$ 0					\$ 234,601	\$ 282,520	\$ 73,299		
Other Items of Expense	\$ 11,926						\$ 11,926	\$ 9,280	\$ 16,345	\$ 7,600	
	<b>\$ 6,783,112</b>	<b>\$ 886,873</b>	<b>\$ 345,975</b>				<b>\$ 8,015,961</b>	<b>\$ 7,971,579</b>	<b>\$ 6,789,906</b>	<b>\$ 7,046,153</b>	
Special Items of Expense											
Grand Jury	\$ 935						\$ 935	\$ 970	\$ 3,556	\$ 2,000	
Jury Costs	\$ 255,982						\$ 255,982	\$ 319,700	\$ 304,226	\$ 319,700	
Judgements, Settlements and Claims	\$ 353						\$ 353				
Debt Service											
Other	\$ 400,000						\$ 400,000		\$ 501,000		
Capital Costs											
Internal Cost Recovery	\$ (118,610)		\$ 118,610				\$ 0		\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 1,116						\$ 1,116		\$ 116		
	<b>\$ 539,776</b>		<b>\$ 118,610</b>				<b>\$ 658,386</b>	<b>\$ 320,670</b>	<b>\$ 808,899</b>	<b>\$ 321,700</b>	
<b>Total Expenditures</b>	<b>\$ 42,174,167</b>	<b>\$ 1,151,033</b>	<b>\$ 1,248,082</b>				<b>\$ 44,573,282</b>	<b>\$ 44,639,956</b>	<b>\$ 41,990,767</b>	<b>\$ 43,069,728</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (341,051)	\$ (244,593)	\$ (77,086)				\$ (662,731)	\$ (2,046,713)	\$ 969,049	\$ 67,960	
Operating Transfers In (Out)	\$ (102,932)	\$ 25,846	\$ 77,086				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,458,338	\$ 1,601,425	\$ 0			\$ 0	\$ 3,059,763	\$ 3,059,763	\$ 2,090,714	\$ 2,090,714	
Ending Balance (Deficit)	\$ 1,014,356	\$ 1,382,677	\$ 0			\$ 0	\$ 2,397,032	\$ 1,013,050	\$ 3,059,763	\$ 2,158,674	

Superior Court of California, County of San Mateo  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 14,232,466	\$ 783,144					\$ 15,015,610	\$ 15,151,911	\$ 14,428,616	\$ 15,275,125
Traffic & Other Infractions	\$ 1,195,550	\$ 485,026					\$ 1,680,576	\$ 1,821,751	\$ 1,729,470	\$ 1,816,627
Other Criminal Cases	\$ 3,021,612	\$ 161,862					\$ 3,183,474	\$ 3,259,736	\$ 3,132,384	\$ 3,056,039
Civil	\$ 3,420,340	\$ 250,607					\$ 3,670,948	\$ 4,196,619	\$ 3,909,395	\$ 4,018,717
Family & Children Services	\$ 2,524,892	\$ 198,969			\$ 0		\$ 2,723,860	\$ 3,058,212	\$ 2,472,503	\$ 2,918,890
Probate, Guardianship & Mental Health Services	\$ 1,412,499	\$ 27,289					\$ 1,439,788	\$ 1,589,543	\$ 1,631,582	\$ 1,515,000
Juvenile Dependency Services		\$ 1,278,961					\$ 1,278,961	\$ 852,472	\$ 482,612	\$ 580,482
Juvenile Delinquency Services	\$ 357,531	\$ 24,207					\$ 381,738	\$ 373,326	\$ 373,455	\$ 366,551
Other Court Operations									\$ 497,190	\$ 187,897
Court Interpreters	\$ 1,176,216	\$ 1,063,294					\$ 2,239,510	\$ 1,992,893	\$ 1,816,597	\$ 2,011,587
Jury Services	\$ 600,612	\$ 248,279	\$ 255,982				\$ 1,104,872	\$ 1,148,001	\$ 1,060,240	\$ 1,098,327
Security		\$ 4,292					\$ 4,292		\$ 46,535	
Trial Court Operations Program	\$ 27,941,717	\$ 4,525,930	\$ 255,982		\$ 0		\$ 32,723,628	\$ 33,444,464	\$ 31,580,580	\$ 32,845,242
Enhanced Collections		\$ 12,669					\$ 12,669		\$ 16,996	
Other Non-Court Operations			\$ 935				\$ 935		\$ 3,556	
Non-Court Operations Program		\$ 12,669	\$ 935				\$ 13,604		\$ 20,553	
Executive Office	\$ 2,807,831	\$ 539,662	\$ 400,353				\$ 3,747,846	\$ 2,901,895	\$ 2,887,019	\$ 2,343,475
Fiscal Services	\$ 1,990,178	\$ 51,983					\$ 2,042,160	\$ 2,077,380	\$ 1,464,810	\$ 1,649,561
Human Resources	\$ 492,921	\$ 148,872					\$ 641,792	\$ 659,618	\$ 574,900	\$ 609,310
Business & Facilities Services	\$ 607,144	\$ 754,174				\$ 1,116	\$ 1,362,434	\$ 1,383,456	\$ 1,253,979	\$ 1,381,343
Information Technology	\$ 2,059,145	\$ 1,982,672					\$ 4,041,817	\$ 4,173,143	\$ 4,208,927	\$ 4,240,797
Court Administration Program	\$ 7,957,218	\$ 3,477,362	\$ 400,353			\$ 1,116	\$ 11,836,050	\$ 11,195,492	\$ 10,389,635	\$ 10,224,486
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 35,898,935	\$ 8,015,961	\$ 657,270		\$ 0	\$ 1,116	\$ 44,573,282	\$ 44,639,956	\$ 41,990,767	\$ 43,069,728

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Mateo

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	-
Restricted	-	-	-	1,217,438	-	-	-	-	-	1,217,438
Committed	662,846	-	662,846	165,238	-	-	-	-	-	828,084
Assigned	202,682	148,827	351,509	-	-	-	-	-	-	351,509
Unassigned	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>\$ 865,528</b>	<b>\$ 148,827</b>	<b>\$ 1,014,355</b>	<b>\$ 1,382,677</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,397,032</b>