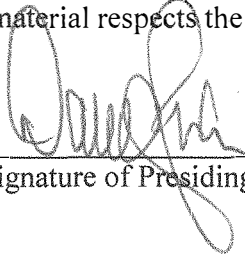


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(e); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

2/17/18

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Date

Santa Barbara

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Court

2017-2018 4th Qtr.

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Barbara

Court

2017-18 4<sup>th</sup> Qtr.

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Barbara  
 Court

2017–18 4<sup>th</sup> Qtr.  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	233.130	230.125	226.625	221.9	228.65

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court’s Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2017/18									2016/17
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,843,115)	\$ 2,750,696	\$ 0				\$ 144,363	\$ 51,944	\$ 198,273
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 94,510	\$ 94,510	\$ 180,249
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (82,272)	\$ (82,272)	\$ (172,845)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 3,652,625						\$ 4,875,193	\$ 8,527,818	\$ 10,277,446
Total Cash and Cash Equivalents	\$ 821,640	\$ 2,750,696	\$ 0				\$ 5,031,794	\$ 8,604,130	\$ 10,495,253
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 12,378	\$ 10,676					\$ 0	\$ 23,054	\$ 13,340
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 102							\$ 102	\$ 1,024
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 585,842						\$ 0	\$ 585,842	\$ 827,877
Due From Other Governments	\$ 0	\$ 542,833	\$ 0					\$ 542,833	\$ 679,777
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 458,558	\$ 36,165	\$ 351,551					\$ 846,274	\$ 734,311
Trust Due To/From							\$ 91,328	\$ 91,328	\$ 140,276
Distribution Due To/From							\$ 25,356	\$ 25,356	\$ 31,488
Civil Filing Fee Due To/From									
General Due To/From	\$ 13,081	\$ 8,443						\$ 21,525	\$ 1,896
Total Receivables	\$ 1,069,962	\$ 598,118	\$ 351,551				\$ 116,684	\$ 2,136,314	\$ 2,429,990
Prepaid Expenses - General	\$ 0							\$ 0	\$ 116,738
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 116,738
Other Assets									
Total Other Assets									
Total Assets	\$ 1,891,601	\$ 3,348,814	\$ 351,551				\$ 5,148,478	\$ 10,740,443	\$ 13,041,980
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 147,929	\$ 79,401	\$ 17,810					\$ 245,141	\$ 168,812
Accounts Payable - General	\$ 47,356	\$ 689	\$ 0				\$ 0	\$ 48,044	\$ 11,909
Due to Other Funds	\$ 100	\$ 447,114	\$ 144,743				\$ 132,094	\$ 724,050	\$ 1,001,537
Due to Other Courts	\$ 0							\$ 0	\$ 1,587
Due to State	\$ 6,113	\$ 19,231						\$ 25,343	\$ 59,116
TC145 Liability							\$ 507,464	\$ 507,464	\$ 461,671
Due to Other Governments	\$ 40,464	\$ 3,103	\$ 3,110					\$ 46,677	\$ 84,878
AB145 Due to Other Government Agency							\$ 2,467,447	\$ 2,467,447	\$ 2,554,713
Due to Other Public Agencies									
Sales and Use Tax	\$ 71							\$ 71	\$ 1,512
Interest							\$ 463	\$ 463	\$ 239
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 5,214							\$ 5,214	\$ 540
Total Accounts Payable and Accrued Liab.	\$ 247,247	\$ 549,537	\$ 165,663				\$ 3,107,468	\$ 4,069,914	\$ 4,346,514
Civil							\$ 1,454,159	\$ 1,454,159	\$ 2,681,457
Criminal							\$ 521,303	\$ 521,303	\$ 1,507,848
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 35,812	\$ 35,812	\$ 29,563
Miscellaneous Trust									
Total Trust Deposits							\$ 2,015,003	\$ 2,015,003	\$ 4,222,522
Accrued Payroll	\$ 823,663	\$ 64,415	\$ 24,555					\$ 912,632	\$ 1,169,898
Benefits Payable	\$ (35,882)	\$ 0	\$ 0					\$ (35,882)	\$ 8,066
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (345)	\$ 0	\$ 0					\$ (345)	\$ 47
Payroll Clearing	\$ 30							\$ 30	\$ 30
Total Payroll Liabilities	\$ 787,467	\$ 64,415	\$ 24,555					\$ 876,436	\$ 1,178,042
Revenue Collected in Advance	\$ 103,000		\$ 161,333					\$ 264,333	
Liabilities For Deposits	\$ 47,492						\$ 23,666	\$ 71,157	\$ 60,126
Jury Fees - Non-Interest							\$ 1,036	\$ 1,036	\$ 2,764
Fees - Partial Payment & Overpayment							\$ 1,305	\$ 1,305	\$ 250
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 114,982
Total Other Liabilities	\$ 265,474		\$ 161,333				\$ 26,007	\$ 452,813	\$ 178,122
Total Liabilities	\$ 1,300,187	\$ 613,951	\$ 351,551				\$ 5,148,478	\$ 7,414,167	\$ 9,925,200
Total Fund Balance	\$ 591,414	\$ 2,734,862	\$ 0					\$ 3,326,276	\$ 3,116,780
Total Liabilities and Fund Balance	\$ 1,891,601	\$ 3,348,814	\$ 351,551				\$ 5,148,478	\$ 10,740,443	\$ 13,041,980

Superior Court of California, County of Santa Barbara  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended June											
Fiscal Year 2017/18									2016/17		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 21,727,750	\$ 211,554					\$ 21,939,303	\$ 22,018,247	\$ 22,249,536	\$ 21,908,758	
Improvement and Modernization Fund	\$ 88,827						\$ 88,827	\$ 56,713	\$ 34,029	\$ 56,713	
Judges' Compensation (0150019)	\$ 199,500						\$ 199,500	\$ 199,500	\$ 199,500	\$ 199,500	
Court Interpreter (0150037)	\$ 1,910,588						\$ 1,910,588	\$ 1,908,447	\$ 1,702,125	\$ 1,809,609	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 789,866						\$ 789,866	\$ 1,649,425	\$ 593,544	\$ 1,821,770	
Other Miscellaneous	\$ 1,597,662						\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	\$ 1,597,662	
	\$ 26,314,193	\$ 211,554					\$ 26,525,747	\$ 27,429,994	\$ 26,376,395	\$ 27,394,012	
Grants											
AB 1058 Commissioner/Facilitator			\$ 800,208				\$ 800,208	\$ 840,129	\$ 877,152	\$ 835,358	
Other Judicial Council Grants			\$ 57,681				\$ 57,681	\$ 284,150	\$ 35,024	\$ 35,024	
Non-Judicial Council Grants										\$ 14,300	
			\$ 857,890				\$ 857,890	\$ 1,124,279	\$ 912,176	\$ 884,682	
Other Financing Sources											
Interest Income	\$ 87,825	\$ 34,245					\$ 122,070	\$ 50,000	\$ 66,604	\$ 30,000	
Investment Income											
Donations											
Local Fees	\$ 73,750	\$ 122,480					\$ 196,231	\$ 207,065	\$ 194,133	\$ 230,500	
Non-Fee Revenues	\$ 125,000						\$ 125,000	\$ 125,000	\$ 125,000	\$ 246,561	
Enhanced Collections		\$ 796,370					\$ 796,370	\$ 1,021,755	\$ 944,213	\$ 948,889	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 1,080,223					\$ 1,080,223	\$ 1,369,132	\$ 1,156,067	\$ 1,165,956	
Reimbursement Other	\$ 197,262						\$ 197,262	\$ 93,000	\$ 106,279	\$ 160,500	
Sale of Fixed Assets									\$ 4,786		
Other Miscellaneous	\$ 35,454						\$ 35,454	\$ 9,000	\$ 6,958	\$ 15,000	
	\$ 519,291	\$ 2,033,319					\$ 2,552,610	\$ 2,874,952	\$ 2,604,040	\$ 2,797,406	
Total Revenues	\$ 26,833,484	\$ 2,244,872	\$ 857,890				\$ 29,936,246	\$ 31,429,225	\$ 29,892,611	\$ 31,076,100	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 12,416,211	\$ 942,717	\$ 404,686				\$ 13,763,615	\$ 15,568,092	\$ 14,008,989	\$ 15,183,400	
Temp Help	\$ 191,616	\$ 14,947	\$ 26,768				\$ 233,330	\$ 699,154	\$ 398,440	\$ 576,078	
Overtime	\$ 236,200	\$ 1,890	\$ 1,646				\$ 239,736	\$ 3,651	\$ 299,932	\$ 150,000	
Staff Benefits	\$ 8,732,188	\$ 703,581	\$ 244,257				\$ 9,680,026	\$ 8,310,906	\$ 9,287,113	\$ 8,470,523	
	\$ 21,576,215	\$ 1,663,135	\$ 677,357				\$ 23,916,707	\$ 24,581,803	\$ 23,994,474	\$ 24,380,001	
Operating Expenses and Equipment											
General Expense	\$ 661,393	\$ 75,226	\$ 1,302				\$ 737,922	\$ 704,379	\$ 646,962	\$ 617,665	
Printing	\$ 92,271	\$ 6,390					\$ 98,660	\$ 125,560	\$ 86,353	\$ 86,435	
Telecommunications	\$ 232,937	\$ 1,877					\$ 234,814	\$ 187,400	\$ 198,489	\$ 197,225	
Postage	\$ 113,887	\$ 12,301	\$ 359				\$ 126,546	\$ 86,085	\$ 185,938	\$ 154,875	
Insurance	\$ 12,447		\$ 82				\$ 12,529	\$ 19,267	\$ 12,552	\$ 14,600	
In-State Travel	\$ 41,154	\$ 3,822	\$ 4,630				\$ 49,605	\$ 41,608	\$ 38,306	\$ 44,340	
Out-of-State Travel	\$ 7,297						\$ 7,297	\$ 7,497	\$ 10,978	\$ 4,575	
Training	\$ 18,974	\$ 130	\$ 1,585				\$ 20,689	\$ 17,239	\$ 10,318	\$ 19,640	
Security Services	\$ 468,587		\$ 95,490				\$ 564,077	\$ 589,006	\$ 540,823	\$ 610,000	
Facility Operations	\$ 468,162	\$ 6,334					\$ 474,496	\$ 663,851	\$ 356,823	\$ 458,578	
Utilities									\$ (45)		
Contracted Services	\$ 1,634,824	\$ 405,129	\$ 67,044				\$ 2,106,998	\$ 3,240,003	\$ 1,916,968	\$ 3,216,665	
Consulting and Professional Services	\$ 113,570	\$ 6,474	\$ 432				\$ 120,476	\$ 119,158	\$ 106,008	\$ 116,510	
Information Technology	\$ 544,994	\$ 15,130	\$ 268				\$ 560,391	\$ 614,536	\$ 891,263	\$ 1,052,725	
Major Equipment	\$ 462,388	\$ 0					\$ 462,388	\$ 63,101	\$ 163,968	\$ 507,995	
Other Items of Expense	\$ 30,951		\$ 3,236				\$ 34,188	\$ 25,883	\$ 25,291	\$ 30,650	
	\$ 4,903,836	\$ 532,812	\$ 174,427				\$ 5,611,075	\$ 6,504,573	\$ 5,190,994	\$ 7,132,478	
Special Items of Expense											
Grand Jury	\$ 6,693						\$ 6,693	\$ 12,000	\$ 11,612		
Jury Costs	\$ 192,275						\$ 192,275	\$ 181,000	\$ 156,126	\$ 190,500	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 1,260,571		
Capital Costs											
Internal Cost Recovery	\$ (121,834)		\$ 121,834				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 77,134		\$ 121,834				\$ 198,968	\$ 193,000	\$ 1,428,308	\$ 190,500	
	\$ 77,134		\$ 121,834				\$ 198,968	\$ 193,000	\$ 1,428,308	\$ 190,500	
Total Expenditures	\$ 26,557,185	\$ 2,195,947	\$ 973,618				\$ 29,726,750	\$ 31,279,376	\$ 30,613,776	\$ 31,702,979	
Excess (Deficit) of Revenues Over Expenditures	\$ 276,299	\$ 48,925	\$ (115,728)				\$ 209,496	\$ 149,849	\$ (721,164)	\$ (626,879)	
Operating Transfers In (Out)	\$ (115,728)		\$ 115,728				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 430,843	\$ 2,685,937	\$ 0				\$ 3,116,780	\$ 3,116,780	\$ 3,837,945	\$ 3,837,945	
Ending Balance (Deficit)	\$ 591,414	\$ 2,734,862	\$ 0				\$ 3,326,276	\$ 3,266,629	\$ 3,116,780	\$ 3,211,066	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2017/18									2016/17	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 7,515,684	\$ 567,444					\$ 8,083,128	\$ 9,482,900	\$ 7,988,144	\$ 9,951,279
Traffic & Other Infractions	\$ 1,092,833	\$ 69,158					\$ 1,161,991	\$ 879,717	\$ 1,066,370	\$ 993,073
Other Criminal Cases	\$ 2,300,537	\$ 254,544					\$ 2,555,081	\$ 2,544,913	\$ 2,419,164	\$ 2,255,888
Civil	\$ 1,957,192	\$ 301,380	\$ 5,585				\$ 2,264,157	\$ 2,032,682	\$ 2,046,431	\$ 1,753,413
Family & Children Services	\$ 1,299,066	\$ 137,518			\$ 0		\$ 1,436,583	\$ 1,390,372	\$ 1,451,526	\$ 1,316,272
Probate, Guardianship & Mental Health Services	\$ 344,632	\$ 37,333					\$ 381,966	\$ 472,145	\$ 365,976	\$ 596,993
Juvenile Dependency Services	\$ 62,373						\$ 62,373	\$ 73,539	\$ 72,121	\$ 126,123
Juvenile Delinquency Services	\$ 317,226	\$ 43,552					\$ 360,778	\$ 326,014	\$ 338,581	\$ 271,092
Other Court Operations	\$ 2,550,998	\$ 654,117					\$ 3,205,116	\$ 3,280,339	\$ 3,472,894	\$ 3,587,210
Court Interpreters	\$ 1,274,896	\$ 666,319	\$ 0				\$ 1,941,215	\$ 1,908,447	\$ 1,785,306	\$ 1,806,784
Jury Services	\$ 438,236	\$ 165,127	\$ 193,214				\$ 796,576	\$ 763,139	\$ 778,960	\$ 757,993
Security		\$ 738,827					\$ 738,827	\$ 657,546	\$ 650,408	\$ 731,850
Trial Court Operations Program	\$ 19,153,673	\$ 3,635,320	\$ 198,799		\$ 0		\$ 22,987,791	\$ 23,811,753	\$ 22,435,881	\$ 24,147,970
Enhanced Collections	\$ 426,502	\$ 310,307					\$ 736,809	\$ 1,020,467	\$ 944,213	\$ 948,889
Other Non-Court Operations	\$ 915,431	\$ 32,353					\$ 947,784	\$ 1,228,101	\$ 1,026,481	\$ 1,039,171
Non-Court Operations Program	\$ 1,341,933	\$ 342,660					\$ 1,684,593	\$ 2,248,568	\$ 1,970,694	\$ 1,988,060
Executive Office	\$ 403,783	\$ 62,698	\$ 169				\$ 466,650	\$ 729,498	\$ 462,833	\$ 466,539
Fiscal Services	\$ 954,975	\$ 203,704					\$ 1,158,678	\$ 1,089,815	\$ 1,101,084	\$ 1,114,527
Human Resources	\$ 463,881	\$ 124,624					\$ 588,506	\$ 603,593	\$ 1,857,618	\$ 657,794
Business & Facilities Services		\$ 127,299					\$ 127,299	\$ 364,066	\$ 21,763	\$ 483,438
Information Technology	\$ 1,598,463	\$ 1,114,770					\$ 2,713,233	\$ 2,432,083	\$ 2,763,902	\$ 2,844,651
Court Administration Program	\$ 3,421,102	\$ 1,633,095	\$ 169				\$ 5,054,366	\$ 5,219,055	\$ 6,207,200	\$ 5,566,949
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 23,916,707	\$ 5,611,075	\$ 198,968		\$ 0		\$ 29,726,750	\$ 31,279,376	\$ 30,613,776	\$ 31,702,979

## Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Barbara

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	
Restricted	-	-	-	2,733,873	-	-	-	-	2,733,873	
Committed	519,508	68,998	588,506	989	-	-	-	-	589,495	
Assigned	982	1,927	2,909	-	-	-	-	-	2,909	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 520,490</b>	<b>\$ 70,924</b>	<b>\$ 591,414</b>	<b>\$ 2,734,862</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,326,276</b>	