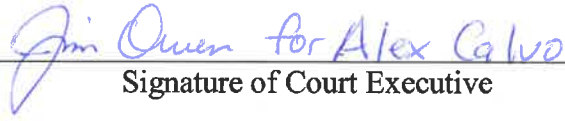


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
Signature of Court Executive

December 3, 2018  
Date

SANTA CRUZ SUPERIOR COURT  
Court

2018-19 Quarter 1  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT

Court

2018-19 Quarter 1

Fiscal Year and Ending Quarter

### FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

SANTA CRUZ SUPERIOR COURT  
 Court

2018-19 Quarter 1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		116.1			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2018/19

2017/18

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (971,470)	\$ 435,550	\$ 592,616	\$ 0			\$ 19,410	\$ 76,107	\$ (221,731)
Payroll	\$ (5,927)						\$ 0	\$ (5,927)	\$ (9,610)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution							\$ 0	\$ 0	\$ 69,152
Civil Filing Fees							\$ 0	\$ 0	\$ 1,262
Trust	\$ 0						\$ (857)	\$ (857)	\$ (72,863)
Credit Card									
Cash on Hand	\$ 3,445							\$ 3,445	\$ 3,350
Cash with County							\$ 267,214	\$ 267,214	\$ 601,867
Cash Outside of the JCC									
Cash Equivalents	\$ 5,839,702						\$ 1,212,274	\$ 7,051,976	\$ 6,073,892
<b>Total Cash and Cash Equivalents</b>	<b>\$ 4,870,750</b>	<b>\$ 435,550</b>	<b>\$ 592,616</b>	<b>\$ 0</b>			<b>\$ 1,498,041</b>	<b>\$ 7,396,958</b>	<b>\$ 6,450,318</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1,024
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0					\$ 11,944	\$ 11,944	\$ 11,950
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 19,674
Due From State	\$ 0	\$ 0	\$ (1)					\$ (1)	\$ (45,354)
Trust Due To/From							\$ 5,056	\$ 5,056	\$ 15,470
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 417							\$ 417	\$ 1,389
<b>Total Receivables</b>	<b>\$ 417</b>	<b>\$ 0</b>	<b>\$ (1)</b>	<b>\$ 0</b>			<b>\$ 17,000</b>	<b>\$ 17,416</b>	<b>\$ 4,153</b>
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>									
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 4,871,167</b>	<b>\$ 435,550</b>	<b>\$ 592,615</b>	<b>\$ 0</b>			<b>\$ 1,515,041</b>	<b>\$ 7,414,374</b>	<b>\$ 6,454,471</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 146,872	\$ 0	\$ 0	\$ 0			\$ 0	\$ 146,872	\$ 136,669
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0			\$ 5,474	\$ 5,474	\$ 16,859
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 387,327	\$ 387,327	\$ 355,131
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 33,545	\$ 33,545	\$ 39,897
Due to Other Public Agencies									
Sales and Use Tax	\$ 27							\$ 27	\$ 712
Interest							\$ 1,761	\$ 1,761	\$ 378
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 146,899</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 428,108</b>	<b>\$ 575,007</b>	<b>\$ 549,645</b>
Civil							\$ 614,512	\$ 614,512	\$ 54,129
Criminal							\$ 103,129	\$ 103,129	\$ 125,367
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 267,214	\$ 267,214	\$ 601,867
Trust Interest Payable							\$ 5,335	\$ 5,335	\$ 1,123
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 990,190</b>	<b>\$ 990,190</b>	<b>\$ 782,486</b>
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 161,440							\$ 161,440	\$ 1,676
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,174,166							\$ 1,174,166	\$ 203,831
Payroll Clearing	\$ (4)							\$ (4)	\$ (9)
<b>Total Payroll Liabilities</b>	<b>\$ 1,335,602</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ 1,335,602</b>	<b>\$ 205,497</b>
Revenue Collected in Advance			\$ 331,585					\$ 331,585	\$ 432,447
Liabilities For Deposits	\$ 27,213						\$ 96,028	\$ 123,241	\$ 40,458
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 715	\$ 715	\$ 1,163
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 27,213</b>		<b>\$ 331,585</b>				<b>\$ 96,743</b>	<b>\$ 455,541</b>	<b>\$ 474,068</b>
<b>Total Liabilities</b>	<b>\$ 1,509,714</b>	<b>\$ 0</b>	<b>\$ 331,585</b>	<b>\$ 0</b>			<b>\$ 1,515,041</b>	<b>\$ 3,356,340</b>	<b>\$ 2,011,696</b>
<b>Total Fund Balance</b>	<b>\$ 3,361,453</b>	<b>\$ 435,550</b>	<b>\$ 261,030</b>	<b>\$ 0</b>				<b>\$ 4,058,034</b>	<b>\$ 4,442,774</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 4,871,167</b>	<b>\$ 435,550</b>	<b>\$ 592,615</b>	<b>\$ 0</b>			<b>\$ 1,515,041</b>	<b>\$ 7,414,374</b>	<b>\$ 6,454,471</b>

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2018/19										2017/18	
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 5,981,894	\$ 9,706					\$ 5,991,600	\$ 13,428,856	\$ 5,794,703	\$ 12,093,210	
Improvement and Modernization Fund								\$ 34,992	\$ 19,674	\$ 35,289	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 173,166						\$ 173,166	\$ 700,000	\$ 140,747	\$ 750,000	
Civil Coordination Reimbursement (0150091)	\$ 40,105						\$ 40,105	\$ 334,759	\$ 20,772	\$ 174,175	
MOU Reimbursements (0150010 and General)								\$ 203,558		\$ 203,558	
Other Miscellaneous											
	\$ 6,195,165	\$ 9,706					\$ 6,204,870	\$ 14,702,165	\$ 5,975,896	\$ 13,256,232	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator								\$ 294,503	\$ 4,542	\$ 326,361	
Other Judicial Council Grants			\$ 367,440				\$ 367,440	\$ 559,153	\$ 134,772	\$ 492,289	
Non-Judicial Council Grants			\$ 367,440				\$ 367,440	\$ 853,656	\$ 139,314	\$ 818,650	
<b>Other Financing Sources</b>											
Interest Income	\$ 1,566	\$ 495		\$ 0			\$ 2,061	\$ 20,000	\$ 1,020	\$ 20,000	
Investment Income											
Donations											
Local Fees	\$ (2,556)	\$ 2,032					\$ (523)	\$ 142,300	\$ 4,020	\$ 167,450	
Non-Fee Revenues											
Enhanced Collections		\$ 27,468					\$ 27,468	\$ 144,232	\$ 26,822	\$ 239,013	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 0	\$ 0					\$ 0	\$ 39,500	\$ 0	\$ 40,000	
Reimbursement Other	\$ 15,000						\$ 15,000	\$ 138,939	\$ 10,329	\$ 111,506	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,513						\$ 1,513	\$ 12,200	\$ 3,222	\$ 23,300	
	\$ 15,514	\$ 29,995		\$ 0			\$ 45,509	\$ 497,171	\$ 45,414	\$ 601,269	
<b>Total Revenues</b>	<b>\$ 6,210,679</b>	<b>\$ 39,701</b>	<b>\$ 367,440</b>	<b>\$ 0</b>			<b>\$ 6,617,819</b>	<b>\$ 16,052,992</b>	<b>\$ 6,160,624</b>	<b>\$ 14,676,151</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 1,932,102	\$ 20,653	\$ 82,901				\$ 2,035,656	\$ 8,863,550	\$ 1,808,309	\$ 8,296,222	
Temp Help	\$ 5,831						\$ 5,831	\$ 6,336	\$ 4,891	\$ 41,496	
Overtime	\$ 1,441						\$ 1,441	\$ 1,441	\$ 2,389	\$ 4,806,734	
Staff Benefits	\$ 1,087,386	\$ 13,924	\$ 48,584				\$ 1,149,894	\$ 4,854,641	\$ 1,020,287	\$ 4,806,734	
	\$ 3,026,761	\$ 34,577	\$ 131,485				\$ 3,192,823	\$ 13,724,527	\$ 2,835,876	\$ 13,144,452	
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 14,068		\$ (52)				\$ 14,016	\$ 252,800	\$ 89,347	\$ 224,845	
Printing	\$ 16,306	\$ 3,006	\$ 0				\$ 19,313	\$ 92,000	\$ 18,165	\$ 73,650	
Telecommunications	\$ 16,275						\$ 16,275	\$ 95,600	\$ 8,301	\$ 78,625	
Postage	\$ 4,061						\$ 4,061	\$ 25,600	\$ 2,123	\$ 51,100	
Insurance	\$ 90						\$ 90	\$ 6,500	\$ 5,000	\$ 5,000	
In-State Travel	\$ 4,597		\$ (7)				\$ 4,591	\$ 31,700	\$ 2,216	\$ 29,400	
Out-of-State Travel										\$ 5,550	
Training	\$ 1,251		\$ 1,415				\$ 2,666	\$ 6,300	\$ 1,924	\$ 6,250	
Security Services										\$ 300	
Facility Operations	\$ 1,072		\$ 31				\$ 1,102	\$ 522,530	\$ 89,930	\$ 436,648	
Utilities										\$ 300	
Contracted Services	\$ 80,327	\$ 6,333	\$ (26,463)				\$ 60,197	\$ 568,200	\$ 6,234	\$ 430,028	
Consulting and Professional Services	\$ 1,235						\$ 1,235	\$ 8,000	\$ 785	\$ 8,000	
Information Technology	\$ 89,057						\$ 89,057	\$ 203,000	\$ (559,298)	\$ 275,100	
Major Equipment								\$ 18,000		\$ 12,800	
Other Items of Expense	\$ 260						\$ 260	\$ 1,550	\$ 232	\$ 1,850	
	\$ 228,599	\$ 9,339	\$ (25,076)				\$ 212,863	\$ 1,831,780	\$ (340,041)	\$ 1,639,146	
<b>Special Items of Expense</b>											
Grand Jury											
Jury Costs	\$ 45,444						\$ 45,444	\$ 132,190	\$ 19,593	\$ 103,200	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 550,000			
<b>Capital Costs</b>											
<b>Internal Cost Recovery</b>											
Prior Year Expense Adjustment	\$ 45,444						\$ 45,444	\$ 682,190	\$ 19,593	\$ 103,200	
<b>Total Expenditures</b>	<b>\$ 3,300,804</b>	<b>\$ 43,916</b>	<b>\$ 106,409</b>				<b>\$ 3,451,130</b>	<b>\$ 16,238,497</b>	<b>\$ 2,515,428</b>	<b>\$ 14,886,798</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 2,909,874</b>	<b>\$ (4,216)</b>	<b>\$ 261,030</b>	<b>\$ 0</b>			<b>\$ 3,166,689</b>	<b>\$ (185,505)</b>	<b>\$ 3,645,196</b>	<b>\$ (210,647)</b>	
<b>Operating Transfers In (Out)</b>											
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 451,579	\$ 439,766	\$ 0	\$ 0			\$ 891,345	\$ 891,345	\$ 797,579	\$ 797,579	
Ending Balance (Deficit)	\$ 3,361,453	\$ 435,550	\$ 261,030	\$ 0			\$ 4,058,034	\$ 705,840	\$ 4,442,774	\$ 586,932	

**Superior Court of California, County of Santa Cruz  
Trial Court Operations Fund  
Statement of Program Expenditures  
(Unaudited)**

	For the month ended September									
	Fiscal Year 2018/19							2017/18		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,120,779	\$ 50,881					\$ 1,171,660	\$ 4,156,399	\$ 1,002,847	\$ 3,735,946
Traffic & Other Infractions	\$ 152,259						\$ 152,259	\$ 489,947	\$ 148,578	\$ 563,930
Other Criminal Cases	\$ 144,325	\$ 22,981					\$ 167,305	\$ 1,431,263	\$ 121,605	\$ 1,351,998
Civil	\$ 327,737	\$ 2,825					\$ 330,562	\$ 1,269,870	\$ 272,677	\$ 1,084,223
Family & Children Services	\$ 149,126	\$ (34,849)					\$ 114,278	\$ 770,923	\$ 54,335	\$ 707,156
Probate, Guardianship & Mental Health Services	\$ 72,682						\$ 72,682	\$ 582,793	\$ 80,802	\$ 546,360
Juvenile Dependency Services	\$ 38,511						\$ 38,511	\$ 336,582	\$ 35,729	\$ 228,938
Juvenile Delinquency Services		\$ 656					\$ 656	\$ 2,100	\$ 269	\$ 2,900
Other Court Operations	\$ 166,805	\$ (3,571)					\$ 163,234	\$ 1,075,116	\$ 198,059	\$ 1,238,662
Court Interpreters	\$ 185,227						\$ 214,956	\$ 961,092	\$ 149,609	\$ 860,255
Jury Services	\$ 40,503	\$ 26,370	\$ 45,444				\$ 112,318	\$ 392,902	\$ 83,426	\$ 463,683
Security								\$ 18,000		\$ 3,100
<b>Trial Court Operations Program</b>	<b>\$ 2,397,955</b>	<b>\$ 95,022</b>	<b>\$ 45,444</b>				<b>\$ 2,538,421</b>	<b>\$ 11,486,987</b>	<b>\$ 2,147,936</b>	<b>\$ 10,787,151</b>
Enhanced Collections	\$ 41,115						\$ 41,115	\$ 169,212	\$ 47,136	\$ 239,144
Other Non-Court Operations	\$ 178,999	\$ 1,403					\$ 180,402	\$ 1,400,451	\$ 232,946	\$ 1,130,519
<b>Non-Court Operations Program</b>	<b>\$ 220,113</b>	<b>\$ 1,403</b>					<b>\$ 221,516</b>	<b>\$ 1,569,663</b>	<b>\$ 280,081</b>	<b>\$ 1,369,663</b>
Executive Office	\$ 76,856	\$ 1,447					\$ 78,303	\$ 352,124	\$ 66,556	\$ 103,756
Fiscal Services	\$ 105,193	\$ 2,910					\$ 108,102	\$ 801,859	\$ 166,637	\$ 755,156
Human Resources	\$ 46,996	\$ 11,900					\$ 58,896	\$ 134,666	\$ 68,059	\$ 123,773
Business & Facilities Services	\$ 86,185	\$ 14,565					\$ 100,750	\$ 485,830	\$ 122,442	\$ 401,448
Information Technology	\$ 259,525	\$ 85,616					\$ 345,141	\$ 1,407,368	\$ (336,283)	\$ 1,345,851
<b>Court Administration Program</b>	<b>\$ 574,754</b>	<b>\$ 116,438</b>					<b>\$ 691,193</b>	<b>\$ 3,181,847</b>	<b>\$ 87,411</b>	<b>\$ 2,729,984</b>
Expenditures Not Distributed or Posted to a Program										\$ 0
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 3,192,823</b>	<b>\$ 212,863</b>	<b>\$ 45,444</b>				<b>\$ 3,451,130</b>	<b>\$ 16,238,497</b>	<b>\$ 2,515,428</b>	<b>\$ 14,886,798</b>