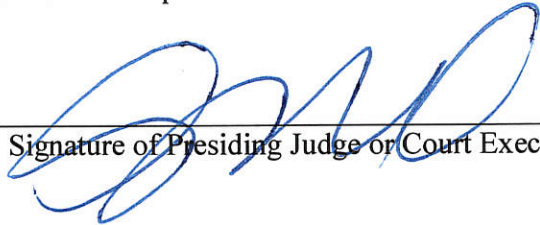


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

  
\_\_\_\_\_  
Date

Sonoma

\_\_\_\_\_  
Court

2018-2019 Q2

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended December									
Fiscal Year 2018/19									2017/18
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (251,637)	\$ 955,559	\$ (354,823)				\$ 77,190	\$ 426,289	\$ 288,328
Payroll	\$ 8,086	\$ 0						\$ 8,086	\$ (3,668)
Jury									
Revolving	\$ 2,155							\$ 2,155	\$ 15,000
Other									
Distribution							\$ (461,649)	\$ (461,649)	\$ 165
Civil Filing Fees							\$ 461,649	\$ 461,649	\$ 0
Trust							\$ (53,569)	\$ (53,569)	\$ (68,010)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 6,025,582						\$ 5,965,654	\$ 11,991,236	\$ 11,682,779
Total Cash and Cash Equivalents	\$ 5,792,137	\$ 955,559	\$ (354,823)				\$ 5,989,275	\$ 12,382,148	\$ 11,922,543
Short-Term Investment									
Investments							\$ 0	\$ 0	\$ 0
Total Investments							\$ 0	\$ 0	\$ 0
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (11,391)	\$ 0	\$ 0					\$ (11,391)	\$ (11,391)
Trust Due To/From							\$ 19,936	\$ 19,936	\$ 11,493
Distribution Due To/From							\$ 19	\$ 19	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 7,659							\$ 7,659	\$ 45,555
Total Receivables	\$ (3,732)	\$ 0	\$ 0				\$ 19,955	\$ 16,223	\$ 45,658
Prepaid Expenses - General	\$ 3,477							\$ 3,477	\$ 10,144
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 3,477							\$ 3,477	\$ 10,144
Other Assets									
Total Other Assets									
Total Assets	\$ 5,791,882	\$ 955,559	\$ (354,823)				\$ 6,009,230	\$ 12,401,847	\$ 11,978,345
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 46,092	\$ 335	\$ 12				\$ 0	\$ 46,439	\$ 32,238
Due to Other Funds	\$ 19	\$ 0	\$ 0				\$ 27,595	\$ 27,614	\$ 57,084
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 461,649	\$ 461,649	\$ 481,220
Due to Other Governments							\$ 952,368	\$ 952,368	\$ 738,100
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 142							\$ 142	\$ 142
Interest							\$ 10,914	\$ 10,914	\$ 3,835
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 46,253	\$ 335	\$ 12				\$ 1,452,526	\$ 1,499,127	\$ 1,312,619
Civil							\$ 3,844,149	\$ 3,844,149	\$ 2,450,758
Criminal							\$ 456,049	\$ 456,049	\$ 420,127
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 29,731
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 71,663	\$ 71,663	\$ 24,883
Miscellaneous Trust									
Total Trust Deposits							\$ 4,401,591	\$ 4,401,591	\$ 2,925,499
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 23,229						\$ 0	\$ 23,229	\$ (168,427)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 318
Deductions Payable	\$ 30,189							\$ 30,189	\$ 29,789
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ 53,417	\$ 0	\$ 0				\$ 0	\$ 53,417	\$ (138,320)
Revenue Collected in Advance			\$ 56,586					\$ 56,586	
Liabilities For Deposits	\$ 29,468						\$ 27,171	\$ 56,639	\$ 56,675
Jury Fees - Non-Interest							\$ 112,076	\$ 112,076	\$ 106,755
Fees - Partial Payment & Overpayment							\$ 15,865	\$ 15,865	\$ 10,658
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 29,468	\$ 0	\$ 56,586				\$ 155,112	\$ 241,166	\$ 174,088
Total Liabilities	\$ 129,138	\$ 335	\$ 56,598				\$ 6,009,230	\$ 6,195,301	\$ 4,273,887
Total Fund Balance	\$ 5,662,743	\$ 955,224	\$ (411,421)					\$ 6,206,546	\$ 7,704,458
Total Liabilities and Fund Balance	\$ 5,791,882	\$ 955,559	\$ (354,823)				\$ 6,009,230	\$ 12,401,847	\$ 11,978,345

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended December										
	Fiscal Year 2018/19								2017/18		
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 14,938,967	\$ 60,811					\$ 14,999,778	\$ 24,340,140	\$ 15,235,633	\$ 23,542,296	
Improvement and Modernization Fund	\$ (76)						\$ (76)	\$ 63,901		\$ 64,555	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 622,446						\$ 622,446	\$ 1,156,603	\$ 470,480	\$ 972,691	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 151,136						\$ 151,136	\$ 464,897	\$ 92,698	\$ 177,588	
Other Miscellaneous	\$ 1,172,049						\$ 1,172,049	\$ 1,172,049	\$ 1,172,050	\$ 1,172,050	
	<b>\$ 16,884,522</b>	<b>\$ 60,811</b>					<b>\$ 16,945,333</b>	<b>\$ 27,197,590</b>	<b>\$ 16,970,861</b>	<b>\$ 25,929,180</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ (8,856)				\$ (8,856)	\$ 811,891	\$ 9,351	\$ 811,890	
Other Judicial Council Grants			\$ (503)				\$ (503)	\$ 56,586	\$ 124,008	\$ 228,317	
Non-Judicial Council Grants											
			<b>\$ (9,359)</b>				<b>\$ (9,359)</b>	<b>\$ 868,477</b>	<b>\$ 133,359</b>	<b>\$ 1,040,207</b>	
Other Financing Sources											
Interest Income	\$ 34,348	\$ 8,052					\$ 42,399	\$ 110,820	\$ 23,436	\$ 40,820	
Investment Income											
Donations											
Local Fees	\$ 84,222	\$ 26,090					\$ 110,312	\$ 342,465	\$ 79,413	\$ 430,800	
Non-Fee Revenues											
Enhanced Collections		\$ (208,632)					\$ (208,632)	\$ 1,298,387	\$ (132,735)	\$ 1,186,221	
Escheatment											
Prior Year Revenue								\$ 128,608		\$ (55,000)	
County Program - Restricted	\$ (13,610)	\$ 2,915					\$ (10,695)	\$ 23,050	\$ 3,034	\$ 23,050	
Reimbursement Other	\$ 29,323						\$ 29,323	\$ 129,035	\$ 49,409	\$ 130,590	
Sale of Fixed Assets											
Other Miscellaneous	\$ 298,040						\$ 298,040	\$ 298,092	\$ 168	\$ 54,050	
	<b>\$ 432,322</b>	<b>\$ (171,575)</b>					<b>\$ 260,747</b>	<b>\$ 2,330,457</b>	<b>\$ 22,726</b>	<b>\$ 1,810,531</b>	
<b>Total Revenues</b>	<b>\$ 17,316,844</b>	<b>\$ (110,764)</b>	<b>\$ (9,359)</b>				<b>\$ 17,196,721</b>	<b>\$ 30,396,524</b>	<b>\$ 17,126,947</b>	<b>\$ 28,779,918</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,429,484	\$ 233,886	\$ 171,923				\$ 5,835,294	\$ 11,573,925	\$ 6,057,728	\$ 12,173,091	
Temp Help											
Overtime	\$ 11,579						\$ 11,579		\$ 52,113		
Staff Benefits	\$ 4,710,575	\$ 235,278	\$ 136,377				\$ 5,082,229	\$ 12,912,708	\$ 5,157,250	\$ 11,661,140	
	<b>\$ 10,151,638</b>	<b>\$ 469,164</b>	<b>\$ 308,300</b>				<b>\$ 10,929,102</b>	<b>\$ 24,486,633</b>	<b>\$ 11,267,091</b>	<b>\$ 23,834,231</b>	
Operating Expenses and Equipment											
General Expense	\$ 116,975	\$ 1,715	\$ 1,287				\$ 119,977	\$ 469,390	\$ 124,505	\$ 371,124	
Printing	\$ 31,731	\$ 2,111	\$ 30				\$ 33,873	\$ 107,830	\$ 43,907	\$ 80,790	
Telecommunications	\$ 50,549	\$ 2,583	\$ 1,490				\$ 54,622	\$ 113,931	\$ 33,486	\$ 121,207	
Postage	\$ 52,364	\$ 3,285					\$ 55,649	\$ 121,745	\$ 47,876	\$ 140,920	
Insurance	\$ 6,399	\$ 345	\$ 203				\$ 6,948	\$ 6,460	\$ 6,413	\$ 7,460	
In-State Travel	\$ 8,260		\$ 2,449				\$ 10,709	\$ 19,180	\$ 4,242	\$ 16,650	
Out-of-State Travel	\$ 8,131						\$ 8,131	\$ 10,000		\$ 12,042	
Training	\$ 5,016		\$ 975				\$ 5,991	\$ 46,450	\$ 4,184	\$ 17,000	
Security Services	\$ 151,676						\$ 151,676	\$ 396,120	\$ 124,754	\$ 410,000	
Facility Operations	\$ 332,565	\$ 1,986					\$ 334,550	\$ 947,942	\$ 230,222	\$ 643,864	
Utilities	\$ 158						\$ 158	\$ 395	\$ 79	\$ 380	
Contracted Services	\$ 1,016,441	\$ 110,222	\$ 34,534				\$ 1,161,197	\$ 1,689,376	\$ 512,868	\$ 1,874,510	
Consulting and Professional Services	\$ 22,457	\$ 683	\$ 70				\$ 23,210	\$ 57,176	\$ (636,000)	\$ 41,820	
Information Technology	\$ 297,006	\$ 15,151	\$ 180				\$ 312,336	\$ 722,911	\$ 205,826	\$ 559,289	
Major Equipment								\$ 6,000	\$ 6,730		
Other Items of Expense	\$ 1,077						\$ 1,077	\$ 3,150	\$ 650	\$ 3,080	
	<b>\$ 2,100,803</b>	<b>\$ 138,080</b>	<b>\$ 41,219</b>				<b>\$ 2,280,103</b>	<b>\$ 4,718,056</b>	<b>\$ 709,742</b>	<b>\$ 4,300,136</b>	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 2,125	\$ 0	\$ 2,150	
Jury Costs	\$ 66,885						\$ 66,885	\$ 147,355	\$ 61,543	\$ 116,550	
Judgements, Settlements and Claims	\$ 5,000						\$ 5,000				
Debt Service											
Other								\$ 1,000,000		\$ 1,000,000	
Capital Costs											
Internal Cost Recovery	\$ (132,536)	\$ 79,993	\$ 52,543				\$ 0	\$ (2)	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	<b>\$ (60,651)</b>	<b>\$ 79,993</b>	<b>\$ 52,543</b>				<b>\$ 71,885</b>	<b>\$ 1,149,478</b>	<b>\$ 61,543</b>	<b>\$ 1,118,700</b>	
<b>Total Expenditures</b>	<b>\$ 12,191,790</b>	<b>\$ 687,238</b>	<b>\$ 402,062</b>				<b>\$ 13,281,090</b>	<b>\$ 30,354,167</b>	<b>\$ 12,038,375</b>	<b>\$ 29,253,067</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,125,054	\$ (798,002)	\$ (411,421)				\$ 3,915,631	\$ 42,357	\$ 5,088,571	\$ (473,149)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 537,689	\$ 1,753,226	\$ 0				\$ 2,290,915	\$ 2,290,915	\$ 2,615,887	\$ 2,615,887	
Ending Balance (Deficit)	\$ 5,662,743	\$ 955,224	\$ (411,421)				\$ 6,206,546	\$ 2,333,272	\$ 7,704,458	\$ 2,142,738	

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December

Fiscal Year 2018/19 2017/18

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 4,745,382	\$ 898,006			\$ 37,972		\$ 5,681,361	\$ 12,035,271	\$ 5,012,163	\$ 11,004,624
Traffic & Other Infractions	\$ 344,723	\$ 60,320					\$ 405,043	\$ 946,006	\$ 375,393	\$ 889,304
Other Criminal Cases	\$ 607,193	\$ 10,043					\$ 617,236	\$ 1,400,822	\$ 659,761	\$ 1,239,004
Civil	\$ 510,327	\$ 3,298					\$ 513,624	\$ 1,281,346	\$ 515,082	\$ 1,102,320
Family & Children Services	\$ 1,027,889	\$ 53,308			\$ 14,571		\$ 1,095,768	\$ 2,801,819	\$ 1,119,954	\$ 2,497,980
Probate, Guardianship & Mental Health Services	\$ 250,926	\$ 1,289					\$ 252,215	\$ 428,708	\$ 317,198	\$ 755,990
Juvenile Dependency Services	\$ 52,446	\$ 533					\$ 52,979	\$ 111,069	\$ 51,692	\$ 107,219
Juvenile Delinquency Services	\$ 59,554	\$ 33,681					\$ 93,235	\$ 194,740	\$ 87,826	\$ 224,215
Other Court Operations	\$ 892,952	\$ 387,734	\$ 0				\$ 1,280,686	\$ 2,764,776	\$ 686,591	\$ 3,675,774
Court Interpreters	\$ 456,490	\$ 278,916					\$ 735,406	\$ 1,484,596	\$ 596,408	\$ 1,215,011
Jury Services	\$ 30,244	\$ 52,358	\$ 66,885				\$ 149,488	\$ 275,516	\$ 214,120	\$ 416,791
Security		\$ 151,676					\$ 151,676		\$ 125,562	
Trial Court Operations Program	\$ 8,978,125	\$ 1,931,163	\$ 66,885		\$ 52,543		\$ 11,028,717	\$ 23,724,669	\$ 9,761,752	\$ 23,128,232
Enhanced Collections	\$ 469,044	\$ 70,125			\$ 79,993		\$ 619,162	\$ 1,298,386	\$ 504,933	\$ 1,186,220
Other Non-Court Operations		\$ 4,500					\$ 4,500	\$ 4,500	\$ 3,000	\$ 9,000
Non-Court Operations Program	\$ 469,044	\$ 74,625			\$ 79,993		\$ 623,662	\$ 1,302,886	\$ 507,933	\$ 1,195,220
Executive Office	\$ 220,263	\$ 13,881					\$ 234,144	\$ 590,762	\$ 457,614	\$ 839,144
Fiscal Services	\$ 298,807	\$ 21,206	\$ 5,000		\$ (132,536)		\$ 192,477	\$ 495,621	\$ 208,267	\$ 520,829
Human Resources	\$ 250,052	\$ 59,428					\$ 309,480	\$ 1,639,010	\$ 349,201	\$ 1,737,862
Business & Facilities Services	\$ 175,049	\$ 15,338					\$ 190,386	\$ 451,236	\$ 183,170	\$ 391,997
Information Technology	\$ 537,762	\$ 164,461					\$ 702,223	\$ 2,149,983	\$ 570,440	\$ 1,439,783
Court Administration Program	\$ 1,481,933	\$ 274,314	\$ 5,000		\$ (132,536)		\$ 1,628,710	\$ 5,326,612	\$ 1,768,691	\$ 4,929,615
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 10,929,102	\$ 2,280,103	\$ 71,885		\$ 0		\$ 13,281,090	\$ 30,354,167	\$ 12,038,375	\$ 29,253,067