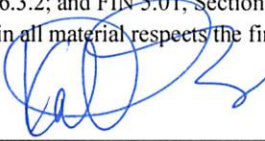


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

12-2-19  
Date

Contra Costa

\_\_\_\_\_  
Court

2019-2020 Qtr 1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Contra Costa  


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 Court  
 2019-2020 Qtr 1  


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 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	337.2	318.8			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2019/20

2018/19

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (1,221,426)	\$ 1,497,930	\$ (427,478)				\$ 349,241	\$ 198,267	\$ 548,910
Payroll	\$ (13,629)							\$ (13,629)	\$ 439
Jury									
Revolving	\$ 50,000							\$ 50,000	\$ 50,000
Other									
Distribution							\$ 0	\$ 0	\$ 2,645
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (456,373)	\$ (456,373)	\$ (407,432)
Credit Card									
Cash on Hand	\$ 7,500							\$ 7,500	\$ 7,496
Cash with County	\$ 196							\$ 196	\$ 196
Cash Outside of the JCC									
Cash Equivalents	\$ 11,015,313						\$ 12,721,009	\$ 23,736,322	\$ 23,188,175
<b>Total Cash and Cash Equivalents</b>	<b>\$ 9,837,954</b>	<b>\$ 1,497,930</b>	<b>\$ (427,478)</b>				<b>\$ 12,613,877</b>	<b>\$ 23,522,283</b>	<b>\$ 23,390,428</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 730							\$ 730	\$ 7,865
Civil Jury Fees									
Trust							\$ 9,621	\$ 9,621	\$ 9,641
Due From Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 633
Due From Other Courts	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 328,349					\$ 328,349	\$ 0
Trust Due To/From									
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 38,781							\$ 38,781	\$ 44,633
<b>Total Receivables</b>	<b>\$ 39,511</b>	<b>\$ 0</b>	<b>\$ 328,349</b>				<b>\$ 9,621</b>	<b>\$ 377,481</b>	<b>\$ 62,772</b>
Prepaid Expenses - General	\$ 80,000							\$ 80,000	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 80,000</b>							<b>\$ 80,000</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 9,957,465</b>	<b>\$ 1,497,930</b>	<b>\$ (99,129)</b>				<b>\$ 12,623,498</b>	<b>\$ 23,979,765</b>	<b>\$ 23,453,200</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 15,595	\$ 0	\$ 0				\$ 527	\$ 16,122	\$ 35,973
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 38,781	\$ 38,781	\$ 44,633
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 7,646,162	\$ 7,646,162	\$ 6,797,805
Due to Other Public Agencies									
Sales and Use Tax	\$ 2,488	\$ 0	\$ 0					\$ 2,488	\$ 172
Interest							\$ 20,097	\$ 20,097	\$ 15,324
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 18,083</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 7,705,567</b>	<b>\$ 7,723,650</b>	<b>\$ 6,893,906</b>
Civil							\$ 3,061,769	\$ 3,061,769	\$ 2,579,095
Criminal							\$ 1,549,723	\$ 1,549,723	\$ 1,220,180
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 140,650	\$ 140,650	\$ 80,110
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 4,752,142</b>	<b>\$ 4,752,142</b>	<b>\$ 3,879,386</b>
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 76,037	\$ 782						\$ 76,819	\$ (40,353)
Deferred Compensation Payable	\$ (113)							\$ (113)	\$ (81)
Deductions Payable	\$ 901,008	\$ 5,982						\$ 906,990	\$ 904,973
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 976,932</b>	<b>\$ 6,764</b>						<b>\$ 983,696</b>	<b>\$ 864,540</b>
Revenue Collected in Advance	\$ 142,729		\$ 0					\$ 142,729	\$ 0
Liabilities For Deposits	\$ 225,566	\$ 1,366	\$ 1,761				\$ 159,956	\$ 388,649	\$ 376,047
Jury Fees - Non-Interest							\$ 4,200	\$ 4,200	\$ 4,200
Fees - Partial Payment & Overpayment							\$ 1,633	\$ 1,633	\$ 1,114
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 368,295</b>	<b>\$ 1,366</b>	<b>\$ 1,761</b>				<b>\$ 165,789</b>	<b>\$ 537,211</b>	<b>\$ 381,361</b>
<b>Total Liabilities</b>	<b>\$ 1,363,309</b>	<b>\$ 8,130</b>	<b>\$ 1,761</b>				<b>\$ 12,623,498</b>	<b>\$ 13,996,698</b>	<b>\$ 12,019,192</b>
<b>Total Fund Balance</b>	<b>\$ 8,594,156</b>	<b>\$ 1,489,800</b>	<b>\$ (100,890)</b>					<b>\$ 9,983,067</b>	<b>\$ 11,434,008</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 9,957,465</b>	<b>\$ 1,497,930</b>	<b>\$ (99,129)</b>				<b>\$ 12,623,498</b>	<b>\$ 23,979,765</b>	<b>\$ 23,453,200</b>

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2019/20										2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 18,647,907	\$ 41,989					\$ 18,689,896	\$ 47,370,551	\$ 18,997,486	\$ 43,619,857	
Improvement and Modernization Fund	\$ (144,156)						\$ (144,156)	\$ 144,157	\$ (61,645)	\$ 144,157	
Judges' Compensation (0150019)	\$ 90,249						\$ 90,249	\$ 351,505	\$ 90,249	\$ 348,220	
Court Interpreter (0150037)	\$ 544,112						\$ 544,112	\$ 4,292,890	\$ 605,635	\$ 2,763,604	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 10,178						\$ 10,178	\$ 4,142,621	\$ 428,287	\$ 3,735,984	
Other Miscellaneous								\$ 1,396,191		\$ 1,396,191	
	\$ 19,148,290	\$ 41,989					\$ 19,190,279	\$ 57,697,915	\$ 20,060,012	\$ 52,008,013	
Grants											
AB 1058 Commissioner/Facilitator			\$ (163,084)				\$ (163,084)	\$ 1,180,809	\$ (344,530)	\$ 1,218,518	
Other Judicial Council Grants			\$ 102,582				\$ 102,582	\$ 256,713	\$ 318,012	\$ 581,743	
Non-Judicial Council Grants											
			\$ (60,502)				\$ (60,502)	\$ 1,437,522	\$ (26,518)	\$ 1,800,261	
Other Financing Sources											
Interest Income	\$ 30,579	\$ 560					\$ 31,139	\$ 402,000	\$ 24,565	\$ 232,122	
Investment Income											
Donations											
Local Fees	\$ 58,176	\$ 47,705					\$ 105,881	\$ 1,080,209	\$ 96,487	\$ 1,073,009	
Non-Fee Revenues									\$ 0		
Enhanced Collections		\$ 27,269					\$ 27,269	\$ 2,812,660	\$ 13,929	\$ 2,677,113	
Escheatment								\$ 175,000		\$ 100,000	
Prior Year Revenue											
County Program - Restricted	\$ 0	\$ 3,773					\$ 3,773	\$ 416,923	\$ 1,796	\$ 511,928	
Reimbursement Other	\$ 184						\$ 184	\$ 36,000	\$ 24,420	\$ 114,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,256						\$ 1,256	\$ 7,000	\$ 4,143	\$ 7,000	
	\$ 90,195	\$ 79,307					\$ 169,503	\$ 4,929,792	\$ 165,340	\$ 4,715,172	
Total Revenues	\$ 19,238,485	\$ 121,296	\$ (60,502)				\$ 19,299,279	\$ 64,065,229	\$ 20,198,834	\$ 58,523,446	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,942,031	\$ 21,822	\$ 18,402				\$ 5,982,255	\$ 26,525,927	\$ 5,562,382	\$ 24,503,474	
Temp Help	\$ 34,727		\$ 343				\$ 35,070	\$ 75,000	\$ 52,562	\$ 75,000	
Overtime	\$ 24,019						\$ 24,019	\$ 45,000	\$ 49,757	\$ 125,000	
Staff Benefits	\$ 3,965,610	\$ 13,819	\$ 9,589				\$ 3,989,018	\$ 20,123,759	\$ 3,541,973	\$ 19,115,917	
	\$ 9,966,387	\$ 35,641	\$ 28,334				\$ 10,030,362	\$ 46,769,686	\$ 9,206,674	\$ 43,819,391	
Operating Expenses and Equipment											
General Expense	\$ 115,731		\$ 1,202				\$ 116,933	\$ 892,494	\$ 129,548	\$ 1,159,702	
Printing	\$ 15,284	\$ 7,624	\$ 198				\$ 23,106	\$ 213,150	\$ 27,483	\$ 240,918	
Telecommunications	\$ 37,604						\$ 37,604	\$ 287,775	\$ 19,306	\$ 217,337	
Postage	\$ 2,000						\$ 2,000	\$ 172,415	\$ 11,165	\$ 134,300	
Insurance								\$ 22,300		\$ 18,500	
In-State Travel	\$ 6,139		\$ 2,291				\$ 8,430	\$ 45,950	\$ 4,721	\$ 51,650	
Out-of-State Travel			\$ 1,537				\$ 1,537		\$ 0		
Training	\$ 760	\$ 1,170	\$ 965				\$ 2,895	\$ 50,863	\$ 17,573	\$ 47,765	
Security Services	\$ 812						\$ 812	\$ 18,037	\$ 801	\$ 5,000	
Facility Operations	\$ 57,294						\$ 57,294	\$ 1,164,626	\$ 75,226	\$ 855,016	
Utilities	\$ 1,624						\$ 1,624		\$ 3,744		
Contracted Services	\$ 975,053	\$ 514,182	\$ 3,105				\$ 1,492,340	\$ 8,976,914	\$ 1,328,121	\$ 8,153,484	
Consulting and Professional Services	\$ 14,740	\$ 0					\$ 14,740	\$ 1,298,500	\$ 178,027	\$ 1,766,400	
Information Technology	\$ 106,109	\$ 175,906	\$ 498				\$ 282,514	\$ 4,338,389	\$ 738,786	\$ 2,913,481	
Major Equipment	\$ 0						\$ 0	\$ 360,810	\$ 83,722	\$ 137,112	
Other Items of Expense	\$ 82						\$ 82	\$ 40,500	\$ 5,406	\$ 40,500	
	\$ 1,333,232	\$ 698,882	\$ 9,796				\$ 2,041,910	\$ 17,882,723	\$ 2,623,629	\$ 15,741,165	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 114,060	\$ 4,581					\$ 118,641	\$ 700,000	\$ 115,485	\$ 700,000	
Judgements, Settlements and Claims											
Debt Service											
Other										\$ 200,000	
Capital Costs											
Internal Cost Recovery	\$ (11,096)	\$ 8,839	\$ 2,257				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 2,061		
	\$ 102,964	\$ 13,420	\$ 2,257				\$ 118,641	\$ 700,000	\$ 117,546	\$ 900,000	
Total Expenditures	\$ 11,402,583	\$ 747,943	\$ 40,387				\$ 12,190,913	\$ 65,352,409	\$ 11,947,850	\$ 60,460,556	
Excess (Deficit) of Revenues Over Expenditures	\$ 7,835,902	\$ (626,647)	\$ (100,890)				\$ 7,108,366	\$ (1,287,180)	\$ 8,250,984	\$ (1,937,110)	
Operating Transfers In (Out)										\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 758,254	\$ 2,116,447	\$ 0				\$ 2,874,701	\$ 2,874,701	\$ 3,183,024	\$ 3,183,024	
Ending Balance (Deficit)	\$ 8,594,156	\$ 1,489,800	\$ (100,890)				\$ 9,983,067	\$ 1,587,521	\$ 11,434,008	\$ 1,245,914	

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2019/20									2018/19	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 3,083,579	\$ 121,000					\$ 3,204,579	\$ 14,407,815	\$ 3,017,448	\$ 13,916,804
Traffic & Other Infractions	\$ 259,379	\$ 44,828					\$ 304,207	\$ 1,600,056	\$ 299,581	\$ 1,327,079
Other Criminal Cases	\$ 641,277	\$ 19,006					\$ 660,282	\$ 3,158,030	\$ 572,603	\$ 2,769,238
Civil	\$ 778,419	\$ 1,868					\$ 780,287	\$ 4,258,129	\$ 816,837	\$ 3,943,076
Family & Children Services	\$ 1,149,976	\$ 11,317			\$ 1,680		\$ 1,162,974	\$ 5,837,274	\$ 1,071,537	\$ 5,669,127
Probate, Guardianship & Mental Health Services	\$ 343,856	\$ 22,525					\$ 366,381	\$ 1,588,316	\$ 288,580	\$ 1,188,823
Juvenile Dependency Services	\$ 23,863	\$ 458,976					\$ 482,839	\$ 2,707,047	\$ 610,656	\$ 2,424,610
Juvenile Delinquency Services	\$ 39,204						\$ 39,204	\$ 90,064	\$ 36,895	
Other Court Operations	\$ 937,349	\$ 20,022					\$ 957,948	\$ 3,891,084	\$ 1,075,185	\$ 3,882,707
Court Interpreters	\$ 446,020	\$ 238,883				\$ 577	\$ 684,903	\$ 3,352,390	\$ 626,846	\$ 2,739,031
Jury Services	\$ 138,733	\$ 32,765	\$ 118,641				\$ 290,138	\$ 1,609,422	\$ 251,997	\$ 1,492,553
Security		\$ 5,111					\$ 5,111	\$ 198,812	\$ 3,058	\$ 187,947
Trial Court Operations Program	\$ 7,841,653	\$ 976,300	\$ 118,641		\$ 2,257		\$ 8,938,851	\$ 42,698,439	\$ 8,671,223	\$ 39,540,995
Enhanced Collections	\$ 58,017	\$ 558,430			\$ 14,393		\$ 630,840	\$ 3,465,937	\$ 357,574	\$ 3,386,383
Other Non-Court Operations		\$ 1,235					\$ 1,235	\$ 136,000	\$ 23,605	\$ 36,424
Non-Court Operations Program	\$ 58,017	\$ 559,665			\$ 14,393		\$ 632,075	\$ 3,601,937	\$ 381,179	\$ 3,422,807
Executive Office	\$ 334,116	\$ 136			\$ (2,030)		\$ 332,221	\$ 1,286,750	\$ 232,947	\$ 1,033,016
Fiscal Services	\$ 707,694	\$ 77,718			\$ (4,258)		\$ 781,154	\$ 1,015,378	\$ 527,508	\$ 1,239,504
Human Resources	\$ 541,267	\$ 16,534			\$ (5,477)		\$ 552,323	\$ 6,042,070	\$ 486,767	\$ 6,149,650
Business & Facilities Services	\$ 147,331	\$ 109,082			\$ (2,433)		\$ 253,980	\$ 2,665,900	\$ 349,260	\$ 2,122,599
Information Technology	\$ 400,284	\$ 302,474			\$ (2,451)		\$ 700,308	\$ 8,041,935	\$ 1,298,965	\$ 6,951,985
Court Administration Program	\$ 2,130,692	\$ 505,945			\$ (16,650)		\$ 2,619,987	\$ 19,052,033	\$ 2,895,448	\$ 17,496,754
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 10,030,362	\$ 2,041,910	\$ 118,641		\$ 0		\$ 12,190,913	\$ 65,352,409	\$ 11,947,850	\$ 60,460,556