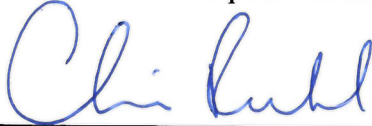


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/03/2019

Date

Monterey

Court

FY 19-20 1st Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Monterey

Court

FY 19-20 1st Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Monterey

Court

FY 19-20 1st Qtr

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	200.8	188.8			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September										
Fiscal Year 2019/20								2018/19		
	Governmental Funds						Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant							
ASSETS										
Operations	\$ (1,114,278)	\$ 672,938	\$ 376,768				\$ 61,382	\$ (3,190)	\$ 100,281	
Payroll	\$ (1,980)	\$ 0						\$ (1,980)	\$ (277)	
Jury										
Revolving	\$ 25,000							\$ 25,000	\$ 25,000	
Other										
Distribution							\$ (55,960)	\$ (55,960)	\$ 21,256	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (71,548)	\$ (71,548)	\$ (211,071)	
Credit Card										
Cash on Hand	\$ 5,750							\$ 5,750	\$ 5,300	
Cash with County										
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0	
Cash Equivalents	\$ 5,605,542						\$ 3,818,024	\$ 9,423,565	\$ 8,968,199	
Total Cash and Cash Equivalents	\$ 4,520,034	\$ 672,938	\$ 376,768				\$ 3,751,898	\$ 9,321,637	\$ 8,908,688	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0							\$ 0	\$ 0	
Due From Other Governments	\$ 669	\$ 32,131	\$ 0					\$ 32,800	\$ 25,791	
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Due From State	\$ 16,967	\$ 0	\$ 113,918					\$ 130,885	\$ 113,662	
Trust Due To/From							\$ 142,404	\$ 142,404	\$ 41,744	
Distribution Due To/From							\$ 64,195	\$ 64,195	\$ 32,879	
Civil Filing Fee Due To/From										
General Due To/From	\$ 9,149							\$ 9,149	\$ 6,711	
Total Receivables	\$ 26,785	\$ 32,131	\$ 113,918				\$ 206,600	\$ 379,433	\$ 220,788	
Prepaid Expenses - General	\$ 183,473		\$ 2,859					\$ 186,333	\$ 14,006	
Salary and Travel Advances	\$ 0							\$ 0	\$ 0	
Counties										
Total Prepaid Expenses	\$ 183,473		\$ 2,859					\$ 186,333	\$ 14,006	
Other Assets										
Total Other Assets										
Total Assets	\$ 4,730,292	\$ 705,068	\$ 493,545				\$ 3,958,497	\$ 9,887,402	\$ 9,143,482	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 224,633	\$ 0	\$ 0				\$ 0	\$ 224,633	\$ 63,193	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 215,684	\$ 215,684	\$ 81,335	
Due to Other Courts										
Due to State	\$ 0		\$ 0					\$ 0	\$ 2	
TC145 Liability							\$ 428,572	\$ 428,572	\$ 449,336	
Due to Other Governments										
AB145 Due to Other Government Agency							\$ 306,723	\$ 306,723	\$ 278,977	
Due to Other Public Agencies										
Sales and Use Tax	\$ 1,143							\$ 1,143	\$ 1,161	
Interest							\$ 6,139	\$ 6,139	\$ 4,294	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 225,776	\$ 0	\$ 0				\$ 957,118	\$ 1,182,894	\$ 878,297	
Civil							\$ 1,207,118	\$ 1,207,118	\$ 300,328	
Criminal							\$ 1,412,020	\$ 1,412,020	\$ 1,411,791	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 28,122	\$ 28,122	\$ 10,397	
Miscellaneous Trust										
Total Trust Deposits							\$ 2,647,260	\$ 2,647,260	\$ 1,722,517	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ 257,414							\$ 257,414	\$ 268,411	
Deferred Compensation Payable	\$ 923							\$ 923	\$ 923	
Deductions Payable	\$ 83,272							\$ 83,272	\$ 75,638	
Payroll Clearing	\$ 0							\$ 0		
Total Payroll Liabilities	\$ 341,610	\$ 0	\$ 0					\$ 341,610	\$ 344,972	
Revenue Collected in Advance	\$ 69		\$ 324,931					\$ 325,000	\$ 329,359	
Liabilities For Deposits	\$ 33,666		\$ 228				\$ 354,120	\$ 388,013	\$ 387,456	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections									\$ 0	
Other Miscellaneous Liabilities	\$ 260							\$ 260	\$ 0	
Total Other Liabilities	\$ 33,995		\$ 325,158				\$ 354,120	\$ 713,273	\$ 716,815	
Total Liabilities	\$ 601,380	\$ 0	\$ 325,158				\$ 3,958,497	\$ 4,885,036	\$ 3,662,601	
Total Fund Balance	\$ 4,128,912	\$ 705,068	\$ 168,386					\$ 5,002,366	\$ 5,480,881	
Total Liabilities and Fund Balance	\$ 4,730,292	\$ 705,068	\$ 493,545				\$ 3,958,497	\$ 9,887,402	\$ 9,143,482	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2019/20											
	Governmental Funds							2018/19			
	Special Revenue			Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 9,161,228	\$ 15,510					\$ 9,176,738	\$ 22,224,597	\$ 9,291,110	\$ 21,430,303	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 55,962		\$ 0	
Judges' Compensation (0150019)	\$ 45,126						\$ 45,126	\$ 180,501	\$ 45,126	\$ 180,501	
Court Interpreter (0150037)	\$ 70,185						\$ 70,185	\$ 1,528,203	\$ 244,068	\$ 1,359,497	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 30,898						\$ 30,898	\$ 883,462	\$ 9,695	\$ 791,264	
Other Miscellaneous								\$ 277,496		\$ 277,496	
	\$ 9,307,437	\$ 15,510					\$ 9,322,947	\$ 25,150,221	\$ 9,589,999	\$ 24,039,061	
Grants											
AB 1058 Commissioner/Facilitator			\$ (2,623)				\$ (2,623)	\$ 557,629	\$ (176,645)	\$ 561,029	
Other Judicial Council Grants			\$ 330,365				\$ 330,365	\$ 569,356	\$ 267,660	\$ 597,075	
Non-Judicial Council Grants			\$ 60,998				\$ 60,998	\$ 133,329	\$ 139,182	\$ 638,353	
			\$ 388,739				\$ 388,739	\$ 1,260,314	\$ 230,197	\$ 1,796,457	
Other Financing Sources											
Interest Income	\$ 7,852	\$ 1,226					\$ 9,078	\$ 138,685	\$ 7,628	\$ 112,445	
Investment Income											
Donations											
Local Fees	\$ 19,638	\$ 23,266					\$ 42,903	\$ 247,117	\$ 35,519	\$ 229,340	
Non-Fee Revenues		\$ 1,841					\$ 1,841	\$ 3,484	\$ 3,484	\$ 13,746	
Enhanced Collections											
Escheatment								\$ 100,000		\$ 100,000	
Prior Year Revenue											
County Program - Restricted		\$ 6,910					\$ 6,910	\$ 74,436	\$ 5,838	\$ 73,488	
Reimbursement Other	\$ 1,684	\$ 30,194					\$ 31,878	\$ 129,450	\$ 29,605	\$ 161,331	
Sale of Fixed Assets											
Other Miscellaneous	\$ 30,838						\$ 30,838	\$ 126,528	\$ 17,103	\$ 118,228	
	\$ 60,012	\$ 63,437					\$ 123,448	\$ 819,700	\$ 95,693	\$ 808,578	
Total Revenues	\$ 9,367,449	\$ 78,947	\$ 388,739				\$ 9,835,135	\$ 27,230,235	\$ 9,915,889	\$ 26,644,096	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,837,973	\$ 13,977	\$ 60,399				\$ 2,912,349	\$ 14,533,435	\$ 2,679,002	\$ 13,436,585	
Temp Help	\$ 13,519						\$ 13,519	\$ 67,954	\$ 14,410	\$ 60,923	
Overtime	\$ 17,548						\$ 17,548	\$ 75,000	\$ 9,027	\$ 71,689	
Staff Benefits	\$ 2,142,871	\$ 11,202	\$ 25,320				\$ 2,179,394	\$ 6,547,187	\$ 2,004,573	\$ 6,110,937	
	\$ 5,011,911	\$ 25,180	\$ 85,719				\$ 5,122,810	\$ 21,223,576	\$ 4,707,012	\$ 19,680,134	
Operating Expenses and Equipment											
General Expense	\$ 205,234		\$ 400				\$ 205,634	\$ 640,207	\$ 64,156	\$ 906,984	
Printing	\$ 1,624						\$ 1,624	\$ 26,508	\$ 6,924	\$ 25,002	
Telecommunications	\$ 23,482		\$ 2,994				\$ 26,476	\$ 148,055	\$ 7,115	\$ 140,114	
Postage	\$ 56,193						\$ 56,193	\$ 118,585	\$ 20,819	\$ 115,000	
Insurance								\$ 9,006	\$ 6	\$ 10,326	
In-State Travel	\$ 4,801		\$ 9,743				\$ 14,544	\$ 41,104	\$ 3,122	\$ 69,697	
Out-of-State Travel	\$ 891		\$ 2,747				\$ 3,638	\$ 6,270	\$ 6,473	\$ 2,861	
Training	\$ 12,493		\$ 2,873				\$ 15,366	\$ 61,469	\$ 18,423	\$ 55,611	
Security Services	\$ 120,144						\$ 120,144	\$ 631,225	\$ 85,558	\$ 630,261	
Facility Operations	\$ 73,796						\$ 73,796	\$ 312,206	\$ 31,117	\$ 270,534	
Utilities											
Contracted Services	\$ 279,148	\$ 23,006	\$ 111,813				\$ 413,966	\$ 2,311,713	\$ 416,703	\$ 2,808,063	
Consulting and Professional Services	\$ 3,895						\$ 3,895	\$ 12,566	\$ 1,000	\$ 3,137	
Information Technology	\$ 78,657		\$ 4,064				\$ 82,721	\$ 457,470	\$ 89,574	\$ 584,161	
Major Equipment	\$ 48,688						\$ 48,688	\$ 892,007		\$ 1,211,299	
Other Items of Expense	\$ 609						\$ 609	\$ 10,268	\$ 1,658	\$ 10,739	
	\$ 909,653	\$ 23,006	\$ 134,634				\$ 1,067,292	\$ 5,678,659	\$ 752,647	\$ 6,843,789	
Special Items of Expense											
Grand Jury	\$ 185						\$ 185	\$ 16,286	\$ (640)	\$ 16,301	
Jury Costs	\$ 23,576						\$ 23,576	\$ 160,981	\$ 11,262	\$ 151,138	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (23,445)	\$ 5,388	\$ 18,057				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 316	\$ 5,388	\$ 18,057				\$ 23,761	\$ 177,267	\$ 10,622	\$ 167,439	
Total Expenditures	\$ 5,921,880	\$ 53,573	\$ 238,410				\$ 6,213,863	\$ 27,079,502	\$ 5,470,281	\$ 26,691,362	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,445,569	\$ 25,374	\$ 150,329				\$ 3,621,271	\$ 150,733	\$ 4,445,608	\$ (47,266)	
Operating Transfers In (Out)	\$ (18,057)		\$ 18,057				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 701,400	\$ 679,695	\$ 0				\$ 1,381,095	\$ 1,381,095	\$ 1,035,273	\$ 1,035,273	
Ending Balance (Deficit)	\$ 4,128,912	\$ 705,068	\$ 168,386				\$ 5,002,366	\$ 1,531,828	\$ 5,480,881	\$ 988,007	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
	Fiscal Year 2019/20							2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,459,147	\$ 71,066					\$ 1,530,213	\$ 6,290,405	\$ 1,202,240	\$ 5,613,673
Traffic & Other Infractions	\$ 235,606	\$ 26,554					\$ 262,160	\$ 882,020	\$ 144,912	\$ 882,220
Other Criminal Cases	\$ 682,774	\$ 14,642					\$ 692,028	\$ 2,487,703	\$ 523,820	\$ 2,439,643
Civil	\$ 129,210	\$ 6,142			\$ (5,388)		\$ 135,352	\$ 1,034,138	\$ 139,789	\$ 985,250
Family & Children Services	\$ 404,944	\$ 41,294					\$ 446,237	\$ 1,738,751	\$ 331,873	\$ 1,731,340
Probate, Guardianship & Mental Health Services	\$ 99,748	\$ 43,841					\$ 143,589	\$ 740,597	\$ 88,151	\$ 699,052
Juvenile Dependency Services	\$ 23,366	\$ 65,750					\$ 89,116	\$ 622,722	\$ 61,751	\$ 540,573
Juvenile Delinquency Services	\$ 47,266						\$ 47,266	\$ 237,489	\$ 42,472	\$ 191,632
Other Court Operations	\$ 377,544						\$ 377,544	\$ 1,809,680	\$ 331,381	\$ 1,526,035
Court Interpreters	\$ 274,356	\$ 60,250					\$ 334,606	\$ 1,544,072	\$ 234,853	\$ 1,355,427
Jury Services	\$ 50,607	\$ 15,807	\$ 23,576				\$ 89,990	\$ 534,462	\$ 42,267	\$ 497,266
Security		\$ 62,526					\$ 62,526	\$ 622,155	\$ 84,206	\$ 609,758
Trial Court Operations Program	\$ 3,784,567	\$ 407,871	\$ 23,576			\$ (5,388)	\$ 4,210,627	\$ 18,544,195	\$ 3,227,716	\$ 17,071,869
Enhanced Collections	\$ 31,721					\$ 5,388	\$ 37,109	\$ 87,910	\$ 24,035	\$ 119,394
Other Non-Court Operations			\$ (177)				\$ (177)	\$ 16,286	\$ (640)	\$ 16,301
Non-Court Operations Program	\$ 31,721		\$ (177)			\$ 5,388	\$ 36,932	\$ 104,196	\$ 23,395	\$ 135,695
Executive Office	\$ 368,119	\$ 63,404					\$ 431,523	\$ 1,691,320	\$ 442,810	\$ 2,168,724
Fiscal Services	\$ 236,131	\$ 5,208					\$ 241,339	\$ 942,303	\$ 192,839	\$ 802,477
Human Resources	\$ 102,422	\$ 18,159					\$ 120,581	\$ 805,196	\$ 931,716	\$ 1,567,570
Business & Facilities Services	\$ 105,121	\$ 301,651					\$ 406,772	\$ 1,884,919	\$ 146,589	\$ 1,501,226
Information Technology	\$ 494,729	\$ 270,998	\$ 362			\$ 0	\$ 766,088	\$ 3,107,374	\$ 505,217	\$ 3,443,801
Court Administration Program	\$ 1,306,522	\$ 659,421	\$ 362			\$ 0	\$ 1,966,304	\$ 8,431,111	\$ 2,219,170	\$ 9,483,798
Expenditures Not Distributed or Posted to a Program									\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,122,810	\$ 1,067,292	\$ 23,761			\$ 0	\$ 6,213,863	\$ 27,079,502	\$ 5,470,281	\$ 26,691,362