

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/10/20

Date

ALAMEDA

Court

FY 2019-2020 Q2

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2019-2020 Q2

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

FY 2019-2020 Q2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	749.1	683.2	686.7		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2019/20									2018/19
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,021,174)	\$ 3,489,949	\$ (1,697,804)	\$ 0			\$ 397,214	\$ 168,185	\$ 329,936
Payroll	\$ 18,951	\$ 0						\$ 18,951	\$ (5,402)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 100,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 109,502	\$ 109,502	\$ 113,745
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (2,704,523)	\$ (2,704,523)	\$ (2,172,411)
Credit Card									
Cash on Hand	\$ 20,090							\$ 20,090	\$ 21,290
Cash with County	\$ 205,776							\$ 205,776	\$ 50,591
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 27,371,517						\$ 26,408,296	\$ 53,779,813	\$ 51,524,721
Total Cash and Cash Equivalents	\$ 25,620,160	\$ 3,489,949	\$ (1,697,804)	\$ 0			\$ 24,210,489	\$ 51,622,794	\$ 49,962,470
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 31,384		\$ 0					\$ 31,384	\$ 33,592
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 117,195	\$ 193,531					\$ 310,726	\$ 288,685
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 738	\$ 0	\$ 981,833					\$ 982,570	\$ 953,069
Trust Due To/From							\$ 1,969,164	\$ 1,969,164	\$ 1,529,596
Distribution Due To/From							\$ 229,789	\$ 229,789	\$ 245,707
Civil Filing Fee Due To/From									
General Due To/From	\$ 144,679	\$ 208,803						\$ 353,482	\$ 0
Total Receivables	\$ 176,801	\$ 325,998	\$ 1,175,364				\$ 2,250,890	\$ 3,929,052	\$ 3,102,586
Prepaid Expenses - General	\$ 0		\$ 0					\$ 0	\$ 0
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0		\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 25,796,961	\$ 3,815,947	\$ (522,441)	\$ 0			\$ 26,461,379	\$ 55,551,846	\$ 53,065,055
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 24,779	\$ 0	\$ 1,928	\$ 0			\$ 0	\$ 26,707	\$ 107,688
Due to Other Funds	\$ 35	\$ 0	\$ 0				\$ 2,552,400	\$ 2,552,435	\$ 1,775,303
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 216,200
TC145 Liability							\$ 1,838,982	\$ 1,838,982	\$ 1,811,161
Due to Other Governments	\$ 0						\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,586,124	\$ 1,586,124	\$ 1,419,410
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,143							\$ 1,143	\$ 99
Interest							\$ 34,783	\$ 34,783	\$ 50,473
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 25,958	\$ 0	\$ 1,928	\$ 0			\$ 6,012,288	\$ 6,040,174	\$ 5,380,333
Civil							\$ 10,786,908	\$ 10,786,908	\$ 11,413,332
Criminal							\$ 7,026,463	\$ 7,026,463	\$ 6,552,855
Unreconciled - Civil and Criminal							\$ 524,576	\$ 524,576	\$ 422,574
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 459,944	\$ 459,944	\$ 243,154
Miscellaneous Trust									
Total Trust Deposits							\$ 18,797,891	\$ 18,797,891	\$ 18,631,915
Accrued Payroll	\$ 2,223,235	\$ 12,798						\$ 2,236,033	\$ 1,683,666
Benefits Payable	\$ 110,140							\$ 110,140	\$ 33,878
Deferred Compensation Payable	\$ 67,108							\$ 67,108	\$ 0
Deductions Payable	\$ 133,445	\$ (2,827)						\$ 130,618	\$ 120,154
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 2,533,929	\$ 9,971						\$ 2,543,900	\$ 1,837,698
Revenue Collected in Advance	\$ 99,291	\$ 3,676,028	\$ 69,457					\$ 3,844,775	\$ 232,704
Liabilities For Deposits	\$ 167,258	\$ 6,692	\$ 266				\$ 497,599	\$ 671,815	\$ 1,199,301
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 56,630	\$ 56,630	\$ 43,554
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ 1,096,970	\$ 1,096,970	\$ 2,889,880
Total Other Liabilities	\$ 266,549	\$ 3,682,720	\$ 69,722				\$ 1,651,199	\$ 5,670,191	\$ 4,365,439
Total Liabilities	\$ 2,826,436	\$ 3,692,691	\$ 71,650	\$ 0			\$ 26,461,379	\$ 33,052,155	\$ 30,215,385
Total Fund Balance	\$ 22,970,525	\$ 123,256	\$ (594,091)	\$ 0				\$ 22,499,691	\$ 22,849,670
Total Liabilities and Fund Balance	\$ 25,796,961	\$ 3,815,947	\$ (522,441)	\$ 0			\$ 26,461,379	\$ 55,551,846	\$ 53,065,055

Superior Court of California, County of Alameda
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended December											
Fiscal Year 2019/20										2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 55,272,113	\$ 203,360					\$ 55,475,473	\$ 83,258,735	\$ 48,044,272	\$ 79,111,015	
Improvement and Modernization Fund	\$ 238,021						\$ 238,021	\$ 418,149	\$ 9,594	\$ 389,286	
Judges' Compensation (0150019)	\$ 346,752						\$ 346,752	\$ 712,500	\$ 356,250	\$ 712,500	
Court Interpreter (0150037)	\$ 2,430,592						\$ 2,430,592	\$ 5,000,000	\$ 2,451,320	\$ 5,001,429	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 665,164						\$ 665,164	\$ 1,411,140	\$ 467,686	\$ 1,410,827	
Other Miscellaneous	\$ 3,102,046						\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	
	\$ 62,054,689	\$ 203,360					\$ 62,258,049	\$ 93,902,570	\$ 54,431,167	\$ 89,727,103	
Grants											
AB 1058 Commissioner/Facilitator			\$ 740,418				\$ 740,418	\$ 1,987,128	\$ 660,374	\$ 1,693,597	
Other Judicial Council Grants			\$ 137,705				\$ 137,705	\$ 565,649	\$ 171,933	\$ 571,377	
Non-Judicial Council Grants			\$ 474,074				\$ 474,074	\$ 2,073,972	\$ 444,670	\$ 1,856,825	
			\$ 1,352,196				\$ 1,352,196	\$ 4,626,749	\$ 1,276,977	\$ 4,121,799	
Other Financing Sources											
Interest Income	\$ 202,229	\$ 13,860					\$ 216,088	\$ 1,098,256	\$ 238,699	\$ 727,766	
Investment Income											
Donations											
Local Fees	\$ 1,007,444	\$ 46,078					\$ 1,053,522	\$ 3,074,947	\$ 1,028,650	\$ 3,075,674	
Non-Fee Revenues	\$ 98,825						\$ 98,825	\$ 98,825	\$ 40,717	\$ 98,825	
Enhanced Collections		\$ 1,309,639					\$ 1,309,639	\$ 2,686,324	\$ 1,035,140	\$ 2,829,421	
Escheatment								\$ 1,015,000		\$ 43,382	
Prior Year Revenue											
County Program - Restricted		\$ 130,500					\$ 130,500	\$ 613,482	\$ 39,503	\$ 491,334	
Reimbursement Other	\$ 57,417						\$ 57,417	\$ 213,067	\$ 51,914	\$ 197,559	
Sale of Fixed Assets											
Other Miscellaneous	\$ (8,518)						\$ (8,518)	\$ 75,777	\$ (19,209)	\$ 55,768	
	\$ 1,357,396	\$ 1,500,077					\$ 2,857,473	\$ 8,875,678	\$ 2,415,414	\$ 7,519,729	
Total Revenues	\$ 63,412,086	\$ 1,703,437	\$ 1,352,196				\$ 66,467,719	\$ 107,404,997	\$ 58,123,558	\$ 101,368,631	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 26,681,996	\$ 301,651	\$ 975,249				\$ 27,958,896	\$ 55,371,652	\$ 25,151,945	\$ 52,035,302	
Temp Help	\$ 341,273		\$ 6,219				\$ 347,492	\$ 315,122	\$ 531,206	\$ 440,437	
Overtime	\$ 9,452						\$ 9,452	\$ 29,000	\$ 15,868	\$ 31,585	
Staff Benefits	\$ 13,392,521	\$ 154,893	\$ 442,341				\$ 13,989,755	\$ 31,991,180	\$ 13,452,536	\$ 30,708,004	
	\$ 40,425,242	\$ 456,544	\$ 1,423,810				\$ 42,305,596	\$ 87,706,954	\$ 39,151,556	\$ 83,215,328	
Operating Expenses and Equipment											
General Expense	\$ 834,379	\$ 262	\$ 21,717				\$ 856,359	\$ 1,574,779	\$ 851,997	\$ 1,451,858	
Printing	\$ 115,944		\$ 558				\$ 116,502	\$ 437,855	\$ 142,110	\$ 439,753	
Telecommunications	\$ 157,368		\$ 1,328				\$ 158,696	\$ 489,406	\$ 177,458	\$ 499,492	
Postage	\$ 282,010		\$ 0				\$ 282,010	\$ 546,834	\$ 150,470	\$ 547,205	
Insurance	\$ 40,656						\$ 40,656	\$ 33,000	\$ 32,397	\$ 32,397	
In-State Travel	\$ 34,605		\$ 15,301				\$ 49,906	\$ 140,848	\$ 55,829	\$ 145,800	
Out-of-State Travel	\$ 360		\$ 4,042				\$ 4,403	\$ 9,839	\$ 1,661	\$ 41,794	
Training	\$ 43,718		\$ 11,690				\$ 55,408	\$ 176,875	\$ 61,357	\$ 176,613	
Security Services	\$ 768						\$ 768	\$ 24,075	\$ 3,356	\$ 24,075	
Facility Operations	\$ 1,086,118						\$ 1,086,118	\$ 1,343,672	\$ 1,406,509	\$ 529,958	
Utilities											
Contracted Services	\$ 2,526,041	\$ 2,831,168	\$ 297,970				\$ 5,655,179	\$ 7,939,117	\$ 3,184,068	\$ 7,758,017	
Consulting and Professional Services	\$ 149,131						\$ 149,131	\$ 550,000	\$ (87,816)	\$ 800,000	
Information Technology	\$ 1,223,687						\$ 1,223,687	\$ 3,024,832	\$ 674,567	\$ 1,484,444	
Major Equipment			\$ 6,870				\$ 6,870	\$ 26,319	\$ 8,458	\$ 35,000	
Other Items of Expense	\$ 19,417		\$ 23,938				\$ 43,355	\$ 173,759	\$ 17,033	\$ 160,855	
	\$ 6,514,203	\$ 2,831,430	\$ 383,413				\$ 9,729,047	\$ 16,491,210	\$ 6,679,454	\$ 14,127,261	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 327,052						\$ 327,052	\$ 549,622	\$ 207,031	\$ 531,075	
Judgements, Settlements and Claims	\$ 5,977						\$ 5,977		\$ 0	\$ 0	
Debt Service											
Other								\$ 410,332		\$ 0	
Capital Costs								\$ 2,162,500		\$ 2,162,500	
Internal Cost Recovery	\$ (180,970)	\$ 41,905	\$ 139,065				\$ 0	\$ 0	\$ 0	\$ 64,990	
Prior Year Expense Adjustment									\$ 0	\$ 0	
	\$ 152,059	\$ 41,905	\$ 139,065				\$ 333,028	\$ 3,122,454	\$ 207,031	\$ 2,758,565	
Total Expenditures	\$ 47,091,504	\$ 3,329,880	\$ 1,946,287				\$ 52,367,671	\$ 107,320,618	\$ 46,038,041	\$ 100,101,154	
Excess (Deficit) of Revenues Over Expenditures	\$ 16,320,581	\$ (1,626,443)	\$ (594,091)				\$ 14,100,048	\$ 84,379	\$ 12,085,517	\$ 1,267,477	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,649,944	\$ 1,749,699	\$ 0	\$ 0			\$ 8,399,643	\$ 8,399,643	\$ 10,764,153	\$ 10,764,153	
Ending Balance (Deficit)	\$ 22,970,525	\$ 123,256	\$ (594,091)	\$ 0			\$ 22,499,691	\$ 8,484,022	\$ 22,849,670	\$ 12,031,630	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2019/20									2018/19	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 14,631,096	\$ 594,557	\$ 5,977				\$ 15,231,630	\$ 32,609,380	\$ 14,428,813	\$ 31,633,707
Traffic & Other Infractions	\$ 1,760,666	\$ 288,837					\$ 2,049,503	\$ 4,583,793	\$ 1,987,528	\$ 4,321,467
Other Criminal Cases	\$ 4,332,010	\$ 2,027,125					\$ 6,359,136	\$ 9,864,120	\$ 3,979,690	\$ 8,666,799
Civil	\$ 2,493,723	\$ 3,656					\$ 2,497,379	\$ 4,867,884	\$ 2,024,438	\$ 4,353,870
Family & Children Services	\$ 5,366,074	\$ 246,703					\$ 5,612,777	\$ 12,726,898	\$ 5,099,348	\$ 11,012,634
Probate, Guardianship & Mental Health Services	\$ 1,218,060	\$ 4,976					\$ 1,223,035	\$ 2,607,636	\$ 1,283,270	\$ 2,779,568
Juvenile Dependency Services	\$ 125,533	\$ 96,990					\$ 222,523	\$ 1,046,941	\$ 146,970	\$ 459,346
Juvenile Delinquency Services	\$ 563,560	\$ 535					\$ 564,096	\$ 626,202	\$ 555,166	\$ 1,235,121
Other Court Operations	\$ 736,238	\$ 27,704					\$ 763,942	\$ 1,278,735	\$ 719,823	\$ 1,284,422
Court Interpreters	\$ 2,088,546	\$ 593,318					\$ 2,681,864	\$ 5,478,355	\$ 2,600,000	\$ 5,601,680
Jury Services	\$ 379,542	\$ 350,695	\$ 327,052				\$ 1,057,289	\$ 1,868,864	\$ 791,767	\$ 1,869,075
Security	\$ 1,548,195	\$ 108,438					\$ 1,656,633	\$ 3,563,087	\$ 1,640,099	\$ 3,169,721
Trial Court Operations Program	\$ 35,243,245	\$ 4,343,533	\$ 333,028				\$ 39,919,807	\$ 81,121,895	\$ 35,256,910	\$ 76,387,410
Enhanced Collections	\$ 166,306	\$ 1,063,802			\$ 37,783		\$ 1,267,891	\$ 2,783,887	\$ 943,268	\$ 2,340,000
Other Non-Court Operations	\$ 236,974						\$ 236,974	\$ 569,730	\$ 196,913	\$ 450,182
Non-Court Operations Program	\$ 403,280	\$ 1,063,802			\$ 37,783		\$ 1,504,864	\$ 3,353,617	\$ 1,140,181	\$ 2,790,182
Executive Office	\$ 454,082	\$ 71,100			\$ (2,645)		\$ 522,537	\$ 1,811,835	\$ 436,433	\$ 1,141,074
Fiscal Services	\$ 1,708,108	\$ 700,601			\$ (11,335)		\$ 2,397,374	\$ 4,324,006	\$ 2,116,069	\$ 4,285,267
Human Resources	\$ 1,252,244	\$ 1,486,839			\$ (5,667)		\$ 2,733,416	\$ 2,692,552	\$ 1,903,612	\$ 4,036,480
Business & Facilities Services	\$ 412,608	\$ 1,114,243					\$ 1,526,851	\$ 4,996,274	\$ 2,012,309	\$ 3,862,833
Information Technology	\$ 2,832,029	\$ 948,928			\$ (18,136)		\$ 3,762,822	\$ 9,020,439	\$ 3,172,527	\$ 7,597,908
Court Administration Program	\$ 6,659,071	\$ 4,321,712			\$ (37,783)		\$ 10,943,000	\$ 22,845,106	\$ 9,640,950	\$ 20,923,562
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 42,305,596	\$ 9,729,047	\$ 333,028		\$ 0		\$ 52,367,671	\$ 107,320,618	\$ 46,038,041	\$ 100,101,154