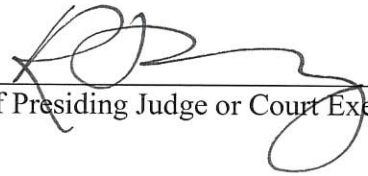


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/11/20

Date

Santa Clara

Court

FY 2019-20 2nd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2019-20 2nd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Santa Clara

Court

FY 2019-20 2nd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	655.84	615.77	610.15		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2019/20									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 3,417,030	\$ (550,066)	\$ (1,299,614)	\$ (1,765,500)			\$ 264,413	\$ 66,262	\$ 435,973
Payroll	\$ (3,868)						\$ 0	\$ (3,868)	
Jury									
Revolving	\$ 13,868							\$ 13,868	
Other	\$ 0							\$ 0	\$ 0
Distribution								\$ 0	\$ 0
Civil Filing Fees							\$ 268,514	\$ 268,514	\$ 291,759
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (2,130,701)	\$ (2,130,701)	\$ (831,208)
Cash on Hand	\$ 14,865	\$ 150						\$ 15,015	\$ 15,015
Cash with County	\$ 0						\$ 0	\$ 0	\$ 1,063,666
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 13,088,528						\$ 36,388,185	\$ 49,476,714	\$ 46,610,024
Total Cash and Cash Equivalents	\$ 16,530,424	\$ (549,916)	\$ (1,299,614)	\$ (1,765,500)			\$ 34,790,411	\$ 47,705,804	\$ 47,585,229
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 6,111							\$ 6,111	
Dishonored Checks									
Due From Employee	\$ 26,517							\$ 26,517	\$ 584
Civil Jury Fees									\$ 0
Trust									\$ 0
Due From Other Funds	\$ 0						\$ 9,492	\$ 9,492	\$ 287,238
Due From Other Governments	\$ 27,360	\$ 518,114	\$ 108,784				\$ 0	\$ 0	\$ 0
Due From Other Courts								\$ 654,258	\$ 864,035
Due From State	\$ 20,515	\$ 0	\$ 1,043,687				\$ 0	\$ 0	\$ 0
Trust Due To/From								\$ 1,064,202	\$ 1,196,069
Distribution Due To/From							\$ 231,786	\$ 231,786	\$ 0
Civil Filing Fee Due To/From							\$ 83,411	\$ 83,411	\$ 0
General Due To/From	\$ 46	\$ 0						\$ 46	\$ 693,225
Total Receivables	\$ 80,549	\$ 518,114	\$ 1,152,471				\$ 324,690	\$ 2,075,823	\$ 3,041,151
Prepaid Expenses - General	\$ 18,828							\$ 18,828	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 18,828							\$ 18,828	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 16,629,801	\$ (31,803)	\$ (147,143)	\$ (1,765,500)			\$ 35,115,101	\$ 49,800,456	\$ 50,626,381
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 247,056
Accounts Payable - General	\$ 775,331	\$ 1,493	\$ 630	\$ 0			\$ 2,688	\$ 780,142	\$ 114,113
Due to Other Funds	\$ 497	\$ 0	\$ 0				\$ 314,746	\$ 315,243	\$ 693,225
Due to Other Courts	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to State	\$ 19,746	\$ 1,758						\$ 21,504	\$ 0
TC145 Liability								\$ 21,504	\$ 0
Due to Other Governments	\$ 0		\$ 0				\$ 1,652,317	\$ 1,652,317	\$ 1,485,168
AB145 Due to Other Government Agency	\$ 0							\$ 0	\$ 0
Due to Other Public Agencies							\$ 1,578,745	\$ 1,578,745	\$ 4,502,139
Sales and Use Tax	\$ 341		\$ (2)					\$ 339	\$ 619
Interest							\$ 46,399	\$ 46,399	\$ 77,816
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 795,915	\$ 3,251	\$ 628	\$ 0			\$ 3,594,895	\$ 4,394,689	\$ 7,120,136
Civil									
Criminal							\$ 24,372,506	\$ 24,372,506	\$ 29,594,757
Unreconciled - Civil and Criminal							\$ 6,352,863	\$ 6,352,863	\$ 3,663,365
Trust Held Outside of the JCC								\$ 0	\$ 0
Trust Interest Payable							\$ 951,972	\$ 951,972	\$ 662,371
Miscellaneous Trust									
Total Trust Deposits							\$ 31,677,341	\$ 31,677,341	\$ 33,920,494
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,565,212							\$ 1,565,212	\$ 157,813
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (14)							\$ (14)	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,565,198	\$ 0	\$ 0					\$ 1,565,198	\$ 157,813
Revenue Collected in Advance	\$ 0		\$ 202,576					\$ 202,576	\$ 161,682
Liabilities For Deposits	\$ 107,880	\$ 6,488	\$ 1,162					\$ 226,837	\$ 209,615
Jury Fees - Non-Interest							\$ 111,307	\$ 111,307	\$ 0
Fees - Partial Payment & Overpayment							\$ 8,696	\$ 8,696	\$ 0
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities							\$ (277,139)	\$ (277,139)	\$ 0
Total Other Liabilities	\$ 107,880	\$ 6,488	\$ 203,737				\$ (157,136)	\$ 160,969	\$ 371,297
Total Liabilities	\$ 2,468,993	\$ 9,739	\$ 204,365	\$ 0			\$ 35,115,101	\$ 37,798,198	\$ 41,569,740
Total Fund Balance	\$ 14,160,808	\$ (41,542)	\$ (351,509)	\$ (1,765,500)				\$ 12,002,257	\$ 9,056,641
Total Liabilities and Fund Balance	\$ 16,629,801	\$ (31,803)	\$ (147,143)	\$ (1,765,500)			\$ 35,115,101	\$ 49,800,456	\$ 50,626,381

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2019/20											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2018/19	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 54,559,217	\$ 225,976					\$ 54,785,193	\$ 82,068,881	\$ 48,352,234	\$ 83,492,551	
Improvement and Modernization Fund								\$ 245,193		\$ 245,193	
Judges' Compensation (0150019)	\$ 365,748						\$ 365,748	\$ 715,000	\$ 375,252	\$ 712,514	
Court Interpreter (0150037)	\$ 1,982,389						\$ 1,982,389	\$ 7,215,522	\$ 2,404,352	\$ 6,852,814	
Civil Coordination Reimbursement (0150091)	\$ 918,241						\$ 918,241	\$ 1,943,671	\$ 761,490	\$ 1,064,171	
MOU Reimbursements (0150010 and General)	\$ 2,309,466						\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	
Other Miscellaneous											
	\$ 60,135,060	\$ 225,976					\$ 60,361,036	\$ 94,497,733	\$ 54,202,794	\$ 95,576,709	
Grants											
AB 1058 Commissioner/Facilitator			\$ 949,362				\$ 949,362	\$ 2,926,643	\$ 559,400	\$ 2,846,373	
Other Judicial Council Grants			\$ 64,429				\$ 64,429	\$ 665,484	\$ 41,770	\$ 469,915	
Non-Judicial Council Grants			\$ 342,682				\$ 342,682	\$ 1,288,149	\$ 338,726	\$ 1,008,133	
			\$ 1,356,493				\$ 1,356,493	\$ 4,780,276	\$ 939,896	\$ 4,324,421	
Other Financing Sources											
Interest Income	\$ 153,714	\$ 700					\$ 154,413	\$ 425,000	\$ 141,643	\$ 168,700	
Investment Income											
Donations		\$ 3,494					\$ 3,494	\$ 12,280	\$ 6,289	\$ 49,218	
Local Fees	\$ 230,181	\$ 200,081					\$ 430,261	\$ 1,044,912	\$ 354,011	\$ 2,182,068	
Non-Fee Revenues											
Enhanced Collections		\$ 450,019					\$ 450,019	\$ 2,300,000	\$ 98,440	\$ 750,200	
Escheatment	\$ (136,800)						\$ (136,800)	\$ 25,000	\$ 3,197	\$ 37,000	
Prior Year Revenue											
County Program - Restricted		\$ 35,019					\$ 35,019	\$ 113,028	\$ 48,823	\$ 107,436	
Reimbursement Other	\$ 718,123	\$ 783,727	\$ 68,043				\$ 1,569,893	\$ 5,372,613	\$ 768,832	\$ 2,112,561	
Sale of Fixed Assets											
Other Miscellaneous	\$ 13,754						\$ 13,754	\$ 15,000	\$ 2,779	\$ 13,400	
	\$ 978,971	\$ 1,473,040	\$ 68,043				\$ 2,520,054	\$ 9,307,833	\$ 1,424,015	\$ 5,420,583	
Total Revenues	\$ 61,114,031	\$ 1,699,016	\$ 1,424,537				\$ 64,237,583	\$ 108,585,842	\$ 56,566,705	\$ 105,321,713	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 24,078,778	\$ 340,196	\$ 835,226				\$ 25,254,201	\$ 45,018,053	\$ 23,548,694	\$ 49,639,718	
Temp Help	\$ 129,260						\$ 129,260	\$ 250,000	\$ 159,913	\$ 759,760	
Overtime	\$ 187,384	\$ 703	\$ (105)				\$ 187,982	\$ 100,000	\$ 187,813	\$ 250,000	
Staff Benefits	\$ 15,707,504	\$ 951,385	\$ 433,542				\$ 17,092,431	\$ 38,434,959	\$ 14,983,033	\$ 33,083,854	
	\$ 40,102,927	\$ 1,292,284	\$ 1,268,663				\$ 42,663,874	\$ 83,803,012	\$ 38,879,453	\$ 83,733,332	
Operating Expenses and Equipment											
General Expense	\$ 776,695	\$ 8,786	\$ 21,194				\$ 806,674	\$ 1,901,850	\$ 595,246	\$ 1,304,640	
Printing	\$ 57,943						\$ 57,943	\$ 95,000	\$ 39,405	\$ 129,478	
Telecommunications	\$ 319,780	\$ 174					\$ 319,953	\$ 981,806	\$ 316,220	\$ 815,000	
Postage	\$ 192,223						\$ 192,223	\$ 339,500	\$ 159,090	\$ 369,500	
Insurance	\$ 43,985						\$ 43,985	\$ 42,000	\$ 36,057	\$ 39,500	
In-State Travel	\$ 85,374	\$ 176	\$ 6,736				\$ 92,286	\$ 128,499	\$ 66,798	\$ 120,338	
Out-of-State Travel	\$ 7,279		\$ 2,202				\$ 9,482	\$ 29,999	\$ 6,846	\$ 51,734	
Training	\$ 66,398		\$ 1,430				\$ 67,827	\$ 130,000	\$ 33,687	\$ 112,731	
Security Services	\$ 83,741						\$ 83,741	\$ 563,565	\$ 46,125	\$ 404,164	
Facility Operations	\$ 812,599						\$ 812,599	\$ 1,879,915	\$ 898,967	\$ 1,617,158	
Utilities	\$ 102,416						\$ 102,416	\$ 160,000	\$ 82,064	\$ 165,000	
Contracted Services	\$ 3,300,748	\$ 464,604	\$ 290,138				\$ 4,055,490	\$ 11,771,798	\$ 3,275,458	\$ 9,302,828	
Consulting and Professional Services	\$ 28,704						\$ 28,704	\$ 192,200	\$ 18,570	\$ 454,676	
Information Technology	\$ 535,614	\$ 22,000					\$ 557,614	\$ 2,480,474	\$ 582,397	\$ 2,332,668	
Major Equipment	\$ 173,053						\$ 173,053	\$ 35,500	\$ 110,043	\$ 0	
Other Items of Expense	\$ 31,885						\$ 31,885	\$ 63,825	\$ 24,184	\$ 42,670	
	\$ 6,618,436	\$ 495,739	\$ 321,700				\$ 7,435,875	\$ 20,795,931	\$ 6,291,137	\$ 17,262,085	
Special Items of Expense											
Grand Jury	\$ 45	\$ 1,749					\$ 1,794	\$ 5,500	\$ 2,504	\$ 5,500	
Jury Costs	\$ 325,126	\$ 54,720					\$ 379,846	\$ 826,000	\$ 404,181	\$ 771,000	
Judgements, Settlements and Claims	\$ 114,038						\$ 114,038	\$ 250,000			
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (211,601)	\$ 25,918	\$ 185,683	\$ 1,765,500			\$ 1,765,500	\$ 3,031,000	\$ 2,687,419	\$ 4,031,000	
Prior Year Expense Adjustment							\$ 0	\$ 0	\$ 0	\$ 0	
	\$ 227,608	\$ 82,387	\$ 185,683	\$ 1,765,500			\$ 2,261,178	\$ 4,112,500	\$ 3,094,104	\$ 4,807,500	
Total Expenditures	\$ 46,948,971	\$ 1,870,411	\$ 1,776,045	\$ 1,765,500			\$ 52,360,927	\$ 108,711,443	\$ 48,264,693	\$ 105,802,917	
Excess (Deficit) of Revenues Over Expenditures	\$ 14,165,060	\$ (171,395)	\$ (351,509)	\$ (1,765,500)			\$ 11,876,656	\$ (125,601)	\$ 8,302,012	\$ (481,204)	
Operating Transfers In (Out)	\$ (27,235)	\$ 27,235					\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 22,983	\$ 102,618	\$ 0	\$ 0			\$ 125,602	\$ 125,602	\$ 754,629	\$ 754,629	
Ending Balance (Deficit)	\$ 14,160,808	\$ (41,542)	\$ (351,509)	\$ (1,765,500)			\$ 12,002,257	\$ 1	\$ 9,056,641	\$ 273,425	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
	Fiscal Year 2019/20							2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,846,048	\$ 741,173	\$ 114,038				\$ 11,640,019	\$ 23,123,677	\$ 10,852,844	\$ 24,226,145
Traffic & Other Infractions	\$ 1,603,273	\$ 11,767				\$ (61,240)	\$ 1,615,040	\$ 2,318,835	\$ 1,742,678	\$ 4,008,175
Other Criminal Cases	\$ 6,724,598	\$ 698,271					\$ 7,430,964	\$ 13,527,533	\$ 5,079,614	\$ 11,521,945
Civil	\$ 3,323,382	\$ 132,051				\$ 8,095	\$ 3,443,188	\$ 6,859,273	\$ 3,547,608	\$ 8,223,116
Family & Children Services	\$ 5,624,601	\$ 605,680				\$ 78,546	\$ 6,308,828	\$ 14,001,486	\$ 5,591,479	\$ 13,451,202
Probate, Guardianship & Mental Health Services	\$ 1,534,842	\$ 9,260					\$ 1,544,101	\$ 3,067,815	\$ 1,440,894	\$ 3,266,903
Juvenile Dependency Services	\$ 178,904	\$ 163,909				\$ 1,959	\$ 344,772	\$ 878,900	\$ 309,079	\$ 869,799
Juvenile Delinquency Services	\$ 368,194	\$ 81,663					\$ 449,857	\$ 831,030	\$ 271,205	\$ 804,960
Other Court Operations	\$ 259,621	\$ 8,048					\$ 267,669	\$ 906,808	\$ 658,713	\$ 1,444,762
Court Interpreters	\$ 1,772,824	\$ 1,496,150					\$ 3,268,973	\$ 7,420,378	\$ 3,288,146	\$ 7,081,218
Jury Services	\$ 268,996	\$ 38,999	\$ 325,126				\$ 633,121	\$ 1,234,893	\$ 616,152	\$ 2,009,261
Security		\$ 174,200					\$ 174,200	\$ 330,800	\$ 66,150	\$ 31,500
Trial Court Operations Program	\$ 32,505,283	\$ 4,161,170	\$ 439,164			\$ 15,115	\$ 37,120,732	\$ 74,501,428	\$ 33,464,563	\$ 76,938,886
Enhanced Collections	\$ 198,352	\$ 196,961				\$ 0	\$ 395,313	\$ 2,300,000		
Other Non-Court Operations	\$ 864,465	\$ 42,988	\$ 56,514				\$ 963,967	\$ 2,088,724	\$ 949,288	\$ 2,545,134
Non-Court Operations Program	\$ 1,062,818	\$ 239,948	\$ 56,514			\$ 0	\$ 1,359,280	\$ 4,388,724	\$ 949,288	\$ 2,545,134
Executive Office	\$ 1,393,021	\$ 408,044				\$ (15,115)	\$ 1,785,950	\$ 2,668,206	\$ 1,300,958	\$ 1,651,344
Fiscal Services	\$ 2,180,784	\$ 537,542					\$ 2,718,326	\$ 4,687,309	\$ 2,076,845	\$ 6,466,431
Human Resources	\$ 2,482,163	\$ 23,767					\$ 2,505,930	\$ 4,989,211	\$ 2,447,908	\$ 1,693,239
Business & Facilities Services	\$ 1,062,758	\$ 958,454		\$ 1,765,500			\$ 3,786,712	\$ 7,427,860	\$ 4,717,171	\$ 8,301,974
Information Technology	\$ 1,977,047	\$ 1,106,950					\$ 3,083,997	\$ 10,048,705	\$ 3,307,961	\$ 8,205,909
Court Administration Program	\$ 9,095,774	\$ 3,034,756		\$ 1,765,500		\$ (15,115)	\$ 13,880,915	\$ 29,821,291	\$ 13,850,843	\$ 26,318,897
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 42,663,874	\$ 7,435,875	\$ 495,678	\$ 1,765,500		\$ 0	\$ 52,360,927	\$ 108,711,443	\$ 48,264,693	\$ 105,802,917