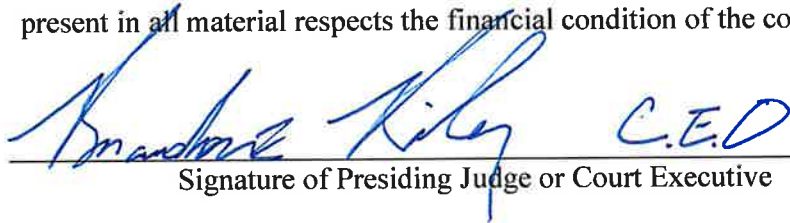


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.


Signature of Presiding Judge or Court Executive

05/06/2020

Date

San Joaquin

Court

2019-2020 Q3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2019-2020 Q3

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

San Joaquin

Court

2019-2020 Q3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	348	332.65	334.37	328.77	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March								
	Fiscal Year 2019/20							2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (4,526,953)	\$ 1,935,570	\$ 232,039	\$ 2,446,013		\$ 73,948	\$ 160,617	\$ 241,442	
Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ (6,800)	
Jury							\$ 25,000	\$ 15,000	
Revolving	\$ 25,000						\$ 7,695	\$ 11,992	
Other	\$ 7,695						\$ (460)	\$ 111,052	
Distribution						\$ (460)	\$ 0	\$ 0	
Civil Filing Fees						\$ 0	\$ (9,801)	\$ (18,863)	
Trust							\$ 10,250	\$ 9,650	
Credit Card	\$ 10,250						\$ 117,667	\$ 114,868	
Cash on Hand	\$ (10,732)	\$ 100,083	\$ 28,317				\$ 0	\$ 0	
Cash with County							\$ 5,783,698	\$ 17,891,939	
Cash Outside of the JCC							\$ 0	\$ 0	
Cash Equivalents	\$ 12,108,240						\$ 5,783,698	\$ 11,676,242	
Total Cash and Cash Equivalents	\$ 7,613,500	\$ 2,035,653	\$ 260,356	\$ 2,446,013			\$ 5,947,385	\$ 12,154,584	
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0		\$ 0	\$ 0	\$ 0	
Accounts Receivable - General	\$ 1,261		\$ 0				\$ 1,261	\$ 390	
Dishonored Checks								\$ 0	
Due From Employee								\$ 0	
Civil Jury Fees	\$ 0	\$ 0					\$ 0	\$ 0	
Trust							\$ 0	\$ 0	
Due From Other Funds	\$ 0						\$ 0	\$ 29,780	
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Due From Other Courts							\$ 0	\$ 0	
Due From State	\$ 239,544	\$ 0	\$ 223,853				\$ 463,397	\$ 902,602	
Trust Due To/From						\$ 6,260	\$ 6,260	\$ 234,519	
Distribution Due To/From						\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From						\$ 0	\$ 0	\$ 0	
General Due To/From	\$ 21,215	\$ 5,563					\$ 26,778	\$ 26,831	
Total Receivables	\$ 262,020	\$ 5,563	\$ 223,853	\$ 0		\$ 6,260	\$ 497,696	\$ 1,194,122	
Prepaid Expenses - General	\$ 141,635						\$ 141,635	\$ 176,540	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 141,635						\$ 141,635	\$ 176,540	
Other Assets									
Total Other Assets									
Total Assets	\$ 8,017,155	\$ 2,041,216	\$ 484,209	\$ 2,446,013			\$ 5,853,645	\$ 13,525,245	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 15,249	\$ 425	\$ 12			\$ 0	\$ 15,686	\$ 13,141	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 33,038	\$ 33,038	\$ 261,350	
Due to Other Courts							\$ 0	\$ 0	
Due to State	\$ 0						\$ 720,809	\$ 670,551	
TC145 Liability							\$ 2,446,013	\$ 2,385,188	
Due to Other Governments	\$ 0		\$ 0	\$ 2,446,013			\$ 537,258	\$ 768,058	
AB145 Due to Other Government Agency							\$ 0	\$ 203	
Due to Other Public Agencies							\$ 3,024	\$ 7,551	
Sales and Use Tax	\$ 0		\$ 0				\$ 3,024	\$ 0	
Interest							\$ 3,024	\$ 7,551	
Miscellaneous Accts. Pay. and Accrued Liab.							\$ 1,294,130	\$ 4,106,039	
Total Accounts Payable and Accrued Liab.	\$ 15,249	\$ 425	\$ 12	\$ 2,446,013			\$ 3,755,828	\$ 4,106,039	
Civil							\$ 2,102,944	\$ 1,672,010	
Criminal							\$ 2,305,335	\$ 921,646	
Unreconciled - Civil and Criminal							\$ 0	\$ 0	
Trust Held Outside of the JCC							\$ 0	\$ 0	
Trust Interest Payable							\$ 92,115	\$ 60,028	
Miscellaneous Trust									
Total Trust Deposits							\$ 4,500,394	\$ 2,653,683	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ 983,491						\$ 983,491	\$ 874,066	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 0						\$ 0	\$ 0	
Payroll Clearing	\$ 0						\$ 0	\$ 0	
Total Payroll Liabilities	\$ 983,491	\$ 0	\$ 0				\$ 983,491	\$ 874,066	
Revenue Collected in Advance			\$ 0				\$ 0	\$ 0	
Liabilities For Deposits	\$ 32,322	\$ 1,048					\$ 35,589	\$ 77,232	
Jury Fees - Non-Interest							\$ 13,781	\$ 4,630	
Fees - Partial Payment & Overpayment							\$ 9,752	\$ 10,894	
Uncleared Collections	\$ 0						\$ 0	\$ 0	
Other Miscellaneous Liabilities							\$ 59,122	\$ 92,756	
Total Other Liabilities	\$ 32,322	\$ 1,048	\$ 0				\$ 92,492	\$ 92,756	
Total Liabilities	\$ 1,031,062	\$ 1,473	\$ 12	\$ 2,446,013			\$ 5,853,645	\$ 7,726,546	
Total Fund Balance	\$ 6,986,093	\$ 2,039,743	\$ 484,197	\$ 0			\$ 9,510,034	\$ 5,798,699	
Total Liabilities and Fund Balance	\$ 8,017,155	\$ 2,041,216	\$ 484,209	\$ 2,446,013			\$ 5,853,645	\$ 13,525,245	

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March										
Fiscal Year 2019/20										
2018/19										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 32,618,577	\$ 189,622					\$ 32,808,199	\$ 38,886,774	\$ 28,529,817	\$ 37,178,548
Improvement and Modernization Fund	\$ (865)						\$ (865)	\$ 137,118		\$ 168,524
Judges' Compensation (0150019)										
Court Interpreter (0150037)	\$ 940,765						\$ 940,765	\$ 1,879,164	\$ 937,574	\$ 1,797,322
Civil Coordination Reimbursement (0150091)										
MOU Reimbursements (0150010 and General)	\$ 557,139	\$ 2,350					\$ 559,489	\$ 899,424	\$ 397,111	\$ 916,548
Other Miscellaneous	\$ 1,245,356						\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	\$ 1,245,356
	\$ 35,360,972	\$ 191,972					\$ 35,552,944	\$ 43,047,836	\$ 31,109,858	\$ 41,306,298
Grants										
AB 1058 Commissioner/Facilitator			\$ 628,792				\$ 628,792	\$ 1,018,047	\$ 567,839	\$ 979,237
Other Judicial Council Grants			\$ 1,307,470				\$ 1,307,470	\$ 1,528,363	\$ 1,095,342	\$ 1,178,442
Non-Judicial Council Grants			\$ 537,484				\$ 537,484	\$ 1,238,950	\$ 541,036	\$ 905,983
			\$ 2,473,745				\$ 2,473,745	\$ 3,785,360	\$ 2,204,217	\$ 3,063,662
Other Financing Sources										
Interest Income	\$ 123,431	\$ 26,381					\$ 149,813	\$ 239,400	\$ 137,624	\$ 137,675
Investment Income										
Donations										
Local Fees	\$ 143,760	\$ 72,513					\$ 216,274	\$ 312,025	\$ 219,114	\$ 286,225
Non-Fee Revenues										
Enhanced Collections		\$ 241,324					\$ 241,324	\$ 676,769	\$ 244,863	\$ 297,929
Escheatment	\$ 8,366	\$ 171					\$ 8,537		\$ (517)	
Prior Year Revenue	\$ (940)	\$ 771					\$ (170)		\$ 256,086	
County Program - Restricted		\$ 775,407					\$ 775,407	\$ 1,279,396	\$ 747,585	\$ 1,248,477
Reimbursement Other	\$ 1,136,808						\$ 1,136,808	\$ 72,388	\$ 51,694	\$ 70,500
Sale of Fixed Assets										
Other Miscellaneous	\$ 1,604						\$ 1,604	\$ 5,775	\$ (444)	\$ 9,625
	\$ 1,413,030	\$ 1,116,567					\$ 2,529,597	\$ 2,585,753	\$ 1,856,005	\$ 2,050,431
Total Revenues	\$ 36,774,002	\$ 1,308,539	\$ 2,473,745				\$ 40,556,287	\$ 49,418,949	\$ 34,970,080	\$ 46,420,391
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 14,467,968	\$ 550,554	\$ 943,048				\$ 15,961,570	\$ 22,521,465	\$ 15,145,987	\$ 21,891,264
Temp Help	\$ 118,522						\$ 118,522	\$ 174,492	\$ 21,701	\$ 34,066
Overtime	\$ 42,151	\$ 3	\$ 31				\$ 42,186	\$ 30,000	\$ 30,516	\$ 40,000
Staff Benefits	\$ 10,738,527	\$ 263,659	\$ 498,564				\$ 11,500,750	\$ 17,935,382	\$ 10,686,210	\$ 16,091,523
	\$ 25,367,168	\$ 814,216	\$ 1,441,643				\$ 27,623,027	\$ 40,661,339	\$ 25,884,414	\$ 38,056,853
Operating Expenses and Equipment										
General Expense	\$ 757,849	\$ 29,623	\$ 47,654				\$ 835,136	\$ 1,351,114	\$ 727,320	\$ 1,373,341
Printing	\$ 31,075	\$ 981	\$ 2,989				\$ 35,044	\$ 47,756	\$ 38,301	\$ 55,580
Telecommunications	\$ 100,739	\$ 266	\$ 309				\$ 101,314	\$ 131,308	\$ 92,523	\$ 147,449
Postage	\$ 143,967		\$ 22				\$ 143,989	\$ 202,023	\$ 165,781	\$ 199,150
Insurance	\$ 28,677						\$ 28,677	\$ 27,273	\$ 22,537	\$ 21,998
In-State Travel	\$ 49,621	\$ 6,354	\$ 20,805				\$ 76,780	\$ 103,688	\$ 48,215	\$ 92,809
Out-of-State Travel	\$ 4,158	\$ 3,634	\$ 2,509				\$ 10,301	\$ 24,709	\$ 16,370	\$ 28,032
Training	\$ 4,673	\$ 300	\$ 399				\$ 5,372	\$ 12,733	\$ 6,421	\$ 22,427
Security Services	\$ 752,963		\$ 41,649				\$ 794,613	\$ 1,044,298	\$ 667,934	\$ 1,013,888
Facility Operations:	\$ 626,014		\$ 8,403				\$ 634,417	\$ 1,042,652	\$ 604,979	\$ 942,085
Utilities	\$ 44,164						\$ 44,164	\$ 70,000	\$ 44,285	\$ 72,000
Contracted Services:	\$ 1,647,616	\$ 280,961	\$ 323,426				\$ 2,252,003	\$ 3,698,466	\$ 2,064,791	\$ 2,841,761
Consulting and Professional Services	\$ 27,714		\$ 31,703				\$ 59,416	\$ 111,846	\$ 92,686	\$ 159,213
Information Technology	\$ 485,085		\$ 40				\$ 485,125	\$ 755,413	\$ 852,323	\$ 1,308,890
Major Equipment	\$ 132,659						\$ 132,659	\$ 10,000	\$ 27,104	\$ 165,000
Other Items of Expense	\$ 21,568						\$ 21,568	\$ 30,725	\$ 17,366	\$ 28,525
	\$ 4,858,543	\$ 322,119	\$ 479,918				\$ 5,660,580	\$ 8,684,016	\$ 5,488,935	\$ 8,472,126
Special Items of Expense										
Grand Jury		\$ 46,310					\$ 46,310	\$ 94,250	\$ 53,716	\$ 110,000
Jury Costs	\$ 221,305						\$ 221,305	\$ 252,575	\$ 169,428	\$ 266,725
Judgements, Settlements and Claims	\$ 25,733						\$ 25,733			
Debt Service									\$ 3,671	
Other	\$ 0						\$ 0			
Capital Costs										
Internal Cost Recovery	\$ (77,687)	\$ 9,699	\$ 67,987				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (4,671)	\$ (822)					\$ (5,493)		\$ (26,432)	
	\$ 164,680	\$ 55,188	\$ 67,987				\$ 287,855	\$ 346,825	\$ 200,383	\$ 396,725
Total Expenditures	\$ 30,390,391	\$ 1,191,523	\$ 1,989,546				\$ 33,571,462	\$ 49,672,180	\$ 31,573,732	\$ 46,925,706
Excess (Deficit) of Revenues Over Expenditures	\$ 6,383,612	\$ 117,016	\$ 484,197				\$ 6,984,825	\$ (253,231)	\$ 3,396,348	\$ (505,315)
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 602,482	\$ 1,922,727	\$ 0	\$ 0			\$ 2,525,209	\$ 2,525,209	\$ 2,402,351	\$ 2,402,351
Ending Balance (Deficit)	\$ 6,986,093	\$ 2,039,743	\$ 484,197	\$ 0			\$ 9,510,034	\$ 2,271,978	\$ 5,798,699	\$ 1,897,036

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
	Fiscal Year 2019/20							2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,020,460	\$ 503,306	\$ 25,733			\$ (3,289)	\$ 10,546,210	\$ 15,410,499	\$ 9,832,775	\$ 14,622,665
Traffic & Other Infractions	\$ 1,601,828	\$ 93,040					\$ 1,694,869	\$ 2,556,814	\$ 1,836,973	\$ 2,884,766
Other Criminal Cases	\$ 4,293,005	\$ 708,443					\$ 5,001,448	\$ 7,994,607	\$ 4,849,192	\$ 7,057,126
Civil	\$ 1,944,015	\$ 18,934				\$ (40)	\$ 1,962,909	\$ 2,766,816	\$ 1,861,642	\$ 2,667,587
Family & Children Services	\$ 2,502,818	\$ 119,415			\$ 67,987		\$ 2,690,220	\$ 3,809,229	\$ 2,625,850	\$ 4,103,038
Probate, Guardianship & Mental Health Services	\$ 1,113,990	\$ 9,155					\$ 1,123,145	\$ 1,622,772	\$ 635,501	\$ 999,815
Juvenile Dependency Services	\$ 224,424	\$ 2,800				\$ 2,150	\$ 229,374	\$ 323,300	\$ 247,883	\$ 377,649
Juvenile Delinquency Services	\$ 321,720	\$ 47,788				\$ 1,650	\$ 371,158	\$ 549,880	\$ 325,706	\$ 500,080
Other Court Operations	\$ 1,055,264	\$ 554,753				\$ 449	\$ 1,610,466	\$ 1,209,780	\$ 1,398,009	\$ 1,622,610
Court Interpreters	\$ 647,744	\$ 716,338				\$ 450	\$ 1,364,533	\$ 2,076,653	\$ 1,331,536	\$ 1,847,580
Jury Services	\$ 185,569	\$ 95,624	\$ 221,305			\$ 0	\$ 502,497	\$ 794,044	\$ 477,294	\$ 832,679
Security		\$ 820,123					\$ 820,123	\$ 923,181	\$ 723,415	\$ 1,030,197
Trial Court Operations Program	\$ 23,910,837	\$ 3,689,720	\$ 247,038			\$ 1,370	\$ 27,916,952	\$ 40,037,575	\$ 26,145,776	\$ 38,545,792
Enhanced Collections	\$ 73,038	\$ 230,331			\$ 9,699		\$ 313,069	\$ 676,899	\$ 280,287	\$ 297,929
Other Non-Court Operations	\$ 55,626	\$ 51,077	\$ 46,310			\$ (822)	\$ 152,192	\$ 334,285	\$ 180,338	\$ 310,826
Non-Court Operations Program	\$ 128,665	\$ 281,408	\$ 46,310			\$ (822)	\$ 465,261	\$ 1,011,184	\$ 460,626	\$ 608,755
Executive Office	\$ 446,528	\$ 186,252			\$ (9,985)	\$ (472)	\$ 622,323	\$ 980,448	\$ 453,258	\$ 701,338
Fiscal Services	\$ 1,077,788	\$ 134,587	\$ 0		\$ (23,748)	\$ (1,209)	\$ 1,187,417	\$ 2,538,607	\$ 1,055,809	\$ 1,675,366
Human Resources	\$ 383,043	\$ 48,016			\$ (8,615)	\$ 150	\$ 422,594	\$ 679,512	\$ 388,197	\$ 653,140
Business & Facilities Services	\$ 607,805	\$ 705,771			\$ (12,075)	\$ 0	\$ 1,301,501	\$ 1,959,445	\$ 1,180,116	\$ 1,792,374
Information Technology	\$ 1,068,362	\$ 614,826			\$ (23,264)	\$ (4,510)	\$ 1,655,414	\$ 2,465,409	\$ 1,889,950	\$ 2,948,941
Court Administration Program	\$ 3,583,525	\$ 1,689,452	\$ 0		\$ (77,687)	\$ (6,041)	\$ 5,189,249	\$ 8,623,421	\$ 4,967,331	\$ 7,771,159
Expenditures Not Distributed or Posted to a Program								\$ 0		
Prior Year Adjustments Not Posted to a Program										
Total	\$ 27,623,027	\$ 5,660,580	\$ 293,348		\$ 0	\$ (5,493)	\$ 33,571,462	\$ 49,672,180	\$ 31,573,732	\$ 46,925,706