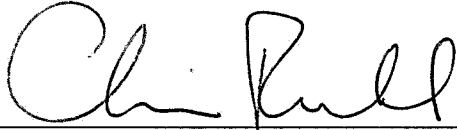


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/04/2020

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Date

Monterey

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Court

2019-2020 4th Qtr.

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

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Court

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Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2019/20									2018/19
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
		General	Non-Grant						
<b>ASSETS</b>									
Operations	\$ (911.765)	\$ 854.880	\$ 0				\$ 88.574	\$ 31.688	\$ (58.702)
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury Revolving	\$ 25.000							\$ 25.000	\$ 25.000
Other Distribution	\$ 0						\$ 264.819	\$ 264.819	\$ 103.367
Civil Filing Fees							\$ 0	\$ 0	\$ 115.903
Trust Credit Card							\$ (92.936)	\$ (92.936)	\$ (86.425)
Cash on Hand	\$ 5.100							\$ 5.100	\$ 5.750
Cash with County									\$ 0
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 2.359.841						\$ 4.687.202	\$ 7.047.042	\$ 5.893.477
Total Cash and Cash Equivalents	\$ 1.478.175	\$ 854.880	\$ 0				\$ 4.947.658	\$ 7.280.713	\$ 5.998.370
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 7.720	\$ 2.738						\$ 10.459	\$ 18.423
Accounts Receivable - General							\$ 73	\$ 73	
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 200
Civil Jury Fees									
Trust Due From Other Funds	\$ 200.630							\$ 200.630	\$ 156.619
Due From Other Governments	\$ 0	\$ 28.971	\$ 0					\$ 28.971	\$ 84.584
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 639.661	\$ 44.444	\$ 386.939					\$ 1.071.044	\$ 1.177.021
Trust Due To/From							\$ 776.672	\$ 776.672	\$ 79.387
Distribution Due To/From							\$ 27.678	\$ 27.678	\$ 42.262
Civil Filing Fee Due To/From									
General Due To/From	\$ 133							\$ 133	\$ 37.808
Total Receivables	\$ 848.145	\$ 76.153	\$ 386.939				\$ 804.423	\$ 2.115.660	\$ 1.596.304
Prepaid Expenses - General	\$ 181.900		\$ 0					\$ 181.900	\$ 186.333
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 181.900		\$ 0					\$ 181.900	\$ 186.333
Other Assets									
Total Other Assets									
Total Assets	\$ 2.508.220	\$ 931.033	\$ 386.939				\$ 5.752.081	\$ 9.578.273	\$ 7.781.007
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 396.484	\$ 28.281	\$ 74.710					\$ 499.475	\$ 646.240
Accounts Payable - General	\$ 33.988	\$ 0	\$ 10.838				\$ 0	\$ 44.826	\$ 306.087
Due to Other Funds	\$ 228	\$ 29.752	\$ 170.651				\$ 804.483	\$ 1.005.114	\$ 316.077
Due to Other Courts									
Due to State	\$ 0		\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 264.840	\$ 264.840	\$ 572.801
Due to Other Governments	\$ 1.482							\$ 1.482	\$ 330.430
AB145 Due to Other Government Agency							\$ 332.746	\$ 332.746	
Due to Other Public Agencies									
Sales and Use Tax	\$ 2.774							\$ 2.774	\$ 0
Interest							\$ 23	\$ 23	\$ 702
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 434.956	\$ 58.033	\$ 256.199				\$ 1.402.093	\$ 2.151.280	\$ 2.172.336
Civil							\$ 2.429.809	\$ 2.429.809	\$ 1.197.413
Criminal							\$ 1.530.298	\$ 1.530.298	\$ 1.635.947
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 39.915	\$ 39.915	\$ 24.086
Miscellaneous Trust									
Total Trust Deposits							\$ 4.000.022	\$ 4.000.022	\$ 2.857.446
Accrued Payroll	\$ 566.197	\$ 0	\$ 0					\$ 566.197	\$ 360.989
Benefits Payable	\$ 152.257							\$ 152.257	\$ 135.839
Deferred Compensation Payable	\$ 0							\$ 0	\$ 923
Deductions Payable	\$ 83.253							\$ 83.253	\$ 157.712
Payroll Clearing	\$ 0							\$ 0	\$ 1.393
Total Payroll Liabilities	\$ 801.706	\$ 0	\$ 0					\$ 801.706	\$ 656.856
Revenue Collected in Advance	\$ 65	\$ 0	\$ 130.512					\$ 130.578	\$ 325.000
Liabilities For Deposits	\$ 39.576		\$ 228				\$ 349.967	\$ 389.771	\$ 388.013
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 260
Total Other Liabilities	\$ 39.641	\$ 0	\$ 130.740				\$ 349.967	\$ 520.348	\$ 713.273
Total Liabilities	\$ 1.276.303	\$ 58.033	\$ 386.939				\$ 5.752.081	\$ 7.473.356	\$ 6.399.912
Total Fund Balance	\$ 1.231.917	\$ 873.000	\$ 0					\$ 2.104.917	\$ 1.381.095
Total Liabilities and Fund Balance	\$ 2.508.220	\$ 931.033	\$ 386.939				\$ 5.752.081	\$ 9.578.273	\$ 7.781.007

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2019/20										2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 21,820,690	\$ 187,278					\$ 22,007,967	\$ 22,224,597	\$ 21,271,688	\$ 21,430,303	
Improvement and Modernization Fund	\$ 55,962						\$ 55,962	\$ 55,962	\$ 55,962	\$ 0	
Judges' Compensation (0150019)	\$ 180,266						\$ 180,266	\$ 180,501	\$ 180,500	\$ 180,501	
Court Interpreter (0150037)	\$ 1,408,690						\$ 1,408,690	\$ 1,528,203	\$ 1,230,612	\$ 1,359,497	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 810,605						\$ 810,605	\$ 883,462	\$ 771,889	\$ 791,264	
Other Miscellaneous	\$ 277,496						\$ 277,496	\$ 277,496	\$ 277,496	\$ 277,496	
	\$ 24,553,709	\$ 187,278					\$ 24,740,986	\$ 25,150,221	\$ 23,788,147	\$ 24,039,061	
Grants											
AB 1058 Commissioner/Facilitator			\$ 564,985				\$ 564,985	\$ 557,629	\$ 564,113	\$ 561,029	
Other Judicial Council Grants			\$ 594,463				\$ 594,463	\$ 569,356	\$ 380,576	\$ 597,075	
Non-Judicial Council Grants			\$ 125,061				\$ 125,061	\$ 133,329	\$ 425,474	\$ 638,353	
			\$ 1,284,509				\$ 1,284,509	\$ 1,260,314	\$ 1,370,163	\$ 1,796,457	
Other Financing Sources											
Interest Income	\$ 94,684	\$ 23,538					\$ 118,223	\$ 138,685	\$ 145,471	\$ 112,445	
Investment Income											
Donations											
Local Fees	\$ 110,682	\$ 136,341					\$ 247,023	\$ 247,117	\$ 245,430	\$ 229,340	
Non-Fee Revenues		\$ 7,669					\$ 7,669	\$ 3,484	\$ 6,551	\$ 13,746	
Enhanced Collections											
Escheatment								\$ 100,000		\$ 100,000	
Prior Year Revenue	\$ (175)						\$ (175)		\$ (5,301)		
County Program - Restricted		\$ 68,768					\$ 68,768	\$ 74,436	\$ 73,687	\$ 73,488	
Reimbursement Other	\$ 6,737	\$ 111,008					\$ 117,745	\$ 129,450	\$ 149,227	\$ 161,331	
Sale of Fixed Assets											
Other Miscellaneous	\$ 119,780						\$ 119,780	\$ 126,528	\$ 163,102	\$ 118,228	
	\$ 331,708	\$ 347,325					\$ 679,032	\$ 819,700	\$ 778,167	\$ 808,578	
Total Revenues	\$ 24,885,416	\$ 534,603	\$ 1,284,509				\$ 26,704,527	\$ 27,230,235	\$ 25,936,477	\$ 26,644,096	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 13,558,320	\$ 91,790	\$ 687,612				\$ 14,337,723	\$ 14,533,435	\$ 13,332,917	\$ 13,436,585	
Temp Help	\$ 52,067						\$ 52,067	\$ 67,954	\$ 69,539	\$ 60,923	
Overtime	\$ 174,229		\$ 2,342				\$ 176,571	\$ 75,000	\$ 84,062	\$ 71,689	
Staff Benefits	\$ 6,132,425	\$ 42,487	\$ 308,227				\$ 6,483,140	\$ 6,547,187	\$ 6,001,790	\$ 6,110,937	
	\$ 19,917,041	\$ 134,277	\$ 998,181				\$ 21,049,500	\$ 21,223,576	\$ 19,488,308	\$ 19,680,134	
Operating Expenses and Equipment											
General Expense	\$ 825,343	\$ 0	\$ 32,545				\$ 857,888	\$ 640,207	\$ 995,447	\$ 906,984	
Printing	\$ 30,364						\$ 30,364	\$ 26,508	\$ 24,987	\$ 25,002	
Telecommunications	\$ 164,382		\$ 13,182				\$ 177,564	\$ 148,055	\$ 131,447	\$ 140,114	
Postage	\$ 93,986						\$ 93,986	\$ 118,585	\$ 123,394	\$ 115,000	
Insurance	\$ 15,384						\$ 15,384	\$ 9,006	\$ 10,921	\$ 10,326	
In-State Travel	\$ 32,670		\$ 12,404				\$ 45,074	\$ 41,104	\$ 58,748	\$ 69,697	
Out-of-State Travel	\$ 4,540		\$ 2,423				\$ 6,962	\$ 6,270	\$ 9,848	\$ 2,861	
Training	\$ 22,627		\$ 41,136				\$ 63,763	\$ 61,469	\$ 76,903	\$ 55,611	
Security Services	\$ 528,639						\$ 528,639	\$ 631,225	\$ 621,376	\$ 630,261	
Facility Operations	\$ 343,238						\$ 343,238	\$ 312,206	\$ 262,339	\$ 270,534	
Utilities											
Contracted Services	\$ 1,583,920	\$ 186,980	\$ 219,823				\$ 1,990,723	\$ 2,311,713	\$ 2,288,751	\$ 2,808,063	
Consulting and Professional Services	\$ 13,841						\$ 13,841	\$ 12,566	\$ 8,345	\$ 3,137	
Information Technology	\$ 435,681		\$ 17,307				\$ 452,988	\$ 457,470	\$ 567,900	\$ 584,161	
Major Equipment	\$ 131,855		\$ 59,063				\$ 190,918	\$ 892,007	\$ 749,771	\$ 1,211,299	
Other Items of Expense	\$ 3,887						\$ 3,887	\$ 10,268	\$ 8,981	\$ 10,739	
	\$ 4,230,354	\$ 186,980	\$ 397,883				\$ 4,815,218	\$ 5,678,659	\$ 5,939,157	\$ 6,843,789	
Special Items of Expense											
Grand Jury	\$ 11,514						\$ 11,514	\$ 16,286	\$ 16,239	\$ 16,301	
Jury Costs	\$ 84,209						\$ 84,209	\$ 160,981	\$ 146,988	\$ 151,138	
Judgements, Settlements and Claims	\$ 21,188						\$ 21,188				
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (218,582)	\$ 20,040	\$ 198,542				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (923)						\$ (923)		\$ (37)		
	\$ (102,594)	\$ 20,040	\$ 198,542				\$ 115,988	\$ 177,267	\$ 163,191	\$ 167,439	
Total Expenditures	\$ 24,044,801	\$ 341,297	\$ 1,594,606				\$ 25,980,705	\$ 27,079,502	\$ 25,590,655	\$ 26,691,362	
Excess (Deficit) of Revenues Over Expenditures	\$ 840,615	\$ 193,305	\$ (310,098)				\$ 723,822	\$ 150,733	\$ 345,821	\$ (47,266)	
Operating Transfers In (Out)	\$ (310,098)		\$ 310,098				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 701,400	\$ 679,695	\$ 0				\$ 1,381,095	\$ 1,381,095	\$ 1,035,273	\$ 1,035,273	
Ending Balance (Deficit)	\$ 1,231,917	\$ 873,000	\$ 0				\$ 2,104,917	\$ 1,531,828	\$ 1,381,095	\$ 988,007	

Superior Court of California, County of Monterey  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2019/20									2018/19	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 6,104.177	\$ 367.854	\$ 21.188			\$ (276)	\$ 6,492.942	\$ 6,290.405	\$ 5,897.065	\$ 5,613.673
Traffic & Other Infractions	\$ 992.183	\$ 192.698				\$ (46)	\$ 1,184.835	\$ 882.020	\$ 988.076	\$ 882.220
Other Criminal Cases	\$ 2,757.487	\$ 119.204			\$ (20.413)	\$ (122)	\$ 2,856.155	\$ 2,487.703	\$ 2,666.431	\$ 2,439.643
Civil	\$ 389.832	\$ 86.081				\$ (14)	\$ 475.899	\$ 1,034.138	\$ 593.377	\$ 985.250
Family & Children Services	\$ 1,696.672	\$ 149.549			\$ 0	\$ (85)	\$ 1,846.137	\$ 1,738.751	\$ 1,984.583	\$ 1,731.340
Probate, Guardianship & Mental Health Services	\$ 400.130	\$ 296.449				\$ (17)	\$ 696.562	\$ 740.597	\$ 501.091	\$ 699.052
Juvenile Dependency Services	\$ 72.341	\$ 340.800				\$ (3)	\$ 413.138	\$ 622.722	\$ 470.222	\$ 540.573
Juvenile Delinquency Services	\$ 181.842	\$ 0				\$ (6)	\$ 181.836	\$ 237.489	\$ 160.138	\$ 191.632
Other Court Operations	\$ 1,480.137	\$ 1.971				\$ (66)	\$ 1,482.041	\$ 1,809.680	\$ 1,503.596	\$ 1,526.035
Court Interpreters	\$ 1,098.108	\$ 319.074				\$ (46)	\$ 1,417.137	\$ 1,544.072	\$ 1,299.194	\$ 1,355.427
Jury Services	\$ 181.106	\$ 55.594	\$ 84.209			\$ (7)	\$ 320.901	\$ 534.462	\$ 375.820	\$ 497.266
Security		\$ 525.863					\$ 525.863	\$ 622.155	\$ 617.976	\$ 609.758
Trial Court Operations Program	\$ 15,354.015	\$ 2,455.136	\$ 105.397		\$ (20.413)	\$ (688)	\$ 17,893.446	\$ 18,544.195	\$ 17,057.570	\$ 17,071.869
Enhanced Collections	\$ 86.498	\$ 0			\$ 20.413	\$ (4)	\$ 106.907	\$ 87.910	\$ 117.704	\$ 119.394
Other Non-Court Operations			\$ 11.153				\$ 11.153	\$ 16.286	\$ 16.968	\$ 16.301
Non-Court Operations Program	\$ 86.498	\$ 0	\$ 11.153		\$ 20.413	\$ (4)	\$ 118.060	\$ 104.196	\$ 134.672	\$ 135.695
Executive Office	\$ 1,631.185	\$ 151.589				\$ (77)	\$ 1,782.698	\$ 1,691.320	\$ 1,953.569	\$ 2,168.724
Fiscal Services	\$ 970.581	\$ 78.462				\$ (36)	\$ 1,049.007	\$ 942.303	\$ 994.972	\$ 802.477
Human Resources	\$ 479.869	\$ 94.295				\$ (19)	\$ 574.144	\$ 805.196	\$ 482.098	\$ 1,567.570
Business & Facilities Services	\$ 482.192	\$ 973.797				\$ (20)	\$ 1,455.969	\$ 1,884.919	\$ 1,052.617	\$ 1,501.226
Information Technology	\$ 2,045.160	\$ 1,061.939	\$ 362		\$ 0	\$ (79)	\$ 3,107.382	\$ 3,107.374	\$ 3,915.157	\$ 3,443.801
Court Administration Program	\$ 5,608.987	\$ 2,360.082	\$ 362		\$ 0	\$ (231)	\$ 7,969.199	\$ 8,431.111	\$ 8,398.413	\$ 9,483.798
Expenditures Not Distributed or Posted to a Program		\$ 0				\$ 0	\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 21,049.500	\$ 4,815.218	\$ 116.911		\$ 0	\$ (923)	\$ 25,980.705	\$ 27,079.502	\$ 25,590.655	\$ 26,691.362

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Monterey

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	181,900	-	181,900	-	-	-	-	-	181,900	
Restricted	103,549	-	103,549	873,000	-	-	-	-	976,549	
Committed	8,403	-	8,403	-	-	-	-	-	8,403	
Assigned	938,065	-	938,065	-	-	-	-	-	938,065	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 1,231,917</b>	<b>\$ -</b>	<b>\$ 1,231,917</b>	<b>\$ 873,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,104,917</b>	