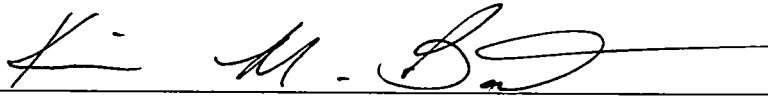


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/26/2020
Date

Humboldt

Court

FY2020-21 1st Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY2020-21 1st Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Humboldt

Court

FY2020-21 1st Qtr

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	83.20	76			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21									
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
Non-Grant		Grant							
ASSETS									
Operations	\$ (392,797)	\$ 167,582	\$ 245,542				\$ 19,639	\$ 39,966	\$ (43,906)
Payroll	\$ (4,642)						\$ 0	\$ (4,642)	\$ (5,020)
Jury									
Revolving	\$ 21,600							\$ 21,600	\$ 9,600
Other									
Distribution	\$ 0						\$ (780)	\$ (780)	\$ (4,487)
Civil Filing Fees	\$ 0						\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (1,746)	\$ (1,746)	\$ (11,025)
Credit Card									
Cash on Hand	\$ 2,800							\$ 2,800	\$ 3,300
Cash with County	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 2,837,174						\$ 957,140	\$ 3,794,313	\$ 4,402,675
Total Cash and Cash Equivalents	\$ 2,464,135	\$ 167,582	\$ 245,542				\$ 974,253	\$ 3,851,512	\$ 4,351,137
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (329)							\$ (329)	\$ (206)
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust	\$ 573							\$ 573	\$ 573
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 36,172	\$ 605	\$ 111,199					\$ 147,976	\$ 331,031
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 289	\$ 289	\$ 1,686
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 33	\$ 3						\$ 36	\$ 500
Total Receivables	\$ 36,449	\$ 608	\$ 111,199				\$ 289	\$ 148,545	\$ 333,584
Prepaid Expenses - General	\$ 512							\$ 512	\$ 491
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 512							\$ 512	\$ 491
Other Assets									
Total Other Assets									
Total Assets	\$ 2,501,095	\$ 168,190	\$ 356,741				\$ 974,542	\$ 4,000,569	\$ 4,685,212
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 36,641	\$ 0	\$ 0				\$ 0	\$ 36,641	\$ 77,774
Due to Other Funds	\$ 31,165	\$ 0	\$ 0				\$ 610	\$ 31,764	\$ 2,186
Due to Other Courts									\$ 0
Due to State									\$ 0
TC145 Liability							\$ 102,609	\$ 102,609	\$ 117,527
Due to Other Governments	\$ 263,076	\$ 0	\$ 26,023					\$ 289,099	\$ 46,003
AB145 Due to Other Government Agency							\$ 106,354	\$ 106,354	\$ 91,387
Due to Other Public Agencies									\$ 0
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 0
Interest							\$ 37	\$ 37	\$ 1,122
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 330,871	\$ 0	\$ 26,023				\$ 209,610	\$ 566,504	\$ 335,998
Civil							\$ 270,523	\$ 270,523	\$ 186,016
Criminal							\$ 461,771	\$ 461,771	\$ 289,330
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 15,259	\$ 15,259	\$ 13,197
Miscellaneous Trust									
Total Trust Deposits							\$ 747,552	\$ 747,552	\$ 488,543
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 63,970	\$ 0						\$ 63,970	\$ 61,119
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 61,098							\$ 61,098	\$ 64,069
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 125,068	\$ 0						\$ 125,068	\$ 125,188
Revenue Collected in Advance	\$ 2,006,530		\$ 240,071					\$ 2,246,601	\$ 1,314,613
Liabilities For Deposits	\$ 20,385						\$ 16,005	\$ 36,390	\$ 20,567
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0
Fees - Partial Payment & Overpayment							\$ 1,375	\$ 1,375	\$ 300
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0						\$ 0	\$ 0	\$ 0
Total Other Liabilities	\$ 2,026,915		\$ 240,071				\$ 17,380	\$ 2,284,366	\$ 1,335,481
Total Liabilities	\$ 2,482,854	\$ 0	\$ 266,094				\$ 974,542	\$ 3,723,490	\$ 2,285,210
Total Fund Balance	\$ 18,242	\$ 168,190	\$ 90,647				\$ 0	\$ 277,078	\$ 2,400,002
Total Liabilities and Fund Balance	\$ 2,501,095	\$ 168,190	\$ 356,741				\$ 974,542	\$ 4,000,569	\$ 4,685,212

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month-ended September											
Fiscal Year 2020/21											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 1,724,402	\$ 4,363						\$ 1,728,765	\$ 7,073,041	\$ 1,718,238	\$ 7,318.7
Improvement and Modernization Fund	\$ 0							\$ 0	\$ 611,189	\$ 894,285	\$ 1,231.6
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ (3,816)							\$ (3,816)	\$ 188,815	\$ 53,445	\$ 171.3
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 129,153							\$ 129,153	\$ 946,075	\$ 260,536	\$ 858.5
Other Miscellaneous									\$ 73,084		\$ 73.0
	\$ 1,849,739	\$ 4,363						\$ 1,854,102	\$ 8,892,204	\$ 2,926,504	\$ 9,653.3
Grants											
AB 1058 Commissioner/Facilitator			\$ 63,224					\$ 63,224	\$ 190,688	\$ 71,679	\$ 252.9
Other Judicial Council Grants			\$ 11,704					\$ 11,704	\$ 220,569	\$ 1,162,950	\$ 1,190.0
Non-Judicial Council Grants											
			\$ 74,928					\$ 74,928	\$ 411,257	\$ 1,234,629	\$ 1,442.9
Other Financing Sources											
Interest Income	\$ 54	\$ 14						\$ 68	\$ 5,080	\$ 2,038	\$ 48.4
Investment Income											
Donations											
Local Fees	\$ 0	\$ 5,774						\$ 5,774	\$ 57,022	\$ 6,366	\$ 53.5
Non-Fee Revenues	\$ 18,956							\$ 18,956	\$ 20,000	\$ 6,366	\$ 86.7
Enhanced Collections		\$ 2,082						\$ 2,082	\$ 8,397	\$ 2,470	\$ 13.0
Escheatment											\$ 14.5
Prior Year Revenue	\$ 474							\$ 474		\$ 26,723	
County Program - Restricted		\$ 142						\$ 142	\$ 1,571	\$ 504	\$ 2.8
Reimbursement Other		\$ 0						\$ 0	\$ 10,134	\$ 0	\$ 15.0
Sale of Fixed Assets											
Other Miscellaneous	\$ 48	\$ 191						\$ 239		\$ 22,845	\$ 6
	\$ 19,533	\$ 8,203						\$ 27,736	\$ 102,204	\$ 60,947	\$ 234.8
Total Revenues	\$ 1,869,272	\$ 12,566	\$ 74,928					\$ 1,956,766	\$ 9,406,665	\$ 4,222,081	\$ 11,331.1
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 953,239	\$ 4,515	\$ 38,834					\$ 996,588	\$ 4,501,546	\$ 943,906	\$ 4,636.2
Temp Help	\$ 6,723							\$ 6,723		\$ 6,677	
Overtime	\$ 2,503							\$ 2,503	\$ 5,000	\$ 6,335	\$ 5.0
Staff Benefits	\$ 720,338	\$ 755	\$ 22,098					\$ 743,191	\$ 2,527,503	\$ 656,260	\$ 2,642.1
	\$ 1,682,803	\$ 5,270	\$ 60,932					\$ 1,749,005	\$ 7,034,049	\$ 1,613,177	\$ 7,283.3
Operating Expenses and Equipment											
General Expense	\$ 58,283	\$ 712						\$ 58,995	\$ 265,025	\$ 33,447	\$ 293.3
Printing	\$ 263							\$ 263	\$ 19,598	\$ 123	\$ 26.2
Telecommunications	\$ 8,150		\$ 65					\$ 8,214	\$ 41,571	\$ 4,591	\$ 41.5
Postage	\$ 36,310	\$ 10						\$ 36,320	\$ 69,500	\$ 29,147	\$ 98.1
Insurance									\$ 4,022		\$ 2.6
In-State Travel	\$ 0							\$ 0	\$ 13,642	\$ 6,441	\$ 50.7
Out-of-State Travel											
Training	\$ 800							\$ 800	\$ 18,050	\$ 2,500	\$ 42.4
Security Services	\$ 24,966		\$ 1,636					\$ 26,602	\$ 162,570	\$ 14,684	\$ 161.1
Facility Operations	\$ 7,578		\$ 588					\$ 8,166	\$ 38,600	\$ 7,979	\$ 36.9
Utilities											
Contracted Services	\$ 211,204	\$ 0	\$ (90,647)					\$ 120,558	\$ 1,203,216	\$ 240,939	\$ 2,155.6
Consulting and Professional Services	\$ 2,320							\$ 2,320	\$ 11,815	\$ 1,170	\$ 9.8
Information Technology	\$ 143,954							\$ 143,954	\$ 725,209	\$ 64,562	\$ 1,182.1
Major Equipment									\$ 40,000		
Other Items of Expense									\$ 17,994	\$ 11	\$ 1.0
	\$ 493,828	\$ 722	\$ (88,358)					\$ 406,192	\$ 2,630,812	\$ 405,595	\$ 4,101.7
Special Items of Expense											
Grand Jury											
Jury Costs									\$ 92,161	\$ 3,300	\$ 92.1
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (77,634)	\$ 359	\$ 11,708					\$ (65,567)	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 65,377							\$ 65,377	\$ 65,567	\$ (1,872)	
	\$ (12,257)	\$ 359	\$ 11,708					\$ (190)	\$ 157,728	\$ 1,428	\$ 92.1
Total Expenditures	\$ 2,164,374	\$ 6,351	\$ (15,719)					\$ 2,155,006	\$ 9,822,589	\$ 2,020,200	\$ 11,477.3
Excess (Deficit) of Revenues Over Expenditures	\$ (295,102)	\$ 6,215	\$ 90,647					\$ (198,240)	\$ (416,924)	\$ 2,201,880	\$ (146.1)
Operating Transfers In (Out)									\$ 0		
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 313,343	\$ 161,975	\$ 0					\$ 0	\$ 475,318	\$ 198,122	\$ 198.1
Ending Balance (Deficit)	\$ 18,242	\$ 168,190	\$ 90,647					\$ 277,078	\$ 58,394	\$ 2,400,002	\$ 51.9

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21								2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 633,267	\$ 124,588				\$ 409	\$ 758,263	\$ 2,940,883	\$ 650,127	\$ 3,914,225
Traffic & Other Infractions										
Other Criminal Cases										
Civil	\$ 68,303	\$ 4,121			\$ 8,683		\$ 81,108	\$ 210,418	\$ 81,537	\$ 161,082
Family & Children Services	\$ 2,666						\$ 2,666	\$ 27,500	\$ 5,889	\$ 41,826
Probate, Guardianship & Mental Health Services		\$ 20,920					\$ 20,920	\$ 115,400	\$ 21,190	\$ 132,100
Juvenile Dependency Services		\$ 143,382					\$ 143,382	\$ 665,891	\$ 151,890	\$ 618,058
Juvenile Delinquency Services								\$ 26,023		\$ 26,023
Other Court Operations	\$ 579,898	\$ (9,611)			\$ (65,908)	\$ 65,367	\$ 569,746	\$ 2,380,620	\$ 645,240	\$ 2,492,930
Court Interpreters	\$ 3,427	\$ 7,522					\$ 10,949	\$ 193,620	\$ 27,671	\$ 241,540
Jury Services	\$ 37,861	\$ 28,420					\$ 66,281	\$ 304,190	\$ 68,611	\$ 298,517
Security		\$ 27,114					\$ 27,114	\$ 170,345	\$ 15,196	\$ 167,867
Trial Court Operations Program	\$ 1,325,423	\$ 346,455			\$ (57,225)	\$ 65,776	\$ 1,680,429	\$ 7,034,890	\$ 1,667,349	\$ 8,094,169
Enhanced Collections	\$ 1,731	\$ 157			\$ 341		\$ 2,229	\$ 8,515	\$ 2,480	\$ 13,228
Other Non-Court Operations										
Non-Court Operations Program	\$ 1,731	\$ 157			\$ 341		\$ 2,229	\$ 8,515	\$ 2,480	\$ 13,228
Executive Office	\$ 53,500	\$ 9,255					\$ 62,755	\$ 224,011	\$ 56,646	\$ 226,142
Fiscal Services	\$ 123,602	\$ 16,144				\$ (399)	\$ 139,347	\$ 314,551	\$ 102,662	\$ 397,726
Human Resources	\$ 62,465	\$ 6,403					\$ 68,868	\$ 918,935	\$ 58,689	\$ 964,077
Business & Facilities Services	\$ 105,772	\$ 5,165			\$ (8,683)		\$ 102,254	\$ 218,322	\$ 25,512	\$ 261,251
Information Technology	\$ 76,511	\$ 22,614					\$ 99,124	\$ 1,103,365	\$ 106,862	\$ 1,520,753
Court Administration Program	\$ 421,851	\$ 59,579			\$ (8,683)	\$ (399)	\$ 472,348	\$ 2,779,184	\$ 350,371	\$ 3,369,950
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 1,749,005	\$ 406,192			\$ (65,567)	\$ 65,377	\$ 2,155,006	\$ 9,822,589	\$ 2,020,200	\$ 11,477,346