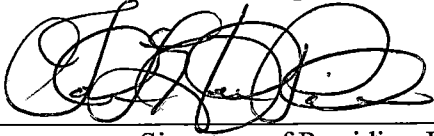


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/15/2020

Date

Kern

Court

2020-21 1st Qtr

Fiscal Year and Ending Quarter



QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2020/21

2019/20

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,818,221)	\$ 3,024,051	\$ (540,485)			\$ 1,850,103	\$ 57,464	\$ 572,912	\$ 6,761
Payroll	\$ (7,352)						\$ 0	\$ (7,352)	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other	\$ 27,505							\$ 27,505	\$ 18,235
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 11,600							\$ 11,600	\$ 11,600
Cash with County	\$ 0					\$ 0	\$ 9,722,131	\$ 9,722,131	\$ 9,722,131
Cash Outside of the JCC	\$ 0						\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 14,355,296						\$ 680,812	\$ 15,036,108	\$ 18,103,238
Total Cash and Cash Equivalents	\$ 10,573,828	\$ 3,024,051	\$ (540,485)			\$ 1,850,103	\$ 10,460,408	\$ 25,367,904	\$ 27,866,966
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0				\$ 0		\$ 0	\$ 0
Accounts Receivable - General	\$ 166					\$ 59,265		\$ 59,430	\$ 40,726
Dishonored Checks									
Due From Employee	\$ (729)							\$ (729)	\$ (5)
Civil Jury Fees									
Trust									
Due From Other Funds		\$ 0						\$ 0	\$ 1,769,298
Due From Other Governments	\$ 10,375							\$ 10,375	\$ 4,115
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 1,387,087	\$ 0	\$ 303,326					\$ 1,690,413	\$ 481,775
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 1,396,898	\$ 0	\$ 303,326			\$ 59,265	\$ 0	\$ 1,759,489	\$ 2,295,909
Prepaid Expenses - General	\$ 703,836							\$ 703,836	\$ 109,610
Salary and Travel Advances	\$ 0		\$ 0					\$ 0	\$ 4,537
Counties									
Total Prepaid Expenses	\$ 703,836		\$ 0					\$ 703,836	\$ 114,147
Other Assets						\$ 100,000		\$ 100,000	\$ 100,000
Total Other Assets						\$ 100,000		\$ 100,000	\$ 100,000
Total Assets	\$ 12,674,562	\$ 3,024,051	\$ (237,159)			\$ 2,009,367	\$ 10,460,408	\$ 27,931,229	\$ 30,377,022
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0				\$ 0		\$ 0	\$ 0
Accounts Payable - General	\$ 171,235	\$ 0	\$ 0			\$ 0	\$ 0	\$ 171,235	\$ 160,445
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 1,769,298
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 738,264	\$ 738,264	\$ 1,091,167
Due to Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ (489)
Interest							\$ 12	\$ 12	\$ 1,306
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 171,235	\$ 0	\$ 0			\$ 0	\$ 738,276	\$ 909,512	\$ 3,021,728
Civil									
Criminal	\$ 0							\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 9,722,131	\$ 9,722,131	\$ 9,722,131
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 9,722,131	\$ 9,722,131	\$ 9,722,131
Accrued Payroll	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Benefits Payable	\$ 1,423					\$ 0		\$ 1,423	\$ (8,334)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 60
Payroll Clearing	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,423	\$ 0	\$ 0			\$ 0		\$ 1,423	\$ (8,274)
Revenue Collected in Advance			\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 133,550	\$ 155				\$ 2,544	\$ 0	\$ 136,248	\$ 157,944
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections						\$ 0		\$ 0	\$ 6
Other Miscellaneous Liabilities	\$ 0					\$ 0		\$ 0	\$ 6
Total Other Liabilities	\$ 133,550	\$ 155	\$ 0			\$ 2,544	\$ 0	\$ 136,248	\$ 157,950
Total Liabilities	\$ 306,207	\$ 155	\$ 0			\$ 2,544	\$ 10,460,408	\$ 10,769,314	\$ 12,893,535
Total Fund Balance	\$ 12,368,354	\$ 3,023,896	\$ (237,159)			\$ 2,006,823		\$ 17,161,915	\$ 17,483,487
Total Liabilities and Fund Balance	\$ 12,674,562	\$ 3,024,051	\$ (237,159)			\$ 2,009,367	\$ 10,460,408	\$ 27,931,229	\$ 30,377,022

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21									2019/20		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 21,996,948	\$ 25,860						\$ 22,022,807		\$ 23,360,334	\$ 55,502,740
Improvement and Modernization Fund										\$ (1)	\$ 113,238
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 834,324							\$ 834,324		\$ 432,690	\$ 4,346,895
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 299,352							\$ 299,352		\$ 374,777	\$ 4,858,216
Other Miscellaneous											\$ 3,544,268
	\$ 23,130,623	\$ 25,860						\$ 23,156,483		\$ 24,167,800	\$ 68,365,357
Grants											
AB 1058 Commissioner/Facilitator										\$ 0	\$ 1,546,300
Other Judicial Council Grants											\$ 15,000
Non-Judicial Council Grants											\$ 1,561,300
										\$ 0	\$ 1,561,300
Other Financing Sources											
Interest Income	\$ 987	\$ 25				\$ 14		\$ 1,026		\$ 7,012	\$ 373,920
Investment Income											
Donations											
Local Fees	\$ 93,342	\$ 19,227						\$ 112,569		\$ 251,150	\$ 1,055,890
Non-Fee Revenues	\$ 16,611							\$ 16,611		\$ 17,662	\$ 64,100
Enhanced Collections		\$ 615,777						\$ 615,777		\$ 986,915	\$ 3,996,617
Escheatment											
Prior Year Revenue	\$ 444,530	\$ (4)	\$ (15,300)					\$ 429,226			
County Program - Restricted		\$ 12,742						\$ 12,742		\$ 39,497	\$ 184,001
Reimbursement Other	\$ 58,372					\$ 166,460		\$ 224,832		\$ 197,181	\$ 691,096
Sale of Fixed Assets											
Other Miscellaneous	\$ 928					\$ 1,897,043		\$ 1,897,971		\$ 2,032,308	\$ 8,638,735
	\$ 614,769	\$ 647,767	\$ (15,300)			\$ 2,063,518		\$ 3,310,754		\$ 3,531,724	\$ 15,004,359
Total Revenues	\$ 23,745,393	\$ 673,626	\$ (15,300)			\$ 2,063,518		\$ 26,467,237		\$ 27,699,525	\$ 84,931,016
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,935,862	\$ 271,088	\$ 127,224					\$ 6,334,173		\$ 6,780,112	\$ 30,025,745
Temp Help	\$ 14,763							\$ 14,763		\$ 8,887	\$ 304,631
Overtime	\$ 2,967		\$ 17					\$ 2,984		\$ 28,048	\$ 1
Staff Benefits	\$ 5,475,028	\$ 231,636	\$ 94,209			\$ 4,280		\$ 5,805,152		\$ 5,719,556	\$ 29,799,271
	\$ 11,428,619	\$ 502,723	\$ 221,450			\$ 4,280		\$ 12,157,072		\$ 12,536,603	\$ 60,129,648
Operating Expenses and Equipment											
General Expense	\$ 176,529	\$ 5,278	\$ 409			\$ 1,654		\$ 183,869		\$ 440,827	\$ 2,693,381
Printing	\$ 6,438							\$ 6,438		\$ 10,648	\$ 139,880
Telecommunications	\$ 39,267	\$ 0						\$ 39,267		\$ 63,541	\$ 548,648
Postage	\$ 55,785	\$ 11,900						\$ 67,685		\$ 161,224	\$ 541,125
Insurance	\$ 1,409					\$ 280,804		\$ 282,213		\$ 274,152	\$ 1,006,775
In-State Travel	\$ 5,806							\$ 5,806		\$ 22,178	\$ 108,987
Out-of-State Travel										\$ 750	\$ 5,110
Training	\$ 1,277							\$ 1,277		\$ 7,505	\$ 36,145
Security Services											
Facility Operations	\$ 117,256							\$ 117,256		\$ 165,974	\$ 4,234,047
Utilities	\$ (735)							\$ (735)		\$ 1,137	\$ 70,000
Contracted Services	\$ 542,851	\$ 70,433				\$ 113,578		\$ 726,862		\$ 1,188,697	\$ 5,927,175
Consulting and Professional Services	\$ (18,652)	\$ 198,176						\$ 179,524		\$ 6,817	\$ 623,973
Information Technology	\$ 81,123	\$ 32,795						\$ 113,918		\$ 131,459	\$ 1,661,088
Major Equipment	\$ 5,660							\$ 5,660			
Other Items of Expense	\$ 4,436							\$ 4,436		\$ 33,759	\$ 160,800
	\$ 1,018,449	\$ 318,582	\$ 409			\$ 396,036		\$ 1,733,475		\$ 2,508,667	\$ 17,757,134
Special Items of Expense											
Grand Jury	\$ 172							\$ 172			\$ 500
Jury Costs	\$ 81,852							\$ 81,852		\$ 149,653	\$ 620,200
Judgements, Settlements and Claims						\$ 156,100		\$ 156,100		\$ 22,976	\$ 7,412,300
Debt Service											
Other										\$ 0	
Capital Costs											
Internal Cost Recovery	\$ (86,087)	\$ 86,087						\$ 0		\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 55,666	\$ 4,736				\$ 6,951		\$ 67,353			
	\$ 51,603	\$ 90,823				\$ 163,050		\$ 305,477		\$ 172,629	\$ 8,033,000
Total Expenditures	\$ 12,498,672	\$ 912,128	\$ 221,859			\$ 563,366		\$ 14,196,024		\$ 15,217,899	\$ 85,919,782
Excess (Deficit) of Revenues Over Expenditures	\$ 11,246,721	\$ (238,502)	\$ (237,159)			\$ 1,500,152		\$ 12,271,212		\$ 12,481,625	\$ (988,766)
Operating Transfers In (Out)	\$ (371,654)	\$ 371,654						\$ 0		\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,493,288	\$ 2,890,744	\$ 0			\$ 506,672		\$ 4,890,703	\$ 4,890,703	\$ 5,001,862	\$ 5,001,862
Ending Balance (Deficit)	\$ 12,368,354	\$ 3,023,896	\$ (237,159)			\$ 2,006,823		\$ 17,161,915	\$ 4,890,703	\$ 17,483,487	\$ 4,013,096

Superior Court of California, County of Kern
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,322,039	\$ 220,264				\$ 27,985	\$ 4,570,288		\$ 4,727,735	\$ 19,723,202
Traffic & Other Infractions	\$ 655,239	\$ 46,841					\$ 702,080		\$ 831,305	\$ 3,549,352
Other Criminal Cases	\$ 845,564	\$ 8,055					\$ 853,619		\$ 994,061	\$ 4,820,525
Civil	\$ 977,315	\$ 840				\$ 484	\$ 978,639		\$ 1,033,286	\$ 4,512,099
Family & Children Services	\$ 1,230,330	\$ 2,179				\$ (1,740)	\$ 1,230,769		\$ 1,272,467	\$ 6,962,794
Probate, Guardianship & Mental Health Services	\$ 288,415	\$ 435					\$ 288,849		\$ 266,732	\$ 1,290,599
Juvenile Dependency Services	\$ 111,142	\$ 85,660				\$ 0	\$ 196,801		\$ 522,092	\$ 3,209,406
Juvenile Delinquency Services	\$ 146,744	\$ 9,900				\$ 2,825	\$ 159,469		\$ 173,491	\$ 779,764
Other Court Operations	\$ 406,997	\$ 34,264					\$ 441,261		\$ 663,893	\$ 4,097,567
Court Interpreters	\$ 522,372	\$ 260,101				\$ 0	\$ 782,473		\$ 763,869	\$ 4,346,896
Jury Services	\$ 119,734	\$ 1,382	\$ 81,852			\$ (749)	\$ 202,219		\$ 363,044	\$ 1,630,176
Security		\$ (47,554)					\$ (47,554)		\$ 41,276	\$ 187,760
Trial Court Operations Program	\$ 9,625,891	\$ 622,366	\$ 81,852			\$ 28,805	\$ 10,358,914		\$ 11,653,250	\$ 55,110,140
Enhanced Collections	\$ 502,044	\$ 88,970			\$ 86,087	\$ 8,771	\$ 685,872		\$ 707,201	\$ 4,061,437
Other Non-Court Operations	\$ 38,648	\$ 428,419	\$ 156,272			\$ 2,915	\$ 626,254		\$ 392,676	\$ 8,919,940
Non-Court Operations Program	\$ 540,692	\$ 517,389	\$ 156,272		\$ 86,087	\$ 11,686	\$ 1,312,126		\$ 1,099,877	\$ 12,981,377
Executive Office	\$ 592,201	\$ 159					\$ 592,359		\$ 431,405	\$ 2,234,017
Fiscal Services	\$ 209,939	\$ 38,658				\$ 411	\$ 249,008		\$ 239,398	\$ 1,165,836
Human Resources	\$ 114,812	\$ 7,039				\$ 42,272	\$ 164,123		\$ 181,954	\$ 908,673
Business & Facilities Services	\$ 524,577	\$ 136,222			\$ (86,087)	\$ 7,199	\$ 581,912		\$ 737,032	\$ 7,810,417
Information Technology	\$ 548,961	\$ 411,643				\$ (23,021)	\$ 937,583		\$ 874,984	\$ 5,709,322
Court Administration Program	\$ 1,990,490	\$ 593,720			\$ (86,087)	\$ 26,861	\$ 2,524,984		\$ 2,464,772	\$ 17,828,265
Expenditures Not Distributed or Posted to a Program									\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 12,157,072	\$ 1,733,475	\$ 238,124		\$ 0	\$ 67,353	\$ 14,196,024		\$ 15,217,899	\$ 85,919,782