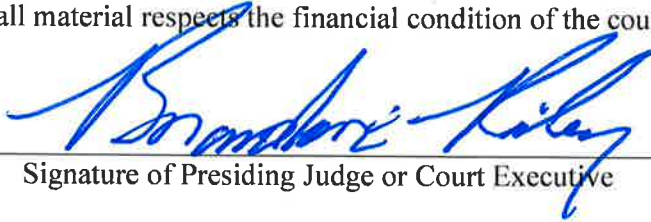


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/20/2020

Date

San Joaquin

Court

2020-2021 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2020-2021 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin

Court

2020-2021 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	347.25	317.77			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September								2019/20
	Fiscal Year 2020/21								
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (3,794,562)	\$ 1,862,952	\$ 109,293	\$ 2,466,199			\$ 108,729	\$ 752,591	\$ 75,103
Payroll	\$ (2,262)	\$ 0	\$ 0					\$ (2,262)	\$ 0
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 15,000
Other	\$ 9,142							\$ 9,142	\$ 6,538
Distribution							\$ 0	\$ 0	\$ 274
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (21,360)	\$ (21,360)	\$ (7,502)
Credit Card									
Cash on Hand	\$ 10,250							\$ 10,250	\$ 10,150
Cash with County	\$ (10,365)	\$ 130,406	\$ 17,872					\$ 137,913	\$ 113,759
Cash Outside of the JCC								\$ 0	\$ 0
Cash Equivalents	\$ 14,434,097						\$ 0	\$ 0	\$ 0
Total Cash and Cash Equivalents	\$ 10,671,279	\$ 1,993,356	\$ 127,165	\$ 2,466,199			\$ 4,067,045	\$ 18,501,141	\$ 18,380,411
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 1,613	\$ 0		\$ 0			\$ 0	\$ 1,613	\$ 0
Accounts Receivable - General	\$ 1,356		\$ 0					\$ 1,356	\$ 73
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 87,370					\$ 87,370	\$ 21,004
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 122,777	\$ 0	\$ 180,935					\$ 303,713	\$ 453,674
Trust Due To/From							\$ 9,690	\$ 9,690	\$ 31,806
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 11,891	\$ 3,460					\$ 0	\$ 0	\$ 0
Total Receivables	\$ 137,637	\$ 3,460	\$ 268,305	\$ 0			\$ 9,690	\$ 419,091	\$ 534,772
Prepaid Expenses - General	\$ 141,635	\$ 0						\$ 141,635	\$ 141,635
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 141,635	\$ 0						\$ 141,635	\$ 141,635
Other Assets									
Total Other Assets									
Total Assets	\$ 10,950,551	\$ 1,996,818	\$ 395,470	\$ 2,466,199			\$ 4,164,103	\$ 19,973,141	\$ 19,270,141
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 70,501	\$ 198	\$ 625				\$ 0	\$ 71,324	\$ 39,739
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 25,040	\$ 25,040	\$ 60,021
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 664,933	\$ 664,933	\$ 785,024
Due to Other Governments	\$ 0	\$ 0	\$ 0	\$ 2,466,199				\$ 2,466,199	\$ 2,414,357
AB145 Due to Other Government Agency							\$ 549,081	\$ 549,081	\$ 626,185
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 167	\$ 167	\$ 7,349
Miscellaneous Accts, Pay, and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 70,501	\$ 198	\$ 625	\$ 2,466,199			\$ 1,239,222	\$ 3,776,745	\$ 3,932,675
Civil							\$ 2,142,955	\$ 2,142,955	\$ 1,891,673
Criminal							\$ 658,618	\$ 658,618	\$ 955,889
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 90,876	\$ 90,876	\$ 79,616
Miscellaneous Trust									
Total Trust Deposits							\$ 2,892,449	\$ 2,892,449	\$ 2,927,178
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,033,182							\$ 1,033,182	\$ 939,495
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ (438)							\$ (438)	\$ 0
Total Payroll Liabilities	\$ 1,032,744	\$ 0	\$ 0					\$ 1,032,744	\$ 939,495
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 33,000	\$ 1,176					\$ 7,420	\$ 41,595	\$ 81,823
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 4,005
Fees - Partial Payment & Overpayment							\$ 25,012	\$ 25,012	\$ 10,985
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 33,000	\$ 1,176	\$ 0				\$ 32,432	\$ 66,607	\$ 96,613
Total Liabilities	\$ 1,136,245	\$ 1,374	\$ 625	\$ 2,466,199			\$ 4,164,103	\$ 7,768,546	\$ 7,895,961
Total Fund Balance	\$ 9,814,306	\$ 1,995,443	\$ 394,845	\$ 0				\$ 12,204,595	\$ 11,374,180
Total Liabilities and Fund Balance	\$ 10,950,551	\$ 1,996,818	\$ 395,470	\$ 2,466,199			\$ 4,164,103	\$ 19,973,141	\$ 19,270,141

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2019/20		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 16,714,924	\$ 24,379					\$ 16,739,303	\$ 37,064,860	\$ 16,999,558	\$ 38,896,774	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 98,776	\$ (23,985)	\$ 137,116	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 280,497						\$ 280,497	\$ 1,859,775	\$ 107,677	\$ 1,879,164	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 59,290	\$ 264					\$ 59,555	\$ 865,123	\$ 78,771	\$ 899,424	
Other Miscellaneous								\$ 1,245,356		\$ 1,245,356	
	\$ 17,054,711	\$ 24,643					\$ 17,079,355	\$ 41,133,892	\$ 17,162,022	\$ 43,047,836	
Grants											
AB 1058 Commissioner/Facilitator			\$ 18,515				\$ 18,515	\$ 868,137	\$ 0	\$ 1,018,047	
Other Judicial Council Grants			\$ 678,462				\$ 678,462	\$ 1,006,019	\$ 1,215,598	\$ 1,528,363	
Non-Judicial Council Grants			\$ 112,354				\$ 112,354	\$ 1,601,432	\$ 86,881	\$ 1,238,950	
			\$ 809,330				\$ 809,330	\$ 3,475,588	\$ 1,302,479	\$ 3,785,360	
Other Financing Sources											
Interest Income	\$ 165	\$ 42					\$ 207	\$ 140,485	\$ 10,773	\$ 239,400	
Investment Income											
Donations											
Local Fees	\$ 58,086	\$ 13,885					\$ 71,972	\$ 288,933	\$ 60,237	\$ 312,025	
Non-Fee Revenues											
Enhanced Collections		\$ 72,327					\$ 72,327	\$ 588,626	\$ 34,406	\$ 676,769	
Escheatment											
Prior Year Revenue	\$ (172,330)	\$ 0					\$ (172,330)		\$ (1,888)		
County Program - Restricted		\$ 131,837					\$ 131,837	\$ 1,349,249	\$ 155,163	\$ 1,279,396	
Reimbursement Other	\$ 2,472						\$ 2,472	\$ 120,777	\$ 6,356	\$ 72,388	
Sale of Fixed Assets											
Other Miscellaneous	\$ 634						\$ 634	\$ 2,543	\$ 449	\$ 5,775	
	\$ (110,973)	\$ 218,091					\$ 107,118	\$ 2,490,615	\$ 265,496	\$ 2,585,753	
Total Revenues	\$ 16,943,736	\$ 242,735	\$ 809,330				\$ 17,995,803	\$ 47,100,095	\$ 18,729,997	\$ 49,418,948	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 4,164,483	\$ 137,784	\$ 205,527				\$ 4,507,794	\$ 22,434,298	\$ 4,678,362	\$ 22,521,465	
Temp Help								\$ 187,429	\$ 37,247	\$ 174,492	
Overtime	\$ 3,712						\$ 3,712	\$ 12,000	\$ 9,483	\$ 30,000	
Staff Benefits	\$ 3,644,890	\$ 67,336	\$ 110,860				\$ 3,823,088	\$ 17,430,613	\$ 3,513,767	\$ 17,935,382	
	\$ 7,813,086	\$ 205,122	\$ 316,387				\$ 8,334,595	\$ 40,064,340	\$ 8,238,860	\$ 40,661,339	
Operating Expenses and Equipment											
General Expense	\$ 294,090	\$ 2,130	\$ 28,134				\$ 324,354	\$ 1,282,346	\$ 258,901	\$ 1,351,114	
Printing	\$ 9,178		\$ 93				\$ 9,271	\$ 39,459	\$ 11,613	\$ 47,756	
Telecommunications	\$ 31,099	\$ 76	\$ 29				\$ 31,205	\$ 143,627	\$ 33,073	\$ 131,308	
Postage	\$ 75,837		\$ 58				\$ 75,895	\$ 202,615	\$ 61,765	\$ 202,023	
Insurance	\$ 3,074						\$ 3,074	\$ 30,000	\$ 1,899	\$ 27,273	
In-State Travel	\$ 2,725		\$ 8,000				\$ 10,725	\$ 66,137	\$ 37,250	\$ 103,688	
Out-of-State Travel									\$ 8,257	\$ 24,709	
Training	\$ 6,464	\$ 199	\$ 597				\$ 7,260	\$ 9,908	\$ 748	\$ 12,733	
Security Services	\$ 192,179		\$ 8,896				\$ 201,075	\$ 1,215,210	\$ 244,099	\$ 1,044,298	
Facility Operations	\$ 186,160		\$ 827				\$ 186,986	\$ 1,013,432	\$ 178,057	\$ 1,042,662	
Utilities	\$ 11,675						\$ 11,675	\$ 68,000	\$ 5,469	\$ 70,000	
Contracted Services	\$ 412,330	\$ 88,438	\$ 16,490				\$ 517,258	\$ 3,250,943	\$ 628,583	\$ 3,698,468	
Consulting and Professional Services	\$ 5,303		\$ 29,291				\$ 34,594	\$ 141,361	\$ (3,411)	\$ 111,846	
Information Technology	\$ 15,049						\$ 15,049	\$ 870,309	\$ 113,864	\$ 755,413	
Major Equipment	\$ 6,748						\$ 6,748	\$ 16,288	\$ (19,463)	\$ 10,000	
Other Items of Expense	\$ 2,330						\$ 2,330	\$ 29,975	\$ 2,987	\$ 30,725	
	\$ 1,254,240	\$ 90,843	\$ 92,416				\$ 1,437,499	\$ 8,379,610	\$ 1,563,689	\$ 8,664,016	
Special Items of Expense											
Grand Jury		\$ 9,460					\$ 9,460	\$ 70,000	\$ 12,858	\$ 94,250	
Jury Costs	\$ 40,685						\$ 40,685	\$ 224,800	\$ 65,319	\$ 252,575	
Judgements, Settlements and Claims											
Debt Service											
Other									\$ 0		
Capital Costs											
Internal Cost Recovery	\$ (9,392)	\$ 3,710	\$ 5,682				\$ 0	\$ 1	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 7,751	\$ (961)					\$ 6,790		\$ 299		
	\$ 39,044	\$ 12,209	\$ 5,682				\$ 56,936	\$ 294,801	\$ 78,476	\$ 348,825	
Total Expenditures	\$ 9,106,370	\$ 308,174	\$ 414,485				\$ 9,829,029	\$ 48,738,751	\$ 9,681,025	\$ 49,672,180	
Excess (Deficit) of Revenues Over Expenditures	\$ 7,837,366	\$ (65,439)	\$ 394,845				\$ 8,166,774	\$ (1,638,656)	\$ 8,848,971	\$ (253,231)	
Operating Transfers In (Out)	\$ 60	\$ (60)					\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,976,878	\$ 2,060,943	\$ 0	\$ 0			\$ 4,037,820	\$ 4,037,820	\$ 2,525,209	\$ 2,525,209	
Ending Balance (Deficit)	\$ 9,814,306	\$ 1,995,443	\$ 394,845	\$ 0			\$ 12,204,595	\$ 2,399,164	\$ 11,374,180	\$ 2,271,978	

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21									2019/20	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,901,353	\$ 137,102				\$ (428)	\$ 3,038,027	\$ 15,618,026	\$ 3,228,943	\$ 15,410,499
Traffic & Other Infractions	\$ 488,863	\$ 50,800					\$ 539,663	\$ 2,639,784	\$ 484,113	\$ 2,556,814
Other Criminal Cases	\$ 1,149,809	\$ 130,044				\$ 7,750	\$ 1,287,602	\$ 7,925,038	\$ 1,448,004	\$ 7,994,607
Civil	\$ 563,797	\$ 3,250				\$ 40	\$ 567,087	\$ 2,869,876	\$ 616,417	\$ 2,786,816
Family & Children Services	\$ 740,368	\$ 18,639			\$ 5,682	\$ 139	\$ 764,828	\$ 3,950,167	\$ 702,883	\$ 3,809,229
Probate, Guardianship & Mental Health Services	\$ 349,572	\$ 3,004				\$ 12	\$ 352,588	\$ 1,808,733	\$ 316,255	\$ 1,622,772
Juvenile Dependency Services	\$ 69,287	\$ 50					\$ 69,337	\$ 339,524	\$ 64,226	\$ 323,300
Juvenile Delinquency Services	\$ 88,926	\$ 4,383					\$ 93,309	\$ 524,484	\$ 110,278	\$ 549,880
Other Court Operations	\$ 617,856	\$ 198,462				\$ 268	\$ 816,587	\$ 967,793	\$ 484,793	\$ 1,209,780
Court Interpreters	\$ 206,419	\$ 216,750				\$ 431	\$ 423,600	\$ 1,903,593	\$ 420,825	\$ 2,076,653
Jury Services	\$ 74,379	\$ 31,556	\$ 40,685				\$ 146,620	\$ 757,940	\$ 144,716	\$ 794,044
Security		\$ 205,036				\$ 467	\$ 205,503	\$ 1,151,424	\$ 246,013	\$ 923,181
Trial Court Operations Program	\$ 7,250,628	\$ 999,077	\$ 40,685		\$ 5,682	\$ 8,681	\$ 8,304,753	\$ 40,456,382	\$ 8,267,466	\$ 40,037,575
Enhanced Collections	\$ 27,646	\$ 83,826			\$ 3,710		\$ 115,182	\$ 588,626	\$ 75,340	\$ 676,899
Other Non-Court Operations	\$ 13,928	\$ 4,662	\$ 9,460			\$ (1,304)	\$ 26,747	\$ 263,406	\$ 28,172	\$ 334,285
Non-Court Operations Program	\$ 41,574	\$ 88,489	\$ 9,460		\$ 3,710	\$ (1,304)	\$ 141,929	\$ 852,032	\$ 103,512	\$ 1,011,184
Executive Office	\$ 141,128	\$ 1,509			\$ (1,266)		\$ 141,370	\$ 603,908	\$ 200,214	\$ 980,448
Fiscal Services	\$ 314,639	\$ 17,762			\$ (2,814)	\$ (179)	\$ 329,408	\$ 1,648,861	\$ 347,670	\$ 2,538,607
Human Resources	\$ 81,104	\$ 18,734			\$ (1,051)		\$ 98,786	\$ 592,726	\$ 125,053	\$ 679,512
Business & Facilities Services	\$ 161,739	\$ 212,075			\$ (1,412)	\$ 218	\$ 372,620	\$ 1,908,250	\$ 403,526	\$ 1,959,445
Information Technology	\$ 343,784	\$ 99,854			\$ (2,849)	\$ (626)	\$ 440,162	\$ 2,676,592	\$ 433,584	\$ 2,465,409
Court Administration Program	\$ 1,042,393	\$ 349,933			\$ (9,392)	\$ (587)	\$ 1,382,347	\$ 7,430,337	\$ 1,510,048	\$ 8,623,421
Expenditures Not Distributed or Posted to a Program										\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 8,334,595	\$ 1,437,499	\$ 50,146		\$ 0	\$ 6,790	\$ 9,829,029	\$ 48,738,751	\$ 9,881,025	\$ 49,672,180