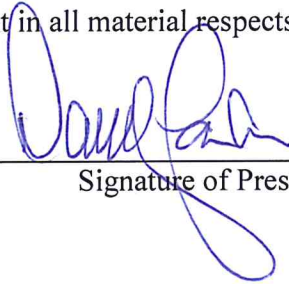


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/19/2020

Date

SANTA BARBARA

Court

2020-21 Quarter 1

Fiscal Year and Ending Quarter

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2020/21									2019/20
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,365,823)	\$ 2,790,967	\$ (177,742)				\$ 99,615	\$ 347,016	\$ (152,552)
Payroll	\$ 2,439	\$ 0	\$ 0					\$ 2,439	\$ 0
Jury Revolving	\$ 20,000							\$ 20,000	\$ 5,000
Other Distribution							\$ 7,318	\$ 7,318	\$ 67,237
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (19,323)	\$ (19,323)	\$ (35,881)
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County	\$ 0							\$ 0	
Cash Outside of the JCC									
Cash Equivalents	\$ 9,222,727						\$ 4,235,339	\$ 13,458,066	\$ 15,157,063
Total Cash and Cash Equivalents	\$ 6,886,473	\$ 2,790,967	\$ (177,742)				\$ 4,322,949	\$ 13,822,646	\$ 15,047,997
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									\$ 0
Dishonored Checks									\$ 0
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 0	\$ 0	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments		\$ 283,324						\$ 283,324	\$ 283,324
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 53,927					\$ 53,927	\$ 317,217
Trust Due To/From							\$ 408,514	\$ 408,514	\$ 441,406
Distribution Due To/From							\$ 59,934	\$ 59,934	\$ 94,867
Civil Filing Fee Due To/From									
General Due To/From	\$ 239	\$ 0						\$ 239	\$ 10,671
Total Receivables	\$ 239	\$ 283,324	\$ 53,927				\$ 468,448	\$ 805,937	\$ 1,147,485
Prepaid Expenses - General Salary and Travel Advances Counties	\$ 568,521							\$ 568,521	\$ 360,885
Total Prepaid Expenses	\$ 568,521							\$ 568,521	\$ 360,885
Other Assets									
Total Other Assets									
Total Assets	\$ 7,455,232	\$ 3,074,291	\$ (123,815)				\$ 4,791,397	\$ 15,197,104	\$ 16,556,366
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 24,517	\$ 583	\$ 0				\$ 0	\$ 25,100	\$ 26,945
Due to Other Funds	\$ 8	\$ 20,375	\$ 0				\$ 448,304	\$ 468,687	\$ 546,944
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 336,103	\$ 336,103	\$ 566,631
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,068,620	\$ 1,068,620	\$ 2,203,543
Due to Other Public Agencies									
Sales and Use Tax	\$ 130							\$ 130	\$ 44
Interest							\$ 158	\$ 158	\$ 9,296
Miscellaneous Accts. Pay. and Accrued Liab.	\$ (437)							\$ (437)	\$ 540
Total Accounts Payable and Accrued Liab.	\$ 24,218	\$ 20,958	\$ 0				\$ 1,853,186	\$ 1,898,361	\$ 3,353,943
Civil							\$ 2,396,125	\$ 2,396,125	\$ 2,239,621
Criminal							\$ 455,986	\$ 455,986	\$ 561,736
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 54,319	\$ 54,319	\$ 51,015
Miscellaneous Trust									
Total Trust Deposits							\$ 2,910,158	\$ 2,910,158	\$ 2,856,101
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (142,991)	\$ 0	\$ (1)					\$ (142,992)	\$ (94,511)
Deferred Compensation Payable	\$ (22,206)	\$ 0	\$ 0					\$ (22,206)	\$ 0
Deductions Payable	\$ (267,740)	\$ 0	\$ 0					\$ (267,740)	\$ (790)
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ (432,937)	\$ 0	\$ (1)					\$ (432,938)	\$ (95,301)
Revenue Collected in Advance		\$ 0	\$ 0					\$ 0	\$ 359,984
Liabilities For Deposits	\$ 62,039						\$ 25,596	\$ 87,635	\$ 79,382
Jury Fees - Non-Interest							\$ 586	\$ 586	\$ 2,439
Fees - Partial Payment & Overpayment							\$ 1,870	\$ 1,870	\$ 1,305
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0						\$ 0	\$ 0
Total Other Liabilities	\$ 62,039	\$ 0	\$ 0				\$ 28,053	\$ 90,092	\$ 443,110
Total Liabilities	\$ (346,680)	\$ 20,958	\$ (1)				\$ 4,791,397	\$ 4,465,673	\$ 6,557,853
Total Fund Balance	\$ 7,801,912	\$ 3,053,333	\$ (123,814)					\$ 10,731,431	\$ 9,998,513
Total Liabilities and Fund Balance	\$ 7,455,232	\$ 3,074,291	\$ (123,815)				\$ 4,791,397	\$ 15,197,104	\$ 16,556,366

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21									2019/20		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 9,861,165	\$ 16,579					\$ 9,877,743	\$ 22,614,806	\$ 10,285,085	\$ 24,307,489	
Improvement and Modernization Fund	\$ 42,569						\$ 42,569	\$ 57,012		\$ 57,012	
Judges' Compensation (0150019)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (0150037)	\$ 371,064						\$ 371,064	\$ 2,071,133	\$ 514,322	\$ 2,289,803	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 39,403						\$ 39,403	\$ 946,353	\$ 183,975	\$ 1,152,183	
Other Miscellaneous								\$ 1,597,661		\$ 1,597,661	
	\$ 10,364,076	\$ 16,579					\$ 10,380,655	\$ 27,486,465	\$ 11,033,257	\$ 29,603,648	
Grants											
AB 1058 Commissioner/Facilitator			\$ 11				\$ 11	\$ 668,192	\$ 118,209	\$ 778,568	
Other Judicial Council Grants			\$ (12,100)				\$ (12,100)	\$ 284,136	\$ 124,558	\$ 402,176	
Non-Judicial Council Grants											
			\$ (12,089)				\$ (12,089)	\$ 952,328	\$ 242,767	\$ 1,180,744	
Other Financing Sources											
Interest Income	\$ 197	\$ 18					\$ 215	\$ 108,160	\$ 13,344	\$ 160,000	
Investment Income											
Donations											
Local Fees	\$ 6,349	\$ 10,893					\$ 17,242	\$ 102,400	\$ 20,669	\$ 135,235	
Non-Fee Revenues										\$ 125,000	
Enhanced Collections		\$ (16,654)					\$ (16,654)	\$ 1,074,728	\$ (90,761)	\$ 1,195,202	
Escheatment											
Prior Year Revenue	\$ 184,142						\$ 184,142				
County Program - Restricted		\$ (1,532)					\$ (1,532)	\$ 166,482	\$ (44,759)	\$ 1,295,852	
Reimbursement Other	\$ 5,306	\$ 285,119					\$ 290,424	\$ 1,008,817	\$ 27,328	\$ 797,846	
Sale of Fixed Assets									\$ 1,501		
Other Miscellaneous	\$ 17,911						\$ 17,911	\$ 66,060	\$ 20,250	\$ 83,000	
	\$ 213,905	\$ 277,843					\$ 491,748	\$ 2,526,647	\$ (52,427)	\$ 3,792,135	
Total Revenues	\$ 10,577,981	\$ 294,421	\$ (12,089)				\$ 10,860,314	\$ 30,965,440	\$ 11,223,597	\$ 34,576,527	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,437,635	\$ 73,665	\$ 55,597				\$ 2,566,897	\$ 15,664,322	\$ 2,789,004	\$ 17,168,923	
Temp Help	\$ 2,182						\$ 2,182	\$ 138,963	\$ 23,971	\$ 252,134	
Overtime	\$ 3,389						\$ 3,389	\$ 10,361	\$ 54,500		
Staff Benefits	\$ 2,055,430	\$ 43,588	\$ 36,121				\$ 2,135,139	\$ 9,870,293	\$ 2,025,087	\$ 9,620,781	
	\$ 4,498,636	\$ 117,253	\$ 91,717				\$ 4,707,607	\$ 25,673,578	\$ 4,848,423	\$ 27,096,338	
Operating Expenses and Equipment											
General Expense	\$ 109,252	\$ (99)	\$ 3,588				\$ 112,741	\$ 760,809	\$ 121,672	\$ 608,410	
Printing	\$ 1,094						\$ 1,094	\$ 53,480	\$ 53,446	\$ 103,035	
Telecommunications	\$ 50,021	\$ 347	\$ 23				\$ 50,390	\$ 260,635	\$ 47,162	\$ 239,695	
Postage	\$ 9,217	\$ 998	\$ 52				\$ 10,267	\$ 111,650	\$ 957	\$ 159,816	
Insurance								\$ 15,700	\$ 0	\$ 15,165	
In-State Travel	\$ 1,056						\$ 1,056	\$ 16,245	\$ 4,571	\$ 40,551	
Out-of-State Travel								\$ 4,000	\$ 1,504	\$ 8,010	
Training	\$ 349						\$ 349	\$ 11,400	\$ 7,495	\$ 26,900	
Security Services	\$ 123,382		\$ 14,756				\$ 138,137	\$ 917,470	\$ 101,697	\$ 888,206	
Facility Operations	\$ 102,683	\$ 2,237					\$ 104,920	\$ 473,040	\$ 51,420	\$ 780,320	
Utilities											
Contracted Services	\$ (57,852)	\$ 88,783	\$ 17				\$ 30,949	\$ 2,904,444	\$ 517,842	\$ 3,311,674	
Consulting and Professional Services	\$ 4,724	\$ 7	\$ 0				\$ 4,730	\$ 93,204	\$ 18,694	\$ 99,490	
Information Technology	\$ 124,225	\$ 12,219	\$ 1,420				\$ 137,864	\$ 826,135	\$ 89,529	\$ 1,644,443	
Major Equipment	\$ 41,388	\$ 23,354					\$ 64,741	\$ 465,014	\$ 248,546	\$ 678,391	
Other Items of Expense	\$ 3,216		\$ 152				\$ 3,369	\$ 31,873	\$ 5,599	\$ 42,519	
	\$ 512,755	\$ 127,845	\$ 20,008				\$ 660,608	\$ 6,945,099	\$ 1,270,135	\$ 8,646,625	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 660	\$ (20)		
Jury Costs	\$ 3,810						\$ 3,810	\$ 136,000	\$ 57,947	\$ 255,410	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 3,810						\$ 3,810	\$ 136,660	\$ 57,927	\$ 255,410	
Total Expenditures	\$ 5,015,201	\$ 245,098	\$ 111,725				\$ 5,372,024	\$ 32,755,337	\$ 6,176,486	\$ 35,998,373	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,562,780	\$ 49,323	\$ (123,814)				\$ 5,488,289	\$ (1,789,897)	\$ 5,047,111	\$ (1,421,846)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,239,132	\$ 3,004,010	\$ 0				\$ 5,243,142	\$ 5,243,142	\$ 4,951,402	\$ 4,951,402	
Ending Balance (Deficit)	\$ 7,801,912	\$ 3,053,333	\$ (123,814)				\$ 10,731,431	\$ 3,453,245	\$ 9,998,513	\$ 3,529,556	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2020/21

2019/20

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,536,051	\$ 53,858					\$ 1,589,909	\$ 8,911,781	\$ 1,624,424	\$ 9,893,736
Traffic & Other Infractions	\$ 180,898	\$ 8,571					\$ 189,469	\$ 819,063	\$ 225,359	\$ 917,654
Other Criminal Cases	\$ 434,832	\$ 53,515					\$ 488,347	\$ 2,799,715	\$ 493,429	\$ 3,005,154
Civil	\$ 448,927	\$ 67,797	\$ 0				\$ 516,724	\$ 2,485,318	\$ 527,709	\$ 2,390,523
Family & Children Services	\$ 244,735	\$ 43,285					\$ 288,019	\$ 1,762,164	\$ 283,371	\$ 2,096,021
Probate, Guardianship & Mental Health Services	\$ 75,287	\$ 7,934					\$ 83,221	\$ 674,488	\$ 83,441	\$ 763,304
Juvenile Dependency Services	\$ 4,062	\$ 247					\$ 4,309	\$ 62,729	\$ 10,816	\$ 181,201
Juvenile Delinquency Services	\$ 63,805	\$ 7,421					\$ 71,226	\$ 420,493	\$ 79,856	\$ 318,080
Other Court Operations	\$ 449,544	\$ 45,786					\$ 495,330	\$ 2,693,982	\$ 501,030	\$ 2,966,684
Court Interpreters	\$ 305,173	\$ 27,876					\$ 333,050	\$ 2,243,943	\$ 473,371	\$ 2,319,626
Jury Services	\$ 89,276	\$ 16,641	\$ 3,810				\$ 109,727	\$ 628,926	\$ 252,693	\$ 826,154
Security		\$ 133,468					\$ 133,468	\$ 933,600	\$ 104,070	\$ 906,266
Trial Court Operations Program	\$ 3,832,589	\$ 466,401	\$ 3,810				\$ 4,302,800	\$ 24,436,202	\$ 4,659,569	\$ 26,584,403
Enhanced Collections	\$ 103,865	\$ (121,087)					\$ (17,222)	\$ 1,074,728	\$ 227,710	\$ 1,195,202
Other Non-Court Operations	\$ (21,288)	\$ (3,040)					\$ (24,328)	\$ 1,038,342	\$ 167,729	\$ 2,050,315
Non-Court Operations Program	\$ 82,577	\$ (124,127)					\$ (41,550)	\$ 2,113,070	\$ 395,438	\$ 3,245,517
Executive Office	\$ 114,628	\$ 4,498					\$ 119,127	\$ 651,757	\$ 116,418	\$ 529,407
Fiscal Services	\$ 220,194	\$ 6,330					\$ 226,524	\$ 1,159,198	\$ 241,960	\$ 1,143,753
Human Resources	\$ 123,386	\$ 10,102					\$ 133,488	\$ 746,716	\$ 123,074	\$ 737,893
Business & Facilities Services		\$ 48,915					\$ 48,915	\$ 289,100	\$ (31,177)	\$ 250,509
Information Technology	\$ 334,234	\$ 248,487					\$ 582,721	\$ 3,359,294	\$ 671,203	\$ 3,506,891
Court Administration Program	\$ 792,442	\$ 318,333					\$ 1,110,774	\$ 6,206,065	\$ 1,121,478	\$ 6,168,453
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,707,607	\$ 660,608	\$ 3,810				\$ 5,372,024	\$ 32,755,337	\$ 6,176,486	\$ 35,998,373