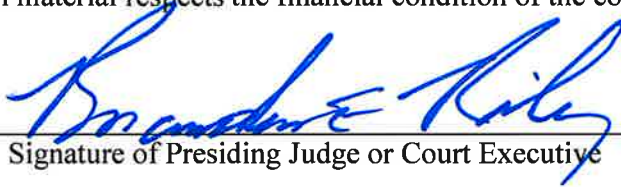


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/22/2021

Date

San Joaquin

Court

2020-2021 Q2

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2020-2021 Q2

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin

Court

2020-2021 Q2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	347.25	317.77	313.61		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2020/21								2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (4,322,761)	\$ 1,970,210	\$ (169,008)	\$ 2,471,329		\$ 105,251	\$ 55,021	\$ 22,923	
Payroll	\$ 2,299	\$ 0	\$ 0				\$ 2,299	\$ (952)	
Jury									
Revolving	\$ 25,000						\$ 25,000	\$ 25,000	
Other	\$ 11,197						\$ 11,197	\$ 6,425	
Distribution						\$ 22,469	\$ 22,469	\$ 80	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust						\$ (33,605)	\$ (33,605)	\$ (35,660)	
Credit Card									
Cash on Hand	\$ 10,250						\$ 10,250	\$ 10,250	
Cash with County	\$ (13,474)	\$ 93,344	\$ 20,222				\$ 100,091	\$ 156,664	
Cash Outside of the JCC								\$ 0	
Cash Equivalents	\$ 15,532,314					\$ 4,023,098	\$ 19,555,411	\$ 19,728,383	
Total Cash and Cash Equivalents	\$ 11,244,825	\$ 2,063,554	\$ (148,786)	\$ 2,471,329		\$ 4,117,212	\$ 19,748,133	\$ 19,913,112	
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 1,613	\$ 0		\$ 0			\$ 1,613	\$ 0	
Accounts Receivable - General	\$ 1,261						\$ 1,261	\$ 233	
Dishonored Checks									
Due From Employee	\$ 1,223						\$ 1,223		
Civil Jury Fees	\$ 0						\$ 0	\$ 0	
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 55,858				\$ 55,858	\$ 57,819	
Due From Other Courts						\$ 0	\$ 0	\$ 0	
Due From State	\$ 232,212	\$ 0	\$ 435,261				\$ 667,473	\$ 789,001	
Trust Due To/From						\$ 17,160	\$ 17,160	\$ 11,154	
Distribution Due To/From						\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From						\$ 0	\$ 0	\$ 0	
General Due To/From	\$ 10,542	\$ 5,196					\$ 15,738	\$ 20,289	
Total Receivables	\$ 246,850	\$ 5,196	\$ 491,120	\$ 0		\$ 17,160	\$ 760,326	\$ 878,497	
Prepaid Expenses - General	\$ 141,635	\$ 0					\$ 141,635	\$ 141,635	
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 141,635	\$ 0					\$ 141,635	\$ 141,635	
Other Assets									
Total Other Assets									
Total Assets	\$ 11,633,310	\$ 2,068,750	\$ 342,334	\$ 2,471,329		\$ 4,134,372	\$ 20,650,094	\$ 20,933,244	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 12,081	\$ 98	\$ 1,533			\$ 0	\$ 13,712	\$ 222,175	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 32,898	\$ 32,898	\$ 31,443	
Due to Other Courts	\$ 0						\$ 0		
Due to State	\$ 0						\$ 0	\$ 0	
TC145 Liability						\$ 513,461	\$ 513,461	\$ 648,567	
Due to Other Governments	\$ 0	\$ 0		\$ 2,471,329			\$ 2,471,329	\$ 2,430,973	
AB145 Due to Other Government Agency						\$ 590,131	\$ 590,131	\$ 663,069	
Due to Other Public Agencies									
Sales and Use Tax	\$ 30						\$ 30	\$ (7)	
Interest						\$ 89	\$ 89	\$ 5,487	
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 12,111	\$ 98	\$ 1,533	\$ 2,471,329		\$ 1,136,600	\$ 3,621,670	\$ 4,001,707	
Civil						\$ 1,801,614	\$ 1,801,614	\$ 1,791,809	
Criminal						\$ 1,090,342	\$ 1,090,342	\$ 760,263	
Unreconciled - Civil and Criminal								\$ 0	
Trust Held Outside of the JCC								\$ 0	
Trust Interest Payable						\$ 80,637	\$ 80,637	\$ 86,360	
Miscellaneous Trust									
Total Trust Deposits						\$ 2,972,593	\$ 2,972,593	\$ 2,638,432	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ 1,060,096						\$ 1,060,096	\$ 959,394	
Deferred Compensation Payable	\$ 28,691						\$ 28,691	\$ 0	
Deductions Payable	\$ 0						\$ 0	\$ 0	
Payroll Clearing	\$ 3,905						\$ 3,905	\$ 0	
Total Payroll Liabilities	\$ 1,092,692	\$ 0	\$ 0				\$ 1,092,692	\$ 959,394	
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Liabilities For Deposits	\$ 36,887	\$ 1,176	\$ 37			\$ 9,499	\$ 47,598	\$ 75,145	
Jury Fees - Non-Interest								\$ 21,529	
Fees - Partial Payment & Overpayment						\$ 15,680	\$ 15,680	\$ 10,692	
Uncleared Collections						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 0						\$ 0	\$ 0	
Total Other Liabilities	\$ 36,887	\$ 1,176	\$ 37			\$ 25,179	\$ 63,278	\$ 107,366	
Total Liabilities	\$ 1,141,690	\$ 1,274	\$ 1,570	\$ 2,471,329		\$ 4,134,372	\$ 7,750,234	\$ 7,706,899	
Total Fund Balance	\$ 10,491,620	\$ 2,067,476	\$ 340,763	\$ 0			\$ 12,899,859	\$ 13,226,345	
Total Liabilities and Fund Balance	\$ 11,633,310	\$ 2,068,750	\$ 342,334	\$ 2,471,329		\$ 4,134,372	\$ 20,650,094	\$ 20,933,244	

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended December								2019/20	
	Fiscal Year 2020/21								Total Funds	Final Budget
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 25,715,209	\$ 99,022					\$ 25,814,231	\$ 37,064,860	\$ 26,930,907	\$ 38,886,774
Improvement and Modernization Fund	\$ 4,294						\$ 4,294	\$ 98,776	\$ (23,985)	\$ 137,118
Judges' Compensation (0150019)							\$ 806,923	\$ 1,859,775	\$ 543,570	\$ 1,879,164
Court Interpreter (0150037)	\$ 806,923						\$ 806,923			
Civil Coordination Reimbursement (0150091)	\$ 357,902						\$ 357,902	\$ 865,123	\$ 338,568	\$ 899,424
MOU Reimbursements (0150010 and General)	\$ 1,245,356	\$ 0					\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	\$ 1,245,356
Other Miscellaneous	\$ 28,129,684	\$ 99,022					\$ 28,228,706	\$ 41,133,892	\$ 29,034,417	\$ 43,047,836
Grants										
AB 1058 Commissioner/Facilitator			\$ 292,483				\$ 292,483	\$ 868,137	\$ 431,412	\$ 1,018,047
Other Judicial Council Grants			\$ 749,851				\$ 749,851	\$ 1,006,019	\$ 1,260,997	\$ 1,526,363
Non-Judicial Council Grants			\$ 399,908				\$ 399,909	\$ 1,601,432	\$ 325,501	\$ 1,238,950
			\$ 1,442,243				\$ 1,442,243	\$ 3,475,588	\$ 2,037,910	\$ 3,785,360
Other Financing Sources										
Interest Income	\$ 14,425	\$ 4,310					\$ 18,735	\$ 140,485	\$ 67,596	\$ 239,400
Investment Income										
Donations										
Local Fees	\$ 94,104	\$ 30,515					\$ 124,619	\$ 288,933	\$ 134,132	\$ 312,025
Non-Fee Revenues										
Enhanced Collections		\$ 170,958					\$ 170,958	\$ 588,628	\$ 157,823	\$ 676,769
Escheatment									\$ 7,961	\$ (170)
Prior Year Revenue	\$ (172,331)	\$ 0					\$ (172,331)		\$ 426,862	\$ 1,279,396
County Program - Restricted									\$ 72,534	\$ 120,777
Reimbursement - Other	\$ 72,534	\$ 425,862					\$ 498,396	\$ 1,111,946	\$ 1,111,946	\$ 72,388
Sale of Fixed Assets	\$ 1,655						\$ 1,655	\$ 2,549	\$ 1,238	\$ 5,775
Other Miscellaneous	\$ 10,388	\$ 631,645					\$ 642,033	\$ 2,490,615	\$ 1,965,360	\$ 2,585,753
Total Revenues	\$ 28,140,072	\$ 730,887	\$ 1,442,243				\$ 30,312,982	\$ 47,100,095	\$ 33,037,987	\$ 49,418,349
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 9,570,246	\$ 347,981	\$ 548,060				\$ 10,466,286	\$ 22,434,298	\$ 10,830,143	\$ 22,521,466
Temp Help								\$ 187,429	\$ 82,010	\$ 174,492
Overtime	\$ 34,140						\$ 34,140	\$ 12,000	\$ 23,126	\$ 30,000
Staff Benefits	\$ 7,396,629	\$ 165,103	\$ 289,279				\$ 7,851,010	\$ 17,430,513	\$ 7,840,840	\$ 17,935,365
	\$ 17,001,015	\$ 513,083	\$ 837,339				\$ 18,351,436	\$ 40,064,340	\$ 18,576,121	\$ 40,661,339
Operating Expenses and Equipment										
General Expense	\$ 466,950	\$ 4,766	\$ 55,474				\$ 527,189	\$ 1,282,346	\$ 561,770	\$ 1,351,114
Printing	\$ 17,060	\$ 151	\$ 1,022				\$ 18,233	\$ 39,459	\$ 25,611	\$ 47,756
Telecommunications	\$ 69,677	\$ 201	\$ 186				\$ 70,065	\$ 143,627	\$ 66,305	\$ 131,306
Postage	\$ 78,412		\$ 58				\$ 78,470	\$ 202,615	\$ 137,616	\$ 202,023
Insurance	\$ 33,114		\$ 8,000				\$ 41,114	\$ 30,000	\$ 26,940	\$ 27,273
In-State Travel	\$ 4,590						\$ 4,590	\$ 66,137	\$ 60,023	\$ 103,688
Out-of-State Travel								\$ 10,301	\$ 10,301	\$ 24,709
Training	\$ 8,804	\$ 199	\$ 597				\$ 9,600	\$ 9,908	\$ 3,559	\$ 12,733
Security Services	\$ 428,365		\$ 19,496				\$ 447,864	\$ 1,215,210	\$ 452,272	\$ 1,044,298
Facility Operations	\$ 478,091		\$ 5,618				\$ 483,709	\$ 1,013,432	\$ 400,842	\$ 1,042,662
Utilities	\$ 30,345						\$ 30,345	\$ 68,000	\$ 31,028	\$ 70,000
Contracted Services	\$ 845,929	\$ 182,176	\$ 102,463				\$ 1,130,567	\$ 3,250,943	\$ 1,495,534	\$ 3,698,468
Consulting and Professional Services	\$ 4,424		\$ 23,291				\$ 27,715	\$ 141,361	\$ 21,754	\$ 111,846
Information Technology	\$ 30,700		\$ 4,131				\$ 34,831	\$ 870,309	\$ 130,306	\$ 785,413
Major Equipment	\$ 6,748						\$ 6,748	\$ 16,288	\$ 132,659	\$ 10,000
Other Items of Expense	\$ 9,239						\$ 9,239	\$ 29,975	\$ 11,112	\$ 30,728
	\$ 2,572,448	\$ 187,493	\$ 226,339				\$ 2,986,280	\$ 8,379,610	\$ 3,567,632	\$ 8,664,016
Special Items of Expense										
Grand Jury		\$ 18,895					\$ 18,895	\$ 70,000	\$ 31,096	\$ 94,250
Jury Costs	\$ 91,041						\$ 91,041	\$ 224,800	\$ 142,352	\$ 252,578
Judgements, Settlements and Claims									\$ 25,733	
Debt Service										
Other									\$ 0	
Capital Costs										
Internal Cost Recovery	\$ (43,965)	\$ 5,563	\$ 37,802				\$ 0	\$ 1	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 4,252	\$ (1951)					\$ 3,291		\$ (6,384)	
	\$ 51,928	\$ 23,497	\$ 37,802				\$ 113,227	\$ 294,801	\$ 182,797	\$ 346,826
Total Expenditures	\$ 19,825,390	\$ 728,073	\$ 1,101,480				\$ 21,450,943	\$ 48,738,751	\$ 22,338,591	\$ 49,872,180
Excess (Deficit) of Revenues Over Expenditures	\$ 8,514,682	\$ 6,814	\$ 340,763				\$ 8,862,039	\$ (1,638,656)	\$ 10,701,136	\$ (253,231)
Operating Transfers In (Out)	\$ 60	\$ (60)					\$ 0	\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,976,876	\$ 2,060,943	\$ 0	\$ 0			\$ 4,037,820	\$ 4,037,820	\$ 2,526,209	\$ 2,526,209
Ending Balance (Deficit)	\$ 10,491,820	\$ 2,067,476	\$ 340,763	\$ 0			\$ 12,899,859	\$ 2,399,164	\$ 13,229,345	\$ 2,271,978

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended December										
Fiscal Year 2020/21								2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,515,601	\$ 277,770				\$ (428)	\$ 6,792,944	\$ 15,618,026	\$ 7,175,547	\$ 15,410,499
Traffic & Other Infractions	\$ 1,117,515	\$ 54,151			\$ 108		\$ 1,171,773	\$ 2,639,784	\$ 1,132,589	\$ 2,556,814
Other Criminal Cases	\$ 2,637,609	\$ 311,129			\$ 5,759	\$ 7,750	\$ 2,962,247	\$ 7,925,038	\$ 3,322,905	\$ 7,994,607
Civil	\$ 1,248,242	\$ 4,346				\$ 0	\$ 1,252,589	\$ 2,869,876	\$ 1,318,627	\$ 2,766,816
Family & Children Services	\$ 1,707,810	\$ 35,207			\$ 37,802	\$ (1,401)	\$ 1,779,419	\$ 3,950,167	\$ 1,818,489	\$ 3,809,229
Probate, Guardianship & Mental Health Services	\$ 798,001	\$ 3,469				\$ (1,863)	\$ 799,607	\$ 1,808,733	\$ 734,145	\$ 1,622,772
Juvenile Dependency Services	\$ 158,502	\$ 114					\$ 158,616	\$ 339,524	\$ 152,124	\$ 323,300
Juvenile Delinquency Services	\$ 211,569	\$ 15,899					\$ 227,469	\$ 524,484	\$ 241,931	\$ 549,880
Other Court Operations	\$ 827,501	\$ 425,224			\$ 332	\$ 268	\$ 1,253,326	\$ 967,793	\$ 1,102,167	\$ 1,209,780
Court Interpreters	\$ 495,678	\$ 435,190				\$ 0	\$ 930,868	\$ 1,903,593	\$ 896,051	\$ 2,076,653
Jury Services	\$ 169,527	\$ 57,842	\$ 91,041				\$ 318,410	\$ 757,940	\$ 344,420	\$ 794,044
Security		\$ 453,116				\$ 467	\$ 453,583	\$ 1,151,424	\$ 460,084	\$ 923,181
Trial Court Operations Program	\$ 15,887,555	\$ 2,073,458	\$ 91,041		\$ 44,001	\$ 4,795	\$ 18,100,860	\$ 40,466,382	\$ 18,689,079	\$ 40,037,575
Enhanced Collections	\$ 41,373	\$ 154,023			\$ 5,563		\$ 200,959	\$ 588,626	\$ 199,271	\$ 676,899
Other Non-Court Operations	\$ 31,591	\$ 20,026	\$ 18,895			\$ (1,304)	\$ 69,208	\$ 263,406	\$ 100,957	\$ 334,285
Non-Court Operations Program	\$ 72,964	\$ 174,049	\$ 18,895		\$ 5,563	\$ (1,304)	\$ 270,167	\$ 852,032	\$ 300,228	\$ 1,011,184
Executive Office	\$ 301,038	\$ 2,127			\$ (6,640)		\$ 296,525	\$ 603,908	\$ 478,086	\$ 980,448
Fiscal Services	\$ 744,254	\$ 40,510			\$ (14,793)	\$ 208	\$ 770,179	\$ 1,648,861	\$ 793,394	\$ 2,538,607
Human Resources	\$ 213,497	\$ 22,268			\$ (5,220)		\$ 230,545	\$ 592,726	\$ 277,011	\$ 679,512
Business & Facilities Services	\$ 352,730	\$ 463,160			\$ (8,062)	\$ 218	\$ 808,047	\$ 1,908,250	\$ 872,794	\$ 1,959,445
Information Technology	\$ 779,398	\$ 210,708			\$ (14,849)	\$ (626)	\$ 974,631	\$ 2,676,592	\$ 915,959	\$ 2,465,409
Court Administration Program	\$ 2,390,917	\$ 738,773			\$ (49,564)	\$ (200)	\$ 3,079,925	\$ 7,430,337	\$ 3,337,244	\$ 8,623,421
Expenditures Not Distributed or Posted to a Program										\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 18,351,436	\$ 2,986,280	\$ 109,937		\$ 0	\$ 3,281	\$ 21,460,943	\$ 48,738,751	\$ 22,336,551	\$ 49,672,180