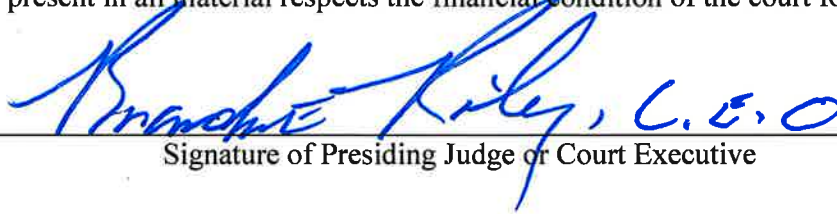


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
Signature of Presiding Judge or Court Executive

04/14/2021

Date

San Joaquin

Court

2020-2021 Q3

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

San Joaquin

Court

2020-2021 Q3

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

San Joaquin

Court

2020-2021 Q3

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	347.25	317.77	313.61	316.11	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2020/21									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2019/20 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (3,681,607)	\$ 2,020,564	\$ (156,078)	\$ 2,475,370		\$ 79,308	\$ 737,557	\$ 160,617	
Payroll	\$ 7,958	\$ 0	\$ 0				\$ 7,958	\$ 0	
Jury									
Revolving	\$ 25,000						\$ 25,000	\$ 25,000	
Other	\$ 16,567						\$ 16,567	\$ 7,695	
Distribution						\$ 0	\$ 0	\$ (460)	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust						\$ (71,151)	\$ (71,151)	\$ (9,801)	
Credit Card									
Cash on Hand	\$ 10,250						\$ 10,250	\$ 10,250	
Cash with County	\$ (8,566)	\$ 111,630	\$ 31,392				\$ 134,456	\$ 117,667	
Cash Outside of the JCC								\$ 0	
Cash Equivalents	\$ 12,220,099					\$ 4,079,185	\$ 16,299,284	\$ 17,891,939	
<b>Total Cash and Cash Equivalents</b>	<b>\$ 8,589,701</b>	<b>\$ 2,132,194</b>	<b>\$ (124,687)</b>	<b>\$ 2,475,370</b>		<b>\$ 4,087,342</b>	<b>\$ 17,159,921</b>	<b>\$ 18,202,907</b>	
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 1,613	\$ 0		\$ 0			\$ 1,613	\$ 0	
Accounts Receivable - General	\$ 195						\$ 195	\$ 1,261	
Dishonored Checks									
Due From Employee	\$ 0						\$ 0		
Civil Jury Fees	\$ 0						\$ 0	\$ 0	
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 27,640				\$ 27,640	\$ 0	
Due From Other Courts						\$ 0	\$ 0	\$ 0	
Due From State	\$ 146,780	\$ 0	\$ 359,285				\$ 506,065	\$ 463,397	
Trust Due To/From						\$ 37,983	\$ 37,983	\$ 6,260	
Distribution Due To/From						\$ 21,575	\$ 21,575	\$ 0	
Civil Filing Fee Due To/From						\$ 0	\$ 0	\$ 0	
General Due To/From	\$ 14,061	\$ 4,959					\$ 19,021	\$ 26,778	
<b>Total Receivables</b>	<b>\$ 162,649</b>	<b>\$ 4,959</b>	<b>\$ 386,925</b>	<b>\$ 0</b>		<b>\$ 59,559</b>	<b>\$ 614,092</b>	<b>\$ 497,696</b>	
Prepaid Expenses - General	\$ 141,635	\$ 0					\$ 141,635	\$ 141,635	
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 141,635</b>	<b>\$ 0</b>					<b>\$ 141,635</b>	<b>\$ 141,635</b>	
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 8,893,985</b>	<b>\$ 2,137,154</b>	<b>\$ 262,239</b>	<b>\$ 2,475,370</b>		<b>\$ 4,146,901</b>	<b>\$ 17,915,648</b>	<b>\$ 18,842,238</b>	
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 38,075	\$ 2,645	\$ 4,622			\$ 0	\$ 45,342	\$ 15,686	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 78,579	\$ 78,579	\$ 33,036	
Due to Other Courts	\$ 0						\$ 0		
Due to State	\$ 0						\$ 0	\$ 0	
TC145 Liability						\$ 568,429	\$ 568,429	\$ 720,809	
Due to Other Governments	\$ 0	\$ 0		\$ 2,475,370			\$ 2,475,370	\$ 2,448,013	
AB145 Due to Other Government Agency						\$ 612,602	\$ 612,602	\$ 537,258	
Due to Other Public Agencies									
Sales and Use Tax	\$ 0						\$ 0	\$ 0	
Interest						\$ 80	\$ 80	\$ 3,024	
Miscellaneous Accts, Pay, and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 38,075</b>	<b>\$ 2,645</b>	<b>\$ 4,622</b>	<b>\$ 2,475,370</b>		<b>\$ 1,259,690</b>	<b>\$ 3,780,401</b>	<b>\$ 3,755,828</b>	
Civil						\$ 1,698,585	\$ 1,698,585	\$ 2,102,944	
Criminal						\$ 1,084,201	\$ 1,084,201	\$ 2,305,335	
Unreconciled - Civil and Criminal								\$ 0	
Trust Held Outside of the JCC								\$ 0	
Trust Interest Payable						\$ 76,980	\$ 76,980	\$ 92,115	
Miscellaneous Trust									
<b>Total Trust Deposits</b>						<b>\$ 2,859,767</b>	<b>\$ 2,859,767</b>	<b>\$ 4,500,394</b>	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ 1,092,079						\$ 1,092,079	\$ 983,491	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 0						\$ 0	\$ 0	
Payroll Clearing	\$ 5,857						\$ 5,857	\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ 1,097,936</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 1,097,936</b>	<b>\$ 983,491</b>	
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Liabilities For Deposits	\$ 39,845	\$ 1,176	\$ 37			\$ 9,956	\$ 51,015	\$ 68,959	
Jury Fees - Non-Interest						\$ 0	\$ 0	\$ 13,781	
Fees - Partial Payment & Overpayment						\$ 17,488	\$ 17,488	\$ 9,752	
Uncleared Collections						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 0						\$ 0	\$ 0	
<b>Total Other Liabilities</b>	<b>\$ 39,845</b>	<b>\$ 1,176</b>	<b>\$ 37</b>			<b>\$ 27,444</b>	<b>\$ 68,502</b>	<b>\$ 92,492</b>	
<b>Total Liabilities</b>	<b>\$ 1,175,856</b>	<b>\$ 3,821</b>	<b>\$ 4,659</b>	<b>\$ 2,475,370</b>		<b>\$ 4,146,901</b>	<b>\$ 7,806,606</b>	<b>\$ 9,332,204</b>	
<b>Total Fund Balance</b>	<b>\$ 7,718,129</b>	<b>\$ 2,133,333</b>	<b>\$ 257,580</b>	<b>\$ 0</b>			<b>\$ 10,109,042</b>	<b>\$ 9,510,034</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 8,893,985</b>	<b>\$ 2,137,154</b>	<b>\$ 262,239</b>	<b>\$ 2,475,370</b>		<b>\$ 4,146,901</b>	<b>\$ 17,915,648</b>	<b>\$ 18,842,238</b>	

Superior Court of California, County of San Joaquin  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended March										
	Fiscal Year 2020/21								2019/20		
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
Non-Grant		Grant									
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 31,745,062	\$ 172,487					\$ 31,917,549	\$ 37,064,880	\$ 32,808,199	\$ 38,886,774	
Improvement and Modernization Fund	\$ 4,294						\$ 4,294	\$ 98,770	\$ (865)	\$ 137,116	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 1,335,431						\$ 1,335,431	\$ 1,859,775	\$ 940,765	\$ 1,879,164	
Civil Coordination Reimbursement (0150091)	\$ 832,887	\$ 0					\$ 832,887	\$ 865,123	\$ 559,489	\$ 899,424	
MOU Reimbursements (0150010 and General)	\$ 1,245,356						\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	\$ 1,245,356	
Other Miscellaneous											
	<b>\$ 35,163,030</b>	<b>\$ 172,487</b>					<b>\$ 35,335,517</b>	<b>\$ 41,133,892</b>	<b>\$ 35,552,944</b>	<b>\$ 43,047,836</b>	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator			\$ 580,379				\$ 580,379	\$ 868,137	\$ 628,792	\$ 1,018,047	
Other Judicial Council Grants			\$ 821,240				\$ 821,240	\$ 1,006,019	\$ 1,307,470	\$ 1,528,363	
Non-Judicial Council Grants			\$ 669,280				\$ 669,280	\$ 1,601,432	\$ 537,484	\$ 1,238,950	
			<b>\$ 2,070,899</b>				<b>\$ 2,070,899</b>	<b>\$ 3,475,588</b>	<b>\$ 2,473,745</b>	<b>\$ 3,785,360</b>	
<b>Other Financing Sources</b>											
Interest Income	\$ 31,310	\$ 7,841					\$ 39,151	\$ 140,485	\$ 149,813	\$ 238,400	
Investment Income											
Donations											
Local Fees	\$ 132,563	\$ 46,274					\$ 178,838	\$ 288,933	\$ 216,274	\$ 312,025	
Non-Fee Revenues											
Enhanced Collections		\$ 250,045					\$ 250,045	\$ 588,626	\$ 241,324	\$ 676,769	
Escheatment									\$ 9,537	\$ 0	
Prior Year Revenue	\$ (172,331)	\$ 0					\$ (172,331)	\$ 0	\$ (170)	\$ (170)	
County Program - Restricted	\$ 79,595	\$ 697,501					\$ 697,501	\$ 1,349,249	\$ 775,407	\$ 1,279,396	
Reimbursement Other	\$ 79,595						\$ 79,595	\$ 120,777	\$ 1,136,808	\$ 72,388	
Sale of Fixed Assets	\$ 2,221						\$ 2,221	\$ 2,545	\$ 1,604	\$ 5,775	
Other Miscellaneous											
	<b>\$ 73,358</b>	<b>\$ 1,001,662</b>					<b>\$ 1,075,020</b>	<b>\$ 2,490,615</b>	<b>\$ 2,529,597</b>	<b>\$ 2,585,753</b>	
<b>Total Revenues</b>	<b>\$ 35,236,388</b>	<b>\$ 1,174,149</b>	<b>\$ 2,070,899</b>				<b>\$ 38,481,436</b>	<b>\$ 47,100,095</b>	<b>\$ 40,556,287</b>	<b>\$ 49,418,949</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 14,221,886	\$ 533,052	\$ 853,245				\$ 15,608,185	\$ 22,434,290	\$ 15,961,570	\$ 22,521,465	
Temp Help								\$ 187,425	\$ 118,922	\$ 174,492	
Overtime	\$ 54,903						\$ 54,903	\$ 12,000	\$ 42,168	\$ 30,000	
Staff Benefits	\$ 10,942,742	\$ 259,590	\$ 462,035				\$ 11,664,367	\$ 17,430,613	\$ 11,520,751	\$ 17,835,387	
	<b>\$ 25,219,532</b>	<b>\$ 792,642</b>	<b>\$ 1,315,280</b>				<b>\$ 27,327,455</b>	<b>\$ 40,064,340</b>	<b>\$ 27,623,027</b>	<b>\$ 40,661,339</b>	
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 747,631	\$ 7,339	\$ 99,414				\$ 854,384	\$ 1,282,346	\$ 835,136	\$ 1,351,114	
Printing	\$ 21,390	\$ 151	\$ 1,487				\$ 23,028	\$ 39,459	\$ 35,044	\$ 47,756	
Telecommunications	\$ 113,728	\$ 279	\$ 576				\$ 114,583	\$ 143,627	\$ 101,314	\$ 131,306	
Postage	\$ 187,391		\$ 58				\$ 188,046	\$ 202,614	\$ 143,989	\$ 202,023	
Insurance	\$ 33,114						\$ 33,114	\$ 30,000	\$ 28,671	\$ 27,275	
In-State Travel	\$ 5,664		\$ 8,000				\$ 13,664	\$ 66,137	\$ 76,790	\$ 103,688	
Out-of-State Travel									\$ 10,301	\$ 24,709	
Training	\$ 9,804	\$ 199	\$ 597				\$ 10,600	\$ 9,908	\$ 5,372	\$ 12,733	
Security Services	\$ 469,380		\$ 32,645				\$ 502,024	\$ 1,215,210	\$ 794,613	\$ 1,044,298	
Facility Operations	\$ 765,708		\$ 9,938				\$ 774,646	\$ 1,013,432	\$ 634,417	\$ 1,042,662	
Utilities	\$ 42,076						\$ 42,076	\$ 98,000	\$ 44,154	\$ 70,000	
Contracted Services	\$ 1,320,029	\$ 259,143	\$ 250,717				\$ 1,829,893	\$ 3,250,943	\$ 2,252,003	\$ 3,698,456	
Consulting and Professional Services	\$ 18,016		\$ 29,291				\$ 47,307	\$ 141,361	\$ 59,416	\$ 111,846	
Information Technology	\$ 477,248		\$ 4,131				\$ 481,379	\$ 870,309	\$ 485,125	\$ 755,413	
Major Equipment	\$ 6,748						\$ 6,748	\$ 16,288	\$ 132,659	\$ 10,000	
Other Items of Expense	\$ 16,334						\$ 16,334	\$ 29,975	\$ 21,588	\$ 30,725	
	<b>\$ 4,214,855</b>	<b>\$ 267,111</b>	<b>\$ 435,854</b>				<b>\$ 4,917,820</b>	<b>\$ 8,379,610</b>	<b>\$ 5,660,580</b>	<b>\$ 8,664,018</b>	
<b>Special Items of Expense</b>											
Grand Jury		\$ 35,420					\$ 35,420	\$ 70,000	\$ 46,310	\$ 94,250	
Jury Costs	\$ 126,430						\$ 126,430	\$ 224,800	\$ 221,305	\$ 252,575	
Judgements, Settlements and Claims									\$ 25,733		
Debt Service											
Other									\$ 0		
<b>Capital Costs</b>											
Internal Cost Recovery	\$ (69,674)	\$ 7,486	\$ 62,185				\$ 0	\$ 1	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 4,050	\$ (961)					\$ 3,089		\$ (5,489)		
	<b>\$ 60,809</b>	<b>\$ 41,945</b>	<b>\$ 62,185</b>				<b>\$ 164,939</b>	<b>\$ 294,801</b>	<b>\$ 287,855</b>	<b>\$ 346,825</b>	
<b>Total Expenditures</b>	<b>\$ 29,495,197</b>	<b>\$ 1,101,693</b>	<b>\$ 1,813,319</b>				<b>\$ 32,410,214</b>	<b>\$ 48,738,751</b>	<b>\$ 33,674,482</b>	<b>\$ 49,872,180</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	\$ 5,741,192	\$ 72,450	\$ 257,580				\$ 6,071,222	\$ (1,638,656)	\$ 6,984,825	\$ (253,231)	
<b>Operating Transfers In (Out)</b>	\$ 60	\$ (60)					\$ 0	\$ 0	\$ 0	\$ 0	
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 1,976,878	\$ 2,060,943	\$ 0	\$ 0			\$ 4,037,820	\$ 4,037,820	\$ 2,525,209	\$ 2,525,209	
Ending Balance (Deficit)	<b>\$ 7,718,129</b>	<b>\$ 2,133,333</b>	<b>\$ 297,580</b>	<b>\$ 0</b>			<b>\$ 10,109,042</b>	<b>\$ 2,399,164</b>	<b>\$ 9,510,034</b>	<b>\$ 2,271,978</b>	

**Superior Court of California, County of San Joaquin  
Trial Court Operations Fund  
Statement of Program Expenditures  
(Unaudited)**

For the month ended March										
Fiscal Year 2020/21								2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 9,721,244	\$ 464,340				\$ (878)	\$ 10,184,707	\$ 15,618,026	\$ 10,546,210	\$ 15,410,499
Traffic & Other Infractions	\$ 1,695,606	\$ 81,348			\$ 108		\$ 1,777,061	\$ 2,639,784	\$ 1,694,869	\$ 2,556,814
Other Criminal Cases	\$ 4,000,364	\$ 609,104			\$ 11,315	\$ 8,132	\$ 4,628,915	\$ 7,925,038	\$ 5,001,448	\$ 7,994,607
Civil	\$ 1,866,941	\$ 8,723				\$ 0	\$ 1,875,664	\$ 2,869,876	\$ 1,962,909	\$ 2,766,816
Family & Children Services	\$ 2,564,905	\$ 56,997			\$ 62,185	\$ (1,401)	\$ 2,682,686	\$ 3,950,167	\$ 2,690,220	\$ 3,809,229
Probate, Guardianship & Mental Health Services	\$ 1,196,844	\$ 5,185				\$ (1,863)	\$ 1,200,166	\$ 1,808,733	\$ 1,123,145	\$ 1,622,772
Juvenile Dependency Services	\$ 237,931	\$ 247					\$ 238,179	\$ 339,524	\$ 229,374	\$ 323,300
Juvenile Delinquency Services	\$ 322,607	\$ 29,321					\$ 351,927	\$ 524,484	\$ 371,158	\$ 549,880
Other Court Operations	\$ 1,021,390	\$ 689,514			\$ 695	\$ 268	\$ 1,711,867	\$ 967,793	\$ 1,610,466	\$ 1,209,760
Court Interpreters	\$ 745,323	\$ 639,547				\$ 0	\$ 1,384,870	\$ 1,903,593	\$ 1,364,533	\$ 2,076,653
Jury Services	\$ 255,980	\$ 120,424	\$ 126,430				\$ 502,835	\$ 757,940	\$ 502,497	\$ 794,044
Security		\$ 533,541				\$ 467	\$ 534,008	\$ 1,151,424	\$ 820,123	\$ 923,181
<b>Trial Court Operations Program</b>	<b>\$ 23,629,136</b>	<b>\$ 3,238,290</b>	<b>\$ 126,430</b>		<b>\$ 74,303</b>	<b>\$ 4,726</b>	<b>\$ 27,072,886</b>	<b>\$ 40,456,382</b>	<b>\$ 27,916,952</b>	<b>\$ 40,037,575</b>
Enhanced Collections	\$ 55,617	\$ 217,064			\$ 7,486		\$ 280,167	\$ 588,626	\$ 313,069	\$ 676,899
Other Non-Court Operations	\$ 47,268	\$ 31,370	\$ 35,420			\$ (1,304)	\$ 112,755	\$ 263,406	\$ 152,192	\$ 334,265
<b>Non-Court Operations Program</b>	<b>\$ 102,885</b>	<b>\$ 248,434</b>	<b>\$ 35,420</b>		<b>\$ 7,486</b>	<b>\$ (1,304)</b>	<b>\$ 392,922</b>	<b>\$ 852,032</b>	<b>\$ 465,261</b>	<b>\$ 1,011,164</b>
Executive Office	\$ 442,466	\$ 2,405			\$ (10,988)	\$ (150)	\$ 433,733	\$ 603,908	\$ 622,323	\$ 980,448
Fiscal Services	\$ 1,124,604	\$ 70,184			\$ (24,349)	\$ 208	\$ 1,170,648	\$ 1,648,861	\$ 1,187,417	\$ 2,538,607
Human Resources	\$ 319,896	\$ 34,274			\$ (8,509)	\$ 16	\$ 345,677	\$ 592,726	\$ 422,594	\$ 679,512
Business & Facilities Services	\$ 535,496	\$ 695,876			\$ (13,478)	\$ 218	\$ 1,218,112	\$ 1,908,250	\$ 1,301,501	\$ 1,959,445
Information Technology	\$ 1,172,971	\$ 628,357			\$ (24,466)	\$ (626)	\$ 1,776,235	\$ 2,676,592	\$ 1,655,414	\$ 2,465,409
<b>Court Administration Program</b>	<b>\$ 3,595,433</b>	<b>\$ 1,431,096</b>			<b>\$ (81,789)</b>	<b>\$ (334)</b>	<b>\$ 4,944,406</b>	<b>\$ 7,430,337</b>	<b>\$ 5,189,249</b>	<b>\$ 8,623,421</b>
Expenditures Not Distributed or Posted to a Program										\$ 0
Prior Year Adjustments Not Posted to a Program										\$ 0
<b>Total</b>	<b>\$ 27,327,455</b>	<b>\$ 4,917,820</b>	<b>\$ 161,851</b>		<b>\$ 0</b>	<b>\$ 3,089</b>	<b>\$ 32,410,214</b>	<b>\$ 48,738,751</b>	<b>\$ 33,571,462</b>	<b>\$ 49,672,180</b>