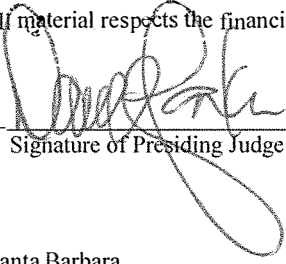


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

04/26/2021

\_\_\_\_\_  
Date

Santa Barbara

\_\_\_\_\_  
Court

3rd Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

---

Court

---

Fiscal Year and Ending Quarter

### FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

---

Court

---

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended March									
Fiscal Year 2020/21									2019/20
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (3,384,462)	\$ 3,202,411	\$ (207,361)				\$ 102,727	\$ (286,685)	\$ 423,640
Payroll	\$ 364,937	\$ 9,148	\$ 8,438					\$ 382,523	\$ 8,312
Jury									
Revolving	\$ 20,000							\$ 20,000	\$ 20,000
Other									
Distribution							\$ 28,167	\$ 28,167	\$ 518
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (92,849)	\$ (92,849)	\$ (27,827)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County	\$ 0							\$ 0	
Cash Outside of the JCC									
Cash Equivalents	\$ 9,150,063						\$ 6,719,072	\$ 15,869,134	\$ 13,620,140
Total Cash and Cash Equivalents	\$ 6,157,668	\$ 3,211,559	\$ (198,923)				\$ 6,757,117	\$ 15,927,420	\$ 14,051,913
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust							\$ 0	\$ 0	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments		\$ 283,324						\$ 283,324	\$ 283,324
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 140,416					\$ 140,416	\$ 311,017
Trust Due To/From							\$ 282,881	\$ 282,881	\$ 536,436
Distribution Due To/From							\$ 27,490	\$ 27,490	\$ 93,344
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 4,376
Total Receivables	\$ 0	\$ 283,324	\$ 140,416				\$ 310,371	\$ 734,110	\$ 1,228,496
Prepaid Expenses - General	\$ 568,521							\$ 568,521	\$ 567,121
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 568,521							\$ 568,521	\$ 567,121
Other Assets									
Total Other Assets									
Total Assets	\$ 6,726,189	\$ 3,494,882	\$ (58,508)				\$ 7,067,488	\$ 17,230,051	\$ 15,847,530
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 59,709	\$ 3,931	\$ 0				\$ 0	\$ 63,640	\$ 26,652
Due to Other Funds	\$ 5	\$ 7,213	\$ 0				\$ 303,153	\$ 310,371	\$ 634,156
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 325,246	\$ 325,246	\$ 502,482
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,162,185	\$ 2,162,185	\$ 2,036,821
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 29
Interest							\$ 128	\$ 128	\$ 3,266
Miscellaneous Accts. Pay. and Accrued Liab.	\$ (596)							\$ (596)	\$ 1,403
Total Accounts Payable and Accrued Liab.	\$ 59,118	\$ 11,145	\$ 0				\$ 2,790,712	\$ 2,860,975	\$ 3,204,809
Civil							\$ 2,478,429	\$ 2,478,429	\$ 2,500,401
Criminal							\$ 1,711,896	\$ 1,711,896	\$ 349,215
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 54,701	\$ 54,701	\$ 72,762
Miscellaneous Trust									
Total Trust Deposits							\$ 4,248,756	\$ 4,248,756	\$ 2,926,107
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (122,730)	\$ 0	\$ 0					\$ (122,730)	\$ (117,264)
Deferred Compensation Payable	\$ (41,375)	\$ 0	\$ 0					\$ (41,375)	\$ 0
Deductions Payable	\$ (421,197)	\$ (3,025)	\$ (4,022)					\$ (428,243)	\$ (15,004)
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ (585,301)	\$ (3,025)	\$ (4,022)					\$ (592,347)	\$ (132,268)
Revenue Collected in Advance		\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 66,219						\$ 26,079	\$ 92,297	\$ 82,298
Jury Fees - Non-Interest							\$ 586	\$ 586	\$ 6,503
Fees - Partial Payment & Overpayment							\$ 1,355	\$ 1,355	\$ 1,305
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0						\$ 0	\$ 0
Total Other Liabilities	\$ 66,219	\$ 0	\$ 0				\$ 28,020	\$ 94,239	\$ 90,106
Total Liabilities	\$ (459,965)	\$ 8,120	\$ (4,022)				\$ 7,067,488	\$ 6,611,621	\$ 6,088,754
Total Fund Balance	\$ 7,186,153	\$ 3,486,762	\$ (54,486)					\$ 10,618,429	\$ 9,758,776
Total Liabilities and Fund Balance	\$ 6,726,189	\$ 3,494,882	\$ (58,508)				\$ 7,067,488	\$ 17,230,051	\$ 15,847,530

Superior Court of California, County of Santa Barbara  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended March											
Fiscal Year 2020/21										2019/20	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 19,109,247	\$ 118,362					\$ 19,227,608	\$ 22,614,806	\$ 20,409,752	\$ 24,307,489	
Improvement and Modernization Fund	\$ 42,569						\$ 42,569	\$ 57,012		\$ 57,012	
Judges' Compensation (0150019)	\$ 149,625						\$ 149,625	\$ 199,500	\$ 149,625	\$ 199,500	
Court Interpreter (0150037)	\$ 1,458,558						\$ 1,458,558	\$ 2,071,133	\$ 1,595,711	\$ 2,289,803	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 594,649						\$ 594,649	\$ 946,353	\$ 580,686	\$ 1,152,183	
Other Miscellaneous	\$ 1,597,661						\$ 1,597,661	\$ 1,597,661	\$ 1,597,661	\$ 1,597,661	
	<b>\$ 22,952,309</b>	<b>\$ 118,362</b>					<b>\$ 23,070,670</b>	<b>\$ 27,486,465</b>	<b>\$ 24,333,435</b>	<b>\$ 29,603,648</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 491,862				\$ 491,862	\$ 668,192	\$ 516,359	\$ 778,568	
Other Judicial Council Grants			\$ (12,100)				\$ (12,100)	\$ 284,136	\$ 398,458	\$ 402,176	
Non-Judicial Council Grants											
			<b>\$ 479,762</b>				<b>\$ 479,762</b>	<b>\$ 952,328</b>	<b>\$ 914,817</b>	<b>\$ 1,180,744</b>	
Other Financing Sources											
Interest Income	\$ 21,330	\$ 11,476					\$ 32,806	\$ 108,160	\$ 134,011	\$ 160,000	
Investment Income											
Donations											
Local Fees	\$ 17,073	\$ 34,372					\$ 51,445	\$ 102,400	\$ 89,214	\$ 135,235	
Non-Fee Revenues									\$ 125,000	\$ 125,000	
Enhanced Collections		\$ 487,920					\$ 487,920	\$ 1,074,728	\$ 694,052	\$ 1,195,202	
Escheatment											
Prior Year Revenue	\$ 184,142		\$ 0				\$ 184,142				
County Program - Restricted		\$ 115,238					\$ 115,238	\$ 166,482	\$ 602,448	\$ 1,295,852	
Reimbursement Other	\$ 20,136	\$ 908,452					\$ 928,588	\$ 1,008,817	\$ 540,531	\$ 797,846	
Sale of Fixed Assets									\$ 1,501		
Other Miscellaneous	\$ 55,619						\$ 55,619	\$ 66,060	\$ 60,818	\$ 83,000	
	<b>\$ 298,301</b>	<b>\$ 1,557,458</b>	<b>\$ 0</b>				<b>\$ 1,855,759</b>	<b>\$ 2,526,647</b>	<b>\$ 2,247,574</b>	<b>\$ 3,792,135</b>	
<b>Total Revenues</b>	<b>\$ 23,250,610</b>	<b>\$ 1,675,819</b>	<b>\$ 479,762</b>				<b>\$ 25,406,191</b>	<b>\$ 30,965,440</b>	<b>\$ 27,495,826</b>	<b>\$ 34,576,527</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 8,939,962	\$ 270,039	\$ 216,627				\$ 9,426,628	\$ 15,664,322	\$ 10,148,833	\$ 17,168,923	
Temp Help	\$ 4,447		\$ 2,717				\$ 7,164	\$ 138,963	\$ 45,421	\$ 252,134	
Overtime	\$ 13,718	\$ 0					\$ 13,718		\$ 52,956	\$ 54,500	
Staff Benefits	\$ 6,754,385	\$ 207,100	\$ 153,842				\$ 7,115,327	\$ 9,870,293	\$ 7,351,357	\$ 9,620,781	
	<b>\$ 15,712,512</b>	<b>\$ 477,139</b>	<b>\$ 373,187</b>				<b>\$ 16,562,837</b>	<b>\$ 25,673,578</b>	<b>\$ 17,598,567</b>	<b>\$ 27,096,338</b>	
Operating Expenses and Equipment											
General Expense	\$ 395,195	\$ 1,663	\$ 19,951				\$ 416,808	\$ 760,809	\$ 428,217	\$ 608,410	
Printing	\$ 47,463						\$ 47,463	\$ 53,480	\$ 87,982	\$ 103,035	
Telecommunications	\$ 179,453	\$ 1,216	\$ 139				\$ 180,808	\$ 260,635	\$ 162,383	\$ 239,695	
Postage	\$ 44,440	\$ 3,379	\$ 149				\$ 47,968	\$ 111,650	\$ 51,928	\$ 159,816	
Insurance	\$ 16,441		\$ 121				\$ 16,562	\$ 15,700	\$ 14,871	\$ 15,165	
In-State Travel	\$ 3,620						\$ 3,620	\$ 16,245	\$ 16,319	\$ 40,551	
Out-of-State Travel								\$ 4,000	\$ 8,174	\$ 8,010	
Training	\$ 9,825						\$ 9,825	\$ 11,400	\$ 23,831	\$ 26,900	
Security Services	\$ 392,847		\$ 59,330				\$ 452,177	\$ 917,470	\$ 489,123	\$ 888,206	
Facility Operations	\$ 437,236	\$ 6,711	\$ 6,900				\$ 450,847	\$ 473,040	\$ 210,516	\$ 780,320	
Utilities											
Contracted Services	\$ 344,531	\$ 655,906	\$ 9,050				\$ 1,009,488	\$ 2,904,444	\$ 1,762,034	\$ 3,311,674	
Consulting and Professional Services	\$ 36,958	\$ 711	\$ 39				\$ 37,708	\$ 93,204	\$ 61,880	\$ 99,490	
Information Technology	\$ 583,843	\$ 20,088	\$ 1,666				\$ 605,597	\$ 826,135	\$ 1,027,865	\$ 1,644,443	
Major Equipment	\$ 140,158	\$ 23,354					\$ 163,512	\$ 465,014	\$ 619,494	\$ 678,391	
Other Items of Expense	\$ 14,831		\$ 977				\$ 15,808	\$ 31,873	\$ 24,614	\$ 42,519	
	<b>\$ 2,646,843</b>	<b>\$ 713,027</b>	<b>\$ 98,319</b>				<b>\$ 3,458,189</b>	<b>\$ 6,945,099</b>	<b>\$ 4,989,232</b>	<b>\$ 8,646,625</b>	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 660	\$ (20)		
Jury Costs	\$ 6,975						\$ 6,975	\$ 136,000	\$ 100,672	\$ 255,410	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (74,559)		\$ 74,559				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment		\$ 2,901					\$ 2,901				
	<b>\$ (67,583)</b>	<b>\$ 2,901</b>	<b>\$ 74,559</b>				<b>\$ 9,876</b>	<b>\$ 136,660</b>	<b>\$ 100,652</b>	<b>\$ 255,410</b>	
<b>Total Expenditures</b>	<b>\$ 18,291,771</b>	<b>\$ 1,193,067</b>	<b>\$ 546,065</b>				<b>\$ 20,030,903</b>	<b>\$ 32,755,337</b>	<b>\$ 22,688,452</b>	<b>\$ 35,998,373</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 4,958,838	\$ 482,752	\$ (66,303)				\$ 5,375,288	\$ (1,789,897)	\$ 4,807,374	\$ (1,421,846)	
Operating Transfers In (Out)	\$ (11,817)		\$ 11,817				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,239,132	\$ 3,004,010	\$ 0				\$ 5,243,142	\$ 5,243,142	\$ 4,951,402	\$ 4,951,402	
Ending Balance (Deficit)	\$ 7,186,153	\$ 3,486,762	\$ (54,486)				\$ 10,618,429	\$ 3,453,245	\$ 9,758,776	\$ 3,529,556	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended March

Fiscal Year 2020/21

2019/20

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 5,448,763	\$ 289,885					\$ 5,738,648	\$ 8,911,781	\$ 5,872,187	\$ 9,893,736
Traffic & Other Infractions	\$ 647,585	\$ 37,606					\$ 685,191	\$ 819,063	\$ 817,877	\$ 917,654
Other Criminal Cases	\$ 1,464,326	\$ 158,444					\$ 1,622,770	\$ 2,799,715	\$ 1,770,461	\$ 3,005,154
Civil	\$ 1,532,373	\$ 258,465	\$ 0				\$ 1,790,838	\$ 2,485,318	\$ 1,878,026	\$ 2,390,523
Family & Children Services	\$ 888,205	\$ 129,130			\$ 0		\$ 1,017,335	\$ 1,762,164	\$ 1,283,672	\$ 2,096,021
Probate, Guardianship & Mental Health Services	\$ 226,284	\$ 30,331					\$ 256,615	\$ 674,488	\$ 302,881	\$ 763,304
Juvenile Dependency Services	\$ 4,062	\$ 4,151					\$ 8,213	\$ 62,729	\$ 34,633	\$ 181,201
Juvenile Delinquency Services	\$ 250,028	\$ 24,591					\$ 274,619	\$ 420,493	\$ 292,270	\$ 318,080
Other Court Operations	\$ 1,503,718	\$ 160,938					\$ 1,664,656	\$ 2,693,982	\$ 2,028,490	\$ 2,966,684
Court Interpreters	\$ 1,082,026	\$ 106,888					\$ 1,188,915	\$ 2,243,943	\$ 1,581,814	\$ 2,319,626
Jury Services	\$ 291,327	\$ 96,415	\$ 6,975				\$ 394,717	\$ 628,926	\$ 586,525	\$ 826,154
Security		\$ 455,017					\$ 455,017	\$ 933,600	\$ 510,350	\$ 906,266
Trial Court Operations Program	\$ 13,338,696	\$ 1,751,861	\$ 6,975		\$ 0		\$ 15,097,532	\$ 24,436,202	\$ 16,959,185	\$ 26,584,403
Enhanced Collections	\$ 385,102	\$ 16,865				\$ 152	\$ 402,119	\$ 1,074,728	\$ 771,551	\$ 1,195,202
Other Non-Court Operations	\$ (8,388)	\$ 432,154				\$ 2,749	\$ 426,516	\$ 1,038,342	\$ 522,394	\$ 2,050,315
Non-Court Operations Program	\$ 376,714	\$ 449,020				\$ 2,901	\$ 828,634	\$ 2,113,070	\$ 1,293,945	\$ 3,245,517
Executive Office	\$ 455,565	\$ 17,668					\$ 473,233	\$ 651,757	\$ 480,804	\$ 529,407
Fiscal Services	\$ 767,573	\$ 51,597					\$ 819,170	\$ 1,159,198	\$ 888,519	\$ 1,143,753
Human Resources	\$ 446,378	\$ 53,777					\$ 500,155	\$ 746,716	\$ 544,965	\$ 737,893
Business & Facilities Services		\$ 151,382					\$ 151,382	\$ 289,100	\$ 82,305	\$ 250,509
Information Technology	\$ 1,177,913	\$ 982,885					\$ 2,160,798	\$ 3,359,294	\$ 2,438,728	\$ 3,506,891
Court Administration Program	\$ 2,847,428	\$ 1,257,308					\$ 4,104,736	\$ 6,206,065	\$ 4,435,321	\$ 6,168,453
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 16,562,837	\$ 3,458,189	\$ 6,975		\$ 0	\$ 2,901	\$ 20,030,903	\$ 32,755,337	\$ 22,688,452	\$ 35,998,373