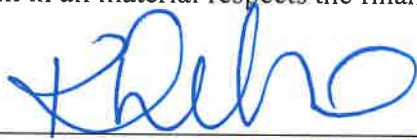
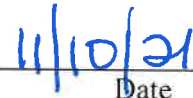


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of ~~Presiding Judge~~ or Court Executive



\_\_\_\_\_  
Date

LAKE

\_\_\_\_\_  
Court

FY2021-2022 / QTR 1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filed Court Employee Positions (FTEs)**

LAKE

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Court

FY2021-2022 / QTR 1

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		27.30			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September							2020/21	
	Fiscal Year 2021/22								
	Governmental Funds							Total Funds	Total Funds
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (190,366)	\$ 233,784	\$ (66,888)				\$ 1,771	\$ (21,699)	\$ 33,124
Payroll	\$ (2,740)							\$ (2,740)	\$ 0
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees									
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (25,010)	\$ (25,010)	\$ (2,023)
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,000
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 1,660,192						\$ 126,172	\$ 126,172	\$ 116,601
							\$ 152,995	\$ 1,813,187	\$ 2,052,666
<b>Total Cash and Cash Equivalents</b>	<b>\$ 1,468,086</b>	<b>\$ 233,784</b>	<b>\$ (66,888)</b>				<b>\$ 255,927</b>	<b>\$ 1,890,909</b>	<b>\$ 2,201,369</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 3
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 1,273						\$ 1,273	\$ 0
Due From Other Courts									
Due From State	\$ 0	\$ 0	\$ 49,388					\$ 49,388	\$ 91,665
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 0							\$ 0	\$ 36
<b>Total Receivables</b>	<b>\$ 0</b>	<b>\$ 1,273</b>	<b>\$ 49,388</b>					<b>\$ 50,661</b>	<b>\$ 91,704</b>
Prepaid Expenses - General	\$ 73,002							\$ 73,002	\$ 97,726
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 73,002</b>							<b>\$ 73,002</b>	<b>\$ 97,726</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 1,541,088</b>	<b>\$ 235,057</b>	<b>\$ (17,500)</b>				<b>\$ 255,927</b>	<b>\$ 2,014,573</b>	<b>\$ 2,390,799</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 72,323		\$ 0				\$ 0	\$ 72,323	\$ 69,215
Due to Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 36
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 150,000
TC145 Liability							\$ 65,826	\$ 65,826	\$ 63,686
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,497							\$ 1,497	\$ 113
Interest							\$ 0	\$ 0	\$ 24
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 73,820</b>		<b>\$ 0</b>				<b>\$ 65,826</b>	<b>\$ 139,646</b>	<b>\$ 283,074</b>
Civil							\$ 47,381	\$ 47,381	\$ 374,521
Criminal							\$ 2,618	\$ 2,618	\$ 17,806
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 126,172	\$ 126,172	\$ 116,601
Miscellaneous Trust							\$ 8,370	\$ 8,370	\$ 8,374
<b>Total Trust Deposits</b>							<b>\$ 184,541</b>	<b>\$ 184,541</b>	<b>\$ 517,303</b>
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ (9,027)							\$ (9,027)	\$ 1,676
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ (12,116)							\$ (12,116)	\$ 19,647
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ (21,144)</b>							<b>\$ (21,144)</b>	<b>\$ 21,323</b>
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 4,608						\$ 5,364	\$ 9,972	\$ 8,117
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 197	\$ 197	\$ 452
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 4,608</b>						<b>\$ 5,560</b>	<b>\$ 10,169</b>	<b>\$ 8,569</b>
<b>Total Liabilities</b>	<b>\$ 57,285</b>		<b>\$ 0</b>				<b>\$ 255,927</b>	<b>\$ 313,212</b>	<b>\$ 830,268</b>
<b>Total Fund Balance</b>	<b>\$ 1,483,803</b>	<b>\$ 235,057</b>	<b>\$ (17,500)</b>					<b>\$ 1,701,360</b>	<b>\$ 1,560,531</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,541,088</b>	<b>\$ 235,057</b>	<b>\$ (17,500)</b>				<b>\$ 255,927</b>	<b>\$ 2,014,573</b>	<b>\$ 2,390,799</b>

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2021/22											
Governmental Funds								2020/21			
	Special Revenue			Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info Purposes Only)	Current Budget (Annual)	Total Funds (Info Purposes Only)	Final Budget (Annual)
	General	Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources:</b>											
Trial Court Trust Fund	\$ 1,975,688	\$ 1,794						\$ 1,977,482	\$ 4,837,774	\$ 1,838,638	\$ 4,052,542
Improvement and Modernization Fund									\$ 6,216		\$ 315,417
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ (1,627)							\$ (1,627)	\$ 121,998	\$ 1,884	\$ 121,998
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 23,970							\$ 23,970	\$ 524,903	\$ 23,561	\$ 107,332
Other Miscellaneous									\$ 9,123		\$ 9,123
<b>Total Revenues</b>	<b>\$ 1,998,032</b>	<b>\$ 1,794</b>						<b>\$ 1,999,826</b>	<b>\$ 5,502,014</b>	<b>\$ 1,864,084</b>	<b>\$ 4,806,412</b>
<b>Grants:</b>											
AB 1058 Commissioner/Facilitator			\$ 48,202					\$ 48,202	\$ 238,188	\$ 42,345	\$ 211,980
Other Judicial Council Grants									\$ 210		\$ 12,210
Non-Judicial Council Grants											
			<b>\$ 48,202</b>					<b>\$ 48,202</b>	<b>\$ 238,398</b>	<b>\$ 42,345</b>	<b>\$ 224,190</b>
<b>Other Financing Sources:</b>											
Interest Income	\$ 3	\$ 3						\$ 6	\$ 5,500	\$ 36	\$ 10,000
Investment Income											
Donations											
Local Fees		\$ 1,748						\$ 1,748	\$ 9,300	\$ 1,860	\$ 9,500
Non-Fee Revenues									\$ 400	\$ 15	\$ 1,500
Enhanced Collections											
Escheatment											
Prior Year Revenue		\$ 382						\$ 382		\$ 252	
County Program - Restricted		\$ 108						\$ 108	\$ 500	\$ (64)	\$ 1,000
Reimbursement Other	\$ 544							\$ 544	\$ 21,000	\$ 10,409	\$ 31,500
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 547	\$ 2,241						\$ 2,788	\$ 36,700	\$ 12,508	\$ 53,500
<b>Total Revenues</b>	<b>\$ 1,998,579</b>	<b>\$ 4,035</b>	<b>\$ 48,202</b>					<b>\$ 2,050,815</b>	<b>\$ 5,777,112</b>	<b>\$ 1,918,937</b>	<b>\$ 4,884,102</b>
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 414,538		\$ 33,506					\$ 448,045	\$ 2,372,170	\$ 459,334	\$ 2,183,228
Temp Help	\$ 2,868							\$ 2,868	\$ 19,811	\$ 3,557	\$ 14,888
Overtime	\$ 5,312							\$ 5,312	\$ 10,000	\$ 270	\$ 5,000
Staff Benefits	\$ 217,943		\$ 14,476					\$ 232,419	\$ 1,179,237	\$ 256,001	\$ 1,054,462
	\$ 640,661		\$ 47,982					\$ 688,644	\$ 3,581,218	\$ 719,162	\$ 3,237,557
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 36,228		\$ 135					\$ 36,362	\$ 334,394	\$ 53,565	\$ 263,502
Printing	\$ 2,350							\$ 2,350	\$ 12,600	\$ 1,682	\$ 10,500
Telecommunications	\$ 2,923		\$ 138					\$ 3,062	\$ 18,325	\$ 2,373	\$ 15,500
Postage	\$ 5,617							\$ 5,617	\$ 27,700	\$ 4,127	\$ 29,500
Insurance	\$ 7,879							\$ 7,879	\$ 10,850	\$ 5,173	\$ 8,600
In-State Travel	\$ 145							\$ 145	\$ 12,500	\$ 41	\$ 14,000
Out-of-State Travel											
Training									\$ 10,000		\$ 10,000
Security Services	\$ 50,875							\$ 50,875	\$ 208,835	\$ 44,860	\$ 208,465
Facility Operations	\$ 2,391							\$ 2,391	\$ 134,385	\$ 12,674	\$ 134,499
Utilities	\$ (397)							\$ (397)	\$ 5,200	\$ 48	\$ 4,500
Contracted Services	\$ 236,592	\$ 60	\$ 10,902					\$ 247,554	\$ 1,284,573	\$ 216,473	\$ 1,115,488
Consulting and Professional Services	\$ 0							\$ 0	\$ 289,000	\$ 0	\$ 9,000
Information Technology	\$ 9,022							\$ 9,022	\$ 300,856	\$ 15,769	\$ 383,803
Major Equipment									\$ 72,664	\$ 12,996	\$ 12,996
Other Items of Expense	\$ 770							\$ 770	\$ 2,000		\$ 2,000
	\$ 354,196	\$ 60	\$ 11,175					\$ 365,431	\$ 2,703,682	\$ 389,782	\$ 2,222,353
<b>Special Items of Expense</b>											
Grand Jury											
Jury Costs	\$ 1,635							\$ 1,635	\$ 15,000		\$ 28,500
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (5,544)		\$ 6,544					\$ 0		\$ 0	
Prior Year Expense Adjustment	\$ 254							\$ 254		\$ 262	
	\$ (4,856)		\$ 6,544					\$ 1,888	\$ 15,000	\$ 282	\$ 28,500
<b>Total Expenditures</b>	<b>\$ 990,202</b>	<b>\$ 60</b>	<b>\$ 65,702</b>					<b>\$ 1,055,964</b>	<b>\$ 8,299,900</b>	<b>\$ 1,089,206</b>	<b>\$ 5,488,410</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 1,008,377</b>	<b>\$ 3,975</b>	<b>\$ (17,500)</b>					<b>\$ 994,852</b>	<b>\$ (522,788)</b>	<b>\$ 829,731</b>	<b>\$ (604,308)</b>
Operating Transfers In (Out)	\$ 0		\$ 0					\$ 0		\$ 0	\$ 0
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 475,426	\$ 231,082	\$ 0					\$ 706,508	\$ 706,508	\$ 730,800	\$ 730,800
Ending Balance (Deficit)	\$ 1,483,803	\$ 235,057	\$ (17,500)					\$ 1,701,360	\$ 183,720	\$ 1,560,531	\$ 126,492

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended September									
	Fiscal Year 2021/22							2020/21		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 44,239	\$ 26,711				\$ 12	\$ 70,962	\$ 416,810	\$ 62,675	\$ 340,789
Traffic & Other Infractions										\$ 59,790
Other Criminal Cases	\$ 14,070	\$ 18,450					\$ 32,520	\$ 374,215	\$ 20,097	\$ 21,500
Civil	\$ 751	\$ 7,092					\$ 7,843	\$ 29,760	\$ 21,000	\$ 62,234
Family & Children Services	\$ 69,191	\$ 46,164			\$ 6,544		\$ 121,899	\$ 400,099	\$ 94,042	\$ 358,077
Probate, Guardianship & Mental Health Services		\$ 17,532					\$ 17,532	\$ 70,500	\$ 17,201	\$ 70,000
Juvenile Dependency Services								\$ 210	\$ 218	\$ 14,234
Juvenile Delinquency Services										\$ 210
Other Court Operations	\$ 370,767						\$ 370,767	\$ 2,322,761	\$ 416,237	\$ 2,168,178
Court Interpreters	\$ 3,176	\$ 24,994					\$ 28,171	\$ 172,577	\$ 21,745	\$ 226,464
Jury Services			\$ 1,635				\$ 1,635	\$ 15,000		\$ 31,300
Security		\$ 58,687					\$ 58,687	\$ 293,916	\$ 50,711	\$ 224,650
Trial Court Operations Program	\$ 502,193	\$ 199,630	\$ 1,635		\$ 6,544	\$ 12	\$ 710,015	\$ 4,095,848	\$ 703,926	\$ 3,577,426
Enhanced Collections										
Other Non-Court Operations		\$ 359					\$ 359		\$ 410	\$ 1,000
Non-Court Operations Program		\$ 359					\$ 359		\$ 410	\$ 1,000
Executive Office	\$ 72,394				\$ (2,879)		\$ 69,515	\$ 291,930	\$ 67,607	\$ 290,884
Fiscal Services	\$ 49,704	\$ 658			\$ (1,963)	\$ 136	\$ 48,535	\$ 248,847	\$ 42,779	\$ 192,720
Human Resources	\$ 47,564	\$ 810			\$ (1,047)		\$ 47,327	\$ 202,826	\$ 46,031	\$ 106,302
Business & Facilities Services		\$ 45,828					\$ 45,828	\$ 589,113	\$ 40,945	\$ 407,831
Information Technology	\$ 16,788	\$ 118,147			\$ (654)	\$ 105	\$ 134,385	\$ 871,336	\$ 187,508	\$ 912,247
Court Administration Program	\$ 186,451	\$ 165,442			\$ (6,544)	\$ 241	\$ 345,590	\$ 2,204,052	\$ 384,870	\$ 1,909,984
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 688,644</b>	<b>\$ 365,431</b>	<b>\$ 1,635</b>		<b>\$ 0</b>	<b>\$ 254</b>	<b>\$ 1,055,964</b>	<b>\$ 6,299,900</b>	<b>\$ 1,089,206</b>	<b>\$ 5,488,410</b>