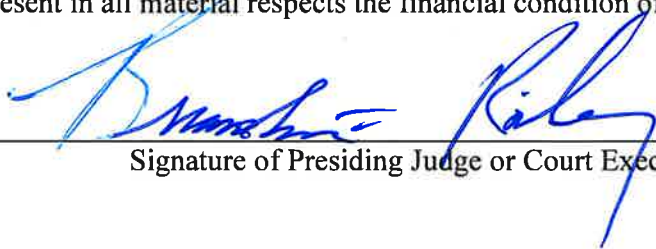


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

10/28/21
Date

San Joaquin

Court

2021-2022 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin

Court

2021-2022 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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3	
4	
5	
6	
7	
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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

San Joaquin

Court

2021-2022 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	342.75	318.78			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2021/22									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,089,800)	\$ 1,586,275	\$ (349,126)	\$ 2,479,816			\$ 84,980	\$ 712,144	\$ 752,591
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ (2,262)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 25,000
Other	\$ 15,822							\$ 15,822	\$ 9,142
Distribution							\$ 162,652	\$ 162,652	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (45,777)	\$ (45,777)	\$ (21,360)
Credit Card									
Cash on Hand	\$ 10,250						\$ 0	\$ 10,250	\$ 10,250
Cash with County	\$ 45,620	\$ 79,496	\$ 22,127					\$ 147,243	\$ 137,913
Cash Outside of the JCC									
Cash Equivalents	\$ 15,217,069						\$ 3,664,100	\$ 18,881,169	\$ 18,501,141
Total Cash and Cash Equivalents	\$ 12,223,960	\$ 1,665,770	\$ (326,999)	\$ 2,479,816			\$ 3,865,955	\$ 19,908,502	\$ 19,412,415
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0				\$ 0	\$ 1,613
Accounts Receivable - General	\$ 350							\$ 350	\$ 1,356
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 54,165					\$ 54,165	\$ 87,370
Due From Other Courts									
Due From State	\$ 141,275	\$ 0	\$ 175,619					\$ 316,894	\$ 303,713
Trust Due To/From							\$ 10,227	\$ 10,227	\$ 9,690
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 14,556	\$ 4,437						\$ 18,993	\$ 15,351
Total Receivables	\$ 156,182	\$ 4,437	\$ 229,784	\$ 0			\$ 10,227	\$ 400,629	\$ 419,091
Prepaid Expenses - General	\$ 148,433	\$ 0	\$ 0					\$ 148,433	\$ 141,635
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 148,433	\$ 0	\$ 0					\$ 148,433	\$ 141,635
Other Assets									
Total Other Assets									
Total Assets	\$ 12,528,575	\$ 1,670,207	\$ (97,215)	\$ 2,479,816			\$ 3,876,182	\$ 20,457,565	\$ 19,973,141
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 77,449	\$ 1,430	\$ 133				\$ 2,997	\$ 82,008	\$ 71,324
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 29,221	\$ 29,221	\$ 25,040
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0			\$ 2,479,811				\$ 2,479,811	\$ 0
TC145 Liability							\$ 633,008	\$ 633,008	\$ 664,933
Due to Other Governments	\$ 0	\$ 0		\$ 5				\$ 5	\$ 2,466,199
AB145 Due to Other Government Agency							\$ 699,801	\$ 699,801	\$ 549,081
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 15	\$ 15	\$ 167
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 77,449	\$ 1,430	\$ 133	\$ 2,479,816			\$ 1,365,041	\$ 3,923,868	\$ 3,776,745
Civil							\$ 1,523,700	\$ 1,523,700	\$ 2,142,959
Criminal							\$ 877,354	\$ 877,354	\$ 658,618
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 69,045	\$ 69,045	\$ 90,876
Miscellaneous Trust									
Total Trust Deposits							\$ 2,470,099	\$ 2,470,099	\$ 2,892,449
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,159,470							\$ 1,159,470	\$ 1,033,182
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ (438)
Total Payroll Liabilities	\$ 1,159,470	\$ 0	\$ 0					\$ 1,159,470	\$ 1,032,744
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 48,268	\$ 1,176	\$ 37				\$ 13,593	\$ 63,074	\$ 41,585
Jury Fees - Non-Interest							\$ 16,139	\$ 16,139	\$ 0
Fees - Partial Payment & Overpayment							\$ 11,310	\$ 11,310	\$ 25,012
Uncleared Collections									
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 48,268	\$ 1,176	\$ 37				\$ 41,042	\$ 90,523	\$ 66,607
Total Liabilities	\$ 1,285,187	\$ 2,606	\$ 170	\$ 2,479,816			\$ 3,876,182	\$ 7,643,960	\$ 7,768,546
Total Fund Balance	\$ 11,243,388	\$ 1,667,602	\$ (97,385)	\$ 0				\$ 12,813,605	\$ 12,204,595
Total Liabilities and Fund Balance	\$ 12,528,575	\$ 1,670,207	\$ (97,215)	\$ 2,479,816			\$ 3,876,182	\$ 20,457,565	\$ 19,973,141

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2021/22											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2020/21	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 18,222,072	\$ 26,928					\$ 18,249,000	\$ 41,526,864	\$ 16,739,303	\$ 37,064,860	
Improvement and Modernization Fund	\$ (65,968)						\$ (65,968)	\$ 108,799	\$ 0	\$ 98,778	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 273,069						\$ 273,089	\$ 1,868,104	\$ 280,497	\$ 1,859,775	
Civil Coordination Reimbursement (0150091)								\$ 91,408		\$ 865,123	
MOU Reimbursements (0150010 and General)	\$ 91,672						\$ 91,672	\$ 1,245,358	\$ 59,555	\$ 1,245,358	
Other Miscellaneous											
	\$ 18,520,868	\$ 26,928					\$ 18,547,794	\$ 45,710,528	\$ 17,079,355	\$ 41,133,802	
Grants											
AB 1058 Commissioner/Facilitator			\$ 21,187				\$ 21,187	\$ 1,055,321	\$ 18,515	\$ 868,137	
Other Judicial Council Grants			\$ 208,628				\$ 208,628	\$ 683,627	\$ 678,462	\$ 1,006,019	
Non-Judicial Council Grants			\$ 105,538				\$ 105,538	\$ 2,147,475	\$ 112,354	\$ 1,601,432	
			\$ 335,353				\$ 335,353	\$ 3,886,423	\$ 809,330	\$ 3,475,588	
Other Financing Sources											
Interest Income	\$ 111	\$ 10					\$ 121	\$ 57,388	\$ 207	\$ 140,488	
Investment Income											
Donations											
Local Fees	\$ 88,275	\$ 14,791					\$ 103,065	\$ 245,271	\$ 71,972	\$ 288,933	
Non-Fee Revenues											
Enhanced Collections		\$ 26,178					\$ 26,178	\$ 398,182	\$ 72,327	\$ 588,626	
Escheatment											
Prior Year Revenue	\$ 1,660	\$ 2					\$ 1,662		\$ (172,330)		
County Program - Restricted		\$ 123,205					\$ 123,206	\$ 1,360,878	\$ 131,837	\$ 1,348,248	
Reimbursement Other	\$ (7,537)						\$ (7,537)	\$ 35,390	\$ 2,472	\$ 120,777	
Sale of Fixed Assets	\$ 520						\$ 520	\$ 3,100	\$ 634	\$ 2,545	
Other Miscellaneous	\$ 83,028	\$ 164,188					\$ 247,214	\$ 2,100,207	\$ 107,118	\$ 2,480,615	
	\$ 83,028	\$ 164,188					\$ 247,214	\$ 2,100,207	\$ 107,118	\$ 2,480,615	
Total Revenues	\$ 18,603,894	\$ 191,113	\$ 338,353				\$ 19,131,361	\$ 61,697,189	\$ 17,995,803	\$ 47,100,095	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 4,024,866	\$ 143,479	\$ 206,677				\$ 4,375,022	\$ 23,425,350	\$ 4,507,794	\$ 22,434,298	
Temp Help	\$ 10,976						\$ 10,976	\$ 67,696		\$ 187,429	
Overtime	\$ 25,557	\$ 4					\$ 25,561		\$ 3,712	\$ 12,000	
Staff Benefits	\$ 3,606,273	\$ 80,843	\$ 107,640				\$ 3,794,756	\$ 18,459,250	\$ 3,823,068	\$ 17,430,613	
	\$ 7,667,672	\$ 224,326	\$ 314,317				\$ 8,206,314	\$ 41,972,296	\$ 8,334,596	\$ 40,064,340	
Operating Expenses and Equipment											
General Expense	\$ 241,804	\$ 8,185	\$ 12,943				\$ 262,932	\$ 2,413,450	\$ 324,354	\$ 1,282,346	
Printing	\$ 10,043		\$ 730				\$ 10,773	\$ 45,399	\$ 9,271	\$ 39,459	
Telecommunications	\$ 34,783	\$ 114	\$ 13				\$ 34,910	\$ 190,070	\$ 31,205	\$ 143,627	
Postage	\$ 81,072		\$ 32				\$ 81,104	\$ 195,590	\$ 75,895	\$ 202,615	
Insurance	\$ 10,899						\$ 10,899	\$ 50,900	\$ 3,074	\$ 30,000	
In-State Travel	\$ 3,778						\$ 3,778	\$ 69,910	\$ 10,725	\$ 66,137	
Out-of-State Travel	\$ 240	\$ 8,026	\$ 9,623				\$ 17,889	\$ 71,188			
Training	\$ 5,745	\$ 259	\$ 1,958				\$ 7,962	\$ 15,893	\$ 7,260	\$ 9,308	
Security Services	\$ 215,729		\$ 10,881				\$ 226,610	\$ 1,315,518	\$ 201,075	\$ 1,215,210	
Facility Operations	\$ 199,579		\$ 717				\$ 200,296	\$ 1,286,832	\$ 186,985	\$ 1,013,432	
Utilities	\$ 6,994						\$ 6,994	\$ 30,013	\$ 11,675	\$ 68,000	
Contracted Services	\$ 384,617	\$ 36,174	\$ 73,427				\$ 494,218	\$ 3,005,550	\$ 517,258	\$ 3,250,943	
Consulting and Professional Services	\$ 4,945						\$ 4,945	\$ 122,574	\$ 34,594	\$ 141,361	
Information Technology	\$ 84,244		\$ 4,145				\$ 88,389	\$ 725,012	\$ 15,049	\$ 670,309	
Major Equipment	\$ 2,433						\$ 2,433	\$ 221,970	\$ 6,748	\$ 16,288	
Other Items of Expense	\$ 2,433						\$ 2,433	\$ 29,825	\$ 2,330	\$ 29,975	
	\$ 1,287,903	\$ 52,758	\$ 114,078				\$ 1,454,738	\$ 9,768,094	\$ 1,437,499	\$ 8,379,610	
Special Items of Expense											
Grand Jury		\$ 11,333					\$ 11,333	\$ 70,000	\$ 9,460	\$ 70,000	
Jury Costs	\$ 68,801						\$ 68,801	\$ 218,750	\$ 40,685	\$ 224,800	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (7,497)	\$ 2,152	\$ 5,345				\$ 0	\$ 0	\$ 0	\$ 1	
Prior Year Expense Adjustment	\$ 98,854	\$ 7,028	\$ 0				\$ 105,882		\$ 6,700		
	\$ 160,157	\$ 20,511	\$ 5,345				\$ 186,014	\$ 288,750	\$ 6,700	\$ 284,801	
Total Expenditures	\$ 9,115,732	\$ 297,896	\$ 433,738				\$ 9,847,069	\$ 52,049,140	\$ 9,829,029	\$ 48,738,751	
Excess (Deficit) of Revenues Over Expenditures	\$ 9,488,162	\$ (106,482)	\$ (97,385)				\$ 9,284,295	\$ (351,951)	\$ 8,166,774	\$ (1,638,656)	
Operating Transfers In (Out)	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,755,226	\$ 1,774,084	\$ 0	\$ 0			\$ 3,529,310	\$ 3,529,310	\$ 4,037,820	\$ 4,037,820	
Ending Balance (Deficit)	\$ 11,243,388	\$ 1,667,602	\$ (97,385)	\$ 0			\$ 12,813,605	\$ 3,177,329	\$ 12,204,585	\$ 2,399,164	

**Superior Court of California, County of San Joaquin
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended September										
Fiscal Year 2021/22								2020/21		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,956,028	\$ 136,606				\$ 2,979	\$ 3,095,613	\$ 16,278,624	\$ 3,038,027	\$ 15,618,026
Traffic & Other Infractions	\$ 521,036	\$ 25,572					\$ 546,607	\$ 3,138,201	\$ 539,663	\$ 2,639,784
Other Criminal Cases	\$ 1,104,183	\$ 139,826			\$ 3,731	\$ 35,558	\$ 1,283,297	\$ 7,831,709	\$ 1,287,602	\$ 7,925,038
Civil	\$ 498,980	\$ 9,292				\$ 40	\$ 508,312	\$ 3,122,207	\$ 567,087	\$ 2,869,876
Family & Children Services	\$ 690,192	\$ 23,394			\$ 5,345	\$ 6	\$ 718,937	\$ 4,300,169	\$ 764,828	\$ 3,950,167
Probate, Guardianship & Mental Health Services	\$ 346,908	\$ 277				\$ 0	\$ 347,185	\$ 1,816,690	\$ 352,588	\$ 1,808,733
Juvenile Dependency Services	\$ 69,972	\$ 0					\$ 69,972	\$ 358,793	\$ 69,337	\$ 339,524
Juvenile Delinquency Services	\$ 105,773	\$ 7,019				\$ 84	\$ 112,877	\$ 602,848	\$ 93,309	\$ 524,484
Other Court Operations	\$ 582,440	\$ 206,188			\$ 81	\$ 2,433	\$ 791,141	\$ 2,089,393	\$ 816,587	\$ 967,793
Court Interpreters	\$ 199,056	\$ 236,375				\$ 1,350	\$ 436,781	\$ 2,143,221	\$ 423,600	\$ 1,903,593
Jury Services	\$ 77,570	\$ 65,774	\$ 68,801			\$ 12	\$ 212,158	\$ 812,815	\$ 146,620	\$ 757,940
Security		\$ 228,969				\$ 5,900	\$ 234,868	\$ 1,370,782	\$ 205,503	\$ 1,151,424
Trial Court Operations Program	\$ 7,152,139	\$ 1,079,292	\$ 68,801		\$ 9,157	\$ 48,362	\$ 8,367,750	\$ 43,865,462	\$ 8,304,753	\$ 40,466,382
Enhanced Collections	\$ 14,469	\$ 26,715	\$ 179		\$ 2,152	\$ 7,026	\$ 50,541	\$ 398,182	\$ 115,182	\$ 588,626
Other Non-Court Operations	\$ 13,772	\$ 124	\$ 11,154			\$ 0	\$ 25,050	\$ 194,316	\$ 26,747	\$ 263,406
Non-Court Operations Program	\$ 28,241	\$ 26,838	\$ 11,333		\$ 2,152	\$ 7,026	\$ 75,591	\$ 592,498	\$ 141,929	\$ 852,032
Executive Office	\$ 125,120	\$ 1,341			\$ (1,385)		\$ 125,076	\$ 627,908	\$ 141,370	\$ 603,908
Fiscal Services	\$ 330,441	\$ 15,527			\$ (3,604)	\$ (1,547)	\$ 340,816	\$ 1,657,895	\$ 329,408	\$ 1,648,861
Human Resources	\$ 94,157	\$ 13,721			\$ (1,001)	\$ (50)	\$ 106,827	\$ 617,150	\$ 99,786	\$ 592,726
Business & Facilities Services	\$ 145,214	\$ 225,874			\$ (1,687)	\$ 52,262	\$ 421,664	\$ 2,071,161	\$ 372,620	\$ 1,908,250
Information Technology	\$ 331,002	\$ 92,145			\$ (3,633)	\$ (173)	\$ 419,341	\$ 2,617,077	\$ 440,162	\$ 2,676,592
Court Administration Program	\$ 1,025,934	\$ 348,608			\$ (11,309)	\$ 50,492	\$ 1,413,726	\$ 7,591,190	\$ 1,382,347	\$ 7,430,337
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 8,206,314	\$ 1,454,738	\$ 80,134		\$ 0	\$ 105,880	\$ 9,847,086	\$ 52,049,140	\$ 9,829,029	\$ 48,738,751