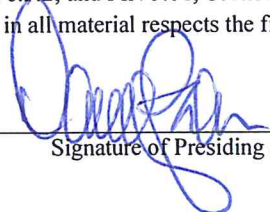


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/01/2021

Date

Santa Barbara

Court

FY 2021-22 Quarter 1

Fiscal Year and Ending Quarter

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2021/22

2020/21

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,810,578)	\$ 3,205,953	\$ (478,130)				\$ 129,062	\$ 46,306	\$ 347,016
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 2,439
Jury									
Revolving	\$ 20,000							\$ 20,000	\$ 20,000
Other									
Distribution							\$ 88,916	\$ 88,916	\$ 7,318
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (337,228)	\$ (337,228)	\$ (19,323)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 10,982,374						\$ 6,686,558	\$ 17,668,931	\$ 13,458,066
Total Cash and Cash Equivalents	\$ 8,198,926	\$ 3,205,953	\$ (478,130)				\$ 6,567,307	\$ 17,494,055	\$ 13,822,646
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									\$ 0
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments		\$ 283,324						\$ 283,324	\$ 283,324
Due From Other Courts									
Due From State	\$ 123,731	\$ 0	\$ 418,599					\$ 542,330	\$ 53,927
Trust Due To/From							\$ 156,371	\$ 156,371	\$ 408,514
Distribution Due To/From							\$ 42,800	\$ 42,800	\$ 59,934
Civil Filing Fee Due To/From									
General Due To/From	\$ 17	\$ 0						\$ 17	\$ 239
Total Receivables	\$ 123,748	\$ 283,324	\$ 418,599				\$ 199,171	\$ 1,024,842	\$ 805,937
Prepaid Expenses - General	\$ 33,374							\$ 33,374	\$ 568,521
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 33,374							\$ 33,374	\$ 568,521
Other Assets									
Total Other Assets									
Total Assets	\$ 8,356,048	\$ 3,489,276	\$ (59,531)				\$ 6,766,478	\$ 18,552,271	\$ 15,197,104
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 31,195	\$ 822	\$ 0				\$ 3,417	\$ 35,434	\$ 25,100
Due to Other Funds	\$ 1	\$ 24,284	\$ 0				\$ 174,903	\$ 199,188	\$ 468,687
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 429,490	\$ 429,490	\$ 336,103
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,188,689	\$ 2,188,689	\$ 1,068,620
Due to Other Public Agencies									
Sales and Use Tax	\$ 184							\$ 184	\$ 130
Interest							\$ 27	\$ 27	\$ 158
Miscellaneous Accts. Pay. and Accrued Liab.	\$ (431)							\$ (431)	\$ (437)
Total Accounts Payable and Accrued Liab.	\$ 30,950	\$ 25,105	\$ 0				\$ 2,796,526	\$ 2,852,582	\$ 1,898,361
Civil							\$ 2,079,697	\$ 2,079,697	\$ 2,396,125
Criminal							\$ 1,801,966	\$ 1,801,966	\$ 455,986
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 53,844	\$ 53,844	\$ 54,319
Miscellaneous Trust									
Total Trust Deposits							\$ 3,939,235	\$ 3,939,235	\$ 2,910,158
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 283,144	\$ 0	\$ 0					\$ 283,144	\$ (142,992)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ (22,206)
Deductions Payable	\$ (670)	\$ 0	\$ 0					\$ (670)	\$ (267,740)
Payroll Clearing									
Total Payroll Liabilities	\$ 282,475	\$ 0	\$ 0					\$ 282,475	\$ (432,938)
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Liabilities For Deposits	\$ 66,593						\$ 26,717	\$ 93,310	\$ 87,635
Jury Fees - Non-Interest							\$ 1,340	\$ 1,340	\$ 586
Fees - Partial Payment & Overpayment							\$ 2,660	\$ 2,660	\$ 1,870
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 66,593	\$ 0	\$ 0				\$ 30,717	\$ 97,310	\$ 90,092
Total Liabilities	\$ 380,018	\$ 25,105	\$ 0				\$ 6,766,478	\$ 7,171,602	\$ 4,465,673
Total Fund Balance	\$ 7,976,030	\$ 3,464,171	\$ (59,531)					\$ 11,380,669	\$ 10,731,431
Total Liabilities and Fund Balance	\$ 8,356,048	\$ 3,489,276	\$ (59,531)				\$ 6,766,478	\$ 18,552,271	\$ 15,197,104

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,976,748	\$ 17,282					\$ 10,994,029	\$ 26,085,628	\$ 9,877,743	\$ 22,614,806	
Improvement and Modernization Fund								\$ 256,890	\$ 42,569	\$ 57,012	
Judges' Compensation (0150019)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (0150037)	\$ 501,975						\$ 501,975	\$ 2,071,133	\$ 371,064	\$ 2,071,133	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 33,448						\$ 33,448	\$ 1,096,322	\$ 39,403	\$ 946,353	
Other Miscellaneous								\$ 1,597,661		\$ 1,597,661	
	\$ 11,562,046	\$ 17,282					\$ 11,579,327	\$ 31,307,134	\$ 10,380,655	\$ 27,486,465	
Grants											
AB 1058 Commissioner/Facilitator			\$ 103,510				\$ 103,510	\$ 785,851	\$ 11	\$ 668,192	
Other Judicial Council Grants	\$ 200,000		\$ 0				\$ 200,000	\$ 139,277	\$ (12,100)	\$ 284,136	
Non-Judicial Council Grants											
	\$ 200,000		\$ 103,510				\$ 303,510	\$ 925,128	\$ (12,089)	\$ 952,328	
Other Financing Sources											
Interest Income	\$ 36	\$ 8					\$ 44	\$ 79,200	\$ 215	\$ 108,160	
Investment Income											
Donations											
Local Fees	\$ 15,859	\$ 17,558					\$ 33,417	\$ 68,555	\$ 17,242	\$ 102,400	
Non-Fee Revenues											
Enhanced Collections		\$ 116,553					\$ 116,553	\$ 1,111,228	\$ (16,654)	\$ 1,074,728	
Escheatment									\$ 184,142		
Prior Year Revenue									\$ 218,180	\$ (1,532)	
County Program - Restricted		\$ 27,759					\$ 27,759	\$ 218,180	\$ (1,532)	\$ 166,482	
Reimbursement Other	\$ 12,006	\$ 351,121					\$ 363,126	\$ 1,572,214	\$ 290,424	\$ 1,008,817	
Sale of Fixed Assets											
Other Miscellaneous	\$ 19,363						\$ 19,363	\$ 76,745	\$ 17,911	\$ 66,060	
	\$ 47,264	\$ 512,999					\$ 560,263	\$ 3,126,122	\$ 491,748	\$ 2,526,647	
Total Revenues	\$ 11,809,309	\$ 530,281	\$ 103,510				\$ 12,443,100	\$ 35,358,384	\$ 10,860,314	\$ 30,965,440	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,711,276	\$ 113,431	\$ 87,623				\$ 2,912,330	\$ 17,804,754	\$ 2,566,897	\$ 15,664,322	
Temp Help	\$ 72,977		\$ 892				\$ 73,869	\$ 557,456	\$ 2,182	\$ 138,963	
Overtime	\$ 26,072						\$ 26,072		\$ 3,389		
Staff Benefits	\$ 2,107,326	\$ 68,044	\$ 53,146				\$ 2,228,515	\$ 10,049,209	\$ 2,135,139	\$ 9,870,293	
	\$ 4,917,651	\$ 181,474	\$ 141,661				\$ 5,240,786	\$ 28,411,419	\$ 4,707,607	\$ 25,673,578	
Operating Expenses and Equipment											
General Expense	\$ 159,879	\$ 1,149					\$ 161,028	\$ 699,607	\$ 112,741	\$ 760,809	
Printing	\$ 18,286						\$ 18,286	\$ 67,448	\$ 1,094	\$ 53,480	
Telecommunications	\$ 57,356	\$ 270	\$ 25				\$ 57,652	\$ 280,936	\$ 50,390	\$ 260,635	
Postage	\$ 19,348	\$ 1,939	\$ 130				\$ 21,417	\$ 103,070	\$ 10,267	\$ 111,650	
Insurance								\$ 27,150		\$ 15,700	
In-State Travel	\$ 4,153						\$ 4,153	\$ 23,806	\$ 1,056	\$ 16,245	
Out-of-State Travel								\$ 0		\$ 4,000	
Training	\$ 1,006						\$ 1,006	\$ 20,400	\$ 349	\$ 11,400	
Security Services	\$ 147,714		\$ 4,571				\$ 152,285	\$ 841,620	\$ 138,137	\$ 917,470	
Facility Operations	\$ 11,546	\$ 2,237					\$ 13,783	\$ 609,327	\$ 104,920	\$ 473,040	
Utilities											
Contracted Services	\$ 246,530	\$ 95,912	\$ 0				\$ 342,442	\$ 4,104,934	\$ 30,949	\$ 2,904,444	
Consulting and Professional Services	\$ (42,741)	\$ 101	\$ 4				\$ (42,636)	\$ 66,768	\$ 4,730	\$ 93,204	
Information Technology	\$ 51,092	\$ 2					\$ 51,094	\$ 856,975	\$ 137,864	\$ 826,135	
Major Equipment	\$ 30,056						\$ 30,056	\$ 82,066	\$ 64,741	\$ 465,014	
Other Items of Expense	\$ 1,391		\$ 197				\$ 1,588	\$ 18,300	\$ 3,369	\$ 31,873	
	\$ 705,618	\$ 101,609	\$ 4,927				\$ 812,155	\$ 7,802,407	\$ 660,608	\$ 6,945,099	
Special Items of Expense											
Grand Jury								\$ 0	\$ 0	\$ 660	
Jury Costs	\$ 2,892						\$ 2,892	\$ 247,000	\$ 3,810	\$ 136,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (16,453)		\$ 16,453				\$ 0	\$ 0		\$ 0	
Prior Year Expense Adjustment	\$ 74,827						\$ 74,827				
	\$ 61,266		\$ 16,453				\$ 77,718	\$ 247,000	\$ 3,810	\$ 136,660	
Total Expenditures	\$ 5,684,534	\$ 283,084	\$ 163,041				\$ 6,130,659	\$ 36,460,826	\$ 5,372,024	\$ 32,755,337	
Excess (Deficit) of Revenues Over Expenditures	\$ 6,124,775	\$ 247,198	\$ (59,531)				\$ 6,312,441	\$ (1,102,442)	\$ 5,488,289	\$ (1,789,897)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,851,255	\$ 3,216,973	\$ 0				\$ 5,068,228	\$ 5,068,228	\$ 5,243,142	\$ 5,243,142	
Ending Balance (Deficit)	\$ 7,976,030	\$ 3,464,171	\$ (59,531)				\$ 11,380,669	\$ 3,965,786	\$ 10,731,431	\$ 3,453,245	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September

Fiscal Year 2021/22

2020/21

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,619,870	\$ 91,933				\$ 74,827	\$ 1,786,629	\$ 10,040,573	\$ 1,589,909	\$ 8,911,781
Traffic & Other Infractions	\$ 194,596	\$ 13,391					\$ 207,987	\$ 905,890	\$ 189,469	\$ 819,063
Other Criminal Cases	\$ 468,874	\$ 62,345					\$ 531,219	\$ 2,911,585	\$ 488,347	\$ 2,799,715
Civil	\$ 460,535	\$ 77,677					\$ 538,212	\$ 2,664,273	\$ 516,724	\$ 2,485,318
Family & Children Services	\$ 267,084	\$ 14,188			\$ 0		\$ 281,271	\$ 1,664,998	\$ 288,019	\$ 1,762,164
Probate, Guardianship & Mental Health Services	\$ 81,944	\$ 7,284					\$ 89,228	\$ 922,158	\$ 83,221	\$ 674,488
Juvenile Dependency Services	\$ 9,448	\$ 2,051					\$ 11,499	\$ 182,035	\$ 4,309	\$ 62,729
Juvenile Delinquency Services	\$ 57,438	\$ 6,122					\$ 63,561	\$ 291,613	\$ 71,226	\$ 420,493
Other Court Operations	\$ 452,970	\$ 28,123					\$ 481,093	\$ 2,463,716	\$ 495,330	\$ 2,693,982
Court Interpreters	\$ 328,563	\$ 134,543					\$ 463,106	\$ 2,880,012	\$ 333,050	\$ 2,243,943
Jury Services	\$ 117,370	\$ 14,218	\$ 2,892				\$ 134,479	\$ 740,933	\$ 109,727	\$ 628,926
Security		\$ 156,376					\$ 156,376	\$ 847,884	\$ 133,468	\$ 933,600
Trial Court Operations Program	\$ 4,058,692	\$ 608,251	\$ 2,892		\$ 0	\$ 74,827	\$ 4,744,661	\$ 26,515,670	\$ 4,302,800	\$ 24,436,202
Enhanced Collections	\$ 106,557	\$ 89,119					\$ 195,676	\$ 1,111,228	\$ (17,222)	\$ 1,074,728
Other Non-Court Operations	\$ 833	\$ 27					\$ 860	\$ 1,572,214	\$ (24,328)	\$ 1,038,342
Non-Court Operations Program	\$ 107,390	\$ 89,146					\$ 196,536	\$ 2,683,442	\$ (41,550)	\$ 2,113,070
Executive Office	\$ 133,967	\$ 2,963					\$ 136,929	\$ 833,260	\$ 119,127	\$ 651,757
Fiscal Services	\$ 223,920	\$ 7,945					\$ 231,864	\$ 1,219,199	\$ 226,524	\$ 1,159,198
Human Resources	\$ 344,395	\$ 11,617					\$ 356,012	\$ 792,834	\$ 133,488	\$ 746,716
Business & Facilities Services		\$ (71,482)					\$ (71,482)	\$ 985,643	\$ 48,915	\$ 289,100
Information Technology	\$ 372,422	\$ 163,717					\$ 536,139	\$ 3,430,778	\$ 582,721	\$ 3,359,294
Court Administration Program	\$ 1,074,704	\$ 114,758					\$ 1,189,462	\$ 7,261,714	\$ 1,110,774	\$ 6,206,065
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 5,240,786	\$ 812,155	\$ 2,892		\$ 0	\$ 74,827	\$ 6,130,659	\$ 36,460,826	\$ 5,372,024	\$ 32,755,337